



A SYSTEM OF LOGIC  
RATIOCINATIVE  
AND INDUCTIVE

*John Stuart Mill*



# A System of Logic

## Ratiocinative and Inductive,

Being a connected view of the  
Principles of Evidence,  
and the  
Methods of Scientific Investigation.

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John Stuart Mill



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# PREFACE TO THE FIRST EDITION.

This book makes no pretense of giving to the world a new theory of the intellectual operations. Its claim to attention, if it possess any, is grounded on the fact that it is an attempt, not to supersede, but to embody and systematize, the best ideas which have been either promulgated on its subject by speculative writers, or conformed to by accurate thinkers in their scientific inquiries.

To cement together the detached fragments of a subject, never yet treated as a whole; to harmonize the true portions of discordant theories, by supplying the links of thought necessary to connect them, and by disentangling them from the errors with which they are always more or less interwoven, must necessarily require a considerable amount of original speculation. To other originality than this, the present work lays no claim. In the existing state of the cultivation of the sciences, there would be a very strong presumption against any one who should imagine that he had effected a revolution in the theory of the investigation of truth, or added any fundamentally new process to the practice of it. The improvement which remains to be effected in the methods of philosophizing (and the author believes that they have much need of improvement) can only consist in performing more systematically and accurately operations with which, at least in their elementary form, the human intellect, in some one or other of its employments, is already familiar.

In the portion of the work which treats of Ratiocination, the author has not deemed it necessary to enter into technical details which may be obtained in so perfect a shape from the existing treatises on what is termed the Logic of the Schools. In the contempt entertained by many modern philosophers for the syllogistic art, it will be seen that he by no means participates; though the scientific theory on which its defense is usually rested appears to him erroneous: and the view which he has suggested of the nature and functions of the Syllogism may, perhaps, afford the means of conciliating the principles of the art with as much as is well grounded in the doctrines and objections of its assailants.

The same abstinence from details could not be observed in the First Book, on Names and Propositions; because many useful principles and distinctions which were contained in the old Logic have been gradually omitted from the writings of its later teachers; and it appeared desirable both to revive these, and to reform and rationalize the philosophical foundation on which they stood. The earlier chapters of this preliminary Book will consequently appear, to some readers, needlessly elementary and scholastic. But those who know in what darkness the nature of our knowledge, and of the processes by which it is obtained, is often involved by a confused apprehension of the import of the different classes of Words and Assertions, will not regard these discussions as either frivolous, or irrelevant to the topics considered in the later Books.

On the subject of Induction, the task to be performed was that of generalizing the modes of investigating truth and estimating evidence, by which so many important and recondite laws of nature have, in the various sciences, been aggregated to the stock of human knowledge. That this is not a task free from difficulty may be presumed from the fact that even at a very recent period, eminent writers (among whom it is sufficient to name Archbishop Whately, and the author of a celebrated article on Bacon in the *Edinburgh Review*) have not scrupled to pronounce it impossible.<sup>1</sup> The author has endeavored to combat their theory in the manner in which Diogenes confuted the skeptical reasonings against the possibility of motion; remembering that Diogenes's argument would have been equally conclusive, though his individual perambulations might not have extended beyond the circuit of his own tub.

Whatever may be the value of what the author has succeeded in effecting on this branch of his subject, it is a duty to acknowledge that for much of it he has been indebted to several important treatises, partly historical and partly philosophical, on the generalities and processes of physical science, which have been published within the last few years. To these treatises, and to their authors, he has endeavored to do justice in the body of the work. But as with one of these writers, Dr. Whewell, he has occasion frequently to express differences of opinion, it is more particularly incumbent on him in this place to declare, that without the aid derived from the facts and ideas contained in that gentleman's "History of



the Inductive Sciences,” the corresponding portion of this work would probably not have been written.

The concluding Book is an attempt to contribute toward the solution of a question which the decay of old opinions, and the agitation that disturbs European society to its inmost depths, render as important in the present day to the practical interests of human life, as it must at all times be to the completeness of our speculative knowledge — viz.: Whether moral and social phenomena are really exceptions to the general certainty and uniformity of the course of nature; and how far the methods by which so many of the laws of the physical world have been numbered among truths irrevocably acquired and universally assented to, can be made instrumental to the formation of a similar body of received doctrine in moral and political science.

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<sup>1</sup> In the later editions of Archbishop Whately’s “Logic,” he states his meaning to be, not that “rules” for the ascertainment of truths by inductive investigation can not be laid down, or that they may not be “of eminent service,” but that they “must always be comparatively vague and general, and incapable of being built up into a regular demonstrative theory like that of the Syllogism.” (Book iv., ch. iv., § 3.) And he observes, that to devise a system for this purpose, capable of being “brought into a scientific form,” would be an achievement which “he must be more sanguine than scientific who expects.” (Book iv., ch. ii., § 4.) To effect this, however, being the express object of the portion of the present work which treats of Induction, the words in the text are no overstatement of the difference of opinion between Archbishop Whately and me on the subject.



# PREFACE TO THE THIRD AND FOURTH EDITIONS.

Several criticisms, of a more or less controversial character, on this work, have appeared since the publication of the second edition; and Dr. Whewell has lately published a reply to those parts of it in which some of his opinions were controverted.<sup>2</sup>

I have carefully reconsidered all the points on which my conclusions have been assailed. But I have not to announce a change of opinion on any matter of importance. Such minor oversights as have been detected, either by myself or by my critics, I have, in general silently, corrected: but it is not to be inferred that I agree with the objections which have been made to a passage, in every instance in which I have altered or canceled it. I have often done so, merely that it might not remain a stumbling-block, when the amount of discussion necessary to place the matter in its true light would have exceeded what was suitable to the occasion.

To several of the arguments which have been urged against me, I have thought it useful to reply with some degree of minuteness; not from any taste for controversy, but because the opportunity was favorable for placing my own conclusions, and the grounds of them, more clearly and completely before the reader. Truth on these subjects is militant, and can only establish itself by means of conflict. The most opposite opinions can make a plausible show of evidence while each has the statement of its own case; and it is only possible to ascertain which of them is in the right, after hearing and comparing what each can say against the other, and what the other can urge in its defense.

Even the criticisms from which I most dissent have been of great service to me, by showing in what places the exposition most needed to be improved, or the argument strengthened. And I should have been well pleased if the book had undergone a much greater amount of attack; as in that case I should probably have been enabled to improve it still more than I believe I have now done.

In the subsequent editions, the attempt to improve the work by additions and corrections, suggested by criticism or by thought, has been continued. The additions and corrections in the present (eighth) edition, which are not very considerable, are chiefly such as have been suggested by Professor Bain's "Logic," a book of great merit and value. Mr. Bain's view of the science is essentially the same with that taken in the present treatise, the differences of opinion being few and unimportant compared with the agreements; and he has not only enriched the exposition by many applications and illustrative details, but has appended to it a minute and very valuable discussion of the logical principles specially applicable to each of the sciences — a task for which the encyclopedical character of his knowledge peculiarly qualified him. I have in several instances made use of his exposition to improve my own, by adopting, and occasionally by controverting, matter contained in his treatise.

The longest of the additions belongs to the chapter on Causation, and is a discussion of the question how far, if at all, the ordinary mode of stating the law of Cause and Effect requires modification to adapt it to the new doctrine of the Conservation of Force — a point still more fully and elaborately treated in Mr. Bain's work.

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<sup>2</sup> Now forming a chapter in his volume on "The Philosophy of Discovery."



# INTRODUCTION.

§ 1. There is as great diversity among authors in the modes which they have adopted of defining logic, as in their treatment of the details of it. This is what might naturally be expected on any subject on which writers have availed themselves of the same language as a means of delivering different ideas. Ethics and jurisprudence are liable to the remark in common with logic. Almost every writer having taken a different view of some of the particulars which these branches of knowledge are usually understood to include; each has so framed his definition as to indicate beforehand his own peculiar tenets, and sometimes to beg the question in their favor.

This diversity is not so much an evil to be complained of, as an inevitable and in some degree a proper result of the imperfect state of those sciences. It is not to be expected that there should be agreement about the definition of any thing, until there is agreement about the thing itself. To define, is to select from among all the properties of a thing, those which shall be understood to be designated and declared by its name; and the properties must be well known to us before we can be competent to determine which of them are fittest to be chosen for this purpose. Accordingly, in the case of so complex an aggregation of particulars as are comprehended in any thing which can be called a science, the definition we set out with is seldom that which a more extensive knowledge of the subject shows to be the most appropriate. Until we know the particulars themselves, we can not fix upon the most correct and compact mode of circumscribing them by a general description. It was not until after an extensive and accurate acquaintance with the details of chemical phenomena, that it was found possible to frame a rational definition of chemistry; and the definition of the science of life and organization is still a matter of dispute. So long as the sciences are imperfect, the definitions must partake of their imperfection; and if the former are progressive, the latter ought to be so too. As much, therefore, as is to be expected from a definition placed at the commencement of a subject, is that it should define the scope of our inquiries: and the definition which I am about to

offer of the science of logic, pretends to nothing more than to be a statement of the question which I have put to myself, and which this book is an attempt to resolve. The reader is at liberty to object to it as a definition of logic; but it is at all events a correct definition of the subject of this volume.

§ 2. Logic has often been called the Art of Reasoning. A writer<sup>3</sup> who has done more than any other person to restore this study to the rank from which it had fallen in the estimation of the cultivated class in our own country, has adopted the above definition with an amendment; he has defined Logic to be the Science, as well as the Art, of reasoning; meaning by the former term, the analysis of the mental process which takes place whenever we reason, and by the latter, the rules, grounded on that analysis, for conducting the process correctly. There can be no doubt as to the propriety of the emendation. A right understanding of the mental process itself, of the conditions it depends on, and the steps of which it consists, is the only basis on which a system of rules, fitted for the direction of the process, can possibly be founded. Art necessarily presupposes knowledge; art, in any but its infant state, presupposes scientific knowledge: and if every art does not bear the name of a science, it is only because several sciences are often necessary to form the groundwork of a single art. So complicated are the conditions which govern our practical agency, that to enable one thing to be *done*, it is often requisite to *know* the nature and properties of many things.

Logic, then, comprises the science of reasoning, as well as an art, founded on that science. But the word Reasoning, again, like most other scientific terms in popular use, abounds in ambiguities. In one of its acceptations, it means syllogizing; or the mode of inference which may be called (with sufficient accuracy for the present purpose) concluding from generals to particulars. In another of its senses, to reason is simply to infer any assertion, from assertions already admitted: and in this sense induction is as much entitled to be called reasoning as the demonstrations of geometry.

Writers on logic have generally preferred the former acceptance of the term: the latter, and more extensive signification is that in which I mean to use it. I do this by virtue of the right I claim for every author, to

give whatever provisional definition he pleases of his own subject. But sufficient reasons will, I believe, unfold themselves as we advance, why this should be not only the provisional but the final definition. It involves, at all events, no arbitrary change in the meaning of the word; for, with the general usage of the English language, the wider signification, I believe, accords better than the more restricted one.

§ 3. But reasoning, even in the widest sense of which the word is susceptible, does not seem to comprehend all that is included, either in the best, or even in the most current, conception of the scope and province of our science. The employment of the word Logic to denote the theory of Argumentation, is derived from the Aristotelian, or, as they are commonly termed, the scholastic, logicians. Yet even with them, in their systematic treatises, Argumentation was the subject only of the third part: the two former treated of Terms, and of Propositions; under one or other of which heads were also included Definition and Division. By some, indeed, these previous topics were professedly introduced only on account of their connection with reasoning, and as a preparation for the doctrine and rules of the syllogism. Yet they were treated with greater minuteness, and dwelt on at greater length, than was required for that purpose alone. More recent writers on logic have generally understood the term as it was employed by the able author of the Port Royal Logic; viz., as equivalent to the Art of Thinking. Nor is this acceptance confined to books, and scientific inquiries. Even in ordinary conversation, the ideas connected with the word Logic include at least precision of language, and accuracy of classification: and we perhaps oftener hear persons speak of a logical arrangement, or of expressions logically defined, than of conclusions logically deduced from premises. Again, a man is often called a great logician, or a man of powerful logic, not for the accuracy of his deductions, but for the extent of his command over premises; because the general propositions required for explaining a difficulty or refuting a sophism, copiously and promptly occur to him: because, in short, his knowledge, besides being ample, is well under his command for argumentative use. Whether, therefore, we conform to the practice of those who have made the subject their particular study, or to that of popular writers and common discourse, the province of logic will include several operations of

the intellect not usually considered to fall within the meaning of the terms Reasoning and Argumentation.

These various operations might be brought within the compass of the science, and the additional advantage be obtained of a very simple definition, if, by an extension of the term, sanctioned by high authorities, we were to define logic as the science which treats of the operations of the human understanding in the pursuit of truth. For to this ultimate end, naming, classification, definition, and all other operations over which logic has ever claimed jurisdiction, are essentially subsidiary. They may all be regarded as contrivances for enabling a person to know the truths which are needful to him, and to know them at the precise moment at which they are needful. Other purposes, indeed, are also served by these operations; for instance, that of imparting our knowledge to others. But, viewed with regard to this purpose, they have never been considered as within the province of the logician. The sole object of Logic is the guidance of one's own thoughts: the communication of those thoughts to others falls under the consideration of Rhetoric, in the large sense in which that art was conceived by the ancients; or of the still more extensive art of Education. Logic takes cognizance of our intellectual operations only as they conduce to our own knowledge, and to our command over that knowledge for our own uses. If there were but one rational being in the universe, that being might be a perfect logician; and the science and art of logic would be the same for that one person as for the whole human race.

§ 4. But, if the definition which we formerly examined included too little, that which is now suggested has the opposite fault of including too much.

Truths are known to us in two ways: some are known directly, and of themselves; some through the medium of other truths. The former are the subject of Intuition, or Consciousness;<sup>4</sup> the latter, of Inference. The truths known by intuition are the original premises from which all others are inferred. Our assent to the conclusion being grounded on the truth of the premises, we never could arrive at any knowledge by reasoning, unless something could be known antecedently to all reasoning.

Examples of truths known to us by immediate consciousness, are our own bodily sensations and mental feelings. I know directly, and of my own

knowledge, that I was vexed yesterday, or that I am hungry to-day. Examples of truths which we know only by way of inference, are occurrences which took place while we were absent, the events recorded in history, or the theorems of mathematics. The two former we infer from the testimony adduced, or from the traces of those past occurrences which still exist; the latter, from the premises laid down in books of geometry, under the title of definitions and axioms. Whatever we are capable of knowing must belong to the one class or to the other; must be in the number of the primitive data, or of the conclusions which can be drawn from these.

With the original data, or ultimate premises of our knowledge; with their number or nature, the mode in which they are obtained, or the tests by which they may be distinguished; logic, in a direct way at least, has, in the sense in which I conceive the science, nothing to do. These questions are partly not a subject of science at all, partly that of a very different science.

Whatever is known to us by consciousness is known beyond possibility of question. What one sees or feels, whether bodily or mentally, one can not but be sure that one sees or feels. No science is required for the purpose of establishing such truths; no rules of art can render our knowledge of them more certain than it is in itself. There is no logic for this portion of our knowledge.

But we may fancy that we see or feel what we in reality infer. A truth, or supposed truth, which is really the result of a very rapid inference, may seem to be apprehended intuitively. It has long been agreed by thinkers of the most opposite schools, that this mistake is actually made in so familiar an instance as that of the eyesight. There is nothing of which we appear to ourselves to be more directly conscious than the distance of an object from us. Yet it has long been ascertained, that what is perceived by the eye, is at most nothing more than a variously colored surface; that when we fancy we see distance, all we really see is certain variations of apparent size, and degrees of faintness of color; that our estimate of the object's distance from us is the result partly of a rapid inference from the muscular sensations accompanying the adjustment of the focal distance of the eye to objects unequally remote from us, and partly of a comparison (made with so much rapidity that we are unconscious of making it) between the size



and color of the object as they appear at the time, and the size and color of the same or of similar objects as they appeared when close at hand, or when their degree of remoteness was known by other evidence. The perception of distance by the eye, which seems so like intuition, is thus, in reality, an inference grounded on experience; an inference, too, which we learn to make; and which we make with more and more correctness as our experience increases; though in familiar cases it takes place so rapidly as to appear exactly on a par with those perceptions of sight which are really intuitive, our perceptions of color.<sup>5</sup>...

Of the science, therefore, which expounds the operations of the human understanding in the pursuit of truth, one essential part is the inquiry: What are the facts which are the objects of intuition or consciousness, and what are those which we merely infer? But this inquiry has never been considered a portion of logic. Its place is in another and a perfectly distinct department of science, to which the name metaphysics more particularly belongs: that portion of mental philosophy which attempts to determine what part of the furniture of the mind belongs to it originally, and what part is constructed out of materials furnished to it from without. To this science appertain the great and much debated questions of the existence of matter; the existence of spirit, and of a distinction between it and matter; the reality of time and space, as things without the mind, and distinguishable from the objects which are said to exist in them. For in the present state of the discussion on these topics, it is almost universally allowed that the existence of matter or of spirit, of space or of time, is in its nature unsusceptible of being proved; and that if any thing is known of them, it must be by immediate intuition. To the same science belong the inquiries into the nature of Conception, Perception, Memory, and Belief; all of which are operations of the understanding in the pursuit of truth; but with which, as phenomena of the mind, or with the possibility which may or may not exist of analyzing any of them into simpler phenomena, the logician as such has no concern. To this science must also be referred the following, and all analogous questions: To what extent our intellectual faculties and our emotions are innate — to what extent the result of association: Whether God and duty are realities, the existence of which is manifest to us *a priori* by the constitution of our rational faculty; or whether our ideas of them are acquired notions, the

origin of which we are able to trace and explain; and the reality of the objects themselves a question not of consciousness or intuition, but of evidence and reasoning.

The province of logic must be restricted to that portion of our knowledge which consists of inferences from truths previously known; whether those antecedent data be general propositions, or particular observations and perceptions. Logic is not the science of Belief, but the science of Proof, or Evidence. In so far as belief professes to be founded on proof, the office of logic is to supply a test for ascertaining whether or not the belief is well grounded. With the claims which any proposition has to belief on the evidence of consciousness — that is, without evidence in the proper sense of the word — logic has nothing to do.

§ 5. By far the greatest portion of our knowledge, whether of general truths or of particular facts, being avowedly matter of inference, nearly the whole, not only of science, but of human conduct, is amenable to the authority of logic. To draw inferences has been said to be the great business of life. Every one has daily, hourly, and momentary need of ascertaining facts which he has not directly observed; not from any general purpose of adding to his stock of knowledge, but because the facts themselves are of importance to his interests or to his occupations. The business of the magistrate, of the military commander, of the navigator, of the physician, of the agriculturist, is merely to judge of evidence, and to act accordingly. They all have to ascertain certain facts, in order that they may afterward apply certain rules, either devised by themselves or prescribed for their guidance by others; and as they do this well or ill, so they discharge well or ill the duties of their several callings. It is the only occupation in which the mind never ceases to be engaged; and is the subject, not of logic, but of knowledge in general.

Logic, however, is not the same thing with knowledge, though the field of logic is co-extensive with the field of knowledge. Logic is the common judge and arbiter of all particular investigations. It does not undertake to find evidence, but to determine whether it has been found. Logic neither observes, nor invents, nor discovers; but judges. It is no part of the business of logic to inform the surgeon what appearances are found to accompany a violent death. This he must learn from his own experience

and observation, or from that of others, his predecessors in his peculiar pursuit. But logic sits in judgment on the sufficiency of that observation and experience to justify his rules, and on the sufficiency of his rules to justify his conduct. It does not give him proofs, but teaches him what makes them proofs, and how he is to judge of them. It does not teach that any particular fact proves any other, but points out to what conditions all facts must conform, in order that they may prove other facts. To decide whether any given fact fulfills these conditions, or whether facts can be found which fulfill them in a given case, belongs exclusively to the particular art or science, or to our knowledge of the particular subject.

It is in this sense that logic is, what it was so expressively called by the schoolmen and by Bacon, *ars artium*; the science of science itself. All science consists of data and conclusions from those data, of proofs and what they prove: now logic points out what relations must subsist between data and whatever can be concluded from them, between proof and every thing which it can prove. If there be any such indispensable relations, and if these can be precisely determined, every particular branch of science, as well as every individual in the guidance of his conduct, is bound to conform to those relations, under the penalty of making false inferences — of drawing conclusions which are not grounded in the realities of things. Whatever has at any time been concluded justly, whatever knowledge has been acquired otherwise than by immediate intuition, depended on the observance of the laws which it is the province of logic to investigate. If the conclusions are just, and the knowledge real, those laws, whether known or not, have been observed.

§ 6. We need not, therefore, seek any further for a solution of the question, so often agitated, respecting the utility of logic. If a science of logic exists, or is capable of existing, it must be useful. If there be rules to which every mind consciously or unconsciously conforms in every instance in which it infers rightly, there seems little necessity for discussing whether a person is more likely to observe those rules, when he knows the rules, than when he is unacquainted with them.

A science may undoubtedly be brought to a certain, not inconsiderable, stage of advancement, without the application of any other logic to it than what all persons, who are said to have a sound

understanding, acquire empirically in the course of their studies. Mankind judged of evidence, and often correctly, before logic was a science, or they never could have made it one. And they executed great mechanical works before they understood the laws of mechanics. But there are limits both to what mechanics can do without principles of mechanics, and to what thinkers can do without principles of logic. A few individuals, by extraordinary genius, or by the accidental acquisition of a good set of intellectual habits, may work without principles in the same way, or nearly the same way, in which they would have worked if they had been in possession of principles. But the bulk of mankind require either to understand the theory of what they are doing, or to have rules laid down for them by those who have understood the theory. In the progress of science from its easiest to its more difficult problems, each great step in advance has usually had either as its precursor, or as its accompaniment and necessary condition, a corresponding improvement in the notions and principles of logic received among the most advanced thinkers. And if several of the more difficult sciences are still in so defective a state; if not only so little is proved, but disputation has not terminated even about the little which seemed to be so; the reason perhaps is, that men's logical notions have not yet acquired the degree of extension, or of accuracy, requisite for the estimation of the evidence proper to those particular departments of knowledge.

§ 7. Logic, then, is the science of the operations of the understanding which are subservient to the estimation of evidence: both the process itself of advancing from known truths to unknown, and all other intellectual operations in so far as auxiliary to this. It includes, therefore, the operation of Naming; for language is an instrument of thought, as well as a means of communicating our thoughts. It includes, also, Definition, and Classification. For, the use of these operations (putting all other minds than one's own out of consideration) is to serve not only for keeping our evidences and the conclusions from them permanent and readily accessible in the memory, but for so marshaling the facts which we may at any time be engaged in investigating, as to enable us to perceive more clearly what evidence there is, and to judge with fewer chances of error whether it be sufficient. These, therefore, are operations specially instrumental to the estimation of evidence, and, as such, are within the

province of Logic. There are other more elementary processes, concerned in all thinking, such as Conception, Memory, and the like; but of these it is not necessary that Logic should take any peculiar cognizance, since they have no special connection with the problem of Evidence, further than that, like all other problems addressed to the understanding, it presupposes them.

Our object, then, will be, to attempt a correct analysis of the intellectual process called Reasoning or Inference, and of such other mental operations as are intended to facilitate this: as well as, on the foundation of this analysis, and *pari passu* with it, to bring together or frame a set of rules or canons for testing the sufficiency of any given evidence to prove any given proposition.

With respect to the first part of this undertaking, I do not attempt to decompose the mental operations in question into their ultimate elements. It is enough if the analysis as far as it goes is correct, and if it goes far enough for the practical purposes of logic considered as an art. The separation of a complicated phenomenon into its component parts is not like a connected and interdependent chain of proof. If one link of an argument breaks, the whole drops to the ground; but one step toward an analysis holds good and has an independent value, though we should never be able to make a second. The results which have been obtained by analytical chemistry are not the less valuable, though it should be discovered that all which we now call simple substances are really compounds. All other things are at any rate compounded of those elements: whether the elements themselves admit of decomposition, is an important inquiry, but does not affect the certainty of the science up to that point.

I shall, accordingly, attempt to analyze the process of inference, and the processes subordinate to inference, so far only as may be requisite for ascertaining the difference between a correct and an incorrect performance of those processes. The reason for thus limiting our design, is evident. It has been said by objectors to logic, that we do not learn to use our muscles by studying their anatomy. The fact is not quite fairly stated; for if the action of any of our muscles were vitiated by local weakness, or other physical defect, a knowledge of their anatomy might be very

necessary for effecting a cure. But we should be justly liable to the criticism involved in this objection, were we, in a treatise on logic, to carry the analysis of the reasoning process beyond the point at which any inaccuracy which may have crept into it must become visible. In learning bodily exercises (to carry on the same illustration) we do, and must, analyze the bodily motions so far as is necessary for distinguishing those which ought to be performed from those which ought not. To a similar extent, and no further, it is necessary that the logician should analyze the mental processes with which Logic is concerned. Logic has no interest in carrying the analysis beyond the point at which it becomes apparent whether the operations have in any individual case been rightly or wrongly performed: in the same manner as the science of music teaches us to discriminate between musical notes, and to know the combinations of which they are susceptible, but not what number of vibrations in a second correspond to each; which, though useful to be known, is useful for totally different purposes. The extension of Logic as a Science is determined by its necessities as an Art: whatever it does not need for its practical ends, it leaves to the larger science which may be said to correspond, not to any particular art, but to art in general; the science which deals with the constitution of the human faculties; and to which, in the part of our mental nature which concerns Logic, as well as in all other parts, it belongs to decide what are ultimate facts, and what are resolvable into other facts. And I believe it will be found that most of the conclusions arrived at in this work have no necessary connection with any particular views respecting the ulterior analysis. Logic is common ground on which the partisans of Hartley and of Reid, of Locke and of Kant, may meet and join hands. Particular and detached opinions of all these thinkers will no doubt occasionally be controverted, since all of them were logicians as well as metaphysicians; but the field on which their principal battles have been fought, lies beyond the boundaries of our science.

It can not, indeed, be pretended that logical principles can be altogether irrelevant to those more abstruse discussions; nor is it possible but that the view we are led to take of the problem which logic proposes, must have a tendency favorable to the adoption of some one opinion, on these controverted subjects, rather than another. For metaphysics, in endeavoring to solve its own peculiar problem, must employ means, the

validity of which falls under the cognizance of logic. It proceeds, no doubt, as far as possible, merely by a closer and more attentive interrogation of our consciousness, or more properly speaking, of our memory; and so far is not amenable to logic. But wherever this method is insufficient to attain the end of its inquiries, it must proceed, like other sciences, by means of evidence. Now, the moment this science begins to draw inferences from evidence, logic becomes the sovereign judge whether its inferences are well grounded, or what other inferences would be so.

This, however, constitutes no nearer or other relation between logic and metaphysics, than that which exists between logic and every other science. And I can conscientiously affirm that no one proposition laid down in this work has been adopted for the sake of establishing, or with any reference to its fitness for being employed in establishing, preconceived opinions in any department of knowledge or of inquiry on which the speculative world is still undecided.<sup>6</sup>

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<sup>3</sup> Archbishop Whately.

<sup>4</sup> I use these terms indiscriminately, because, for the purpose in view, there is no need for making any distinction between them. But metaphysicians usually restrict the name Intuition to the direct knowledge we are supposed to have of things external to our minds, and Consciousness to our knowledge of our own mental phenomena.

<sup>5</sup> This important theory has of late been called in question by a writer of deserved reputation, Mr. Samuel Bailey; but I do not conceive that the grounds on which it has been admitted as an established doctrine for a century past, have been at all shaken by that gentleman's objections. I have elsewhere said what appeared to me necessary in reply to his arguments. (*Westminster Review* for October, 1842; reprinted in "Dissertations and Discussions," vol. ii.)

<sup>6</sup> The view taken in the text, of the definition and purpose of Logic, stands in marked opposition to that of the school of philosophy which, in this country, is represented by the writings of Sir William Hamilton and of his numerous pupils. Logic, as this school conceives it, is "the Science of the Formal Laws of Thought;" a definition framed for the express purpose of excluding, as irrelevant to Logic, whatever relates to Belief and Disbelief, or to the pursuit of truth as such, and restricting the science to that very limited portion of its total province, which has reference to the conditions, not of Truth, but of Consistency. What I have thought it useful to say in opposition to this

limitation of the field of Logic, has been said at some length in a separate work, first published in 1865, and entitled "An Examination of Sir William Hamilton's Philosophy, and of the Principal Philosophical Questions discussed in his Writings." For the purposes of the present Treatise, I am content that the justification of the larger extension which I give to the domain of the science, should rest on the sequel of the Treatise itself. Some remarks on the relation which the Logic of Consistency bears to the Logic of Truth, and on the place which that particular part occupies in the whole to which it belongs, will be found in the present volume (Book II., chap. iii., § 9).





# BOOK I.

## OF NAMES AND PROPOSITIONS.

“La scolastique, qui produisit dans la logique, comme dans la morale, et dans une partie de la métaphysique, une subtilité, une précision d’idées, dont l’habitude inconnue aux anciens, a contribué plus qu’on ne croit au progrès de la bonne philosophie.” — CONDORCET, *Vie de Turgot*.

“To the schoolmen the vulgar languages are principally indebted for what precision and analytic subtlety they possess.” — SIR W. HAMILTON, *Discussions in Philosophy*.

# CHAPTER I.

## OF THE NECESSITY OF COMMENCING WITH AN ANALYSIS OF LANGUAGE.

§ 1. It is so much the established practice of writers on logic to commence their treatises by a few general observations (in most cases, it is true, rather meagre) on Terms and their varieties, that it will, perhaps, scarcely be required from me, in merely following the common usage, to be as particular in assigning my reasons, as it is usually expected that those should be who deviate from it.

The practice, indeed, is recommended by considerations far too obvious to require a formal justification. Logic is a portion of the Art of Thinking: Language is evidently, and by the admission of all philosophers, one of the principal instruments or helps of thought; and any imperfection in the instrument, or in the mode of employing it, is confessedly liable, still more than in almost any other art, to confuse and impede the process, and destroy all ground of confidence in the result. For a mind not previously versed in the meaning and right use of the various kinds of words, to attempt the study of methods of philosophizing, would be as if some one should attempt to become an astronomical observer, having never learned to adjust the focal distance of his optical instruments so as to see distinctly.

Since Reasoning, or Inference, the principal subject of logic, is an operation which usually takes place by means of words, and in complicated cases can take place in no other way; those who have not a thorough insight into the signification and purposes of words, will be under chances, amounting almost to certainty, of reasoning or inferring incorrectly. And logicians have generally felt that unless, in the very first stage, they removed this source of error; unless they taught their pupil to put away the glasses which distort the object, and to use those which are adapted to his purpose in such a manner as to assist, not perplex, his vision; he would not be in a condition to practice the remaining part of their discipline with any prospect of advantage. Therefore it is that an

inquiry into language, so far as is needful to guard against the errors to which it gives rise, has at all times been deemed a necessary preliminary to the study of logic.

But there is another reason, of a still more fundamental nature, why the import of words should be the earliest subject of the logician's consideration: because without it he can not examine into the import of Propositions. Now this is a subject which stands on the very threshold of the science of logic.

The object of logic, as defined in the Introductory Chapter, is to ascertain how we come by that portion of our knowledge (much the greatest portion) which is not intuitive: and by what criterion we can, in matters not self-evident, distinguish between things proved and things not proved, between what is worthy and what is unworthy of belief. Of the various questions which present themselves to our inquiring faculties, some receive an answer from direct consciousness, others, if resolved at all, can only be resolved by means of evidence. Logic is concerned with these last. But before inquiring into the mode of resolving questions, it is necessary to inquire what are those which offer themselves; what questions are conceivable; what inquiries are there, to which mankind have either obtained, or been able to imagine it possible that they should obtain, an answer. This point is best ascertained by a survey and analysis of Propositions.

§ 2. The answer to every question which it is possible to frame, must be contained in a Proposition, or Assertion. Whatever can be an object of belief, or even of disbelief, must, when put into words, assume the form of a proposition. All truth and all error lie in propositions. What, by a convenient misapplication of an abstract term, we call a Truth, means simply a True Proposition; and errors are false propositions. To know the import of all possible propositions would be to know all questions which can be raised, all matters which are susceptible of being either believed or disbelieved. How many kinds of inquiries can be propounded; how many kinds of judgments can be made; and how many kinds of propositions it is possible to frame with a meaning, are but different forms of one and the same question. Since, then, the objects of all Belief and of all Inquiry express themselves in propositions, a sufficient scrutiny of Propositions

and of their varieties will apprise us what questions mankind have actually asked of themselves, and what, in the nature of answers to those questions, they have actually thought they had grounds to believe.

Now the first glance at a proposition shows that it is formed by putting together two names. A proposition, according to the common simple definition, which is sufficient for our purpose is, *discourse, in which something is affirmed or denied of something*. Thus, in the proposition, Gold is yellow, the quality yellow is affirmed of the substance *gold*. In the proposition, Franklin was not born in England, the fact expressed by the words *born in England* is denied of the man Franklin.

Every proposition consists of three parts: the Subject, the Predicate, and the Copula. The predicate is the name denoting that which is affirmed or denied. The subject is the name denoting the person or thing which something is affirmed or denied of. The copula is the sign denoting that there is an affirmation or denial, and thereby enabling the hearer or reader to distinguish a proposition from any other kind of discourse. Thus, in the proposition, The earth is round, the Predicate is the word *round*, which denotes the quality affirmed, or (as the phrase is) predicated: *the earth*, words denoting the object which that quality is affirmed of, compose the Subject; the word *is*, which serves as the connecting mark between the subject and predicate, to show that one of them is affirmed of the other, is called the Copula.

Dismissing, for the present, the copula, of which more will be said hereafter, every proposition, then, consists of at least two names — brings together two names, in a particular manner. This is already a first step toward what we are in quest of. It appears from this, that for an act of belief, *one* object is not sufficient; the simplest act of belief supposes, and has something to do with, *two* objects — two names, to say the least; and (since the names must be names of something) two *namable things*. A large class of thinkers would cut the matter short by saying, two *ideas*. They would say, that the subject and predicate are both of them names of ideas; the idea of gold, for instance, and the idea of yellow; and that what takes place (or part of what takes place) in the act of belief consists in bringing (as it is often expressed) one of these ideas under the other. But this we are not yet in a condition to say: whether such be the correct mode

of describing the phenomenon, is an after consideration. The result with which for the present we must be contented, is, that in every act of belief *two* objects are in some manner taken cognizance of; that there can be no belief claimed, or question propounded, which does not embrace two distinct (either material or intellectual) subjects of thought; each of them capable, or not, of being conceived by itself, but incapable of being believed by itself.

I may say, for instance, “the sun.” The word has a meaning, and suggests that meaning to the mind of any one who is listening to me. But suppose I ask him, Whether it is true: whether he believes it? He can give no answer. There is as yet nothing to believe, or to disbelieve. Now, however, let me make, of all possible assertions respecting the sun, the one which involves the least of reference to any object besides itself; let me say, “the sun exists.” Here, at once, is something which a person can say he believes. But here, instead of only one, we find two distinct objects of conception: the sun is one object; existence is another. Let it not be said that this second conception, existence, is involved in the first; for the sun may be conceived as no longer existing. “The sun” does not convey all the meaning that is conveyed by “the sun exists:” “my father” does not include all the meaning of “my father exists,” for he may be dead; “a round square” does not include the meaning of “a round square exists,” for it does not and can not exist. When I say “the sun,” “my father,” or a “round square,” I do not call upon the hearer for any belief or disbelief, nor can either the one or the other be afforded me; but if I say, “the sun exists,” “my father exists,” or “a round square exists,” I call for belief; and should, in the first of the three instances, meet with it; in the second, with belief or disbelief, as the case might be; in the third, with disbelief.

§ 3. This first step in the analysis of the object of belief, which, though so obvious, will be found to be not unimportant, is the only one which we shall find it practicable to make without a preliminary survey of language. If we attempt to proceed further in the same path, that is, to analyze any further the import of Propositions; we find forced upon us, as a subject of previous consideration, the import of Names. For every proposition consists of two names; and every proposition affirms or denies one of these names, of the other. Now what we do, what passes in our mind, when

we affirm or deny two names of one another, must depend on what they are names of; since it is with reference to that, and not to the mere names themselves, that we make the affirmation or denial. Here, therefore, we find a new reason why the signification of names, and the relation generally between names and the things signified by them, must occupy the preliminary stage of the inquiry we are engaged in.

It may be objected that the meaning of names can guide us at most only to the opinions, possibly the foolish and groundless opinions, which mankind have formed concerning things, and that as the object of philosophy is truth, not opinion, the philosopher should dismiss words and look into things themselves, to ascertain what questions can be asked and answered in regard to them. This advice (which no one has it in his power to follow) is in reality an exhortation to discard the whole fruits of the labors of his predecessors, and conduct himself as if he were the first person who had ever turned an inquiring eye upon nature. What does any one's personal knowledge of Things amount to, after subtracting all which he has acquired by means of the words of other people? Even after he has learned as much as people usually do learn from others, will the notions of things contained in his individual mind afford as sufficient a basis for a *catalogue raisonné* as the notions which are in the minds of all mankind?

In any enumeration and classification of Things, which does not set out from their names, no varieties of things will of course be comprehended but those recognized by the particular inquirer; and it will still remain to be established, by a subsequent examination of names, that the enumeration has omitted nothing which ought to have been included. But if we begin with names, and use them as our clue to the things, we bring at once before us all the distinctions which have been recognized, not by a single inquirer, but by all inquirers taken together. It doubtless may, and I believe it will, be found, that mankind have multiplied the varieties unnecessarily, and have imagined distinctions among things, where there were only distinctions in the manner of naming them. But we are not entitled to assume this in the commencement. We must begin by recognizing the distinctions made by ordinary language. If some of these appear, on a close examination, not to be fundamental, the enumeration of the different kinds of realities may be abridged accordingly. But to impose

upon the facts in the first instance the yoke of a theory, while the grounds of the theory are reserved for discussion in a subsequent stage, is not a course which a logician can reasonably adopt.



# CHAPTER II.

## OF NAMES.

§ 1. “A name,” says Hobbes,<sup>7</sup> “is a word taken at pleasure to serve for a mark which may raise in our mind a thought like to some thought we had before, and which being pronounced to others, may be to them a sign of what thought the speaker had<sup>8</sup> before in his mind.” This simple definition of a name, as a word (or set of words) serving the double purpose of a mark to recall to ourselves the likeness of a former thought, and a sign to make it known to others, appears unexceptionable. Names, indeed, do much more than this; but whatever else they do, grows out of, and is the result of this: as will appear in its proper place.

Are names more properly said to be the names of things, or of our ideas of things? The first is the expression in common use; the last is that of some metaphysicians, who conceived that in adopting it they were introducing a highly important distinction. The eminent thinker, just quoted, seems to countenance the latter opinion. “But seeing,” he continues, “names ordered in speech (as is defined) are signs of our conceptions, it is manifest they are not signs of the things themselves; for that the sound of this word *stone* should be the sign of a stone, can not be understood in any sense but this, that he that hears it collects that he that pronounces it thinks of a stone.”

If it be merely meant that the conception alone, and not the thing itself, is recalled by the name, or imparted to the hearer, this of course can not be denied. Nevertheless, there seems good reason for adhering to the common usage, and calling (as indeed Hobbes himself does in other places) the word *sun* the name of the sun, and not the name of our idea of the sun. For names are not intended only to make the hearer conceive what we conceive, but also to inform him what we believe. Now, when I use a name for the purpose of expressing a belief, it is a belief concerning the thing itself, not concerning my idea of it. When I say, “the sun is the cause of day,” I do not mean that my idea of the sun causes or excites in me the idea of day; or in other words, that thinking of the sun makes me



think of day. I mean, that a certain physical fact, which is called the sun's presence (and which, in the ultimate analysis, resolves itself into sensations, not ideas) causes another physical fact, which is called day. It seems proper to consider a word as the *name* of that which we intend to be understood by it when we use it; of that which any fact that we assert of it is to be understood of; that, in short, concerning which, when we employ the word, we intend to give information. Names, therefore, shall always be spoken of in this work as the names of things themselves, and not merely of our ideas of things.

But the question now arises, of what things? and to answer this it is necessary to take into consideration the different kinds of names.

§ 2. It is usual, before examining the various classes into which names are commonly divided, to begin by distinguishing from names of every description, those words which are not names, but only parts of names. Among such are reckoned particles, as *of, to, truly, often*; the inflected cases of nouns substantive, as *me, him, John's*; and even adjectives, as *large, heavy*. These words do not express things of which any thing can be affirmed or denied. We can not say, Heavy fell, or A heavy fell; Truly, or A truly, was asserted; Of, or An of, was in the room. Unless, indeed, we are speaking of the mere words themselves, as when we say, Truly is an English word, or, Heavy is an adjective. In that case they are complete names — viz., names of those particular sounds, or of those particular collections of written characters. This employment of a word to denote the mere letters and syllables of which it is composed, was termed by the schoolmen the *suppositio materialis* of the word. In any other sense we can not introduce one of these words into the subject of a proposition, unless in combination with other words; as, A heavy *body* fell, A truly *important fact* was asserted, A *member of parliament* was in the room.

An adjective, however, is capable of standing by itself as the predicate of a proposition; as when we say, Snow is white; and occasionally even as the subject, for we may say, White is an agreeable color. The adjective is often said to be so used by a grammatical ellipsis: Snow is white, instead of Snow is a white object; White is an agreeable color, instead of, A white color, or, The color white, is agreeable. The Greeks and Romans were allowed, by the rules of their language, to employ this ellipsis universally

in the subject as well as in the predicate of a proposition. In English this can not, generally speaking, be done. We may say, The earth is round; but we can not say, Round is easily moved; we must say, A round object. This distinction, however, is rather grammatical than logical. Since there is no difference of meaning between *round*, and *a round object*, it is only custom which prescribes that on any given occasion one shall be used, and not the other. We shall, therefore, without scruple, speak of adjectives as names, whether in their own right, or as representative of the more circuitous forms of expression above exemplified. The other classes of subsidiary words have no title whatever to be considered as names. An adverb, or an accusative case, can not under any circumstances (except when their mere letters and syllables are spoken of) figure as one of the terms of a proposition.

Words which are not capable of being used as names, but only as parts of names, were called by some of the schoolmen Syncategorematic terms: from  $\sigma\upsilon\grave{\nu}$ , with, and  $\kappa\alpha\tau\eta\gamma\omicron\rho\acute{\epsilon}\omega$ , to predicate, because it was only *with* some other word that they could be predicated. A word which could be used either as the subject or predicate of a proposition without being accompanied by any other word, was termed by the same authorities a Categorematic term. A combination of one or more Categorematic, and one or more Syncategorematic words, as A heavy body, or A court of justice, they sometimes called a *mixed* term; but this seems a needless multiplication of technical expressions. A mixed term is, in the only useful sense of the word, Categorematic. It belongs to the class of what have been called many-worded names.

For, as one word is frequently not a name, but only part of a name, so a number of words often compose one single name, and no more. These words, "The place which the wisdom or policy of antiquity had destined for the residence of the Abyssinian princes," form in the estimation of the logician only one name; one Categorematic term. A mode of determining whether any set of words makes only one name, or more than one, is by predicating something of it, and observing whether, by this predication, we make only one assertion or several. Thus, when we say, John Nokes, who was the mayor of the town, died yesterday — by this predication we make but one assertion; whence it appears that "John Nokes, who was the mayor

of the town,” is no more than one name. It is true that in this proposition, besides the assertion that John Nokes died yesterday, there is included another assertion, namely, that John Nokes was mayor of the town. But this last assertion was already made: we did not make it by adding the predicate, “died yesterday.” Suppose, however, that the words had been, John Nokes *and* the mayor of the town, they would have formed two names instead of one. For when we say, John Nokes and the mayor of the town died yesterday, we make two assertions: one, that John Nokes died yesterday; the other, that the mayor of the town died yesterday.

It being needless to illustrate at any greater length the subject of many-worded names, we proceed to the distinctions which have been established among names, not according to the words they are composed of, but according to their signification.

§ 3. All names are names of something, real or imaginary; but all things have not names appropriated to them individually. For some individual objects we require, and consequently have, separate distinguishing names; there is a name for every person, and for every remarkable place. Other objects, of which we have not occasion to speak so frequently, we do not designate by a name of their own; but when the necessity arises for naming them, we do so by putting together several words, each of which, by itself, might be and is used for an indefinite number of other objects; as when I say, *this stone*: “this” and “stone” being, each of them, names that may be used of many other objects besides the particular one meant, though the only object of which they can both be used at the given moment, consistently with their signification, may be the one of which I wish to speak.

Were this the sole purpose for which names, that are common to more things than one, could be employed; if they only served, by mutually limiting each other, to afford a designation for such individual objects as have no names of their own: they could only be ranked among contrivances for economizing the use of language. But it is evident that this is not their sole function. It is by their means that we are enabled to assert *general* propositions; to affirm or deny any predicate of an indefinite number of things at once. The distinction, therefore, between *general*

names, and *individual* or *singular* names, is fundamental; and may be considered as the first grand division of names.

A general name is familiarly defined, a name which is capable of being truly affirmed, in the same sense, of each of an indefinite number of things. An individual or singular name is a name which is only capable of being truly affirmed, in the same sense, of one thing.

Thus, *man* is capable of being truly affirmed of John, George, Mary, and other persons without assignable limit; and it is affirmed of all of them in the same sense; for the word *man* expresses certain qualities, and when we predicate it of those persons, we assert that they all possess those qualities. But *John* is only capable of being truly affirmed of one single person, at least in the same sense. For, though there are many persons who bear that name, it is not conferred upon them to indicate any qualities, or any thing which belongs to them in common; and can not be said to be affirmed of them in any *sense* at all, consequently not in the same sense. "The king who succeeded William the Conqueror," is also an individual name. For, that there can not be more than one person of whom it can be truly affirmed, is implied in the meaning of the words. Even "*the* king," when the occasion or the context defines the individual of whom it is to be understood, may justly be regarded as an individual name.

It is not unusual, by way of explaining what is meant by a general name, to say that it is the name of a *class*. But this, though a convenient mode of expression for some purposes, is objectionable as a definition, since it explains the clearer of two things by the more obscure. It would be more logical to reverse the proposition, and turn it into a definition of the word *class*: "A class is the indefinite multitude of individuals denoted by a general name."

It is necessary to distinguish *general* from *collective* names. A general name is one which can be predicated of *each* individual of a multitude; a collective name can not be predicated of each separately, but only of all taken together. "The 76th regiment of foot in the British army," which is a collective name, is not a general but an individual name; for though it can be predicated of a multitude of individual soldiers taken jointly, it can not be predicated of them severally. We may say, Jones is a soldier, and Thompson is a soldier, and Smith is a soldier, but we can not say, Jones is

the 76th regiment, and Thompson is the 76th regiment, and Smith is the 76th regiment. We can only say, Jones, and Thompson, and Smith, and Brown, and so forth (enumerating all the soldiers), are the 76th regiment.

“The 76th regiment” is a collective name, but not a general one: “a regiment” is both a collective and a general name. General with respect to all individual regiments, of each of which separately it can be affirmed: collective with respect to the individual soldiers of whom any regiment is composed.

§ 4. The second general division of names is into *concrete* and *abstract*. A concrete name is a name which stands for a thing; an abstract name is a name which stands for an attribute of a thing. Thus *John, the sea, this table*, are names of things. *White*, also, is a name of a thing, or rather of things. Whiteness, again, is the name of a quality or attribute of those things. *Man* is a name of many things; *humanity* is a name of an attribute of those things. *Old* is a name of things: *old age* is a name of one of their attributes.

I have used the words *concrete* and *abstract* in the sense annexed to them by the schoolmen, who, notwithstanding the imperfections of their philosophy, were unrivaled in the construction of technical language, and whose definitions, in logic at least, though they never went more than a little way into the subject, have seldom, I think, been altered but to be spoiled. A practice, however, has grown up in more modern times, which, if not introduced by Locke, has gained currency chiefly from his example, of applying the expression “abstract name” to all names which are the result of abstraction or generalization, and consequently to all general names, instead of confining it to the names of attributes. The metaphysicians of the Condillac school — whose admiration of Locke, passing over the profoundest speculations of that truly original genius, usually fastens with peculiar eagerness upon his weakest points — have gone on imitating him in this abuse of language, until there is now some difficulty in restoring the word to its original signification. A more wanton alteration in the meaning of a word is rarely to be met with; for the expression *general name*, the exact equivalent of which exists in all languages I am acquainted with, was already available for the purpose to which *abstract* has been misappropriated, while the misappropriation

leaves that important class of words, the names of attributes, without any compact distinctive appellation. The old acceptance, however, has not gone so completely out of use as to deprive those who still adhere to it of all chance of being understood. By *abstract*, then, I shall always, in Logic proper, mean the opposite of *concrete*; by an abstract name, the name of an attribute; by a concrete name, the name of an object.

Do abstract names belong to the class of general, or to that of singular names? Some of them are certainly general. I mean those which are names not of one single and definite attribute, but of a class of attributes. Such is the word *color*, which is a name common to whiteness, redness, etc. Such is even the word whiteness, in respect of the different shades of whiteness to which it is applied in common: the word magnitude, in respect of the various degrees of magnitude and the various dimensions of space; the word weight, in respect of the various degrees of weight. Such also is the word *attribute* itself, the common name of all particular attributes. But when only one attribute, neither variable in degree nor in kind, is designated by the name; as visibleness; tangibleness; equality; squareness; milk-whiteness; then the name can hardly be considered general; for though it denotes an attribute of many different objects, the attribute itself is always conceived as one, not many.<sup>9</sup> To avoid needless logomachies, the best course would probably be to consider these names as neither general nor individual, and to place them in a class apart.

It may be objected to our definition of an abstract name, that not only the names which we have called abstract, but adjectives, which we have placed in the concrete class, are names of attributes; that *white*, for example, is as much the name of the color as *whiteness* is. But (as before remarked) a word ought to be considered as the name of that which we intend to be understood by it when we put it to its principal use, that is, when we employ it in predication. When we say snow is white, milk is white, linen is white, we do not mean it to be understood that snow, or linen, or milk, is a color. We mean that they are things having the color. The reverse is the case with the word whiteness; what we affirm to *be* whiteness is not snow, but the color of snow. Whiteness, therefore, is the name of the color exclusively: white is a name of all things whatever having the color; a name, not of the quality whiteness, but of every white

object. It is true, this name was given to all those various objects on account of the quality; and we may therefore say, without impropriety, that the quality forms part of its signification; but a name can only be said to stand for, or to be a name of, the things of which it can be predicated. We shall presently see that all names which can be said to have any signification, all names by applying which to an individual we give any information respecting that individual, may be said to *imply* an attribute of some sort; but they are not names of the attribute; it has its own proper abstract name.

§ 5. This leads to the consideration of a third great division of names, into *connotative* and *non-connotative*, the latter sometimes, but improperly, called *absolute*. This is one of the most important distinctions which we shall have occasion to point out, and one of those which go deepest into the nature of language.

A non-connotative term is one which signifies a subject only, or an attribute only. A connotative term is one which denotes a subject, and implies an attribute. By a subject is here meant any thing which possesses attributes. Thus John, or London, or England, are names which signify a subject only. Whiteness, length, virtue, signify an attribute only. None of these names, therefore, are connotative. But *white*, *long*, *virtuous*, are connotative. The word white, denotes all white things, as snow, paper, the foam of the sea, etc., and implies, or in the language of the schoolmen, *connotes*,<sup>10</sup> the attribute *whiteness*. The word white is not predicated of the attribute, but of the subjects, snow, etc.; but when we predicate it of them, we convey the meaning that the attribute whiteness belongs to them. The same may be said of the other words above cited. Virtuous, for example, is the name of a class, which includes Socrates, Howard, the Man of Ross, and an undefinable number of other individuals, past, present, and to come. These individuals, collectively and severally, can alone be said with propriety to be denoted by the word: of them alone can it properly be said to be a name. But it is a name applied to all of them in consequence of an attribute which they are supposed to possess in common, the attribute which has received the name of virtue. It is applied to all beings that are considered to possess this attribute; and to none which are not so considered.

All concrete general names are connotative. The word *man*, for example, denotes Peter, Jane, John, and an indefinite number of other individuals, of whom, taken as a class, it is the name. But it is applied to them, because they possess, and to signify that they possess, certain attributes. These seem to be, corporeity, animal life, rationality, and a certain external form, which for distinction we call the human. Every existing thing, which possessed all these attributes, would be called a man; and any thing which possessed none of them, or only one, or two, or even three of them without the fourth, would not be so called. For example, if in the interior of Africa there were to be discovered a race of animals possessing reason equal to that of human beings, but with the form of an elephant, they would not be called men. Swift's Houyhnhnms would not be so called. Or if such newly-discovered beings possessed the form of man without any vestige of reason, it is probable that some other name than that of man would be found for them. How it happens that there can be any doubt about the matter, will appear hereafter. The word *man*, therefore, signifies all these attributes, and all subjects which possess these attributes. But it can be predicated only of the subjects. What we call men, are the subjects, the individual Stiles and Nokes; not the qualities by which their humanity is constituted. The name, therefore, is said to signify the subjects *directly*, the attributes *indirectly*; it *denotes* the subjects, and implies, or involves, or indicates, or as we shall say henceforth *connotes*, the attributes. It is a connotative name.

Connotative names have hence been also called *denominative*, because the subject which they denote is denominated by, or receives a name from the attribute which they connote. Snow, and other objects, receive the name white, because they possess the attribute which is called whiteness; Peter, James, and others receive the name man because they possess the attributes which are considered to constitute humanity. The attribute, or attributes, may therefore be said to denominate those objects, or to give them a common name.<sup>11</sup>

It has been seen that all concrete general names are connotative. Even abstract names, though the names only of attributes, may in some instances be justly considered as connotative; for attributes themselves may have attributes ascribed to them; and a word which denotes attributes



may connote an attribute of those attributes. Of this description, for example, is such a word as *fault*; equivalent to *bad* or *hurtful quality*. This word is a name common to many attributes, and connotes hurtfulness, an attribute of those various attributes. When, for example, we say that slowness, in a horse, is a fault, we do not mean that the slow movement, the actual change of pace of the slow horse, is a bad thing, but that the property or peculiarity of the horse, from which it derives that name, the quality of being a slow mover, is an undesirable peculiarity.

In regard to those concrete names which are not general but individual, a distinction must be made.

Proper names are not connotative: they denote the individuals who are called by them; but they do not indicate or imply any attributes as belonging to those individuals. When we name a child by the name Paul, or a dog by the name Cæsar, these names are simply marks used to enable those individuals to be made subjects of discourse. It may be said, indeed, that we must have had some reason for giving them those names rather than any others; and this is true; but the name, once given, is independent of the reason. A man may have been named John, because that was the name of his father; a town may have been named Dartmouth, because it is situated at the mouth of the Dart. But it is no part of the signification of the word John, that the father of the person so called bore the same name; nor even of the word Dartmouth, to be situated at the mouth of the Dart. If sand should choke up the mouth of the river, or an earthquake change its course, and remove it to a distance from the town, the name of the town would not necessarily be changed. That fact, therefore, can form no part of the signification of the word; for otherwise, when the fact confessedly ceased to be true, no one would any longer think of applying the name. Proper names are attached to the objects themselves, and are not dependent on the continuance of any attribute of the object.

But there is another kind of names, which, although they are individual names — that is, predicable only of one object — are really connotative. For, though we may give to an individual a name utterly unmeaning, which we call a proper name — a word which answers the purpose of showing what thing it is we are talking about, but not of telling any thing about it; yet a name peculiar to an individual is not necessarily of

this description. It may be significant of some attribute, or some union of attributes, which, being possessed by no object but one, determines the name exclusively to that individual. "The sun" is a name of this description; "God," when used by a monotheist, is another. These, however, are scarcely examples of what we are now attempting to illustrate, being, in strictness of language, general, not individual names: for, however they may be *in fact* predicable only of one object, there is nothing in the meaning of the words themselves which implies this: and, accordingly, when we are imagining and not affirming, we may speak of many suns; and the majority of mankind have believed, and still believe, that there are many gods. But it is easy to produce words which are real instances of connotative individual names. It may be part of the meaning of the connotative name itself, that there can exist but one individual possessing the attribute which it connotes: as, for instance, "the *only* son of John Stiles;" "the *first* emperor of Rome." Or the attribute connoted may be a connection with some determinate event, and the connection may be of such a kind as only one individual could have; or may at least be such as only one individual actually had; and this may be implied in the form of the expression. "The father of Socrates" is an example of the one kind (since Socrates could not have had two fathers); "the author of the Iliad," "the murderer of Henri Quatre," of the second. For, though it is conceivable that more persons than one might have participated in the authorship of the Iliad, or in the murder of Henri Quatre, the employment of the article *the* implies that, in fact, this was not the case. What is here done by the word *the*, is done in other cases by the context: thus, "Cæsar's army" is an individual name, if it appears from the context that the army meant is that which Cæsar commanded in a particular battle. The still more general expressions, "the Roman army," or "the Christian army," may be individualized in a similar manner. Another case of frequent occurrence has already been noticed; it is the following: The name, being a many-worded one, may consist, in the first place, of a *general* name, capable therefore in itself of being affirmed of more things than one, but which is, in the second place, so limited by other words joined with it, that the entire expression can only be predicated of one object, consistently with the meaning of the general term. This is exemplified in such an instance as the following: "the present prime minister of England." Prime

Minister of England is a general name; the attributes which it connotes may be possessed by an indefinite number of persons: in succession however, not simultaneously; since the meaning of the name itself imports (among other things) that there can be only one such person at a time. This being the case, and the application of the name being afterward limited by the article and the word *present*, to such individuals as possess the attributes at one indivisible point of time, it becomes applicable only to one individual. And as this appears from the meaning of the name, without any extrinsic proof, it is strictly an individual name.

From the preceding observations it will easily be collected, that whenever the names given to objects convey any information — that is, whenever they have properly any meaning — the meaning resides not in what they *denote*, but in what they *connote*. The only names of objects which connote nothing are *proper* names; and these have, strictly speaking, no signification.<sup>12</sup>.....

If, like the robber in the Arabian Nights, we make a mark with chalk on a house to enable us to know it again, the mark has a purpose, but it has not properly any meaning. The chalk does not declare any thing about the house; it does not mean, This is such a person's house, or This is a house which contains booty. The object of making the mark is merely distinction. I say to myself, All these houses are so nearly alike that if I lose sight of them I shall not again be able to distinguish that which I am now looking at, from any of the others; I must therefore contrive to make the appearance of this one house unlike that of the others, that I may hereafter know when I see the mark — not indeed any attribute of the house — but simply that it is the same house which I am now looking at. Morgiana chalked all the other houses in a similar manner, and defeated the scheme: how? simply by obliterating the difference of appearance between that house and the others. The chalk was still there, but it no longer served the purpose of a distinctive mark.

When we impose a proper name, we perform an operation in some degree analogous to what the robber intended in chalking the house. We put a mark, not indeed upon the object itself, but, so to speak, upon the idea of the object. A proper name is but an unmeaning mark which we connect in our minds with the idea of the object, in order that whenever

the mark meets our eyes or occurs to our thoughts, we may think of that individual object. Not being attached to the thing itself, it does not, like the chalk, enable us to distinguish the object when we see it; but it enables us to distinguish it when it is spoken of, either in the records of our own experience, or in the discourse of others; to know that what we find asserted in any proposition of which it is the subject, is asserted of the individual thing with which we were previously acquainted.

When we predicate of any thing its proper name; when we say, pointing to a man, this is Brown or Smith, or pointing to a city, that it is York, we do not, merely by so doing, convey to the reader any information about them, except that those are their names. By enabling him to identify the individuals, we may connect them with information previously possessed by him; by saying, This is York, we may tell him that it contains the Minster. But this is in virtue of what he has previously heard concerning York; not by any thing implied in the name. It is otherwise when objects are spoken of by connotative names. When we say, The town is built of marble, we give the hearer what may be entirely new information, and this merely by the signification of the many-worded connotative name, "built of marble." Such names are not signs of the mere objects, invented because we have occasion to think and speak of those objects individually; but signs which accompany an attribute; a kind of livery in which the attribute clothes all objects which are recognized as possessing it. They are not mere marks, but more, that is to say, significant marks; and the connotation is what constitutes their significance.

As a proper name is said to be the name of the one individual which it is predicated of, so (as well from the importance of adhering to analogy, as for the other reasons formerly assigned) a connotative name ought to be considered a name of all the various individuals which it is predicable of, or in other words *denotes*, and not of what it connotes. But by learning what things it is a name of, we do not learn the meaning of the name: for to the same thing we may, with equal propriety, apply many names, not equivalent in meaning. Thus, I call a certain man by the name Sophroniscus: I call him by another name, The father of Socrates. Both these are names of the same individual, but their meaning is altogether different; they are applied to that individual for two different purposes: the

one, merely to distinguish him from other persons who are spoken of; the other to indicate a fact relating to him, the fact that Socrates was his son. I further apply to him these other expressions: a man, a Greek, an Athenian, a sculptor, an old man, an honest man, a brave man. All these are, or may be, names of Sophroniscus, not indeed of him alone, but of him and each of an indefinite number of other human beings. Each of these names is applied to Sophroniscus for a different reason, and by each whoever understands its meaning is apprised of a distinct fact or number of facts concerning him; but those who knew nothing about the names except that they were applicable to Sophroniscus, would be altogether ignorant of their meaning. It is even possible that I might know every single individual of whom a given name could be with truth affirmed, and yet could not be said to know the meaning of the name. A child knows who are its brothers and sisters, long before it has any definite conception of the nature of the facts which are involved in the signification of those words.

In some cases it is not easy to decide precisely how much a particular word does or does not connote; that is, we do not exactly know (the case not having arisen) what degree of difference in the object would occasion a difference in the name. Thus, it is clear that the word man, besides animal life and rationality, connotes also a certain external form; but it would be impossible to say precisely what form; that is, to decide how great a deviation from the form ordinarily found in the beings whom we are accustomed to call men, would suffice in a newly-discovered race to make us refuse them the name of man. Rationality, also, being a quality which admits of degrees, it has never been settled what is the lowest degree of that quality which would entitle any creature to be considered a human being. In all such cases, the meaning of the general name is so far unsettled and vague; mankind have not come to any positive agreement about the matter. When we come to treat of Classification, we shall have occasion to show under what conditions this vagueness may exist without practical inconvenience; and cases will appear in which the ends of language are better promoted by it than by complete precision; in order that, in natural history for instance, individuals or species of no very marked character may be ranged with those more strongly characterized individuals or species to which, in all their properties taken together, they bear the nearest resemblance.

But this partial uncertainty in the connotation of names can only be free from mischief when guarded by strict precautions. One of the chief sources, indeed, of lax habits of thought, is the custom of using connotative terms without a distinctly ascertained connotation, and with no more precise notion of their meaning than can be loosely collected from observing what objects they are used to denote. It is in this manner that we all acquire, and inevitably so, our first knowledge of our vernacular language. A child learns the meaning of the words *man*, or *white*, by hearing them applied to a variety of individual objects, and finding out, by a process of generalization and analysis which he could not himself describe, what those different objects have in common. In the case of these two words the process is so easy as to require no assistance from culture; the objects called human beings, and the objects called white, differing from all others by qualities of a peculiarly definite and obvious character. But in many other cases, objects bear a general resemblance to one another, which leads to their being familiarly classed together under a common name, while, without more analytic habits than the generality of mankind possess, it is not immediately apparent what are the particular attributes, upon the possession of which in common by them all, their general resemblance depends. When this is the case, people use the name without any recognized connotation, that is, without any precise meaning; they talk, and consequently think, vaguely, and remain contented to attach only the same degree of significance to their own words, which a child three years old attaches to the words brother and sister. The child at least is seldom puzzled by the starting up of new individuals, on whom he is ignorant whether or not to confer the title; because there is usually an authority close at hand competent to solve all doubts. But a similar resource does not exist in the generality of cases; and new objects are continually presenting themselves to men, women, and children, which they are called upon to class *proprio motu*. They, accordingly, do this on no other principle than that of superficial similarity, giving to each new object the name of that familiar object, the idea of which it most readily recalls, or which, on a cursory inspection, it seems to them most to resemble: as an unknown substance found in the ground will be called, according to its texture, earth, sand, or a stone. In this manner, names creep on from subject to subject, until all traces of a common meaning

sometimes disappear, and the word comes to denote a number of things not only independently of any common attribute, but which have actually no attribute in common; or none but what is shared by other things to which the name is capriciously refused.<sup>13</sup> Even scientific writers have aided in this perversion of general language from its purpose; sometimes because, like the vulgar, they knew no better; and sometimes in deference to that aversion to admit new words, which induces mankind, on all subjects not considered technical, to attempt to make the original stock of names serve with but little augmentation to express a constantly increasing number of objects and distinctions, and, consequently, to express them in a manner progressively more and more imperfect.

To what a degree this loose mode of classing and denominating objects has rendered the vocabulary of mental and moral philosophy unfit for the purposes of accurate thinking, is best known to whoever has most meditated on the present condition of those branches of knowledge. Since, however, the introduction of a new technical language as the vehicle of speculations on subjects belonging to the domain of daily discussion, is extremely difficult to effect, and would not be free from inconvenience even if effected, the problem for the philosopher, and one of the most difficult which he has to resolve, is, in retaining the existing phraseology, how best to alleviate its imperfections. This can only be accomplished by giving to every general concrete name which there is frequent occasion to predicate, a definite and fixed connotation; in order that it may be known what attributes, when we call an object by that name, we really mean to predicate of the object. And the question of most nicety is, how to give this fixed connotation to a name, with the least possible change in the objects which the name is habitually employed to denote; with the least possible disarrangement, either by adding or subtraction, of the group of objects which, in however imperfect a manner, it serves to circumscribe and hold together; and with the least vitiation of the truth of any propositions which are commonly received as true.

This desirable purpose, of giving a fixed connotation where it is wanting, is the end aimed at whenever any one attempts to give a definition of a general name already in use; every definition of a connotative name being an attempt either merely to declare, or to declare

and analyze, the connotation of the name. And the fact, that no questions which have arisen in the moral sciences have been subjects of keener controversy than the definitions of almost all the leading expressions, is a proof how great an extent the evil to which we have adverted has attained.

Names with indeterminate connotation are not to be confounded with names which have more than one connotation, that is to say, ambiguous words. A word may have several meanings, but all of them fixed and recognized ones; as the word *post*, for example, or the word *box*, the various senses of which it would be endless to enumerate. And the paucity of existing names, in comparison with the demand for them, may often render it advisable and even necessary to retain a name in this multiplicity of acceptations, distinguishing these so clearly as to prevent their being confounded with one another. Such a word may be considered as two or more names, accidentally written and spoken alike.<sup>14</sup>

§ 6. The fourth principal division of names, is into *positive* and *negative*. Positive, as *man*, *tree*, *good*; negative, as *not-man*, *not-tree*, *not-good*. To every positive concrete name, a corresponding negative one might be framed. After giving a name to any one thing, or to any plurality of things, we might create a second name which should be a name of all things whatever, except that particular thing or things. These negative names are employed whenever we have occasion to speak collectively of all things other than some thing or class of things. When the positive name is connotative, the corresponding negative name is connotative likewise; but in a peculiar way, connoting not the presence but the absence of an attribute. Thus, *not-white* denotes all things whatever except white things; and connotes the attribute of not possessing whiteness. For the non-possession of any given attribute is also an attribute, and may receive a name as such; and thus negative concrete names may obtain negative abstract names to correspond to them.<sup>15</sup>

Names which are positive in form are often negative in reality, and others are really positive though their form is negative. The word *inconvenient*, for example, does not express the mere absence of convenience; it expresses a positive attribute — that of being the cause of discomfort or annoyance. So the word *unpleasant*, notwithstanding its negative form, does not connote the mere absence of pleasantness, but a



less degree of what is signified by the word *painful*, which, it is hardly necessary to say, is positive. *Idle*, on the other hand, is a word which, though positive in form, expresses nothing but what would be signified either by the phrase *not working*, or by the phrase *not disposed to work*; and *sober*, either by *not drunk* or by *not drunken*.

There is a class of names called *privative*. A privative name is equivalent in its signification to a positive and a negative name taken together; being the name of something which has once had a particular attribute, or for some other reason might have been expected to have it, but which has it not. Such is the word *blind*, which is not equivalent to *not seeing*, or to *not capable of seeing*, for it would not, except by a poetical or rhetorical figure, be applied to stocks and stones. A thing is not usually said to be blind, unless the class to which it is most familiarly referred, or to which it is referred on the particular occasion, be chiefly composed of things which can see, as in the case of a blind man, or a blind horse; or unless it is supposed for any reason that it ought to see; as in saying of a man, that he rushed blindly into an abyss, or of philosophers or the clergy that the greater part of them are blind guides. The names called privative, therefore, connote two things; the absence of certain attributes, and the presence of others, from which the presence also of the former might naturally have been expected.

§ 7. The fifth leading division of names is into *relative* and *absolute*, or let us rather say, *relative* and *non-relative*; for the word absolute is put upon much too hard duty in metaphysics, not to be willingly spared when its services can be dispensed with. It resembles the word *civil* in the language of jurisprudence, which stands for the opposite of criminal, the opposite of ecclesiastical, the opposite of military, the opposite of political — in short, the opposite of any positive word which wants a negative.

Relative names are such as father, son; ruler, subject; like; equal; unlike; unequal; longer, shorter; cause, effect. Their characteristic property is, that they are always given in pairs. Every relative name which is predicated of an object, supposes another object (or objects), of which we may predicate either that same name or another relative name which is said to be the *correlative* of the former. Thus, when we call any person a son, we suppose other persons who must be called parents. When we call

any event a cause, we suppose another event which is an effect. When we say of any distance that it is longer, we suppose another distance which is shorter. When we say of any object that it is like, we mean that it is like some other object, which is also said to be like the first. In this last case both objects receive the same name; the relative term is its own correlative.

It is evident that these words, when concrete, are, like other concrete general names, connotative; they denote a subject, and connote an attribute; and each of them has, or might have, a corresponding abstract name, to denote the attribute connoted by the concrete. Thus the concrete *like* has its abstract *likeness*; the concretes, father and son, have, or might have, the abstracts, paternity, and filiiety, or sonship. The concrete name connotes an attribute, and the abstract name which answers to it denotes that attribute. But of what nature is the attribute? Wherein consists the peculiarity in the connotation of a relative name?

The attribute signified by a relative name, say some, is a relation; and this they give, if not as a sufficient explanation, at least as the only one attainable. If they are asked, What then is a relation? they do not profess to be able to tell. It is generally regarded as something peculiarly recondite and mysterious. I can not, however, perceive in what respect it is more so than any other attribute; indeed, it appears to me to be so in a somewhat less degree. I conceive rather, that it is by examining into the signification of relative names, or, in other words, into the nature of the attribute which they connote, that a clear insight may best be obtained into the nature of all attributes: of all that is meant by an attribute.

It is obvious, in fact, that if we take any two correlative names, *father* and *son* for instance, though the objects denoted by the names are different, they both, in a certain sense, connote the same thing. They can not, indeed, be said to connote the same *attribute*: to be a father, is not the same thing as to be a son. But when we call one man a father, another a son, what we mean to affirm is a set of facts, which are exactly the same in both cases. To predicate of A that he is the father of B, and of B that he is the son of A, is to assert one and the same fact in different words. The two propositions are exactly equivalent: neither of them asserts more or asserts less than the other. The paternity of A and the filiiety of B are not

two facts, but two modes of expressing the same fact. That fact, when analysed, consists of a series of physical events or phenomena, in which both A and B are parties concerned, and from which they both derive names. What those names really connote, is this series of events: that is the meaning, and the whole meaning, which either of them is intended to convey. The series of events may be said to *constitute* the relation; the schoolmen called it the foundation of the relation, *fundamentum relationis*.

In this manner any fact, or series of facts, in which two different objects are implicated, and which is therefore predicable of both of them, may be either considered as constituting an attribute of the one, or an attribute of the other. According as we consider it in the former, or in the latter aspect, it is connoted by the one or the other of the two correlative names. *Father* connotes the fact, regarded as constituting an attribute of A; *son* connotes the same fact, as constituting an attribute of B. It may evidently be regarded with equal propriety in either light. And all that appears necessary to account for the existence of relative names, is, that whenever there is a fact in which two individuals are concerned, an attribute grounded on that fact may be ascribed to either of these individuals.

A name, therefore, is said to be relative, when, over and above the object which it denotes, it implies in its signification the existence of another object, also deriving a denomination from the same fact which is the ground of the first name. Or (to express the same meaning in other words) a name is relative, when, being the name of one thing, its signification can not be explained but by mentioning another. Or we may state it thus — when the name can not be employed in discourse so as to have a meaning, unless the name of some other thing than what it is itself the name of, be either expressed or understood. These definitions are all, at bottom, equivalent, being modes of variously expressing this one distinctive circumstance — that every other attribute of an object might, without any contradiction, be conceived still to exist if no object besides that one had ever existed;<sup>16</sup> but those of its attributes which are expressed by relative names, would on that supposition be swept away.

§ 8. Names have been further distinguished into *univocal* and *æquivocal*: these, however, are not two kinds of names, but two different modes of employing names. A name is univocal, or applied univocally, with respect to all things of which it can be predicated *in the same sense*; it is æquivocal, or applied æquivocally, as respects those things of which it is predicated in different senses. It is scarcely necessary to give instances of a fact so familiar as the double meaning of a word. In reality, as has been already observed, an æquivocal or ambiguous word is not one name, but two names, accidentally coinciding in sound. *File* meaning a steel instrument, and *file* meaning a line of soldiers, have no more title to be considered one word, because written alike, than *grease* and *Greece* have, because they are pronounced alike. They are one sound, appropriated to form two different words.

An intermediate case is that of a name used *analogically* or metaphorically; that is, a name which is predicated of two things, not univocally, or exactly in the same signification, but in significations somewhat similar, and which being derived one from the other, one of them may be considered the primary, and the other a secondary signification. As when we speak of a brilliant light and a brilliant achievement. The word is not applied in the same sense to the light and to the achievement; but having been applied to the light in its original sense, that of brightness to the eye, it is transferred to the achievement in a derivative signification, supposed to be somewhat like the primitive one. The word, however, is just as properly two names instead of one, in this case, as in that of the most perfect ambiguity. And one of the commonest forms of fallacious reasoning arising from ambiguity, is that of arguing from a metaphorical expression as if it were literal; that is, as if a word, when applied metaphorically, were the same name as when taken in its original sense: which will be seen more particularly in its place.

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<sup>7</sup> *Computation or Logic*, chap. ii.

<sup>8</sup> In the original "had, or had not." These last words, as involving a subtlety foreign to our present purpose, I have forborne to quote.

<sup>9</sup> Vide *infra*, note at the end of § 3, book ii., chap. ii.

<sup>10</sup> *Notare*, to mark; *connotare*, to mark *along with*; to mark one thing *with* or *in addition to* another.

<sup>11</sup> Archbishop Whately, who, in the later editions of his *Elements of Logic*, aided in reviving the important distinction treated of in the text, proposes the term "Attributive" as a substitute for "Connotative" (p. 22, 9th edit.). The expression is, in itself, appropriate; but as it has not the advantage of being connected with any verb, of so markedly distinctive a character as "to connote," it is not, I think, fitted to supply the place of the word Connotative in scientific use.

<sup>12</sup> A writer who entitles his book *Philosophy; or, the Science of Truth*, charges me in his very first page (referring at the foot of it to this passage) with asserting that *general* names have properly no signification. And he repeats this statement many times in the course of his volume, with comments, not at all flattering, thereon. It is well to be now and then reminded to how great a length perverse misquotation (for, strange as it appears, I do not believe that the writer is dishonest) can sometimes go. It is a warning to readers when they see an author accused, with volume and page referred to, and the apparent guarantee of inverted commas, of maintaining something more than commonly absurd, not to give implicit credence to the assertion without verifying the reference.

<sup>13</sup> "Take the familiar term Stone. It is applied to mineral and rocky materials, to the kernels of fruit, to the accumulations in the gall-bladder and in the kidney; while it is refused to polished minerals (called gems), to rocks that have the cleavage suited for roofing (slates), and to baked clay (bricks). It occurs in the designation of the magnetic oxide of iron (loadstone), and not in speaking of other metallic ores. Such a term is wholly unfit for accurate reasoning, unless hedged round on every occasion by other phrases; as building stone, precious stone, gall-stone, etc. Moreover, the methods of definition are baffled for want of sufficient community to ground upon. There is no quality uniformly present in the cases where it is applied, and uniformly absent where it is not applied; hence the definer would have to employ largely the license of striking off existing applications, and taking in new ones." — BAIN, *Logic*, ii., 172.

<sup>14</sup> Before quitting the subject of connotative names, it is proper to observe, that the first writer who, in our times, has adopted from the schoolmen the word *to connote*, Mr. James Mill, in his *Analysis of the Phenomena of the Human Mind*, employs it in a signification different from that in which it is here used. He uses the word in a sense co-extensive with its etymology, applying it to every case in which a name, while pointing directly to one thing (which is consequently termed its signification), includes also a tacit reference to some other thing. In the case considered in the text, that of concrete general names, his language and mine are the converse of one another. Considering (very justly) the signification of the name to lie in the

attribute, he speaks of the word as *noting* the attribute, and *connoting* the things possessing the attribute. And he describes abstract names as being properly concrete names with their connotation dropped; whereas, in my view, it is the *denotation* which would be said to be dropped, what was previously connoted becoming the whole signification.

In adopting a phraseology at variance with that which so high an authority, and one which I am less likely than any other person to undervalue, has deliberately sanctioned, I have been influenced by the urgent necessity for a term exclusively appropriated to express the manner in which a concrete general name serves to mark the attributes which are involved in its signification. This necessity can scarcely be felt in its full force by any one who has not found by experience how vain is the attempt to communicate clear ideas on the philosophy of language without such a word. It is hardly an exaggeration to say, that some of the most prevalent of the errors with which logic has been infected, and a large part of the cloudiness and confusion of ideas which have enveloped it, would, in all probability, have been avoided, if a term had been in common use to express exactly what I have signified by the term to connote. And the schoolmen, to whom we are indebted for the greater part of our logical language, gave us this also, and in this very sense. For though some of their general expressions countenance the use of the word in the more extensive and vague acceptance in which it is taken by Mr. Mill, yet when they had to define it specifically as a technical term, and to fix its meaning as such, with that admirable precision which always characterizes their definitions, they clearly explained that nothing was said to be connoted except *forms*, which word may generally, in their writings, be understood as synonymous with *attributes*.

Now, if the word *to connote*, so well suited to the purpose to which they applied it, be diverted from that purpose by being taken to fulfill another, for which it does not seem to me to be at all required; I am unable to find any expression to replace it, but such as are commonly employed in a sense so much more general, that it would be useless attempting to associate them peculiarly with this precise idea. Such are the words, to involve, to imply, etc. By employing these, I should fail of attaining the object for which alone the name is needed, namely, to distinguish this particular kind of involving and implying from all other kinds, and to assure to it the degree of habitual attention which its importance demands.

<sup>15</sup> Professor Bain (*Logic*, i., 56) thinks that negative names are not names of all things whatever except those denoted by the correlative positive name, but only for all things of some particular class: *not-white*, for instance, he deems not to be a name for every thing in nature except white things, but only for every *colored* thing other than white. In this case, however, as in all others, the test of what a name denotes is what it can be predicated of: and we can certainly predicate of a sound, or a smell, that it is not white. The

affirmation and the negation of the same attribute can not but divide the whole field of predication between them.

<sup>16</sup> Or rather, all objects except itself and the percipient mind; for, as we shall see hereafter, to ascribe any attribute to an object, necessarily implies a mind to perceive it.

The simple and clear explanation given in the text, of relation and relative names, a subject so long the opprobrium of metaphysics, was given (as far as I know) for the first time, by Mr. James Mill, in his Analysis of the Phenomena of the Human Mind.



# CHAPTER III.

## OF THE THINGS DENOTED BY NAMES.

§ 1. Looking back now to the commencement of our inquiry, let us attempt to measure how far it has advanced. Logic, we found, is the Theory of Proof. But proof supposes something provable, which must be a Proposition or Assertion; since nothing but a Proposition can be an object of belief, or therefore of proof. A Proposition is, discourse which affirms or denies something of some other thing. This is one step: there must, it seems, be two things concerned in every act of belief. But what are these Things? They can be no other than those signified by the two names, which being joined together by a copula constitute the Proposition. If, therefore, we knew what all names signify, we should know every thing which, in the existing state of human knowledge, is capable either of being made a subject of affirmation or denial, or of being itself affirmed or denied of a subject. We have accordingly, in the preceding chapter, reviewed the various kinds of Names, in order to ascertain what is signified by each of them. And we have now carried this survey far enough to be able to take an account of its results, and to exhibit an enumeration of all kinds of Things which are capable of being made predicates, or of having any thing predicated of them: after which to determine the import of Predication, that is, of Propositions, can be no arduous task.

The necessity of an enumeration of Existences, as the basis of Logic, did not escape the attention of the schoolmen, and of their master Aristotle, the most comprehensive, if not also the most sagacious, of the ancient philosophers. The Categories, or Predicaments — the former a Greek word, the latter its literal translation in the Latin language — were believed to be an enumeration of all things capable of being named; an enumeration by the *summa genera*, *i.e.*, the most extensive classes into which things could be distributed; which, therefore, were so many highest Predicates, one or other of which was supposed capable of being affirmed with truth of every namable thing whatsoever. The following are the



classes into which, according to this school of philosophy, Things in general might be reduced:

Οὐσία, Substantia. Ποσοῶν, Quantitas. Ποιόν, Qualitas. Πρὸς τι, Relatio. Ποιεῖν, Actio. Πάσχειν, Passio. Ποῦ, Ubi. Πότε, Quando. Κεῖσθαι, Situs. Ἐχειν, Habitus.

The imperfections of this classification are too obvious to require, and its merits are not sufficient to reward, a minute examination. It is a mere catalogue of the distinctions rudely marked out by the language of familiar life, with little or no attempt to penetrate, by philosophic analysis, to the *rationale* even of those common distinctions. Such an analysis, however superficially conducted, would have shown the enumeration to be both redundant and defective. Some objects are omitted, and others repeated several times under different heads. It is like a division of animals into men, quadrupeds, horses, asses, and ponies. That, for instance, could not be a very comprehensive view of the nature of Relation which could exclude action, passivity, and local situation from that category. The same observation applies to the categories Quando (or position in time), and Ubi (or position in space); while the distinction between the latter and Situs is merely verbal. The incongruity of erecting into a *summum genus* the class which forms the tenth category is manifest. On the other hand, the enumeration takes no notice of any thing besides substances and attributes. In what category are we to place sensations, or any other feelings and states of mind; as hope, joy, fear; sound, smell, taste; pain, pleasure; thought, judgment, conception, and the like? Probably all these would have been placed by the Aristotelian school in the categories of *actio* and *passio*; and the relation of such of them as are active, to their objects, and of such of them as are passive, to their causes, would rightly be so placed; but the things themselves, the feelings or states of mind, wrongly. Feelings, or states of consciousness, are assuredly to be accounted among realities, but they can not be reckoned either among substances or attributes.<sup>17</sup>

§ 2. Before recommencing, under better auspices, the attempt made with such imperfect success by the early logicians, we must take notice of an unfortunate ambiguity in all the concrete names which correspond to the most general of all abstract terms, the word Existence. When we have

occasion for a name which shall be capable of denoting whatever exists, as contradistinguished from non-entity or Nothing, there is hardly a word applicable to the purpose which is not also, and even more familiarly, taken in a sense in which it denotes only substances. But substances are not all that exists; attributes, if such things are to be spoken of, must be said to exist; feelings certainly exist. Yet when we speak of an *object*, or of a *thing*, we are almost always supposed to mean a substance. There seems a kind of contradiction in using such an expression as that one *thing* is merely an attribute of another thing. And the announcement of a Classification of Things would, I believe, prepare most readers for an enumeration like those in natural history, beginning with the great divisions of animal, vegetable, and mineral, and subdividing them into classes and orders. If, rejecting the word Thing, we endeavor to find another of a more general import, or at least more exclusively confined to that general import, a word denoting all that exists, and connoting only simple existence; no word might be presumed fitter for such a purpose than *being*: originally the present participle of a verb which in one of its meanings is exactly equivalent to the verb *exists*; and therefore suitable, even by its grammatical formation, to be the concrete of the abstract *existence*. But this word, strange as the fact may appear, is still more completely spoiled for the purpose which it seemed expressly made for, than the word Thing. *Being* is, by custom, exactly synonymous with substance; except that it is free from a slight taint of a second ambiguity; being implied impartially to matter and to mind, while substance, though originally and in strictness applicable to both, is apt to suggest in preference the idea of matter. Attributes are never called Beings; nor are feelings. A Being is that which excites feelings, and which possesses attributes. The soul is called a Being; God and angels are called Beings; but if we were to say, extension, color, wisdom, virtue, are beings, we should perhaps be suspected of thinking with some of the ancients, that the cardinal virtues are animals; or, at the least, of holding with the Platonic school the doctrine of self-existent Ideas, or with the followers of Epicurus that of Sensible Forms, which detach themselves in every direction from bodies, and by coming in contact with our organs, cause our perceptions. We should be supposed, in short, to believe that Attributes are Substances.

In consequence of this perversion of the word Being, philosophers looking about for something to supply its place, laid their hands upon the word Entity, a piece of barbarous Latin, invented by the schoolmen to be used as an abstract name, in which class its grammatical form would seem to place it: but being seized by logicians in distress to stop a leak in their terminology, it has ever since been used as a concrete name. The kindred word *essence*, born at the same time and of the same parents, scarcely underwent a more complete transformation when, from being the abstract of the verb *to be*, it came to denote something sufficiently concrete to be inclosed in a glass bottle. The word Entity, since it settled down into a concrete name, has retained its universality of signification somewhat less impaired than any of the names before mentioned. Yet the same gradual decay to which, after a certain age, all the language of psychology seems liable, has been at work even here. If you call virtue an *entity*, you are indeed somewhat less strongly suspected of believing it to be a substance than if you called it a *being*; but you are by no means free from the suspicion. Every word which was originally intended to connote mere existence, seems, after a time, to enlarge its connotation to *separate* existence, or existence freed from the condition of belonging to a substance; which condition being precisely what constitutes an attribute, attributes are gradually shut out; and along with them feelings, which in ninety-nine cases out of a hundred have no other name than that of the attribute which is grounded on them. Strange that when the greatest embarrassment felt by all who have any considerable number of thoughts to express, is to find a sufficient variety of precise words fitted to express them, there should be no practice to which even scientific thinkers are more addicted than that of taking valuable words to express ideas which are sufficiently expressed by other words already appropriated to them.

When it is impossible to obtain good tools, the next best thing is to understand thoroughly the defects of those we have. I have therefore warned the reader of the ambiguity of the names which, for want of better, I am necessitated to employ. It must now be the writer's endeavor so to employ them as in no case to leave the meaning doubtful or obscure. No one of the above terms being altogether unambiguous, I shall not confine myself to any one, but shall employ on each occasion the word which seems least likely in the particular case to lead to misunderstanding; nor

do I pretend to use either these or any other words with a rigorous adherence to one single sense. To do so would often leave us without a word to express what is signified by a known word in some one or other of its senses: unless authors had an unlimited license to coin new words, together with (what it would be more difficult to assume) unlimited power of making readers understand them. Nor would it be wise in a writer, on a subject involving so much of abstraction, to deny himself the advantage derived from even an improper use of a term, when, by means of it, some familiar association is called up which brings the meaning home to the mind, as it were by a flash.

The difficulty both to the writer and reader, of the attempt which must be made to use vague words so as to convey a precise meaning, is not wholly a matter of regret. It is not unfitting that logical treatises should afford an example of that, to facilitate which is among the most important uses of logic. Philosophical language will for a long time, and popular language still longer, retain so much of vagueness and ambiguity, that logic would be of little value if it did not, among its other advantages, exercise the understanding in doing its work neatly and correctly with these imperfect tools.

After this preamble it is time to proceed to our enumeration. We shall commence with Feelings, the simplest class of namable things; the term Feeling being of course understood in its most enlarged sense.

#### I. Feelings, Or States of Consciousness.

§ 3. A Feeling and a State of consciousness are, in the language of philosophy, equivalent expressions: every thing is a feeling of which the mind is conscious; every thing which it *feels*, or, in other words, which forms a part of its own sentient existence. In popular language Feeling is not always synonymous with State of Consciousness; being often taken more peculiarly for those states which are conceived as belonging to the sensitive, or to the emotional, phasis of our nature, and sometimes, with a still narrower restriction, to the emotional alone, as distinguished from what are conceived as belonging to the percipient or to the intellectual phasis. But this is an admitted departure from correctness of language; just as, by a popular perversion the exact converse of this, the word Mind is withdrawn from its rightful generality of signification, and restricted to

the intellect. The still greater perversion by which Feeling is sometimes confined not only to bodily sensations, but to the sensations of a single sense, that of touch, needs not be more particularly adverted to.

Feeling, in the proper sense of the term, is a genus, of which Sensation, Emotion, and Thought, are subordinate species. Under the word Thought is here to be included whatever we are internally conscious of when we are said to think; from the consciousness we have when we think of a red color without having it before our eyes, to the most recondite thoughts of a philosopher or poet. Be it remembered, however, that by a thought is to be understood what passes in the mind itself, and not any object external to the mind, which the person is commonly said to be thinking of. He may be thinking of the sun, or of God, but the sun and God are not thoughts; his mental image, however, of the sun, and his idea of God, are thoughts; states of his mind, not of the objects themselves; and so also is his belief of the existence of the sun, or of God; or his disbelief, if the case be so. Even imaginary objects (which are said to exist only in our ideas) are to be distinguished from our ideas of them. I may think of a hobgoblin, as I may think of the loaf which was eaten yesterday, or of the flower which will bloom to-morrow. But the hobgoblin which never existed is not the same thing with my idea of a hobgoblin, any more than the loaf which once existed is the same thing with my idea of a loaf, or the flower which does not yet exist, but which will exist, is the same with my idea of a flower. They are all, not thoughts, but objects of thought; though at the present time all the objects are alike non-existent.

In like manner, a Sensation is to be carefully distinguished from the object which causes the sensation; our sensation of white from a white object: nor is it less to be distinguished from the attribute whiteness, which we ascribe to the object in consequence of its exciting the sensation. Unfortunately for clearness and due discrimination in considering these subjects, our sensations seldom receive separate names. We have a name for the objects which produce in us a certain sensation: the word *white*. We have a name for the quality in those objects, to which we ascribe the sensation: the name *whiteness*. But when we speak of the sensation itself (as we have not occasion to do this often except in our scientific speculations), language, which adapts itself for the most part only to the

common uses of life, has provided us with no single-worded or immediate designation; we must employ a circumlocution, and say, The sensation of white, or The sensation of whiteness; we must denominate the sensation either from the object, or from the attribute, by which it is excited. Yet the sensation, though it never *does*, might very well be *conceived* to exist, without any thing whatever to excite it. We can conceive it as arising spontaneously in the mind. But if it so arose, we should have no name to denote it which would not be a misnomer. In the case of our sensations of hearing we are better provided; we have the word Sound, and a whole vocabulary of words to denote the various kinds of sounds. For as we are often conscious of these sensations in the absence of any perceptible object, we can more easily conceive having them in the absence of any object whatever. We need only shut our eyes and listen to music, to have a conception of a universe with nothing in it except sounds, and ourselves hearing them: and what is easily conceived separately, easily obtains a separate name. But in general our names of sensations denote indiscriminately the sensation and the attribute. Thus, *color* stands for the sensations of white, red, etc., but also for the quality in the colored object. We talk of the colors of things as among their *properties*.

§ 4. In the case of sensations, another distinction has also to be kept in view, which is often confounded, and never without mischievous consequences. This is, the distinction between the sensation itself, and the state of the bodily organs which precedes the sensation, and which constitutes the physical agency by which it is produced. One of the sources of confusion on this subject is the division commonly made of feelings into Bodily and Mental. Philosophically speaking, there is no foundation at all for this distinction: even sensations are states of the sentient mind, not states of the body, as distinguished from it. What I am conscious of when I see the color blue, is a feeling of blue color, which is one thing; the picture on my retina, or the phenomenon of hitherto mysterious nature which takes place in my optic nerve or in my brain, is another thing, of which I am not at all conscious, and which scientific investigation alone could have apprised me of. These are states of my body; but the sensation of blue, which is the consequence of these states of body, is not a state of body: that which perceives and is conscious is called Mind. When sensations are called bodily feelings, it is only as being the class of feelings which are

immediately occasioned by bodily states; whereas the other kinds of feelings, thoughts, for instance, or emotions, are immediately excited not by any thing acting upon the bodily organs, but by sensations, or by previous thoughts. This, however, is a distinction not in our feelings, but in the agency which produces our feelings: all of them when actually produced are states of mind.

Besides the affection of our bodily organs from without, and the sensation thereby produced in our minds, many writers admit a third link in the chain of phenomena, which they call a Perception, and which consists in the recognition of an external object as the exciting cause of the sensation. This perception, they say, is an *act* of the mind, proceeding from its own spontaneous activity; while in a sensation the mind is passive, being merely acted upon by the outward object. And according to some metaphysicians, it is by an act of the mind, similar to perception, except in not being preceded by any sensation, that the existence of God, the soul, and other hyperphysical objects, is recognized.

These acts of what is termed perception, whatever be the conclusion ultimately come to respecting their nature, must, I conceive, take their place among the varieties of feelings or states of mind. In so classing them, I have not the smallest intention of declaring or insinuating any theory as to the law of mind in which these mental processes may be supposed to originate, or the conditions under which they may be legitimate or the reverse. Far less do I mean (as Dr. Whewell seems to suppose must be meant in an analogous case<sup>18</sup>) to indicate that as they are “*merely* states of mind,” it is superfluous to inquire into their distinguishing peculiarities. I abstain from the inquiry as irrelevant to the science of logic. In these so-called perceptions, or direct recognitions by the mind, of objects, whether physical or spiritual, which are external to itself, I can see only cases of belief; but of belief which claims to be intuitive, or independent of external evidence. When a stone lies before me, I am conscious of certain sensations which I receive from it; but if I say that these sensations come to me from an external object which I *perceive*, the meaning of these words is, that receiving the sensations, I intuitively *believe* that an external cause of those sensations exists. The laws of intuitive belief, and the conditions under which it is legitimate, are a subject which, as we have

already so often remarked, belongs not to logic, but to the science of the ultimate laws of the human mind.

To the same region of speculation belongs all that can be said respecting the distinction which the German metaphysicians and their French and English followers so elaborately draw between the *acts* of the mind and its merely passive *states*; between what it receives from, and what it gives to, the crude materials of its experience. I am aware that with reference to the view which those writers take of the primary elements of thought and knowledge, this distinction is fundamental. But for the present purpose, which is to examine, not the original groundwork of our knowledge, but how we come by that portion of it which is not original; the difference between active and passive states of mind is of secondary importance. For us, they all are states of mind, they all are feelings; by which, let it be said once more, I mean to imply nothing of passivity, but simply that they are psychological facts, facts which take place in the mind, and are to be carefully distinguished from the external or physical facts with which they may be connected either as effects or as causes.

§ 5. Among active states of mind, there is, however, one species which merits particular attention, because it forms a principal part of the connotation of some important classes of names. I mean *volitions*, or acts of the will. When we speak of sentient beings by relative names, a large portion of the connotation of the name usually consists of the actions of those beings; actions past, present, and possible or probable future. Take, for instance, the words Sovereign and Subject. What meaning do these words convey, but that of innumerable actions, done or to be done by the sovereign and the subjects, to or in regard to one another reciprocally? So with the words physician and patient, leader and follower, tutor and pupil. In many cases the words also connote actions which would be done under certain contingencies by persons other than those denoted: as the words mortgagor and mortgagee, obligor and obligee, and many other words expressive of legal relation, which connote what a court of justice would do to enforce the legal obligation if not fulfilled. There are also words which connote actions previously done by persons other than those denoted either by the name itself or by its correlative; as the word brother. From these instances, it may be seen how large a portion of the connotation of



names consists of actions. Now what is an action? Not one thing, but a series of two things: the state of mind called a volition, followed by an effect. The volition or intention to produce the effect, is one thing; the effect produced in consequence of the intention, is another thing; the two together constitute the action. I form the purpose of instantly moving my arm; that is a state of my mind: my arm (not being tied or paralytic) moves in obedience to my purpose; that is a physical fact, consequent on a state of mind. The intention, followed by the fact, or (if we prefer the expression) the fact when preceded and caused by the intention, is called the action of moving my arm.

§ 6. Of the first leading division of namable things, viz., Feelings or States of Consciousness, we began by recognizing three subdivisions; Sensations, Thoughts, and Emotions. The first two of these we have illustrated at considerable length; the third, Emotions, not being perplexed by similar ambiguities, does not require similar exemplification. And, finally, we have found it necessary to add to these three a fourth species, commonly known by the name Volitions. We shall now proceed to the two remaining classes of namable things; all things which are regarded as external to the mind being considered as belonging either to the class of Substances or to that of Attributes.

## II. Substances.

Logicians have endeavored to define Substance and Attribute; but their definitions are not so much attempts to draw a distinction between the things themselves, as instructions what difference it is customary to make in the grammatical structure of the sentence, according as we are speaking of substances or of attributes. Such definitions are rather lessons of English, or of Greek, Latin, or German, than of mental philosophy. An attribute, say the school logicians, must be the attribute *of* something; color, for example, must be the color *of* something; goodness must be the goodness *of* something; and if this something should cease to exist, or should cease to be connected with the attribute, the existence of the attribute would be at an end. A substance, on the contrary, is self-existent; in speaking about it, we need not put *of* after its name. A stone is not the stone of any thing; the moon is not the moon *of* any thing, but simply the moon. Unless, indeed, the name which we choose to give to the substance

be a relative name; if so, it must be followed either by *of*, or by some other particle, implying, as that preposition does, a reference to something else: but then the other characteristic peculiarity of an attribute would fail; the *something* might be destroyed, and the substance might still subsist. Thus, a father must be the father *of* something, and so far resembles an attribute, in being referred to something besides himself: if there were no child, there would be no father: but this, when we look into the matter, only means that we should not call him father. The man called father might still exist though there were no child, as he existed before there was a child; and there would be no contradiction in supposing him to exist, though the whole universe except himself were destroyed. But destroy all white substances, and where would be the attribute whiteness? Whiteness, without any white thing, is a contradiction in terms.

This is the nearest approach to a solution of the difficulty, that will be found in the common treatises on logic. It will scarcely be thought to be a satisfactory one. If an attribute is distinguished from a substance by being the attribute *of* something, it seems highly necessary to understand what is meant by *of*; a particle which needs explanation too much itself, to be placed in front of the explanation of any thing else. And as for the self-existence of substance, it is very true that a substance may be conceived to exist without any other substance, but so also may an attribute without any other attribute: and we can no more imagine a substance without attributes than we can imagine attributes without a substance.

Metaphysicians, however, have probed the question deeper, and given an account of Substance considerably more satisfactory than this. Substances are usually distinguished as Bodies or Minds. Of each of these, philosophers have at length provided us with a definition which seems unexceptionable.

§ 7. A body, according to the received doctrine of modern metaphysicians, may be defined, the external cause to which we ascribe our sensations. When I see and touch a piece of gold, I am conscious of a sensation of yellow color, and sensations of hardness and weight; and by varying the mode of handling, I may add to these sensations many others completely distinct from them. The sensations are all of which I am directly conscious; but I consider them as produced by something not only

existing independently of my will, but external to my bodily organs and to my mind. This external something I call a body.

It may be asked, how come we to ascribe our sensations to any external cause? And is there sufficient ground for so ascribing them? It is known, that there are metaphysicians who have raised a controversy on the point; maintaining that we are not warranted in referring our sensations to a cause such as we understand by the word Body, or to any external cause whatever. Though we have no concern here with this controversy, nor with the metaphysical niceties on which it turns, one of the best ways of showing what is meant by Substance is, to consider what position it is necessary to take up, in order to maintain its existence against opponents.

It is certain, then, that a part of our notion of a body consists of the notion of a number of sensations of our own, or of other sentient beings, habitually occurring simultaneously. My conception of the table at which I am writing is compounded of its visible form and size, which are complex sensations of sight; its tangible form and size, which are complex sensations of our organs of touch and of our muscles; its weight, which is also a sensation of touch and of the muscles; its color, which is a sensation of sight; its hardness, which is a sensation of the muscles; its composition, which is another word for all the varieties of sensation which we receive under various circumstances from the wood of which it is made, and so forth. All or most of these various sensations frequently are, and, as we learn by experience, always might be, experienced simultaneously, or in many different orders of succession at our own choice: and hence the thought of any one of them makes us think of the others, and the whole becomes mentally amalgamated into one mixed state of consciousness, which, in the language of the school of Locke and Hartley, is termed a Complex Idea.

Now, there are philosophers who have argued as follows: If we conceive an orange to be divested of its natural color without acquiring any new one; to lose its softness without becoming hard, its roundness without becoming square or pentagonal, or of any other regular or irregular figure whatever; to be deprived of size, of weight, of taste, of smell; to lose all its mechanical and all its chemical properties, and acquire no new ones; to

become, in short, invisible, intangible, imperceptible not only by all our senses, but by the senses of all other sentient beings, real or possible; nothing, say these thinkers, would remain. For of what nature, they ask, could be the residuum? and by what token could it manifest its presence? To the unreflecting its existence seems to rest on the evidence of the senses. But to the senses nothing is apparent except the sensations. We know, indeed, that these sensations are bound together by some law; they do not come together at random, but according to a systematic order, which is part of the order established in the universe. When we experience one of these sensations, we usually experience the others also, or know that we have it in our power to experience them. But a fixed law of connection, making the sensations occur together, does not, say these philosophers, necessarily require what is called a substratum to support them. The conception of a substratum is but one of many possible forms in which that connection presents itself to our imagination; a mode of, as it were, realizing the idea. If there be such a substratum, suppose it at this instant miraculously annihilated, and let the sensations continue to occur in the same order, and how would the substratum be missed? By what signs should we be able to discover that its existence had terminated? Should we not have as much reason to believe that it still existed as we now have? And if we should not then be warranted in believing it, how can we be so now? A body, therefore, according to these metaphysicians, is not any thing intrinsically different from the sensations which the body is said to produce in us; it is, in short, a set of sensations, or rather, of possibilities of sensation, joined together according to a fixed law.

The controversies to which these speculations have given rise, and the doctrines which have been developed in the attempt to find a conclusive answer to them, have been fruitful of important consequences to the Science of Mind. The sensations (it was answered) which we are conscious of, and which we receive, not at random, but joined together in a certain uniform manner, imply not only a law or laws of connection, but a cause external to our mind, which cause, by its own laws, determines the laws according to which the sensations are connected and experienced. The schoolmen used to call this external cause by the name we have already employed, a *substratum*; and its attributes (as they expressed themselves) *inhered*, literally *stuck*, in it. To this substratum the name Matter is usually

given in philosophical discussions. It was soon, however, acknowledged by all who reflected on the subject, that the existence of matter can not be proved by extrinsic evidence. The answer, therefore, now usually made to Berkeley and his followers, is, that the belief is intuitive; that mankind, in all ages, have felt themselves compelled, by a necessity of their nature, to refer their sensations to an external cause: that even those who deny it in theory, yield to the necessity in practice, and both in speech, thought, and feeling, do, equally with the vulgar, acknowledge their sensations to be the effects of something external to them: this knowledge, therefore, it is affirmed, is as evidently intuitive as our knowledge of our sensations themselves is intuitive. And here the question merges in the fundamental problem of metaphysics properly so called: to which science we leave it.

But although the extreme doctrine of the Idealist metaphysicians, that objects are nothing but our sensations and the laws which connect them, has not been generally adopted by subsequent thinkers; the point of most real importance is one on which those metaphysicians are now very generally considered to have made out their case: viz., that *all we know* of objects is the sensations which they give us, and the order of the occurrence of those sensations. Kant himself, on this point, is as explicit as Berkeley or Locke. However firmly convinced that there exists a universe of “Things in themselves,” totally distinct from the universe of phenomena, or of things as they appear to our senses; and even when bringing into use a technical expression (*Noumenon*) to denote what the thing is in itself, as contrasted with the *representation* of it in our minds; he allows that this representation (the matter of which, he says, consists of our sensations, though the form is given by the laws of the mind itself) is all we know of the object: and that the real nature of the Thing is, and by the constitution of our faculties ever must remain, at least in the present state of existence, an impenetrable mystery to us. “Of things absolutely or in themselves,” says Sir William Hamilton,<sup>19</sup> “be they external, be they internal, we know nothing, or know them only as incognizable; and become aware of their incomprehensible existence, only as this is indirectly and accidentally revealed to us, through certain qualities related to our faculties of knowledge, and which qualities, again, we can not think as unconditional, irrelative, existent in and of ourselves. All that we know is therefore phenomenal — phenomenal of the unknown.”<sup>20</sup> The same doctrine is laid

down in the clearest and strongest terms by M. Cousin, whose observations on the subject are the more worthy of attention, as, in consequence of the ultra-German and ontological character of his philosophy in other respects, they may be regarded as the admissions of an opponent.<sup>21</sup>.....

There is not the slightest reason for believing that what we call the sensible qualities of the object are a type of any thing inherent in itself, or bear any affinity to its own nature. A cause does not, as such, resemble its effects; an east wind is not like the feeling of cold, nor heat like the steam of boiling water. Why then should matter resemble our sensations? Why should the inmost nature of fire or water resemble the impressions made by those objects upon our senses?<sup>22</sup>..... Or on what principle are we authorized to deduce from the effects, any thing concerning the cause, except that it is a cause adequate to produce those effects? It may, therefore, safely be laid down as a truth both obvious in itself, and admitted by all whom it is at present necessary to take into consideration, that, of the outward world, we know and can know absolutely nothing, except the sensations which we experience from it.<sup>23</sup>.....

§ 8. Body having now been defined the external cause, and (according to the more reasonable opinion) the unknown external cause, to which we refer our sensations; it remains to frame a definition of Mind. Nor, after the preceding observations, will this be difficult. For, as our conception of a body is that of an unknown exciting cause of sensations, so our conception of a mind is that of an unknown recipient or percipient, of them; and not of them alone, but of all our other feelings. As body is understood to be the mysterious something which excites the mind to feel, so mind is the mysterious something which feels and thinks. It is unnecessary to give in the case of mind, as we gave in the case of matter, a particular statement of the skeptical system by which its existence as a Thing in itself, distinct from the series of what are denominated its states, is called in question. But it is necessary to remark, that on the inmost nature (whatever be meant by inmost nature) of the thinking principle, as well as on the inmost nature of matter, we are, and with our faculties must always remain, entirely in the dark. All which we are aware of, even in our own minds, is (in the words of James Mill) a certain “thread of

consciousness;” a series of feelings, that is, of sensations, thoughts, emotions, and volitions, more or less numerous and complicated. There is a something I call Myself, or, by another form of expression, my mind, which I consider as distinct from these sensations, thoughts, etc.; a something which I conceive to be not the thoughts, but the being that has the thoughts, and which I can conceive as existing forever in a state of quiescence, without any thoughts at all. But what this being is, though it is myself, I have no knowledge, other than the series of its states of consciousness. As bodies manifest themselves to me only through the sensations of which I regard them as the causes, so the thinking principle, or mind, in my own nature, makes itself known to me only by the feelings of which it is conscious. I know nothing about myself, save my capacities of feeling or being conscious (including, of course, thinking and willing): and were I to learn any thing new concerning my own nature, I can not with my present faculties conceive this new information to be any thing else, than that I have some additional capacities, as yet unknown to me, of feeling, thinking, or willing.

Thus, then, as body is the unsentient cause to which we are naturally prompted to refer a certain portion of our feelings, so mind may be described as the sentient *subject* (in the scholastic sense of the term) of all feelings; that which has or feels them. But of the nature of either body or mind, further than the feelings which the former excites, and which the latter experiences, we do not, according to the best existing doctrine, know any thing; and if any thing, logic has nothing to do with it, or with the manner in which the knowledge is acquired. With this result we may conclude this portion of our subject, and pass to the third and only remaining class or division of Namable Things.

### III. Attributes: and, first, Qualities.

§ 9. From what has already been said of Substance, what is to be said of Attribute is easily deducible. For if we know not, and can not know, any thing of bodies but the sensations which they excite in us or in others, those sensations must be all that we can, at bottom, mean by their attributes; and the distinction which we verbally make between the properties of things and the sensations we receive from them, must

originate in the convenience of discourse rather than in the nature of what is signified by the terms.

Attributes are usually distributed under the three heads of Quality, Quantity, and Relation. We shall come to the two latter presently: in the first place we shall confine ourselves to the former.

Let us take, then, as our example, one of what are termed the sensible qualities of objects, and let that example be whiteness. When we ascribe whiteness to any substance, as, for instance, snow; when we say that snow has the quality whiteness, what do we really assert? Simply, that when snow is present to our organs, we have a particular sensation, which we are accustomed to call the sensation of white. But how do I know that snow is present? Obviously by the sensations which I derive from it, and not otherwise. I infer that the object is present, because it gives me a certain assemblage or series of sensations. And when I ascribe to it the attribute whiteness, my meaning is only, that, of the sensations composing this group or series, that which I call the sensation of white color is one.

This is one view which may be taken of the subject. But there is also another and a different view. It may be said, that it is true we *know* nothing of sensible objects, except the sensations they excite in us; that the fact of our receiving from snow the particular sensation which is called a sensation of white, is the *ground* on which we ascribe to that substance the quality whiteness; the sole proof of its possessing that quality. But because one thing may be the sole evidence of the existence of another thing, it does not follow that the two are one and the same. The attribute whiteness (it may be said) is not the fact of receiving the sensation, but something in the object itself; a *power* inherent in it; something *in virtue* of which the object produces the sensation. And when we affirm that snow possesses the attribute whiteness, we do not merely assert that the presence of snow produces in us that sensation, but that it does so through, and by reason of, that power or quality.

For the purposes of logic it is not of material importance which of these opinions we adopt. The full discussion of the subject belongs to the other department of scientific inquiry, so often alluded to under the name of metaphysics; but it may be said here, that for the doctrine of the existence of a peculiar species of entities called qualities, I can see no



foundation except in a tendency of the human mind which is the cause of many delusions. I mean, the disposition, wherever we meet with two names which are not precisely synonymous, to suppose that they must be the names of two different things; whereas in reality they may be names of the same thing viewed in two different lights, or under different suppositions as to surrounding circumstances. Because *quality* and *sensation* can not be put indiscriminately one for the other, it is supposed that they can not both signify the same thing, namely, the impression or feeling with which we are affected through our senses by the presence of an object; though there is at least no absurdity in supposing that this identical impression or feeling may be called a sensation when considered merely in itself, and a quality when looked at in relation to any one of the numerous objects, the presence of which to our organs excites in our minds that among various other sensations or feelings. And if this be admissible as a supposition, it rests with those who contend for an entity *per se* called a quality, to show that their opinion is preferable, or is any thing in fact but a lingering remnant of the old doctrine of occult causes; the very absurdity which Molière so happily ridiculed when he made one of his pedantic physicians account for the fact that opium produces sleep by the maxim, Because it has a soporific virtue.

It is evident that when the physician stated that opium has a soporific virtue, he did not account for, but merely asserted over again, the fact that it produces sleep. In like manner, when we say that snow is white because it has the quality of whiteness, we are only re-asserting in more technical language the fact that it excites in us the sensation of white. If it be said that the sensation must have some cause, I answer, its cause is the presence of the assemblage of phenomena which is termed the object. When we have asserted that as often as the object is present, and our organs in their normal state, the sensation takes place, we have stated all that we know about the matter. There is no need, after assigning a certain and intelligible cause, to suppose an occult cause besides, for the purpose of enabling the real cause to produce its effect. If I am asked, why does the presence of the object cause this sensation in me, I can not tell: I can only say that such is my nature, and the nature of the object; that the fact forms a part of the constitution of things. And to this we must at last come, even after interpolating the imaginary entity. Whatever number of links the

chain of causes and effects may consist of, how any one link produces the one which is next to it, remains equally inexplicable to us. It is as easy to comprehend that the object should produce the sensation directly and at once, as that it should produce the same sensation by the aid of something else called the *power* of producing it.

But, as the difficulties which may be felt in adopting this view of the subject can not be removed without discussions transcending the bounds of our science, I content myself with a passing indication, and shall, for the purposes of logic, adopt a language compatible with either view of the nature of qualities. I shall say — what at least admits of no dispute — that the quality of whiteness ascribed to the object snow, is *grounded* on its exciting in us the sensation of white; and adopting the language already used by the school logicians in the case of the kind of attributes called Relations, I shall term the sensation of white the *foundation* of the quality whiteness. For logical purposes the sensation is the only essential part of what is meant by the word; the only part which we ever can be concerned in proving. When that is proved, the quality is proved; if an object excites a sensation, it has, of course, the power of exciting it.

#### IV. Relations.

§ 10. The *qualities* of a body, we have said, are the attributes grounded on the sensations which the presence of that particular body to our organs excites in our minds. But when we ascribe to any object the kind of attribute called a Relation, the foundation of the attribute must be something in which other objects are concerned besides itself and the percipient.

As there may with propriety be said to be a relation between any two things to which two correlative names are or may be given, we may expect to discover what constitutes a relation in general, if we enumerate the principal cases in which mankind have imposed correlative names, and observe what these cases have in common.

What, then, is the character which is possessed in common by states of circumstances so heterogeneous and discordant as these: one thing *like* another; one thing *unlike* another; one thing *near* another; one thing *far from* another; one thing *before, after, along with* another; one thing *greater, equal, less*, than another; one thing the *cause* of another, the

*effect* of another; one person the *master, servant, child, parent, debtor, creditor, sovereign, subject, attorney, client*, of another, and so on?

Omitting, for the present, the case of Resemblance, (a relation which requires to be considered separately,) there seems to be one thing common to all these cases, and only one; that in each of them there exists or occurs, or has existed or occurred, or may be expected to exist or occur, some fact or phenomenon, into which the two things which are said to be related to each other, both enter as parties concerned. This fact, or phenomenon, is what the Aristotelian logicians called the *fundamentum relationis*. Thus in the relation of greater and less between two magnitudes, the *fundamentum relationis* is the fact that one of the two magnitudes could, under certain conditions, be included in, without entirely filling, the space occupied by the other magnitude. In the relation of master and servant, the *fundamentum relationis* is the fact that the one has undertaken, or is compelled, to perform certain services for the benefit and at the bidding of the other. Examples might be indefinitely multiplied; but it is already obvious that whenever two things are said to be related, there is some fact, or series of facts, into which they both enter; and that whenever any two things are involved in some one fact, or series of facts, we may ascribe to those two things a mutual relation grounded on the fact. Even if they have nothing in common but what is common to all things, that they are members of the universe, we call that a relation, and denominate them fellow-creatures, fellow-beings, or fellow-denizens of the universe. But in proportion as the fact into which the two objects enter as parts is of a more special and peculiar, or of a more complicated nature, so also is the relation grounded upon it. And there are as many conceivable relations as there are conceivable kinds of fact in which two things can be jointly concerned.

In the same manner, therefore, as a quality is an attribute grounded on the fact that a certain sensation or sensations are produced in us by the object, so an attribute grounded on some fact into which the object enters jointly with another object, is a relation between it and that other object. But the fact in the latter case consists of the very same kind of elements as the fact in the former; namely, states of consciousness. In the case, for example, of any legal relation, as debtor and creditor, principal and agent,

guardian and ward, the *fundamentum relationis* consists entirely of thoughts, feelings, and volitions (actual or contingent), either of the persons themselves or of other persons concerned in the same series of transactions; as, for instance, the intentions which would be formed by a judge, in case a complaint were made to his tribunal of the infringement of any of the legal obligations imposed by the relation; and the acts which the judge would perform in consequence; acts being (as we have already seen) another word for intentions followed by an effect, and that effect being but another word for sensations, or some other feelings, occasioned either to the agent himself or to somebody else. There is no part of what the names expressive of the relation imply, that is not resolvable into states of consciousness; outward objects being, no doubt, supposed throughout as the causes by which some of those states of consciousness are excited, and minds as the subjects by which all of them are experienced, but neither the external objects nor the minds making their existence known otherwise than by the states of consciousness.

Cases of relation are not always so complicated as those to which we last alluded. The simplest of all cases of relation are those expressed by the words antecedent and consequent, and by the word simultaneous. If we say, for instance, that dawn preceded sunrise, the fact in which the two things, dawn and sunrise, were jointly concerned, consisted only of the two things themselves; no third thing entered into the fact or phenomenon at all. Unless, indeed, we choose to call the succession of the two objects a third thing; but their succession is not something added to the things themselves; it is something involved in them. Dawn and sunrise announce themselves to our consciousness by two successive sensations. Our consciousness of the succession of these sensations is not a third sensation or feeling added to them; we have not first the two feelings, and then a feeling of their succession. To have two feelings at all, implies having them either successively, or else simultaneously. Sensations, or other feelings, being given, succession and simultaneousness are the two conditions, to the alternative of which they are subjected by the nature of our faculties; and no one has been able, or needs expect, to analyze the matter any further.

§ 11. In a somewhat similar position are two other sorts of relations, Likeness and Unlikeness. I have two sensations; we will suppose them to be simple ones; two sensations of white, or one sensation of white and another of black. I call the first two sensations *like*; the last two *unlike*. What is the fact or phenomenon constituting the *fundamentum* of this relation? The two sensations first, and then what we call a feeling of resemblance, or of want of resemblance. Let us confine ourselves to the former case. Resemblance is evidently a feeling; a state of the consciousness of the observer. Whether the feeling of the resemblance of the two colors be a third state of consciousness, which I have *after* having the two sensations of color, or whether (like the feeling of their succession) it is involved in the sensations themselves, may be a matter of discussion. But in either case, these feelings of resemblance, and of its opposite dissimilarity, are parts of our nature; and parts so far from being capable of analysis, that they are presupposed in every attempt to analyze any of our other feelings. Likeness and unlikeness, therefore, as well as antecedence, sequence, and simultaneousness, must stand apart among relations, as things *sui generis*. They are attributes grounded on facts, that is, on states of consciousness, but on states which are peculiar, unresolvable, and inexplicable.

But, though likeness or unlikeness can not be resolved into any thing else, complex cases of likeness or unlikeness can be resolved into simpler ones. When we say of two things which consist of parts, that they are like one another, the likeness of the wholes does admit of analysis; it is compounded of likenesses between the various parts respectively, and of likeness in their arrangement. Of how vast a variety of resemblances of parts must that resemblance be composed, which induces us to say that a portrait, or a landscape, is like its original. If one person mimics another with any success, of how many simple likenesses must the general or complex likeness be compounded: likeness in a succession of bodily postures; likeness in voice, or in the accents and intonations of the voice; likeness in the choice of words, and in the thoughts or sentiments expressed, whether by word, countenance, or gesture.

All likeness and unlikeness of which we have any cognizance, resolve themselves into likeness and unlikeness between states of our own, or

some other, mind. When we say that one body is like another, (since we know nothing of bodies but the sensations which they excite,) we mean really that there is a resemblance between the sensations excited by the two bodies, or between some portions at least of those sensations. If we say that two attributes are like one another (since we know nothing of attributes except the sensations or states of feeling on which they are grounded), we mean really that those sensations, or states of feeling, resemble each other. We may also say that two relations are alike. The fact of resemblance between relations is sometimes called *analogy*, forming one of the numerous meanings of that word. The relation in which Priam stood to Hector, namely, that of father and son, resembles the relation in which Philip stood to Alexander; resembles it so closely that they are called the same relation. The relation in which Cromwell stood to England resembles the relation in which Napoleon stood to France, though not so closely as to be called the same relation. The meaning in both these instances must be, that a resemblance existed between the facts which constituted the *fundamentum relationis*.

This resemblance may exist in all conceivable gradations, from perfect undistinguishableness to something extremely slight. When we say, that a thought suggested to the mind of a person of genius is like a seed cast into the ground, because the former produces a multitude of other thoughts, and the latter a multitude of other seeds, this is saying that between the relation of an inventive mind to a thought contained in it, and the relation of a fertile soil to a seed contained in it, there exists a resemblance: the real resemblance being in the two *fundamenta relationis*, in each of which there occurs a germ, producing by its development a multitude of other things similar to itself. And as, whenever two objects are jointly concerned in a phenomenon, this constitutes a relation between those objects, so, if we suppose a second pair of objects concerned in a second phenomenon, the slightest resemblance between the two phenomena is sufficient to admit of its being said that the two relations resemble; provided, of course, the points of resemblance are found in those portions of the two phenomena respectively which are connoted by the relative names.

While speaking of resemblance, it is necessary to take notice of an ambiguity of language, against which scarcely any one is sufficiently on his

guard. Resemblance, when it exists in the highest degree of all, amounting to undistinguishableness, is often called identity, and the two similar things are said to be the same. I say often, not always; for we do not say that two visible objects, two persons, for instance, are the same, because they are so much alike that one might be mistaken for the other: but we constantly use this mode of expression when speaking of feelings; as when I say that the sight of any object gives me the *same* sensation or emotion to-day that it did yesterday, or the *same* which it gives to some other person. This is evidently an incorrect application of the word *same*; for the feeling which I had yesterday is gone, never to return; what I have to-day is another feeling, exactly like the former, perhaps, but distinct from it; and it is evident that two different persons can not be experiencing the same feeling, in the sense in which we say that they are both sitting at the same table. By a similar ambiguity we say, that two persons are ill of the *same* disease; that two persons hold the *same* office; not in the sense in which we say that they are engaged in the same adventure, or sailing in the same ship, but in the sense that they fill offices exactly similar, though, perhaps, in distant places. Great confusion of ideas is often produced, and many fallacies engendered, in otherwise enlightened understandings, by not being sufficiently alive to the fact (in itself not always to be avoided), that they use the same name to express ideas so different as those of identity and undistinguishable resemblance. Among modern writers, Archbishop Whately stands almost alone in having drawn attention to this distinction, and to the ambiguity connected with it.

Several relations, generally called by other names, are really cases of resemblance. As, for example, equality; which is but another word for the exact resemblance commonly called identity, considered as subsisting between things in respect of their *quantity*. And this example forms a suitable transition to the third and last of the three heads under which, as already remarked, Attributes are commonly arranged.

#### V. Quantity.

§ 12. Let us imagine two things, between which there is no difference (that is, no dissimilarity), except in quantity alone; for instance, a gallon of water, and more than a gallon of water. A gallon of water, like any other external object, makes its presence known to us by a set of sensations

which it excites. Ten gallons of water are also an external object, making its presence known to us in a similar manner; and as we do not mistake ten gallons of water for a gallon of water, it is plain that the set of sensations is more or less different in the two cases. In like manner, a gallon of water, and a gallon of wine, are two external objects, making their presence known by two sets of sensations, which sensations are different from each other. In the first case, however, we say that the difference is in quantity; in the last there is a difference in quality, while the quantity of the water and of the wine is the same. What is the real distinction between the two cases? It is not within the province of Logic to analyze it; nor to decide whether it is susceptible of analysis or not. For us the following considerations are sufficient: It is evident that the sensations I receive from the gallon of water, and those I receive from the gallon of wine, are not the same, that is, not precisely alike; neither are they altogether unlike: they are partly similar, partly dissimilar; and that in which they resemble is precisely that in which alone the gallon of water and the ten gallons do not resemble. That in which the gallon of water and the gallon of wine are like each other, and in which the gallon and the ten gallons of water are unlike each other, is called their quantity. This likeness and unlikeness I do not pretend to explain, no more than any other kind of likeness or unlikeness. But my object is to show, that when we say of two things that they differ in quantity, just as when we say that they differ in quality, the assertion is always grounded on a difference in the sensations which they excite. Nobody, I presume, will say, that to see, or to lift, or to drink, ten gallons of water, does not include in itself a different set of sensations from those of seeing, lifting, or drinking one gallon; or that to see or handle a foot-rule, and to see or handle a yard-measure made exactly like it, are the same sensations. I do not undertake to say what the difference in the sensations is. Every body knows, and nobody can tell; no more than any one could tell what white is to a person who had never had the sensation. But the difference, so far as cognizable by our faculties, lies in the sensations. Whatever difference we say there is in the things themselves, is, in this as in all other cases, grounded, and grounded exclusively, on a difference in the sensations excited by them.

## VI. Attributes Concluded.



§ 13. Thus, then, all the attributes of bodies which are classed under Quality or Quantity, are grounded on the sensations which we receive from those bodies, and may be defined, the powers which the bodies have of exciting those sensations. And the same general explanation has been found to apply to most of the attributes usually classed under the head of Relation. They, too, are grounded on some fact or phenomenon into which the related objects enter as parts; that fact or phenomenon having no meaning and no existence to us, except the series of sensations or other states of consciousness by which it makes itself known; and the relation being simply the power or capacity which the object possesses of taking part along with the correlated object in the production of that series of sensations or states of consciousness. We have been obliged, indeed, to recognize a somewhat different character in certain peculiar relations, those of succession and simultaneity, of likeness and unlikeness. These, not being grounded on any fact or phenomenon distinct from the related objects themselves, do not admit of the same kind of analysis. But these relations, though not, like other relations, grounded on states of consciousness, are themselves states of consciousness: resemblance is nothing but our feeling of resemblance; succession is nothing but our feeling of succession. Or, if this be disputed (and we can not, without transgressing the bounds of our science, discuss it here), at least our knowledge of these relations, and even our possibility of knowledge, is confined to those which subsist between sensations, or other states of consciousness; for, though we ascribe resemblance, or succession, or simultaneity, to objects and to attributes, it is always in virtue of resemblance or succession or simultaneity in the sensations or states of consciousness which those objects excite, and on which those attributes are grounded.

§ 14. In the preceding investigation we have, for the sake of simplicity, considered bodies only, and omitted minds. But what we have said, is applicable, *mutatis mutandis*, to the latter. The attributes of minds, as well as those of bodies, are grounded on states of feeling or consciousness. But in the case of a mind, we have to consider its own states, as well as those which it produces in other minds. Every attribute of a mind consists either in being itself affected in a certain way, or affecting other minds in a certain way. Considered in itself, we can predicate nothing of it but the

series of its own feelings. When we say of any mind, that it is devout, or superstitious, or meditative, or cheerful, we mean that the ideas, emotions, or volitions implied in those words, form a frequently recurring part of the series of feelings, or states of consciousness, which fill up the sentient existence of that mind.

In addition, however, to those attributes of a mind which are grounded on its own states of feeling, attributes may also be ascribed to it, in the same manner as to a body, grounded on the feelings which it excites in other minds. A mind does not, indeed, like a body, excite sensations, but it may excite thoughts or emotions. The most important example of attributes ascribed on this ground, is the employment of terms expressive of approbation or blame. When, for example, we say of any character, or (in other words) of any mind, that it is admirable, we mean that the contemplation of it excites the sentiment of admiration; and indeed somewhat more, for the word implies that we not only feel admiration, but approve that sentiment in ourselves. In some cases, under the semblance of a single attribute, two are really predicated: one of them, a state of the mind itself; the other, a state with which other minds are affected by thinking of it. As when we say of any one that he is generous. The word generosity expresses a certain state of mind, but being a term of praise, it also expresses that this state of mind excites in us another mental state, called approbation. The assertion made, therefore, is twofold, and of the following purport: Certain feelings form habitually a part of this person's sentient existence; and the idea of those feelings of his, excites the sentiment of approbation in ourselves or others.

As we thus ascribe attributes to minds on the ground of ideas and emotions, so may we to bodies on similar grounds, and not solely on the ground of sensations: as in speaking of the beauty of a statue; since this attribute is grounded on the peculiar feeling of pleasure which the statue produces in our minds; which is not a sensation, but an emotion.

## VII. General Results.

§ 15. Our survey of the varieties of Things which have been, or which are capable of being, named — which have been, or are capable of being, either predicated of other Things, or themselves made the subject of predications — is now concluded.

Our enumeration commenced with Feelings. These we scrupulously distinguished from the objects which excite them, and from the organs by which they are, or may be supposed to be, conveyed. Feelings are of four sorts: Sensations, Thoughts, Emotions, and Volitions. What are called Perceptions are merely a particular case of Belief, and Belief is a kind of thought. Actions are merely volitions followed by an effect.

After Feelings we proceeded to Substances. These are either Bodies or Minds. Without entering into the grounds of the metaphysical doubts which have been raised concerning the existence of Matter and Mind as objective realities, we stated as sufficient for us the conclusion in which the best thinkers are now for the most part agreed, that all we can know of Matter is the sensations which it gives us, and the order of occurrence of those sensations; and that while the substance Body is the unknown cause of our sensations, the substance Mind is the unknown recipient.

The only remaining class of Namable Things is Attributes; and these are of three kinds, Quality, Relation, and Quantity. Qualities, like substances, are known to us no otherwise than by the sensations or other states of consciousness which they excite: and while, in compliance with common usage, we have continued to speak of them as a distinct class of Things, we showed that in predicating them no one means to predicate any thing but those sensations or states of consciousness, on which they may be said to be grounded, and by which alone they can be defined or described. Relations, except the simple cases of likeness and unlikeness, succession and simultaneity, are similarly grounded on some fact or phenomenon, that is, on some series of sensations or states of consciousness, more or less complicated. The third species of Attribute, Quantity, is also manifestly grounded on something in our sensations or states of feeling, since there is an indubitable difference in the sensations excited by a larger and a smaller bulk, or by a greater or a less degree of intensity, in any object of sense or of consciousness. All attributes, therefore, are to us nothing but either our sensations and other states of feeling, or something inextricably involved therein; and to this even the peculiar and simple relations just adverted to are not exceptions. Those peculiar relations, however, are so important, and, even if they might in strictness be classed among states of consciousness, are so fundamentally

distinct from any other of those states, that it would be a vain subtlety to bring them under that common description, and it is necessary that they should be classed apart.<sup>24</sup>.....

As the result, therefore, of our analysis, we obtain the following as an enumeration and classification of all Namable Things:

1st. Feelings, or States of Consciousness.

2d. The Minds which experience those feelings.

3d. The Bodies, or external objects which excite certain of those feelings, together with the powers or properties whereby they excite them; these latter (at least) being included rather in compliance with common opinion, and because their existence is taken for granted in the common language from which I can not prudently deviate, than because the recognition of such powers or properties as real existences appears to be warranted by a sound philosophy.

4th, and last. The Successions and Co-existences, the Likenesses and Unlikenesses, between feelings or states of consciousness. Those relations, when considered as subsisting between other things, exist in reality only between the states of consciousness which those things, if bodies, excite, if minds, either excite or experience.

This, until a better can be suggested, may serve as a substitute for the Categories of Aristotle considered as a classification of Existences. The practical application of it will appear when we commence the inquiry into the Import of Propositions; in other words, when we inquire what it is which the mind actually believes, when it gives what is called its assent to a proposition.

These four classes comprising, if the classification be correct, all Namable Things, these or some of them must of course compose the signification of all names: and of these, or some of them, is made up whatever we call a fact.

For distinction's sake, every fact which is solely composed of feelings or states of consciousness considered as such, is often called a Psychological or Subjective fact; while every fact which is composed, either wholly or in part, of something different from these, that is, of substances and attributes, is called an Objective fact. We may say, then, that every objective fact is grounded on a corresponding subjective one; and has no

meaning to us (apart from the subjective fact which corresponds to it), except as a name for the unknown and inscrutable process by which that subjective or psychological fact is brought to pass.

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<sup>17</sup> On the preceding passage Professor Bain remarks (*Logic*, i., 265): "The Categories do not seem to have been intended as a classification of Namable Things, in the sense of 'an enumeration of all kinds of Things which are capable of being made predicates, or of having any thing predicated of them.' They seem to have been rather intended as a generalization of predicates; an analysis of the final import of predication. Viewed in this light, they are not open to the objections offered by Mr. Mill. The proper question to ask is not — In what Category are we to place sensations or other feelings or states of mind? but, Under what Categories can we predicate regarding states of mind? Take, for example, Hope. When we say that it is a state of mind, we predicate Substance: we may also describe how great it is (Quantity), what is the quality of it, pleasurable or painful (Quality), what it has reference to (Relation). Aristotle seems to have framed the Categories on the plan — Here is an individual; what is the final analysis of all that we can predicate about him?"

This is doubtless a true statement of the leading idea in the classification. The Category Οὐσία was certainly understood by Aristotle to be a general name for all possible answers to the question *Quid sit?* when asked respecting a concrete individual; as the other Categories are names comprehending all possible answers to the questions *Quantum sit?* *Quale sit?* etc. In Aristotle's conception, therefore, the Categories may not have been a classification of Things; but they were soon converted into one by his Scholastic followers, who certainly regarded and treated them as a classification of Things, and carried them out as such, dividing down the Category Substance as a naturalist might do, into the different classes of physical or metaphysical objects as distinguished from attributes, and the other Categories into the principal varieties of quantity, quality, relation, etc. It is, therefore, a just subject of complaint against them, that they had no Category of Feeling. Feeling is assuredly predicable as a summum genus, of every particular kind of feeling, for instance, as in Mr. Bain's example, of Hope: but it can not be brought within any of the Categories as interpreted either by Aristotle or by his followers.

<sup>18</sup> *Philosophy of the Inductive Sciences*, vol. i., p. 40.

<sup>19</sup> *Discussions on Philosophy*, etc. Appendix I., pp. 643, 644.

<sup>20</sup> It is to be regretted that Sir William Hamilton, though he often strenuously insists on this doctrine, and though, in the passage quoted, he states it with a comprehensiveness and force which leave nothing to be

desired, did not consistently adhere to his own doctrine, but maintained along with it opinions with which it is utterly irreconcilable. See the third and other chapters of *An Examination of Sir William Hamilton's Philosophy*.

<sup>21</sup> "Nous savons qu'il existe quelque chose hors de nous, parceque nous ne pouvons expliquer nos perceptions sans les rattacher à des causes distinctes de nous mêmes; nous savons de plus que ces causes, dont nous ne connaissons pas d'ailleurs l'essence, produisent les effets les plus variables, les plus divers, et même les plus contraires, selon qu'elles rencontrent telle nature ou telle disposition du sujet. Mais savons-nous quelque chose de plus? et même, vu le caractère indéterminé des causes que nous concevons dans les corps, y a-t-il quelque chose de plus à savoir? Y a-t-il lieu de nous enquérir si nous percevons les choses telles qu'elles sont? Non évidemment. . . . Je ne dis pas que le problème est insoluble, *je dis qu'il est absurde et enferme une contradiction*. Nous *ne savons pas ce que ces causes sont en elles-mêmes*, et la raison nous défend de chercher à le connaître: mais il est bien évident *à priori*, qu'elles ne sont pas en elles-mêmes ce qu'elles sont par rapport à nous, puisque la présence du sujet modifie nécessairement leur action. Supprimez tout sujet sentant, il est certain que ces causes agiraient encore puisqu'elles continueraient d'exister; mais elles agiraient autrement; elles seraient encore des qualités et des propriétés, mais qui ne ressembleraient à rien de ce que nous connaissons. Le feu ne manifesterait plus aucune des propriétés que nous lui connaissons: que serait-il? C'est ce que nous ne saurons jamais. *C'est d'ailleurs peut-être un problème qui ne répugne pas seulement à la nature de notre esprit, mais à l'essence même des choses*. Quand même en effet on supprimerait par le pensée tous les sujets sentants, il faudrait encore admettre que nul corps ne manifesterait ses propriétés autrement qu'en relation avec un sujet quelconque, et dans ce cas *ses propriétés ne seraient encore que relatives*: en sorte qu'il me paraît fort raisonnable d'admettre que les propriétés déterminées des corps n'existent pas indépendamment d'un sujet quelconque, et que quand on demande si les propriétés de la matière sont telles que nous les percevons, il faudrait voir auparavant si elles sont en tant que déterminées, et dans quel sens il est vrai de dire qu'elles sont." — *Cours d'Histoire de la Philosophie Morale au 18me siècle*, 8me leçon.

<sup>22</sup> An attempt, indeed, has been made by Reid and others, to establish that although some of the properties we ascribe to objects exist only in our sensations, others exist in the things themselves, being such as can not possibly be copies of any impression upon the senses; and they ask, from what sensations our notions of extension and figure have been derived? The gauntlet thrown down by Reid was taken up by Brown, who, applying greater powers of analysis than had previously been applied to the notions of extension and figure, pointed out that the sensations from which those notions are derived, are sensations of touch, combined with sensations of a class previously too little adverted to by metaphysicians, those which have their seat in our muscular frame. His analysis, which was adopted and

followed up by James Mill, has been further and greatly improved upon in Professor Bain's profound work, *The Senses and the Intellect*, and in the chapters on "Perception" of a work of eminent analytic power, Mr. Herbert Spencer's *Principles of Psychology*.

On this point M. Cousin may again be cited in favor of the better doctrine. M. Cousin recognizes, in opposition to Reid, the essential subjectivity of our conceptions of what are called the primary qualities of matter, as extension, solidity, etc., equally with those of color, heat, and the remainder of the so-called secondary qualities. — *Cours*, ut supra, 9me leçon.

<sup>23</sup> This doctrine, which is the most complete form of the philosophical theory known as the Relativity of Human Knowledge, has, since the recent revival in this country of an active interest in metaphysical speculation, been the subject of a greatly increased amount of discussion and controversy; and dissentients have manifested themselves in considerably greater number than I had any knowledge of when the passage in the text was written. The doctrine has been attacked from two sides. Some thinkers, among whom are the late Professor Ferrier, in his *Institutes of Metaphysic*, and Professor John Grote, in his *Exploratio Philosophica*, appear to deny altogether the reality of Noumena, or Things in themselves — of an unknowable substratum or support for the sensations which we experience, and which, according to the theory, constitute all our knowledge of an external world. It seems to me, however, that in Professor Grote's case at least, the denial of Noumena is only apparent, and that he does not essentially differ from the other class of objectors, including Mr. Bailey in his valuable *Letters on the Philosophy of the Human Mind*, and (in spite of the striking passage quoted in the text) also Sir William Hamilton, who contend for a direct knowledge by the human mind of more than the sensations — of certain attributes or properties as they exist not in us, but in the Things themselves.

With the first of these opinions, that which denies Noumena, I have, as a metaphysician, no quarrel; but, whether it be true or false, it is irrelevant to Logic. And since all the forms of language are in contradiction to it, nothing but confusion could result from its unnecessary introduction into a treatise, every essential doctrine of which could stand equally well with the opposite and accredited opinion. The other and rival doctrine, that of a direct perception or intuitive knowledge of the outward object as it is in itself, considered as distinct from the sensations we receive from it, is of far greater practical moment. But even this question, depending on the nature and laws of Intuitive Knowledge, is not within the province of Logic. For the grounds of my own opinion concerning it, I must content myself with referring to a work already mentioned — *An Examination of Sir William Hamilton's Philosophy*; several chapters of which are devoted to a full discussion of the questions and theories relating to the supposed direct perception of external objects.

<sup>24</sup> Professor Bain (*Logic*, i., 49) defines attributes as "points of community among classes." This definition expresses well one point of view, but is liable to the objection that it applies only to the attributes of classes; though an object, unique in its kind, may be said to have attributes. Moreover, the definition is not ultimate, since the points of community themselves admit of, and require, further analysis; and Mr. Bain does analyze them into resemblances in the sensations, or other states of consciousness excited by the object.





# CHAPTER IV.

## OF PROPOSITIONS.

§ 1. In treating of Propositions, as already in treating of Names, some considerations of a comparatively elementary nature respecting their form and varieties must be premised, before entering upon that analysis of the import conveyed by them, which is the real subject and purpose of this preliminary book.

A proposition, we have before said, is a portion of discourse in which a predicate is affirmed or denied of a subject. A predicate and a subject are all that is necessarily required to make up a proposition: but as we can not conclude from merely seeing two names put together, that they are a predicate and a subject, that is, that one of them is intended to be affirmed or denied of the other, it is necessary that there should be some mode or form of indicating that such is the intention; some sign to distinguish a predication from any other kind of discourse. This is sometimes done by a slight alteration of one of the words, called an *inflection*; as when we say, Fire burns; the change of the second word from *burn* to *burns* showing that we mean to affirm the predicate burn of the subject fire. But this function is more commonly fulfilled by the word *is*, when an affirmation is intended, *is not*, when a negation; or by some other part of the verb *to be*. The word which thus serves the purpose of a sign of predication is called, as we formerly observed, the *copula*. It is important that there should be no indistinctness in our conception of the nature and office of the copula; for confused notions respecting it are among the causes which have spread mysticism over the field of logic, and perverted its speculations into logomachies.

It is apt to be supposed that the copula is something more than a mere sign of predication; that it also signifies existence. In the proposition, Socrates is just, it may seem to be implied not only that the quality *just* can be affirmed of Socrates, but moreover that Socrates *is*, that is to say, exists. This, however, only shows that there is an ambiguity in the word *is*; a word which not only performs the function of the copula in affirmations, but has

also a meaning of its own, in virtue of which it may itself be made the predicate of a proposition. That the employment of it as a copula does not necessarily include the affirmation of existence, appears from such a proposition as this, A centaur is a fiction of the poets; where it can not possibly be implied that a centaur exists, since the proposition itself expressly asserts that the thing has no real existence.

Many volumes might be filled with the frivolous speculations concerning the nature of Being (το ὄν, οὐσία, Ens, Entitas, Essentia, and the like), which have arisen from overlooking this double meaning of the word *to be*; from supposing that when it signifies *to exist*, and when it signifies *to be* some specified thing, as to *be* a man, to *be* Socrates, to *be* seen or spoken of, to *be* a phantom, even to *be* a nonentity, it must still, at bottom, answer to the same idea; and that a meaning must be found for it which shall suit all these cases. The fog which rose from this narrow spot diffused itself at an early period over the whole surface of metaphysics. Yet it becomes us not to triumph over the great intellects of Plato and Aristotle because we are now able to preserve ourselves from many errors into which they, perhaps inevitably, fell. The fire-teazer of a modern steam-engine produces by his exertions far greater effects than Milo of Crotona could, but he is not therefore a stronger man. The Greeks seldom knew any language but their own. This rendered it far more difficult for them than it is for us, to acquire a readiness in detecting ambiguities. One of the advantages of having accurately studied a plurality of languages, especially of those languages which eminent thinkers have used as the vehicle of their thoughts, is the practical lesson we learn respecting the ambiguities of words, by finding that the same word in one language corresponds, on different occasions, to different words in another. When not thus exercised, even the strongest understandings find it difficult to believe that things which have a common name, have not in some respect or other a common nature; and often expend much labor very unprofitably (as was frequently done by the two philosophers just mentioned) in vain attempts to discover in what this common nature consists. But, the habit once formed, intellects much inferior are capable of detecting even ambiguities which are common to many languages: and it is surprising that the one now under consideration, though it exists in the modern languages as well as in the ancient, should have been overlooked by almost all authors. The

quantity of futile speculation which had been caused by a misapprehension of the nature of the copula, was hinted at by Hobbes; but Mr. James Mill<sup>25</sup> was, I believe, the first who distinctly characterized the ambiguity, and pointed out how many errors in the received systems of philosophy it has had to answer for. It has, indeed, misled the moderns scarcely less than the ancients, though their mistakes, because our understandings are not yet so completely emancipated from their influence, do not appear equally irrational.

We shall now briefly review the principal distinctions which exist among propositions, and the technical terms most commonly in use to express those distinctions.

§ 2. A proposition being a portion of discourse in which something is affirmed or denied of something, the first division of propositions is into affirmative and negative. An affirmative proposition is that in which the predicate is *affirmed* of the subject; as, Cæsar is dead. A negative proposition is that in which the predicate is *denied* of the subject; as, Cæsar is not dead. The copula, in this last species of proposition, consists of the words *is not*, which are the sign of negation; *is* being the sign of affirmation.

Some logicians, among whom may be mentioned Hobbes, state this distinction differently; they recognize only one form of copula, *is*, and attach the negative sign to the predicate. “Cæsar is dead,” and “Cæsar is not dead,” according to these writers, are propositions agreeing not in the subject and predicate, but in the subject only. They do not consider “dead,” but “not dead,” to be the predicate of the second proposition, and they accordingly define a negative proposition to be one in which the predicate is a negative name. The point, though not of much practical moment, deserves notice as an example (not unfrequent in logic) where by means of an apparent simplification, but which is merely verbal, matters are made more complex than before. The notion of these writers was, that they could get rid of the distinction between affirming and denying, by treating every case of denying as the affirming of a negative name. But what is meant by a negative name? A name expressive of the *absence* of an attribute. So that when we affirm a negative name, what we are really predicating is absence and not presence; we are asserting not that any thing is, but that

something is not; to express which operation no word seems so proper as the word denying. The fundamental distinction is between a fact and the non-existence of that fact; between seeing something and not seeing it, between Cæsar's being dead and his not being dead; and if this were a merely verbal distinction, the generalization which brings both within the same form of assertion would be a real simplification: the distinction, however, being real, and in the facts, it is the generalization confounding the distinction that is merely verbal; and tends to obscure the subject, by treating the difference between two kinds of truths as if it were only a difference between two kinds of words. To put things together, and to put them or keep them asunder, will remain different operations, whatever tricks we may play with language.

A remark of a similar nature may be applied to most of those distinctions among propositions which are said to have reference to their *modality*; as, difference of tense or time; the sun *did* rise, the sun *is* rising, the sun *will* rise. These differences, like that between affirmation and negation, might be glossed over by considering the incident of time as a mere modification of the predicate: thus, The sun is *an object having risen*, The sun is *an object now rising*, The sun is *an object to rise hereafter*. But the simplification would be merely verbal. Past, present, and future, do not constitute so many different kinds of rising; they are designations belonging to the event asserted, to the *sun's* rising to-day. They affect, not the predicate, but the applicability of the predicate to the particular subject. That which we affirm to be past, present, or future, is not what the subject signifies, nor what the predicate signifies, but specifically and expressly what the predication signifies; what is expressed only by the proposition as such, and not by either or both of the terms. Therefore the circumstance of time is properly considered as attaching to the copula, which is the sign of predication, and not to the predicate. If the same can not be said of such modifications as these, Cæsar *may* be dead; Cæsar is *perhaps* dead; it is *possible* that Cæsar is dead; it is only because these fall altogether under another head, being properly assertions not of any thing relating to the fact itself, but of the state of our own mind in regard to it; namely, our absence of disbelief of it. Thus "Cæsar may be dead" means "I am not sure that Cæsar is alive."

§ 3. The next division of propositions is into Simple and Complex; more aptly (by Professor Bain<sup>26</sup>) termed Compound. A simple proposition is that in which one predicate is affirmed or denied of one subject. A compound proposition is that in which there is more than one predicate, or more than one subject, or both.

At first sight this division has the air of an absurdity; a solemn distinction of things into one and more than one; as if we were to divide horses into single horses and teams of horses. And it is true that what is called a complex (or compound) proposition is often not a proposition at all, but several propositions, held together by a conjunction. Such, for example, is this: Cæsar is dead, and Brutus is alive: or even this, Cæsar is dead, *but* Brutus is alive. There are here two distinct assertions; and we might as well call a street a complex house, as these two propositions a complex proposition. It is true that the syncategorematic words *and* and *but* have a meaning; but that meaning is so far from making the two propositions one, that it adds a third proposition to them. All particles are abbreviations, and generally abbreviations of propositions; a kind of shorthand, whereby something which, to be expressed fully, would have required a proposition or a series of propositions, is suggested to the mind at once. Thus the words, Cæsar is dead and Brutus is alive, are equivalent to these: Cæsar is dead; Brutus is alive; it is desired that the two preceding propositions should be thought of together. If the words were, Cæsar is dead, *but* Brutus is alive, the sense would be equivalent to the same three propositions together with a fourth; "between the two preceding propositions there exists a contrast:" viz., either between the two facts themselves, or between the feelings with which it is desired that they should be regarded.

In the instances cited the two propositions are kept visibly distinct, each subject having its separate predicate, and each predicate its separate subject. For brevity, however, and to avoid repetition, the propositions are often blended together: as in this, "Peter and James preached at Jerusalem and in Galilee," which contains four propositions: Peter preached at Jerusalem, Peter preached in Galilee, James preached at Jerusalem, James preached in Galilee.

We have seen that when the two or more propositions comprised in what is called a complex proposition are stated absolutely, and not under any condition or proviso, it is not a proposition at all, but a plurality of propositions; since what it expresses is not a single assertion, but several assertions, which, if true when joined, are true also when separated. But there is a kind of proposition which, though it contains a plurality of subjects and of predicates, and may be said in one sense of the word to consist of several propositions, contains but one assertion; and its truth does not at all imply that of the simple propositions which compose it. An example of this is, when the simple propositions are connected by the particle *or*; as, either A is B or C is D; or by the particle *if*; as, A is B if C is D. In the former case, the proposition is called *disjunctive*, in the latter, *conditional*: the name *hypothetical* was originally common to both.

As has been well remarked by Archbishop Whately and others, the disjunctive form is resolvable into the conditional; every disjunctive proposition being equivalent to two or more conditional ones. "Either A is B or C is D," means, "if A is not B, C is D; and if C is not D, A is B." All hypothetical propositions, therefore, though disjunctive in form, are conditional in meaning; and the words hypothetical and conditional may be, as indeed they generally are, used synonymously. Propositions in which the assertion is not dependent on a condition, are said, in the language of logicians, to be *categorical*.

A hypothetical proposition is not, like the pretended complex propositions which we previously considered, a mere aggregation of simple propositions. The simple propositions which form part of the words in which it is couched, form no part of the assertion which it conveys. When we say, If the Koran comes from God, Mohammed is the prophet of God, we do not intend to affirm either that the Koran does come from God, or that Mohammed is really his prophet. Neither of these simple propositions may be true, and yet the truth of the hypothetical proposition may be indisputable. What is asserted is not the truth of either of the propositions, but the inferibility of the one from the other. What, then, is the subject, and what the predicate of the hypothetical proposition? "The Koran" is not the subject of it, nor is "Mohammed:" for nothing is affirmed or denied either of the Koran or of Mohammed. The real subject of the

predication is the entire proposition, "Mohammed is the prophet of God;" and the affirmation is, that this is a legitimate inference from the proposition, "The Koran comes from God." The subject and predicate, therefore, of a hypothetical proposition are names of propositions. The subject is some one proposition. The predicate is a general relative name applicable to propositions; of this form — "an inference from so and so." A fresh instance is here afforded of the remark, that particles are abbreviations; since "*If* A is B, C is D," is found to be an abbreviation of the following: "The proposition C is D, is a legitimate inference from the proposition A is B."

The distinction, therefore, between hypothetical and categorical propositions is not so great as it at first appears. In the conditional, as well as in the categorical form, one predicate is affirmed of one subject, and no more: but a conditional proposition is a proposition concerning a proposition; the subject of the assertion is itself an assertion. Nor is this a property peculiar to hypothetical propositions. There are other classes of assertions concerning propositions. Like other things, a proposition has attributes which may be predicated of it. The attribute predicated of it in a hypothetical proposition, is that of being an inference from a certain other proposition. But this is only one of many attributes that might be predicated. We may say, That the whole is greater than its part, is an axiom in mathematics: That the Holy Ghost proceeds from the Father alone, is a tenet of the Greek Church: The doctrine of the divine right of kings was renounced by Parliament at the Revolution: The infallibility of the Pope has no countenance from Scripture. In all these cases the subject of the predication is an entire proposition. That which these different predicates are affirmed of, is *the proposition*, "the whole is greater than its part;" *the proposition*, "the Holy Ghost proceeds from the Father alone;" *the proposition*, "kings have a divine right;" *the proposition*, "the Pope is infallible."

Seeing, then, that there is much less difference between hypothetical propositions and any others, than one might be led to imagine from their form, we should be at a loss to account for the conspicuous position which they have been selected to fill in treatises on logic, if we did not remember that what they predicate of a proposition, namely, its being an inference

from something else, is precisely that one of its attributes with which most of all a logician is concerned.

§ 4. The next of the common divisions of Propositions is into Universal, Particular, Indefinite, and Singular: a distinction founded on the degree of generality in which the name, which is the subject of the proposition, is to be understood. The following are examples:

*All men* are mortal — Universal. *Some men* are mortal — Particular. *Man* is mortal — Indefinite. *Julius Cæsar* is mortal — Singular.

The proposition is Singular, when the subject is an individual name. The individual name needs not be a proper name. “The Founder of Christianity was crucified,” is as much a singular proposition as “Christ was crucified.”

When the name which is the subject of the proposition is a general name, we may intend to affirm or deny the predicate, either of all the things that the subject denotes, or only of some. When the predicate is affirmed or denied of all and each of the things denoted by the subject, the proposition is universal; when of some undefined portion of them only, it is particular. Thus, All men are mortal; Every man is mortal; are universal propositions. No man is immortal, is also a universal proposition, since the predicate, immortal, is denied of each and every individual denoted by the term man; the negative proposition being exactly equivalent to the following, Every man is not-immortal. But “some men are wise,” “some men are not wise,” are particular propositions; the predicate *wise* being in the one case affirmed and in the other denied not of each and every individual denoted by the term man, but only of each and every one of some portion of those individuals, without specifying what portion; for if this were specified, the proposition would be changed either into a singular proposition, or into a universal proposition with a different subject; as, for instance, “all *properly instructed* men are wise.” There are other forms of particular propositions; as, “*Most* men are imperfectly educated:” it being immaterial how large a portion of the subject the predicate is asserted of, as long as it is left uncertain how that portion is to be distinguished from the rest.<sup>27</sup>

When the form of the expression does not clearly show whether the general name which is the subject of the proposition is meant to stand for



all the individuals denoted by it, or only for some of them, the proposition is, by some logicians, called Indefinite; but this, as Archbishop Whately observes, is a solecism, of the same nature as that committed by some grammarians when in their list of genders they enumerate the *doubtful* gender. The speaker must mean to assert the proposition either as a universal or as a particular proposition, though he has failed to declare which: and it often happens that though the words do not show which of the two he intends, the context, or the custom of speech, supplies the deficiency. Thus, when it is affirmed that “Man is mortal,” nobody doubts that the assertion is intended of all human beings; and the word indicative of universality is commonly omitted, only because the meaning is evident without it. In the proposition, “Wine is good,” it is understood with equal readiness, though for somewhat different reasons, that the assertion is not intended to be universal, but particular.<sup>28</sup> As is observed by Professor Bain,<sup>29</sup> the chief examples of Indefinite propositions occur “with names of material, which are the subjects sometimes of universal, and at other times of particular predication. ‘Food is chemically constituted by carbon, oxygen, etc.,’ is a proposition of universal quantity; the meaning is all food — all kinds of food. ‘Food is necessary to animal life’ is a case of particular quantity; the meaning is some sort of food, not necessarily all sorts. ‘Metal is requisite in order to strength’ does not mean all kinds of metal. ‘Gold will make a way,’ means a portion of gold.”

When a general name stands for each and every individual which it is a name of, or in other words, which it denotes, it is said by logicians to be *distributed*, or taken distributively. Thus, in the proposition, All men are mortal, the subject, Man, is distributed, because mortality is affirmed of each and every man. The predicate, Mortal, is not distributed, because the only mortals who are spoken of in the proposition are those who happen to be men; while the word may, for aught that appears, and in fact does, comprehend within it an indefinite number of objects besides men. In the proposition, Some men are mortal, both the predicate and the subject are undistributed. In the following, No men have wings, both the predicate and the subject are distributed. Not only is the attribute of having wings denied of the entire class Man, but that class is severed and cast out from the whole of the class Winged, and not merely from some part of that class.

This phraseology, which is of great service in stating and demonstrating the rules of the syllogism, enables us to express very concisely the definitions of a universal and a particular proposition. A universal proposition is that of which the subject is distributed; a particular proposition is that of which the subject is undistributed.

There are many more distinctions among propositions than those we have here stated, some of them of considerable importance. But, for explaining and illustrating these, more suitable opportunities will occur in the sequel.

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<sup>25</sup> *Analysis of the Human Mind*, i., 126 et seq.

<sup>26</sup> *Logic*, i., 85.

<sup>27</sup> Instead of Universal and Particular as applied to propositions, Professor Bain proposes (*Logic*, i., 81) the terms Total and Partial; reserving the former pair of terms for their inductive meaning, "the contrast between a general proposition and the particulars or individuals that we derive it from." This change in nomenclature would be attended with the further advantage, that Singular propositions, which in the Syllogism follow the same rules as Universal, would be included along with them in the same class, that of Total predications. It is not the Subject's denoting many things or only one, that is of importance in reasoning, it is that the assertion is made of the whole or a part only of what the Subject denotes. The words Universal and Particular, however, are so familiar and so well understood in both the senses mentioned by Mr. Bain, that the double meaning does not produce any material inconvenience.

<sup>28</sup> It may, however, be considered as equivalent to a universal proposition with a different predicate, viz.: "All wine is good *quâ* wine," or "is good in respect of the qualities which constitute it wine."

<sup>29</sup> *Logic*, i., 82.



# CHAPTER V.

## OF THE IMPORT OF PROPOSITIONS.

§ 1. An inquiry into the nature of propositions must have one of two objects: to analyze the state of mind called Belief, or to analyze what is believed. All language recognizes a difference between a doctrine or opinion, and the fact of entertaining the opinion; between assent, and what is assented to.

Logic, according to the conception here formed of it, has no concern with the nature of the act of judging or believing; the consideration of that act, as a phenomenon of the mind, belongs to another science. Philosophers, however, from Descartes downward, and especially from the era of Leibnitz and Locke, have by no means observed this distinction; and would have treated with great disrespect any attempt to analyze the import of Propositions, unless founded on an analysis of the act of Judgment. A proposition, they would have said, is but the expression in words of a Judgment. The thing expressed, not the mere verbal expression, is the important matter. When the mind assents to a proposition, it judges. Let us find out what the mind does when it judges, and we shall know what propositions mean, and not otherwise.

Conformably to these views, almost all the writers on Logic in the last two centuries, whether English, German, or French, have made their theory of Propositions, from one end to the other, a theory of Judgments. They considered a Proposition, or a Judgment, for they used the two words indiscriminately, to consist in affirming or denying one *idea* of another. To judge, was to put two ideas together, or to bring one idea under another, or to compare two ideas, or to perceive the agreement or disagreement between two ideas: and the whole doctrine of Propositions, together with the theory of Reasoning (always necessarily founded on the theory of Propositions), was stated as if Ideas, or Conceptions, or whatever other term the writer preferred as a name for mental representations generally, constituted essentially the subject-matter and substance of those operations.

It is, of course, true, that in any case of judgment, as for instance when we judge that gold is yellow, a process takes place in our minds, of which some one or other of these theories is a partially correct account. We must have the idea of gold and the idea of yellow, and these two ideas must be brought together in our mind. But in the first place, it is evident that this is only a part of what takes place; for we may put two ideas together without any act of belief; as when we merely imagine something, such as a golden mountain; or when we actually disbelieve: for in order even to disbelieve that Mohammed was an apostle of God, we must put the idea of Mohammed and that of an apostle of God together. To determine what it is that happens in the case of assent or dissent besides putting two ideas together, is one of the most intricate of metaphysical problems. But whatever the solution may be, we may venture to assert that it can have nothing whatever to do with the import of propositions; for this reason, that propositions (except sometimes when the mind itself is the subject treated of) are not assertions respecting our ideas of things, but assertions respecting the things themselves. In order to believe that gold is yellow, I must, indeed, have the idea of gold, and the idea of yellow, and something having reference to those ideas must take place in my mind; but my belief has not reference to the ideas, it has reference to the things. What I believe, is a fact relating to the outward thing, gold, and to the impression made by that outward thing upon the human organs; not a fact relating to my conception of gold, which would be a fact in my mental history, not a fact of external nature. It is true, that in order to believe this fact in external nature, another fact must take place in my mind, a process must be performed upon my ideas; but so it must in every thing else that I do. I can not dig the ground unless I have the idea of the ground, and of a spade, and of all the other things I am operating upon, and unless I put those ideas together.<sup>30</sup> But it would be a very ridiculous description of digging the ground to say that it is putting one idea into another. Digging is an operation which is performed upon the things themselves, though it can not be performed unless I have in my mind the ideas of them. And in like manner, believing is an act which has for its subject the facts themselves, though a previous mental conception of the facts is an indispensable condition. When I say that fire causes heat, do I mean that my idea of fire causes my idea of heat? No: I mean that the natural phenomenon, fire,

causes the natural phenomenon, heat. When I mean to assert any thing respecting the ideas, I give them their proper name, I call them ideas: as when I say, that a child's idea of a battle is unlike the reality, or that the ideas entertained of the Deity have a great effect on the characters of mankind.

The notion that what is of primary importance to the logician in a proposition, is the relation between the two *ideas* corresponding to the subject and predicate (instead of the relation between the two *phenomena* which they respectively express), seems to me one of the most fatal errors ever introduced into the philosophy of Logic; and the principal cause why the theory of the science has made such inconsiderable progress during the last two centuries. The treatises on Logic, and on the branches of Mental Philosophy connected with Logic, which have been produced since the intrusion of this cardinal error, though sometimes written by men of extraordinary abilities and attainments, almost always tacitly imply a theory that the investigation of truth consists in contemplating and handling our ideas, or conceptions of things, instead of the things themselves: a doctrine tantamount to the assertion, that the only mode of acquiring knowledge of nature is to study it at second hand, as represented in our own minds. Meanwhile, inquiries into every kind of natural phenomena were incessantly establishing great and fruitful truths on most important subjects, by processes upon which these views of the nature of Judgment and Reasoning threw no light, and in which they afforded no assistance whatever. No wonder that those who knew by practical experience how truths are arrived at, should deem a science futile, which consisted chiefly of such speculations. What has been done for the advancement of Logic since these doctrines came into vogue, has been done not by professed logicians, but by discoverers in the other sciences; in whose methods of investigation many principles of logic, not previously thought of, have successively come forth into light, but who have generally committed the error of supposing that nothing whatever was known of the art of philosophizing by the old logicians, because their modern interpreters have written to so little purpose respecting it.

We have to inquire, then, on the present occasion, not into Judgment, but judgments; not into the act of believing, but into the thing believed.

What is the immediate object of belief in a Proposition? What is the matter of fact signified by it? What is it to which, when I assert the proposition, I give my assent, and call upon others to give theirs? What is that which is expressed by the form of discourse called a Proposition, and the conformity of which to fact constitutes the truth of the proposition?

§ 2. One of the clearest and most consecutive thinkers whom this country or the world has produced, I mean Hobbes, has given the following answer to this question. In every proposition (says he) what is signified is, the belief of the speaker that the predicate is a name of the same thing of which the subject is a name; and if it really is so, the proposition is true. Thus the proposition, All men are living beings (he would say) is true, because *living being* is a name of every thing of which *man* is a name. All men are six feet high, is not true, because *six feet high* is not a name of every thing (though it is of some things) of which *man* is a name.

What is stated in this theory as the definition of a true proposition, must be allowed to be a property which all true propositions possess. The subject and predicate being both of them names of things, if they were names of quite different things the one name could not, consistently with its signification, be predicated of the other. If it be true that some men are copper-colored, it must be true — and the proposition does really assert — that among the individuals denoted by the name man, there are some who are also among those denoted by the name copper-colored. If it be true that all oxen ruminates, it must be true that all the individuals denoted by the name ox are also among those denoted by the name ruminating; and whoever asserts that all oxen ruminates, undoubtedly does assert that this relation subsists between the two names.

The assertion, therefore, which, according to Hobbes, is the only one made in any proposition, really is made in every proposition: and his analysis has consequently one of the requisites for being the true one. We may go a step further; it is the only analysis that is rigorously true of all propositions without exception. What he gives as the meaning of propositions, is part of the meaning of all propositions, and the whole meaning of some. This, however, only shows what an extremely minute fragment of meaning it is quite possible to include within the logical

formula of a proposition. It does not show that no proposition means more. To warrant us in putting together two words with a copula between them, it is really enough that the thing or things denoted by one of the names should be capable, without violation of usage, of being called by the other name also. If, then, this be all the meaning necessarily implied in the form of discourse called a Proposition, why do I object to it as the scientific definition of what a proposition means? Because, though the mere collocation which makes the proposition a proposition, conveys no more than this scanty amount of meaning, that same collocation combined with other circumstances, that *form* combined with other *matter*, does convey more, and the proposition in those other circumstances does assert more, than merely that relation between the two names.

The only propositions of which Hobbes's principle is a sufficient account, are that limited and unimportant class in which both the predicate and the subject are proper names. For, as has already been remarked, proper names have strictly no meaning; they are mere marks for individual objects: and when a proper name is predicated of another proper name, all the signification conveyed is, that both the names are marks for the same object. But this is precisely what Hobbes produces as a theory of predication in general. His doctrine is a full explanation of such predications as these: Hyde was Clarendon, or, Tully is Cicero. It exhausts the meaning of those propositions. But it is a sadly inadequate theory of any others. That it should ever have been thought of as such, can be accounted for only by the fact, that Hobbes, in common with the other Nominalists, bestowed little or no attention upon the *connotation* of words; and sought for their meaning exclusively in what they *denote*: as if all names had been (what none but proper names really are) marks put upon individuals; and as if there were no difference between a proper and a general name, except that the first denotes only one individual, and the last a greater number.

It has been seen, however, that the meaning of all names, except proper names and that portion of the class of abstract names which are not connotative, resides in the connotation. When, therefore, we are analyzing the meaning of any proposition in which the predicate and the subject, or either of them, are connotative names, it is to the connotation of those

terms that we must exclusively look, and not to what they *denote*, or in the language of Hobbes (language so far correct) are names of.

In asserting that the truth of a proposition depends on the conformity of import between its terms, as, for instance, that the proposition, Socrates is wise, is a true proposition, because Socrates and wise are names applicable to, or, as he expresses it, names of, the same person; it is very remarkable that so powerful a thinker should not have asked himself the question, But how came they to be names of the same person? Surely not because such was the intention of those who invented the words. When mankind fixed the meaning of the word wise, they were not thinking of Socrates, nor, when his parents gave him the name of Socrates, were they thinking of wisdom. The names *happen* to fit the same person because of a certain *fact*, which fact was not known, nor in being, when the names were invented. If we want to know what the fact is, we shall find the clue to it in the *connotation* of the names.

A bird or a stone, a man, or a wise man, means simply, an object having such and such attributes. The real meaning of the word man, is those attributes, and not Smith, Brown, and the remainder of the individuals. The word *mortal*, in like manner connotes a certain attribute or attributes; and when we say, All men are mortal, the meaning of the proposition is, that all beings which possess the one set of attributes, possess also the other. If, in our experience, the attributes connoted by *man* are always accompanied by the attribute connoted by *mortal*, it will follow as a consequence, that the class *man* will be wholly included in the class *mortal*, and that *mortal* will be a name of all things of which *man* is a name: but why? Those objects are brought under the name, by possessing the attributes connoted by it: but their possession of the attributes is the real condition on which the truth of the proposition depends; not their being called by the name. Connotative names do not precede, but follow, the attributes which they connote. If one attribute happens to be always found in conjunction with another attribute, the concrete names which answer to those attributes will of course be predicable of the same subjects, and may be said, in Hobbes's language (in the propriety of which on this occasion I fully concur), to be two names for the same things. But the possibility of a concurrent application of the two names, is a mere



consequence of the conjunction between the two attributes, and was, in most cases, never thought of when the names were introduced and their signification fixed. That the diamond is combustible, was a proposition certainly not dreamed of when the words Diamond and Combustible first received their meaning; and could not have been discovered by the most ingenious and refined analysis of the signification of those words. It was found out by a very different process, namely, by exerting the senses, and learning from them, that the attribute of combustibility existed in the diamonds upon which the experiment was tried; the number or character of the experiments being such, that what was true of those individuals might be concluded to be true of all substances "called by the name," that is, of all substances possessing the attributes which the name connotes. The assertion, therefore, when analyzed, is, that wherever we find certain attributes, there will be found a certain other attribute: which is not a question of the signification of names, but of laws of nature; the order existing among phenomena.

§ 3. Although Hobbes's theory of Predication has not, in the terms in which he stated it, met with a very favorable reception from subsequent thinkers, a theory virtually identical with it, and not by any means so perspicuously expressed, may almost be said to have taken the rank of an established opinion. The most generally received notion of Predication decidedly is that it consists in referring something to a class, *i.e.*, either placing an individual under a class, or placing one class under another class. Thus, the proposition, Man is mortal, asserts, according to this view of it, that the class man is included in the class mortal. "Plato is a philosopher," asserts that the individual Plato is one of those who compose the class philosopher. If the proposition is negative, then instead of placing something in a class, it is said to exclude something from a class. Thus, if the following be the proposition, The elephant is not carnivorous; what is asserted (according to this theory) is, that the elephant is excluded from the class carnivorous, or is not numbered among the things comprising that class. There is no real difference, except in language, between this theory of Predication and the theory of Hobbes. For a class is absolutely nothing but an indefinite number of individuals denoted by a general name. The name given to them in common, is what makes them a class. To refer any thing to a class, therefore, is to look upon it as one of the things

which are to be called by that common name. To exclude it from a class, is to say that the common name is not applicable to it.

How widely these views of predication have prevailed, is evident from this, that they are the basis of the celebrated *dictum de omni et nullo*. When the syllogism is resolved, by all who treat of it, into an inference that what is true of a class is true of all things whatever that belong to the class; and when this is laid down by almost all professed logicians as the ultimate principle to which all reasoning owes its validity; it is clear that in the general estimation of logicians, the propositions of which reasonings are composed can be the expression of nothing but the process of dividing things into classes, and referring every thing to its proper class.

This theory appears to me a signal example of a logical error very often committed in logic, that of ὕστερον προτέρον, or explaining a thing by something which presupposes it. When I say that snow is white, I may and ought to be thinking of snow as a class, because I am asserting a proposition as true of all snow: but I am certainly not thinking of white objects as a class; I am thinking of no white object whatever except snow, but only of that, and of the sensation of white which it gives me. When, indeed, I have judged, or assented to the propositions, that snow is white, and that several other things are also white, I gradually begin to think of white objects as a class, including snow and those other things. But this is a conception which followed, not preceded, those judgments, and therefore can not be given as an explanation of them. Instead of explaining the effect by the cause, this doctrine explains the cause by the effect, and is, I conceive, founded on a latent misconception of the nature of classification.

There is a sort of language very generally prevalent in these discussions, which seems to suppose that classification is an arrangement and grouping of definite and known individuals: that when names were imposed, mankind took into consideration all the individual objects in the universe, distributed them into parcels or lists, and gave to the objects of each list a common name, repeating this operation *toties quoties* until they had invented all the general names of which language consists; which having been once done, if a question subsequently arises whether a certain general name can be truly predicated of a certain particular object, we

have only (as it were) to read the roll of the objects upon which that name was conferred, and see whether the object about which the question arises is to be found among them. The framers of language (it would seem to be supposed) have predetermined all the objects that are to compose each class, and we have only to refer to the record of an antecedent decision.

So absurd a doctrine will be owned by nobody when thus nakedly stated; but if the commonly received explanations of classification and naming do not imply this theory, it requires to be shown how they admit of being reconciled with any other.

General names are not marks put upon definite objects; classes are not made by drawing a line round a given number of assignable individuals. The objects which compose any given class are perpetually fluctuating. We may frame a class without knowing the individuals, or even any of the individuals, of which it may be composed; we may do so while believing that no such individuals exist. If by the *meaning* of a general name are to be understood the things which it is the name of, no general name, except by accident, has a fixed meaning at all, or ever long retains the same meaning. The only mode in which any general name has a definite meaning, is by being a name of an indefinite variety of things; namely, of all things, known or unknown, past, present, or future, which possess certain definite attributes. When, by studying not the meaning of words, but the phenomena of nature, we discover that these attributes are possessed by some object not previously known to possess them (as when chemists found that the diamond was combustible), we include this new object in the class; but it did not already belong to the class. We place the individual in the class because the proposition is true; the proposition is not true because the object is placed in the class.<sup>31</sup>

It will appear hereafter, in treating of reasoning, how much the theory of that intellectual process has been vitiated by the influence of these erroneous notions, and by the habit which they exemplify of assimilating all the operations of the human understanding which have truth for their object, to processes of mere classification and naming. Unfortunately, the minds which have been entangled in this net are precisely those which have escaped the other cardinal error commented upon in the beginning of the present chapter. Since the revolution which dislodged Aristotle from

the schools, logicians may almost be divided into those who have looked upon reasoning as essentially an affair of Ideas, and those who have looked upon it as essentially an affair of Names.

Although, however, Hobbes's theory of Predication, according to the well-known remark of Leibnitz, and the avowal of Hobbes himself,<sup>32</sup> renders truth and falsity completely arbitrary, with no standard but the will of men, it must not be concluded that either Hobbes, or any of the other thinkers who have in the main agreed with him, did in fact consider the distinction between truth and error as less real, or attached less importance to it, than other people. To suppose that they did so would argue total unacquaintance with their other speculations. But this shows how little hold their doctrine possessed over their own minds. No person, at bottom, ever imagined that there was nothing more in truth than propriety of expression; than using language in conformity to a previous convention. When the inquiry was brought down from generals to a particular case, it has always been acknowledged that there is a distinction between verbal and real questions; that some false propositions are uttered from ignorance of the meaning of words, but that in others the source of the error is a misapprehension of things; that a person who has not the use of language at all may form propositions mentally, and that they may be untrue — that is, he may believe as matters of fact what are not really so. This last admission can not be made in stronger terms than it is by Hobbes himself,<sup>33</sup> though he will not allow such erroneous belief to be called falsity, but only error. And he has himself laid down, in other places, doctrines in which the true theory of predication is by implication contained. He distinctly says that general names are given to things on account of their attributes, and that abstract names are the names of those attributes. "Abstract is that which in any subject denotes the cause of the concrete name. . . . And these causes of names are the same with the causes of our conceptions, namely, some power of action, or affection, of the thing conceived, which some call the manner by which any thing works upon our senses, but by most men they are called *accidents*."<sup>34</sup> It is strange that having gone so far, he should not have gone one step further, and seen that what he calls the cause of the concrete name, is in reality the meaning of it; and that when we predicate of any subject a name which is

given *because* of an attribute (or, as he calls it, an accident), our object is not to affirm the name, but, by means of the name, to affirm the attribute.

§ 4. Let the predicate be, as we have said, a connotative term; and to take the simplest case first, let the subject be a proper name: "The summit of Chimborazo is white." The word white connotes an attribute which is possessed by the individual object designated by the words "summit of Chimborazo;" which attribute consists in the physical fact, of its exciting in human beings the sensation which we call a sensation of white. It will be admitted that, by asserting the proposition, we wish to communicate information of that physical fact, and are not thinking of the names, except as the necessary means of making that communication. The meaning of the proposition, therefore, is, that the individual thing denoted by the subject, has the attributes connoted by the predicate.

If we now suppose the subject also to be a connotative name, the meaning expressed by the proposition has advanced a step further in complication. Let us first suppose the proposition to be universal, as well as affirmative: "All men are mortal." In this case, as in the last, what the proposition asserts (or expresses a belief of) is, of course, that the objects denoted by the subject (man) possess the attributes connoted by the predicate (mortal). But the characteristic of this case is, that the objects are no longer *individually* designated. They are pointed out only by some of their attributes: they are the objects called men, that is, possessing the attributes connoted by the name man; and the only thing known of them may be those attributes: indeed, as the proposition is general, and the objects denoted by the subject are therefore indefinite in number, most of them are not known individually at all. The assertion, therefore, is not, as before, that the attributes which the predicate connotes are possessed by any given individual, or by any number of individuals previously known as John, Thomas, etc., but that those attributes are possessed by each and every individual possessing certain other attributes; that whatever has the attributes connoted by the subject, has also those connoted by the predicate; that the latter set of attributes *constantly accompany* the former set. Whatever has the attributes of man has the attribute of mortality; mortality constantly accompanies the attributes of man.<sup>35</sup>.....

If it be remembered that every attribute is *grounded* on some fact or phenomenon, either of outward sense or of inward consciousness, and that to *possess* an attribute is another phrase for being the cause of, or forming part of, the fact or phenomenon upon which the attribute is grounded; we may add one more step to complete the analysis. The proposition which asserts that one attribute always accompanies another attribute, really asserts thereby no other thing than this, that one phenomenon always accompanies another phenomenon; insomuch that where we find the latter, we have assurance of the existence of the former. Thus, in the proposition, All men are mortal, the word man connotes the attributes which we ascribe to a certain kind of living creatures, on the ground of certain phenomena which they exhibit, and which are partly physical phenomena, namely the impressions made on our senses by their bodily form and structure, and partly mental phenomena, namely the sentient and intellectual life which they have of their own. All this is understood when we utter the word man, by any one to whom the meaning of the word is known. Now, when we say, Man is mortal, we mean that wherever these various physical and mental phenomena are all found, there we have assurance that the other physical and mental phenomenon, called death, will not fail to take place. The proposition does not affirm *when*; for the connotation of the word *mortal* goes no further than to the occurrence of the phenomenon at some time or other, leaving the particular time undecided.

§ 5. We have already proceeded far enough, not only to demonstrate the error of Hobbes, but to ascertain the real import of by far the most numerous class of propositions. The object of belief in a proposition, when it asserts any thing more than the meaning of words, is generally, as in the cases which we have examined, either the co-existence or the sequence of two phenomena. At the very commencement of our inquiry, we found that every act of belief implied two Things: we have now ascertained what, in the most frequent case, these two things are, namely, two Phenomena; in other words, two states of consciousness; and what it is which the proposition affirms (or denies) to subsist between them, namely, either succession or co-existence. And this case includes innumerable instances which no one, previous to reflection, would think of referring to it. Take the following example: A generous person is worthy of honor. Who would

expect to recognize here a case of co-existence between phenomena? But so it is. The attribute which causes a person to be termed generous, is ascribed to him on the ground of states of his mind, and particulars of his conduct: both are phenomena: the former are facts of internal consciousness; the latter, so far as distinct from the former, are physical facts, or perceptions of the senses. Worthy of honor admits of a similar analysis. Honor, as here used, means a state of approving and admiring emotion, followed on occasion by corresponding outward acts. "Worthy of honor" connotes all this, together with our approval of the act of showing honor. All these are phenomena; states of internal consciousness, accompanied or followed by physical facts. When we say, A generous person is worthy of honor, we affirm co-existence between the two complicated phenomena connoted by the two terms respectively. We affirm, that wherever and whenever the inward feelings and outward facts implied in the word generosity have place, then and there the existence and manifestation of an inward feeling, honor, would be followed in our minds by another inward feeling, approval.

After the analysis, in a former chapter, of the import of names, many examples are not needed to illustrate the import of propositions. When there is any obscurity, or difficulty, it does not lie in the meaning of the proposition, but in the meaning of the names which compose it; in the extremely complicated connotation of many words; the immense multitude and prolonged series of facts which often constitute the phenomenon connoted by a name. But where it is seen what the phenomenon is, there is seldom any difficulty in seeing that the assertion conveyed by the proposition is, the co-existence of one such phenomenon with another; or the succession of one such phenomenon to another: so that where the one is found, we may calculate on finding the other, though perhaps not conversely.

This, however, though the most common, is not the only meaning which propositions are ever intended to convey. In the first place, sequences and co-existences are not only asserted respecting Phenomena; we make propositions also respecting those hidden causes of phenomena, which are named substances and attributes. A substance, however, being to us nothing but either that which causes, or that which is conscious of,

phenomena; and the same being true, *mutatis mutandis*, of attributes; no assertion can be made, at least with a meaning, concerning these unknown and unknowable entities, except in virtue of the Phenomena by which alone they manifest themselves to our faculties. When we say Socrates was contemporary with the Peloponnesian war, the foundation of this assertion, as of all assertions concerning substances, is an assertion concerning the phenomena which they exhibit — namely, that the series of facts by which Socrates manifested himself to mankind, and the series of mental states which constituted his sentient existence, went on simultaneously with the series of facts known by the name of the Peloponnesian war. Still, the proposition as commonly understood does not assert that alone; it asserts that the Thing in itself, the *noumenon* Socrates, was existing, and doing or experiencing those various facts during the same time. Co-existence and sequence, therefore, may be affirmed or denied not only between phenomena, but between noumena, or between a noumenon and phenomena. And both of noumena and of phenomena we may affirm simple existence. But what is a noumenon? An unknown cause. In affirming, therefore, the existence of a noumenon, we affirm causation. Here, therefore, are two additional kinds of fact, capable of being asserted in a proposition. Besides the propositions which assert Sequence or Co-existence, there are some which assert simple Existence;<sup>36</sup> and others assert Causation, which, subject to the explanations which will follow in the Third Book, must be considered provisionally as a distinct and peculiar kind of assertion.

§ 6. To these four kinds of matter-of-fact or assertion, must be added a fifth, Resemblance. This was a species of attribute which we found it impossible to analyze; for which no *fundamentum*, distinct from the objects themselves, could be assigned. Besides propositions which assert a sequence or co-existence between two phenomena, there are therefore also propositions which assert resemblance between them; as, This color is like that color; The heat of to-day is *equal* to the heat of yesterday. It is true that such an assertion might with some plausibility be brought within the description of an affirmation of sequence, by considering it as an assertion that the simultaneous contemplation of the two colors is *followed* by a specific feeling termed the feeling of resemblance. But there would be nothing gained by incumbering ourselves, especially in this place, with a



generalization which may be looked upon as strained. Logic does not undertake to analyze mental facts into their ultimate elements. Resemblance between two phenomena is more intelligible in itself than any explanation could make it, and under any classification must remain specifically distinct from the ordinary cases of sequence and co-existence.

It is sometimes said, that all propositions whatever, of which the predicate is a general name, do, in point of fact, affirm or deny resemblance. All such propositions affirm that a thing belongs to a class; but things being classed together according to their resemblance, every thing is of course classed with the things which it is supposed to resemble most; and thence, it may be said, when we affirm that Gold is a metal, or that Socrates is a man, the affirmation intended is, that gold resembles other metals, and Socrates other men, more nearly than they resemble the objects contained in any other of the classes co-ordinate with these.

There is some slight degree of foundation for this remark, but no more than a slight degree. The arrangement of things into classes, such as the class *metal*, or the class *man*, is grounded indeed on a resemblance among the things which are placed in the same class, but not on a mere general resemblance: the resemblance it is grounded on consists in the possession by all those things, of certain common peculiarities; and those peculiarities it is which the terms connote, and which the propositions consequently assert; not the resemblance. For though when I say, Gold is a metal, I say by implication that if there be any other metals it must resemble them, yet if there were no other metals I might still assert the proposition with the same meaning as at present, namely, that gold has the various properties implied in the word metal; just as it might be said, Christians are men, even if there were no men who were not Christians. Propositions, therefore, in which objects are referred to a class because they possess the attributes constituting the class, are so far from asserting nothing but resemblance, that they do not, properly speaking, assert resemblance at all.

But we remarked some time ago (and the reasons of the remark will be more fully entered into in a subsequent Book<sup>37</sup>) that there is sometimes a convenience in extending the boundaries of a class so as to include things which possess in a very inferior degree, if in any, some of the characteristic

properties of the class — provided they resemble that class more than any other, insomuch that the general propositions which are true of the class, will be nearer to being true of those things than any other equally general propositions. For instance, there are substances called metals which have very few of the properties by which metals are commonly recognized; and almost every great family of plants or animals has a few anomalous genera or species on its borders, which are admitted into it by a sort of courtesy, and concerning which it has been matter of discussion to what family they properly belonged. Now when the class-name is predicated of any object of this description, we do, by so predicating it, affirm resemblance and nothing more. And in order to be scrupulously correct it ought to be said, that in every case in which we predicate a general name, we affirm, not absolutely that the object possesses the properties designated by the name, but that it *either* possesses those properties, or if it does not, at any rate resembles the things which do so, more than it resembles any other things. In most cases, however, it is unnecessary to suppose any such alternative, the latter of the two grounds being very seldom that on which the assertion is made: and when it is, there is generally some slight difference in the form of the expression, as, This species (or genus) is *considered*, or *may be ranked*, as belonging to such and such a family: we should hardly say positively that it does belong to it, unless it possessed unequivocally the properties of which the class-name is scientifically significant.

There is still another exceptional case, in which, though the predicate is the name of a class, yet in predicating it we affirm nothing but resemblance, the class being founded not on resemblance in any given particular, but on general unanalyzable resemblance. The classes in question are those into which our simple sensations, or other simple feelings, are divided. Sensations of white, for instance, are classed together, not because we can take them to pieces, and say they are alike in this, and not alike in that, but because we feel them to be alike altogether, though in different degrees. When, therefore, I say, The color I saw yesterday was a white color, or, The sensation I feel is one of tightness, in both cases the attribute I affirm of the color or of the other sensation is mere resemblance — simple *likeness* to sensations which I have had before, and which have had those names bestowed upon them. The names of feelings, like other concrete general names, are connotative; but they

connote a mere resemblance. When predicated of any individual feeling, the information they convey is that of its likeness to the other feelings which we have been accustomed to call by the same name. Thus much may suffice in illustration of the kind of propositions in which the matter-of-fact asserted (or denied) is simple Resemblance.

Existence, Co-existence, Sequence, Causation, Resemblance: one or other of these is asserted (or denied) in every proposition which is not merely verbal. This five-fold division is an exhaustive classification of matters-of-fact; of all things that can be believed, or tendered for belief; of all questions that can be propounded, and all answers that can be returned to them.

Professor Bain<sup>38</sup> distinguishes two kinds of Propositions of Co-existence. "In the one kind, account is taken of Place; they may be described as propositions of Order in Place." In the other kind, the co-existence which is predicated is termed by Mr. Bain Co-inherence of Attributes. "This is a distinct variety of Propositions of Co-existence. Instead of an arrangement in place with numerical intervals, we have the concurrence of two or more attributes or powers in the same part or locality. A mass of gold contains, in every atom, the concurring attributes that mark the substance — weight, hardness, color, lustre, incorrosibility, etc. An animal, besides having parts situated in place, has co-inhering functions in the same parts, exerted by the very same masses and molecules of its substance. . . . The Mind, which affords no Propositions of Order in Place, has co-inhering functions. We affirm mind to contain Feeling, Will, and Thought, not in local separation, but in commingling exercise. The concurring properties of minerals, of plants, and of the bodily and the mental structure of animals, are united in affirmations of co-inherence."

The distinction is real and important. But, as has been seen, an Attribute, when it is any thing but a simple unanalyzable Resemblance between the subject and some other things, consists in causing impressions of some sort on consciousness. Consequently, the co-inherence of two attributes is but the co-existence of the two states of consciousness implied in their meaning; with the difference, however, that this co-existence is sometimes potential only, the attribute being

considered as in existence, though the fact on which it is grounded may not be actually, but only potentially present. Snow, for instance, is, with great convenience, said to be white even in a state of total darkness, because, though we are not now conscious of the color, we shall be conscious of it as soon as morning breaks. Co-inherence of attributes is therefore still a case, though a complex one, of co-existence of states of consciousness; a totally different thing, however, from Order in Place. Being a part of simultaneity, it belongs not to Place but to Time.

We may therefore (and we shall sometimes find it a convenience) instead of Co-existence and Sequence, say, for greater particularity, Order in Place and Order in Time: Order in Place being a specific mode of co-existence, not necessary to be more particularly analyzed here; while the mere fact of co-existence, whether between actual sensations, or between the potentialities of causing them, known by the name of attributes, may be classed, together with Sequence, under the head of Order in Time.

§ 7. In the foregoing inquiry into the import of propositions, we have thought it necessary to analyze directly those alone, in which the terms of the proposition (or the predicate at least) are concrete terms. But, in doing so, we have indirectly analyzed those in which the terms are abstract. The distinction between an abstract term and its corresponding concrete, does not turn upon any difference in what they are appointed to signify; for the real signification of a concrete general name is, as we have so often said, its connotation; and what the concrete term connotes, forms the entire meaning of the abstract name. Since there is nothing in the import of an abstract name which is not in the import of the corresponding concrete, it is natural to suppose that neither can there be any thing in the import of a proposition of which the terms are abstract, but what there is in some proposition which can be framed of concrete terms.

And this presumption a closer examination will confirm. An abstract name is the name of an attribute, or combination of attributes. The corresponding concrete is a name given to things, because of, and in order to express, their possessing that attribute, or that combination of attributes. When, therefore, we predicate of any thing a concrete name, the attribute is what we in reality predicate of it. But it has now been shown that in all propositions of which the predicate is a concrete name, what is

really predicated is one of five things: Existence, Co-existence, Causation, Sequence, or Resemblance. An attribute, therefore, is necessarily either an existence, a co-existence, a causation, a sequence, or a resemblance. When a proposition consists of a subject and predicate which are abstract terms, it consists of terms which must necessarily signify one or other of these things. When we predicate of any thing an abstract name, we affirm of the thing that it is one or other of these five things; that it is a case of Existence, or of Co-existence, or of Causation, or of Sequence, or of Resemblance.

It is impossible to imagine any proposition expressed in abstract terms, which can not be transformed into a precisely equivalent proposition in which the terms are concrete; namely, either the concrete names which connote the attributes themselves, or the names of the *fundamenta* of those attributes; the facts or phenomena on which they are grounded. To illustrate the latter case, let us take this proposition, of which the subject only is an abstract name, "Thoughtlessness is dangerous." Thoughtlessness is an attribute, grounded on the facts which we call thoughtless actions; and the proposition is equivalent to this, Thoughtless actions are dangerous. In the next example the predicate as well as the subject are abstract names: "Whiteness is a color;" or "The color of snow is a whiteness." These attributes being grounded on sensations, the equivalent propositions in the concrete would be, The sensation of white is one of the sensations called those of color — The sensation of sight, caused by looking at snow, is one of the sensations called sensations of white. In these propositions, as we have before seen, the matter-of-fact asserted is a Resemblance. In the following examples, the concrete terms are those which directly correspond to the abstract names; connoting the attribute which these denote. "Prudence is a virtue:" this may be rendered, "All prudent persons, *in so far as* prudent, are virtuous:" "Courage is deserving of honor;" thus, "All courageous persons are deserving of honor *in so far as* they are courageous:" which is equivalent to this — "All courageous persons deserve an addition to the honor, or a diminution of the disgrace, which would attach to them on other grounds."

In order to throw still further light upon the import of propositions of which the terms are abstract, we will subject one of the examples given

above to a minuter analysis. The proposition we shall select is the following: "Prudence is a virtue." Let us substitute for the word virtue an equivalent but more definite expression, such as "a mental quality beneficial to society," or "a mental quality pleasing to God," or whatever else we adopt as the definition of virtue. What the proposition asserts is a sequence, accompanied with causation; namely, that benefit to society, or that the approval of God, is consequent on, and caused by, prudence. Here is a sequence; but between what? We understand the consequent of the sequence, but we have yet to analyze the antecedent. Prudence is an attribute; and, in connection with it, two things besides itself are to be considered; prudent persons, who are the *subjects* of the attribute, and prudential conduct, which may be called the *foundation* of it. Now is either of these the antecedent? and, first, is it meant, that the approval of God, or benefit to society, is attendant upon all prudent *persons*? No; except *in so far* as they are prudent; for prudent persons who are scoundrels can seldom, on the whole, be beneficial to society, nor can they be acceptable to a good being. Is it upon prudential *conduct*, then, that divine approbation and benefit to mankind are supposed to be invariably consequent? Neither is this the assertion meant, when it is said that prudence is a virtue; except with the same reservation as before, and for the same reason, namely, that prudential conduct, although in *so far as* it is prudential it is beneficial to society, may yet, by reason of some other of its qualities, be productive of an injury outweighing the benefit, and deserve a displeasure exceeding the approbation which would be due to the prudence. Neither the substance, therefore (*viz.*, the person), nor the phenomenon (the conduct), is an antecedent on which the other term of the sequence is universally consequent. But the proposition, "Prudence is a virtue," is a universal proposition. What is it, then, upon which the proposition affirms the effects in question to be universally consequent? Upon that in the person, and in the conduct, which causes them to be called prudent, and which is equally in them when the action, though prudent, is wicked; namely, a correct foresight of consequences, a just estimation of their importance to the object in view, and repression of any unreflecting impulse at variance with the deliberate purpose. These, which are states of the person's mind, are the real antecedent in the sequence, the real cause in the causation, asserted by the proposition. But these are

also the real ground, or foundation, of the attribute Prudence; since wherever these states of mind exist we may predicate prudence, even before we know whether any conduct has followed. And in this manner every assertion respecting an attribute, may be transformed into an assertion exactly equivalent respecting the fact or phenomenon which is the ground of the attribute. And no case can be assigned, where that which is predicated of the fact or phenomenon, does not belong to one or other of the five species formerly enumerated: it is either simple Existence, or it is some Sequence, Co-existence, Causation, or Resemblance.

And as these five are the only things which can be affirmed, so are they the only things which can be denied. "No horses are web-footed" denies that the attributes of a horse ever co-exist with web-feet. It is scarcely necessary to apply the same analysis to Particular affirmations and negations. "Some birds are web-footed," affirms that, with the attributes connoted by *bird*, the phenomenon web-feet is sometimes co-existent: "Some birds are not web-footed," asserts that there are other instances in which this co-existence does not have place. Any further explanation of a thing which, if the previous exposition has been assented to, is so obvious, may here be spared.

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<sup>30</sup> Dr. Whewell (*Philosophy of Discovery*, p. 242) questions this statement, and asks, "Are we to say that a mole can not dig the ground, except he has an idea of the ground, and of the snout and paws with which he digs it?" I do not know what passes in a mole's mind, nor what amount of mental apprehension may or may not accompany his instinctive actions. But a human being does not use a spade by instinct; and he certainly could not use it unless he had knowledge of a spade, and of the earth which he uses it upon.

<sup>31</sup> Professor Bain remarks, in qualification of the statement in the text (*Logic*, i., 50), that the word Class has two meanings; "the class definite, and the class indefinite. The class definite is an enumeration of actual individuals, as the Peers of the Realm, the oceans of the globe, the known planets. . . . The class indefinite is unenumerated. Such classes are stars, planets, gold-bearing rocks, men, poets, virtuous. . . . In this last acceptance of the word, class name and general name are identical. The class name denotes an indefinite number of individuals, and connotes the points of community or likeness."

The theory controverted in the text, tacitly supposes all classes to be *definite*. I have assumed them to be indefinite; because, for the purposes of Logic, definite classes, as such, are almost useless; though often serviceable as means of abridged expression. (Vide infra, book iii., chap. ii.)

<sup>32</sup> "From hence also this may be deduced, that the first truths were arbitrarily made by those that first of all imposed names upon things, or received them from the imposition of others. For it is true (for example) that *man is a living creature*, but it is for this reason, that it pleased men to impose both these names on the same thing." — *Computation or Logic*, chap. iii., sect. 8.

<sup>33</sup> "Men are subject to err not only in affirming and denying, but also in perception, and in silent cogitation. . . . Tacit errors, or the errors of sense and cogitation, are made by passing from one imagination to the imagination of another different thing; or by feigning that to be past, or future, which never was, nor ever shall be; as when by seeing the image of the sun in water, we imagine the sun itself to be there; or by seeing swords, that there has been, or shall be, fighting, because it used to be so for the most part; or when from promises we feign the mind of the promiser to be such and such; or, lastly, when from any sign we vainly imagine something to be signified which is not. And errors of this sort are common to all things that have sense." — *Computation or Logic*, chap. v., sect. 1.

<sup>34</sup> Chap. iii., sect 3.

<sup>35</sup> To the preceding statement it has been objected, that "we naturally construe the subject of a proposition in its extension, and the predicate (which therefore may be an adjective) in its intension (connotation): and that consequently co-existence of attributes does not, any more than the opposite theory of equation of groups, correspond with the living processes of thought and language." I acknowledge the distinction here drawn, which, indeed, I had myself laid down and exemplified a few pages back (p. 77). But though it is true that we naturally "construe the subject of a proposition in its extension," this extension, or in other words, the extent of the class denoted by the name, is not apprehended or indicated directly. It is both apprehended and indicated solely through the attributes. In the "living processes of thought and language" the extension, though in this case really thought of (which in the case of the predicate it is not), is thought of only through the medium of what my acute and courteous critic terms the "intension."

For further illustrations of this subject, see *Examination of Sir William Hamilton's Philosophy*, chap. xxii.

<sup>36</sup> Professor Bain, in his *Logic* (i., 256), excludes Existence from the list, considering it as a mere name. All propositions, he says, which predicate



mere existence "are more or less abbreviated, or elliptical: when fully expressed they fall under either co-existence or succession. When we say there *exists* a conspiracy for a particular purpose, we mean that at the present time a body of men have formed themselves into a society for a particular object; which is a complex affirmation, resolvable into propositions of co-existence and succession (as causation). The assertion that the dodo does not exist, points to the fact that this animal, once known in a certain place, has disappeared or become extinct; is no longer associated with the locality: all which may be better stated without the use of the verb 'exist.' There is a debated question — Does an ether exist? but the concrete form would be this — 'Are heat and light and other radiant influences propagated by an ethereal medium diffused in space;' which is a proposition of causation. In like manner the question of the Existence of a Deity can not be discussed in that form. It is properly a question as to the First *Cause* of the Universe, and as to the continued exertion of that Cause in providential superintendence." (i., 407.)

Mr. Bain thinks it "fictitious and unmeaning language" to carry up the classification of Nature to one *summum genus*, Being, or that which Exists; since nothing can be perceived or apprehended but by way of contrast with something else (of which important truth, under the name of Law of Relativity, he has been in our time the principal expounder and champion), and we have no other class to oppose to Being, or fact to contrast with Existence.

I accept fully Mr. Bain's Law of Relativity, but I do not understand by it that to enable us to apprehend or be conscious of any fact, it is necessary that we should contrast it with some other positive fact. The antithesis necessary to consciousness need not, I conceive, be an antithesis between two positives; it may be between one positive and its negative. Hobbes was undoubtedly right when he said that a single sensation indefinitely prolonged would cease to be felt at all; but simple intermission, without other change, would restore it to consciousness. In order to be conscious of heat, it is not necessary that we should pass to it from cold; it suffices that we should pass to it from a state of no sensation, or from a sensation of some other kind. The relative opposite of Being, considered as a *summum genus*, is Nonentity, or Nothing; and we have, now and then, occasion to consider and discuss things merely in contrast with Nonentity.

I grant that the *decision* of questions of Existence usually if not always depends on a previous question of either Causation or Co-existence. But Existence is nevertheless a different thing from Causation or Co-existence, and can be predicated apart from them. The meaning of the abstract name Existence, and the connotation of the concrete name Being, consist, like the meaning of all other names, in sensations or states of consciousness: their peculiarity is that to exist, is to excite, or be capable of exciting, *any* sensations or states of consciousness: no matter what, but it is

indispensable that there should be some. It was from overlooking this that Hegel, finding that Being is an abstraction reached by thinking away all particular attributes, arrived at the self-contradictory proposition on which he founded all his philosophy, that Being is the same as Nothing. It is really the name of Something, taken in the most comprehensive sense of the word.

<sup>37</sup> Book iv., chap. vii.

<sup>38</sup> *Logic*, i., 103–105.



# CHAPTER VI.

## OF PROPOSITIONS MERELY VERBAL.

§ 1. As a preparation for the inquiry which is the proper object of Logic, namely, in what manner propositions are to be proved, we have found it necessary to inquire what they contain which requires, or is susceptible of, proof; or (which is the same thing) what they assert. In the course of this preliminary investigation into the import of Propositions, we examined the opinion of the Conceptualists, that a proposition is the expression of a relation between two ideas; and the doctrine of the extreme Nominalists, that it is the expression of an agreement or disagreement between the meanings of two names. We decided that, as general theories, both of these are erroneous; and that, though propositions may be made both respecting names and respecting ideas, neither the one nor the other are the subject-matter of Propositions considered generally. We then examined the different kinds of Propositions, and found that, with the exception of those which are merely verbal, they assert five different kinds of matters of fact, namely, Existence, Order in Place, Order in Time, Causation, and Resemblance; that in every proposition one of these five is either affirmed, or denied, of some fact or phenomenon, or of some object the unknown source of a fact or phenomenon.

In distinguishing, however, the different kinds of matters of fact asserted in propositions, we reserved one class of propositions, which do not relate to any matter of fact, in the proper sense of the term at all, but to the meaning of names. Since names and their signification are entirely arbitrary, such propositions are not, strictly speaking, susceptible of truth or falsity, but only of conformity or disconformity to usage or convention; and all the proof they are capable of, is proof of usage; proof that the words have been employed by others in the acceptation in which the speaker or writer desires to use them. These propositions occupy, however, a conspicuous place in philosophy; and their nature and characteristics are of as much importance in logic, as those of any of the other classes of propositions previously adverted to.

If all propositions respecting the signification of words were as simple and unimportant as those which served us for examples when examining Hobbes's theory of predication, viz., those of which the subject and predicate are proper names, and which assert only that those names have, or that they have not, been conventionally assigned to the same individual, there would be little to attract to such propositions the attention of philosophers. But the class of merely verbal propositions embraces not only much more than these, but much more than any propositions which at first sight present themselves as verbal; comprehending a kind of assertions which have been regarded not only as relating to things, but as having actually a more intimate relation with them than any other propositions whatever. The student in philosophy will perceive that I allude to the distinction on which so much stress was laid by the schoolmen, and which has been retained either under the same or under other names by most metaphysicians to the present day, viz., between what were called *essential*, and what were called *accidental*, propositions, and between essential and accidental properties or attributes.

§ 2. Almost all metaphysicians prior to Locke, as well as many since his time, have made a great mystery of Essential Predication, and of predicates which are said to be of the *essence* of the subject. The essence of a thing, they said, was that without which the thing could neither be, nor be conceived to be. Thus, rationality was of the essence of man, because without rationality, man could not be conceived to exist. The different attributes which made up the essence of the thing were called its essential properties; and a proposition in which any of these were predicated of it was called an Essential Proposition, and was considered to go deeper into the nature of the thing, and to convey more important information respecting it, than any other proposition could do. All properties, not of the essence of the thing, were called its accidents; were supposed to have nothing at all, or nothing comparatively, to do with its inmost nature; and the propositions in which any of these were predicated of it were called Accidental Propositions. A connection may be traced between this distinction, which originated with the schoolmen, and the well-known dogmas of *substantiæ secundæ* or general substances, and *substantial forms*, doctrines which under varieties of language pervaded alike the Aristotelian and the Platonic schools, and of which more of the spirit has

come down to modern times than might be conjectured from the disuse of the phraseology. The false views of the nature of classification and generalization which prevailed among the schoolmen, and of which these dogmas were the technical expression, afford the only explanation which can be given of their having misunderstood the real nature of those Essences which held so conspicuous a place in their philosophy. They said, truly, that *man* can not be conceived without rationality. But though *man* can not, a being may be conceived exactly like a man in all points except that one quality, and those others which are the conditions or consequences of it. All, therefore, which is really true in the assertion that man can not be conceived without rationality, is only, that if he had not rationality, he would not be reputed a man. There is no impossibility in conceiving the *thing*, nor, for aught we know, in its existing: the impossibility is in the conventions of language, which will not allow the thing, even if it exist, to be called by the name which is reserved for rational beings. Rationality, in short, is involved in the meaning of the word man: is one of the attributes connoted by the name. The essence of man, simply means the whole of the attributes connoted by the word; and any one of those attributes taken singly, is an essential property of man.

But these reflections, so easy to us, would have been difficult to persons who thought, as most of the later Aristotelians did, that objects were made what they were called, that gold (for instance) was made gold, not by the possession of certain properties to which mankind have chosen to attach that name, but by participation in the nature of a general substance, called gold in general, which substance, together with all the properties that belonged to it, *inhered* in every individual piece of gold.<sup>39</sup> As they did not consider these universal substances to be attached to all general names, but only to some, they thought that an object borrowed only a part of its properties from a universal substance, and that the rest belonged to it individually: the former they called its essence, and the latter its accidents. The scholastic doctrine of essences long survived the theory on which it rested, that of the existence of real entities corresponding to general terms; and it was reserved for Locke, at the end of the seventeenth century, to convince philosophers that the supposed essences of classes were merely the signification of their names; nor,

among the signal services which his writings rendered to philosophy, was there one more needful or more valuable.

Now, as the most familiar of the general names by which an object is designated usually connotes not one only, but several attributes of the object, each of which attributes separately forms also the bond of union of some class, and the meaning of some general name; we may predicate of a name which connotes a variety of attributes, another name which connotes only one of these attributes, or some smaller number of them than all. In such cases, the universal affirmative proposition will be true; since whatever possesses the whole of any set of attributes, must possess any part of that same set. A proposition of this sort, however, conveys no information to any one who previously understood the whole meaning of the terms. The propositions, Every man is a corporeal being, Every man is a living creature, Every man is rational, convey no knowledge to any one who was already aware of the entire meaning of the word *man*, for the meaning of the word includes all this: and that every *man* has the attributes connoted by all these predicates, is already asserted when he is called a man. Now, of this nature are all the propositions which have been called essential. They are, in fact, identical propositions.

It is true that a proposition which predicates any attribute, even though it be one implied in the name, is in most cases understood to involve a tacit assertion that there *exists* a thing corresponding to the name, and possessing the attributes connoted by it; and this implied assertion may convey information, even to those who understood the meaning of the name. But all information of this sort, conveyed by all the essential propositions of which man can be made the subject, is included in the assertion, Men exist. And this assumption of real existence is, after all, the result of an imperfection of language. It arises from the ambiguity of the copula, which, in addition to its proper office of a mark to show that an assertion is made, is also, as formerly remarked, a concrete word connoting existence. The actual existence of the subject of the proposition is therefore only apparently, not really, implied in the predication, if an essential one: we may say, A ghost is a disembodied spirit, without believing in ghosts. But an accidental, or non-essential, affirmation, does imply the real existence of the subject, because in the case of a non-

existent subject there is nothing for the proposition to assert. Such a proposition as, The ghost of a murdered person haunts the couch of the murderer, can only have a meaning if understood as implying a belief in ghosts; for since the signification of the word ghost implies nothing of the kind, the speaker either means nothing, or means to assert a thing which he wishes to be believed to have really taken place.

It will be hereafter seen that when any important consequences seem to follow, as in mathematics, from an essential proposition, or, in other words, from a proposition involved in the meaning of a name, what they really flow from is the tacit assumption of the real existence of the objects so named. Apart from this assumption of real existence, the class of propositions in which the predicate is of the essence of the subject (that is, in which the predicate connotes the whole or part of what the subject connotes, but nothing besides) answer no purpose but that of unfolding the whole or some part of the meaning of the name, to those who did not previously know it. Accordingly, the most useful, and in strictness the only useful kind of essential propositions, are Definitions: which, to be complete, should unfold the whole of what is involved in the meaning of the word defined; that is (when it is a connotative word), the whole of what it connotes. In defining a name, however, it is not usual to specify its entire connotation, but so much only as is sufficient to mark out the objects usually denoted by it from all other known objects. And sometimes a merely accidental property, not involved in the meaning of the name, answers this purpose equally well. The various kinds of definition which these distinctions give rise to, and the purposes to which they are respectively subservient, will be minutely considered in the proper place.

§ 3. According to the above view of essential propositions, no proposition can be reckoned such which relates to an individual by name, that is, in which the subject is a proper name. Individuals have no essences. When the schoolmen talked of the essence of an individual, they did not mean the properties implied in its name, for the names of individuals imply no properties. They regarded as of the essence of an individual, whatever was of the essence of the species in which they were accustomed to place that individual; *i.e.*, of the class to which it was most familiarly referred, and to which, therefore, they conceived that it by

nature belonged. Thus, because the proposition Man is a rational being, was an essential proposition, they affirmed the same thing of the proposition, Julius Cæsar is a rational being. This followed very naturally if genera and species were to be considered as entities, distinct from, but *inhering* in, the individuals composing them. If *man* was a substance inhering in each individual man, the *essence* of man (whatever that might mean) was naturally supposed to accompany it; to inhere in John Thompson, and to form the *common essence* of Thompson and Julius Cæsar. It might then be fairly said, that rationality, being of the essence of Man, was of the essence also of Thompson. But if Man altogether be only the individual men and a name bestowed upon them in consequence of certain common properties, what becomes of John Thompson's essence?

A fundamental error is seldom expelled from philosophy by a single victory. It retreats slowly, defends every inch of ground, and often, after it has been driven from the open country, retains a footing in some remote fastness. The essences of individuals were an unmeaning figment arising from a misapprehension of the essences of classes, yet even Locke, when he extirpated the parent error, could not shake himself free from that which was its fruit. He distinguished two sorts of essences, Real and Nominal. His nominal essences were the essences of classes, explained nearly as we have now explained them. Nor is any thing wanting to render the third book of Locke's Essay a nearly unexceptional treatise on the connotation of names, except to free its language from the assumption of what are called Abstract Ideas, which unfortunately is involved in the phraseology, though not necessarily connected with the thoughts contained in that immortal Third Book.<sup>40</sup> But besides nominal essences, he admitted real essences, or essences of individual objects, which he supposed to be the causes of the sensible properties of those objects. We know not (said he) what these are (and this acknowledgment rendered the fiction comparatively innocuous); but if we did, we could, from them alone, demonstrate the sensible properties of the object, as the properties of the triangle are demonstrated from the definition of the triangle. I shall have occasion to revert to this theory in treating of Demonstration, and of the conditions under which one property of a thing admits of being demonstrated from another property. It is enough here to remark that, according to this definition, the real essence of an object has, in the



progress of physics, come to be conceived as nearly equivalent, in the case of bodies, to their corpuscular structure: what it is now supposed to mean in the case of any other entities, I would not take upon myself to define.

§ 4. An essential proposition, then, is one which is purely verbal; which asserts of a thing under a particular name, only what is asserted of it in the fact of calling it by that name; and which, therefore, either gives no information, or gives it respecting the name, not the thing. Non-essential, or accidental propositions, on the contrary, may be called Real Propositions, in opposition to Verbal. They predicate of a thing some fact not involved in the signification of the name by which the proposition speaks of it; some attribute not connoted by that name. Such are all propositions concerning things individually designated, and all general or particular propositions in which the predicate connotes any attribute not connoted by the subject. All these, if true, add to our knowledge: they convey information, not already involved in the names employed. When I am told that all, or even that some objects, which have certain qualities, or which stand in certain relations, have also certain other qualities, or stand in certain other relations, I learn from this proposition a new fact; a fact not included in my knowledge of the meaning of the words, nor even of the existence of Things answering to the signification of those words. It is this class of propositions only which are in themselves instructive, or from which any instructive propositions can be inferred.<sup>41</sup>

Nothing has probably contributed more to the opinion so long prevalent of the futility of the school logic, than the circumstance that almost all the examples used in the common school books to illustrate the doctrine of predication and that of the syllogism, consist of essential propositions. They were usually taken either from the branches or from the main trunk of the Predicamental Tree, which included nothing but what was of the *essence* of the species: *Omne corpus est substantia*, *Omne animal est corpus*, *Omnis homo est corpus*, *Omnis homo est animal*, *Omnis homo est rationalis*, and so forth. It is far from wonderful that the syllogistic art should have been thought to be of no use in assisting correct reasoning, when almost the only propositions which, in the hands of its professed teachers, it was employed to prove, were such as every one assented to without proof the moment he comprehended the meaning of

the words; and stood exactly on a level, in point of evidence, with the premises from which they were drawn. I have, therefore, throughout this work, avoided the employment of essential propositions as examples, except where the nature of the principle to be illustrated specifically required them.

§ 5. With respect to propositions which do convey information — which assert something of a Thing, under a name that does not already presuppose what is about to be asserted; there are two different aspects in which these, or rather such of them as are general propositions, may be considered: we may either look at them as portions of speculative truth, or as memoranda for practical use. According as we consider propositions in one or the other of these lights, their import may be conveniently expressed in one or in the other of two formulas.

According to the formula which we have hitherto employed, and which is best adapted to express the import of the proposition as a portion of our theoretical knowledge, All men are mortal, means that the attributes of man are always accompanied by the attribute mortality: No men are gods, means that the attributes of man are never accompanied by the attributes, or at least never by all the attributes, signified by the word god. But when the proposition is considered as a memorandum for practical use, we shall find a different mode of expressing the same meaning better adapted to indicate the office which the proposition performs. The practical use of a proposition is, to apprise or remind us what we have to expect, in any individual case which comes within the assertion contained in the proposition. In reference to this purpose, the proposition, All men are mortal, means that the attributes of man are *evidence of*, are a *mark* of, mortality; an indication by which the presence of that attribute is made manifest. No men are gods, means that the attributes of man are a mark or evidence that some or all of the attributes understood to belong to a god are not there; that where the former are, we need not expect to find the latter.

These two forms of expression are at bottom equivalent; but the one points the attention more directly to what a proposition means, the latter to the manner in which it is to be used.

Now it is to be observed that Reasoning (the subject to which we are next to proceed) is a process into which propositions enter not as ultimate results, but as means to the establishment of other propositions. We may expect, therefore, that the mode of exhibiting the import of a general proposition which shows it in its application to practical use, will best express the function which propositions perform in Reasoning. And accordingly, in the theory of Reasoning, the mode of viewing the subject which considers a Proposition as asserting that one fact or phenomenon is a *mark* or *evidence* of another fact or phenomenon, will be found almost indispensable. For the purposes of that Theory, the best mode of defining the import of a proposition is not the mode which shows most clearly what it is in itself, but that which most distinctly suggests the manner in which it may be made available for advancing from it to other propositions.

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<sup>39</sup> The doctrines which prevented the real meaning of Essences from being understood, had not assumed so settled a shape in the time of Aristotle and his immediate followers, as was afterward given to them by the Realists of the Middle Ages. Aristotle himself (in his Treatise on the Categories) expressly denies that the δευτεραι ουσιαι, or Substantiæ Secundæ, inhere in a subject. They are only, he says, predicated of it.

<sup>40</sup> The always acute and often profound author of *An Outline of Sematology* (Mr. B. H. Smart) justly says, "Locke will be much more intelligible, if, in the majority of places, we substitute 'the knowledge of' for what he calls 'the Idea of' " (p. 10). Among the many criticisms on Locke's use of the word Idea, this is the one which, as it appears to me, most nearly hits the mark; and I quote it for the additional reason that it precisely expresses the point of difference respecting the import of Propositions, between my view and what I have spoken of as the Conceptualist view of them. Where a Conceptualist says that a name or a proposition expresses our Idea of a thing, I should generally say (instead of our Idea) our Knowledge, or Belief, concerning the thing itself.

<sup>41</sup> This distinction corresponds to that which is drawn by Kant and other metaphysicians between what they term *analytic* and *synthetic*, judgments; the former being those which can be evolved from the meaning of the terms used.



# CHAPTER VII.

## OF THE NATURE OF CLASSIFICATION, AND THE FIVE PREDICABLES.

§ 1. In examining into the nature of general propositions, we have adverted much less than is usual with logicians to the ideas of a Class, and Classification; ideas which, since the Realist doctrine of General Substances went out of vogue, have formed the basis of almost every attempt at a philosophical theory of general terms and general propositions. We have considered general names as having a meaning, quite independently of their being the names of classes. That circumstance is in truth accidental, it being wholly immaterial to the signification of the name whether there are many objects, or only one, to which it happens to be applicable, or whether there be any at all. God is as much a general term to the Christian or Jew as to the Polytheist; and dragon, hippogriff, chimera, mermaid, ghost, are as much so as if real objects existed, corresponding to those names. Every name the signification of which is constituted by attributes, is potentially a name of an indefinite number of objects; but it needs not be actually the name of any; and if of any, it may be the name of only one. As soon as we employ a name to connote attributes, the things, be they more or fewer, which happen to possess those attributes, are constituted *ipso facto* a class. But in predicating the name we predicate only the attributes; and the fact of belonging to a class does not, in many cases, come into view at all.

Although, however, Predication does not presuppose Classification, and though the theory of Names and of Propositions is not cleared up, but only encumbered, by intruding the idea of classification into it, there is nevertheless a close connection between Classification and the employment of General Names. By every general name which we introduce, we create a class, if there be any things, real or imaginary, to compose it; that is, any Things corresponding to the signification of the name. Classes, therefore, mostly owe their existence to general language. But general language, also, though that is not the most common case,

sometimes owes its existence to classes. A general, which is as much as to say a significant, name, is indeed mostly introduced because we have a signification to express by it; because we need a word by means of which to predicate the attributes which it connotes. But it is also true that a name is sometimes introduced because we have found it convenient to create a class; because we have thought it useful for the regulation of our mental operations, that a certain group of objects should be thought of together. A naturalist, for purposes connected with his particular science, sees reason to distribute the animal or vegetable creation into certain groups rather than into any others, and he requires a name to bind, as it were, each of his groups together. It must not, however, be supposed that such names, when introduced, differ in any respect, as to their mode of signification, from other connotative names. The classes which they denote are, as much as any other classes, constituted by certain common attributes, and their names are significant of those attributes, and of nothing else. The names of Cuvier's classes and orders, *Plantigrades*, *Digitigrades*, etc., are as much the expression of attributes as if those names had preceded, instead of grown out of, his classification of animals. The only peculiarity of the case is, that the convenience of classification was here the primary motive for introducing the names; while in other cases the name is introduced as a means of predication, and the formation of a class denoted by it is only an indirect consequence.

The principles which ought to regulate Classification, as a logical process subservient to the investigation of truth, can not be discussed to any purpose until a much later stage of our inquiry. But, of Classification as resulting from, and implied in, the fact of employing general language, we can not forbear to treat here, without leaving the theory of general names, and of their employment in predication, mutilated and formless.

§ 2. This portion of the theory of general language is the subject of what is termed the doctrine of the Predicables; a set of distinctions handed down from Aristotle, and his follower Porphyry, many of which have taken a firm root in scientific, and some of them even in popular, phraseology. The predicables are a fivefold division of General Names, not grounded as usual on a difference in their meaning, that is, in the attribute which they

connote, but on a difference in the kind of class which they denote. We may predicate of a thing five different varieties of class-name:

A *genus* of the thing: (γε`νος). A *species*: (εἶδος). A *differentia*: (διαφορα`ν). A *proprium*: (ιδιων). An *accidens*: (συμβεβηκος).

It is to be remarked of these distinctions, that they express, not what the predicate is in its own meaning, but what relation it bears to the subject of which it happens on the particular occasion to be predicated. There are not some names which are exclusively genera, and others which are exclusively species, or differentia; but the same name is referred to one or another predicable, according to the subject of which it is predicated on the particular occasion. *Animal*, for instance, is a genus with respect to man, or John; a species with respect to Substance, or Being. *Rectangular* is one of the Differentia of a geometrical square; it is merely one of the Accidentia of the table at which I am writing. The words genus, species, etc., are therefore relative terms; they are names applied to certain predicates, to express the relation between them and some given subject: a relation grounded, as we shall see, not on what the predicate connotes, but on the class which it denotes, and on the place which, in some given classification, that class occupies relatively to the particular subject.

§ 3. Of these five names, two, Genus and Species, are not only used by naturalists in a technical acceptation not precisely agreeing with their philosophical meaning, but have also acquired a popular acceptation, much more general than either. In this popular sense any two classes, one of which includes the whole of the other and more, may be called a Genus and a Species. Such, for instance, are Animal and Man; Man and Mathematician. Animal is a Genus; Man and Brute are its two species; or we may divide it into a greater number of species, as man, horse, dog, etc. *Biped*, or *two-footed animal*, may also be considered a genus, of which man and bird are two species. *Taste* is a genus, of which sweet taste, sour taste, salt taste, etc., are species. *Virtue* is a genus; justice, prudence, courage, fortitude, generosity, etc., are its species.

The same class which is a genus with reference to the sub-classes or species included in it, may be itself a species with reference to a more comprehensive, or, as it is often called, a superior genus. Man is a species with reference to animal, but a genus with reference to the species

Mathematician. Animal is a genus, divided into two species, man and brute; but animal is also a species, which, with another species, vegetable, makes up the genus, organized being. Biped is a genus with reference to man and bird, but a species with respect to the superior genus, animal. Taste is a genus divided into species, but also a species of the genus sensation. Virtue, a genus with reference to justice, temperance, etc., is one of the species of the genus, mental quality.

In this popular sense the words Genus and Species have passed into common discourse. And it should be observed that in ordinary parlance, not the name of the class, but the class itself, is said to be the genus or species; not, of course, the class in the sense of each individual of the class, but the individuals collectively, considered as an aggregate whole; the name by which the class is designated being then called not the genus or species, but the generic or specific name. And this is an admissible form of expression; nor is it of any importance which of the two modes of speaking we adopt, provided the rest of our language is consistent with it; but, if we call the class itself the genus, we must not talk of predicating the genus. We predicate of man the *name* mortal; and by predicating the name, we may be said, in an intelligible sense, to predicate what the name expresses, the *attribute* mortality; but in no allowable sense of the word predication do we predicate of man the *class* mortal. We predicate of him the fact of belonging to the class.

By the Aristotelian logicians, the terms genus and species were used in a more restricted sense. They did not admit every class which could be divided into other classes to be a genus, or every class which could be included in a larger class to be a species. Animal was by them considered a genus; man and brute co-ordinate species under that genus: *biped*, however, would not have been admitted to be a genus with reference to man, but a *proprium* or *accidens* only. It was requisite, according to their theory, that genus and species should be of the *essence* of the subject. Animal was of the essence of man; biped was not. And in every classification they considered some one class as the lowest or *infima* species. Man, for instance, was a lowest species. Any further divisions into which the class might be capable of being broken down, as man into white,



black, and red man, or into priest and layman, they did not admit to be species.

It has been seen, however, in the preceding chapter, that the distinction between the essence of a class, and the attributes or properties which are not of its essence — a distinction which has given occasion to so much abstruse speculation, and to which so mysterious a character was formerly, and by many writers is still, attached — amounts to nothing more than the difference between those attributes of the class which are, and those which are not, involved in the signification of the class-name. As applied to individuals, the word Essence, we found, has no meaning, except in connection with the exploded tenets of the Realists; and what the schoolmen chose to call the essence of an individual, was simply the essence of the class to which that individual was most familiarly referred.

Is there no difference, then, save this merely verbal one, between the classes which the schoolmen admitted to be genera or species, and those to which they refused the title? Is it an error to regard some of the differences which exist among objects as differences *in kind* (*genere* or *specie*), and others only as differences in the accidents? Were the schoolmen right or wrong in giving to some of the classes into which things may be divided, the name of *kinds*, and considering others as secondary divisions, grounded on differences of a comparatively superficial nature? Examination will show that the Aristotelians did mean something by this distinction, and something important; but which, being but indistinctly conceived, was inadequately expressed by the phraseology of essences, and the various other modes of speech to which they had recourse.

§ 4. It is a fundamental principle in logic, that the power of framing classes is unlimited, as long as there is any (even the smallest) difference to found a distinction upon. Take any attribute whatever, and if some things have it, and others have not, we may ground on the attribute a division of all things into two classes; and we actually do so, the moment we create a name which connotes the attribute. The number of possible classes, therefore, is boundless; and there are as many actual classes (either of real or of imaginary things) as there are general names, positive and negative together.

But if we contemplate any one of the classes so formed, such as the class animal or plant, or the class sulphur or phosphorus, or the class white or red, and consider in what particulars the individuals included in the class differ from those which do not come within it, we find a very remarkable diversity in this respect between some classes and others. There are some classes, the things contained in which differ from other things only in certain particulars which may be numbered, while others differ in more than can be numbered, more even than we need ever expect to know. Some classes have little or nothing in common to characterize them by, except precisely what is connoted by the name: white things, for example, are not distinguished by any common properties except whiteness; or if they are, it is only by such as are in some way dependent on, or connected with, whiteness. But a hundred generations have not exhausted the common properties of animals or of plants, of sulphur or of phosphorus; nor do we suppose them to be exhaustible, but proceed to new observations and experiments, in the full confidence of discovering new properties which were by no means implied in those we previously knew. While, if any one were to propose for investigation the common properties of all things which are of the same color, the same shape, or the same specific gravity, the absurdity would be palpable. We have no ground to believe that any such common properties exist, except such as may be shown to be involved in the supposition itself, or to be derivable from it by some law of causation. It appears, therefore, that the properties, on which we ground our classes, sometimes exhaust all that the class has in common, or contain it all by some mode of implication; but in other instances we make a selection of a few properties from among not only a greater number, but a number inexhaustible by us, and to which as we know no bounds, they may, so far as we are concerned, be regarded as infinite.

There is no impropriety in saying that, of these two classifications, the one answers to a much more radical distinction in the things themselves, than the other does. And if any one even chooses to say that the one classification is made by nature, the other by us for our convenience, he will be right; provided he means no more than this: Where a certain apparent difference between things (though perhaps in itself of little moment) answers to we know not what number of other differences,

pervading not only their known properties, but properties yet undiscovered, it is not optional but imperative to recognize this difference as the foundation of a specific distinction; while, on the contrary, differences that are merely finite and determinate, like those designated by the words white, black, or red, may be disregarded if the purpose for which the classification is made does not require attention to those particular properties. The differences, however, are made by nature, in both cases; while the recognition of those differences as grounds of classification and of naming, is, equally in both cases, the act of man: only in the one case, the ends of language and of classification would be subverted if no notice were taken of the difference, while in the other case, the necessity of taking notice of it depends on the importance or unimportance of the particular qualities in which the difference happens to consist.

Now, these classes, distinguished by unknown multitudes of properties, and not solely by a few determinate ones — which are parted off from one another by an unfathomable chasm, instead of a mere ordinary ditch with a visible bottom — are the only classes which, by the Aristotelian logicians, were considered as genera or species. Differences which extended only to a certain property or properties, and there terminated, they considered as differences only in the *accidents* of things; but where any class differed from other things by an infinite series of differences, known and unknown, they considered the distinction as one of *kind*, and spoke of it as being an *essential* difference, which is also one of the current meanings of that vague expression at the present day.

Conceiving the schoolmen to have been justified in drawing a broad line of separation between these two kinds of classes and of class-distinctions, I shall not only retain the division itself, but continue to express it in their language. According to that language, the proximate (or lowest) Kind to which any individual is referrible, is called its species. Conformably to this, Isaac Newton would be said to be of the species man. There are indeed numerous sub-classes included in the class man, to which Newton also belongs; for example, Christian, and Englishman, and Mathematician. But these, though distinct classes, are not, in our sense of the term, distinct Kinds of men. A Christian, for example, differs from other human beings; but he differs only in the attribute which the word

expresses, namely, belief in Christianity, and whatever else that implies, either as involved in the fact itself, or connected with it through some law of cause and effect. We should never think of inquiring what properties, unconnected with Christianity, either as cause or effect, are common to all Christians and peculiar to them; while in regard to all Men, physiologists are perpetually carrying on such an inquiry; nor is the answer ever likely to be completed. Man, therefore, we may call a species; Christian, or Mathematician, we can not.

Note here, that it is by no means intended to imply that there may not be different Kinds, or logical species, of man. The various races and temperaments, the two sexes, and even the various ages, may be differences of kind, within our meaning of the term. I do not say that they are so. For in the progress of physiology it may almost be said to be made out, that the differences which really exist between different races, sexes, etc., follow as consequences, under laws of nature, from a small number of primary differences which can be precisely determined, and which, as the phrase is, *account for* all the rest. If this be so, these are not distinctions in kind; no more than Christian, Jew, Mussulman, and Pagan, a difference which also carries many consequences along with it. And in this way classes are often mistaken for real Kinds, which are afterward proved not to be so. But if it turned out that the differences were not capable of being thus accounted for, then Caucasian, Mongolian, Negro, etc., would be really different Kinds of human beings, and entitled to be ranked as species by the logician; though not by the naturalist. For (as already noticed) the word species is used in a different signification in logic and in natural history. By the naturalist, organized beings are not usually said to be of different species, if it is supposed that they have descended from the same stock. That, however, is a sense artificially given to the word, for the technical purposes of a particular science. To the logician, if a negro and a white man differ in the same manner (however less in degree) as a horse and a camel do, that is, if their differences are inexhaustible, and not referrible to any common cause, they are different species, whether they are descended from common ancestors or not. But if their differences can all be traced to climate and habits, or to some one or a few special differences in structure, they are not, in the logician's view, specifically distinct.

When the *infima species*, or proximate Kind, to which an individual belongs, has been ascertained, the properties common to that Kind include necessarily the whole of the common properties of every other real Kind to which the individual can be referrible. Let the individual, for example, be Socrates, and the proximate Kind, man. Animal, or living creature, is also a real kind, and includes Socrates; but, since it likewise includes man, or in other words, since all men are animals, the properties common to animals form a portion of the common properties of the sub-class, man. And if there be any class which includes Socrates without including man, that class is not a real Kind. Let the class, for example, be *flat-nosed*; that being a class which includes Socrates, without including all men. To determine whether it is a real Kind, we must ask ourselves this question: Have all flat-nosed animals, in addition to whatever is implied in their flat noses, any common properties, other than those which are common to all animals whatever? If they had; if a flat nose were a mark or index to an indefinite number of other peculiarities, not deducible from the former by an ascertainable law, then out of the class man we might cut another class, flat-nosed man, which, according to our definition, would be a Kind. But if we could do this, man would not be, as it was assumed to be, the proximate Kind. Therefore, the properties of the proximate Kind do comprehend those (whether known or unknown) of all other Kinds to which the individual belongs; which was the point we undertook to prove. And hence, every other Kind which is predicable of the individual, will be to the proximate Kind in the relation of a genus, according to even the popular acceptance of the terms genus and species; that is, it will be a larger class, including it and more.

We are now able to fix the logical meaning of these terms. Every class which is a real Kind, that is, which is distinguished from all other classes by an indeterminate multitude of properties not derivable from one another, is either a genus or a species. A Kind which is not divisible into other Kinds, can not be a genus, because it has no species under it; but it is itself a species, both with reference to the individuals below and to the genera above (*Species Prædicabilis* and *Species Subjicibilis*). But every Kind which admits of division into real Kinds (as animal into mammal, bird, fish, etc., or bird into various species of birds) is a genus to all below it, a species to all genera in which it is itself included. And here we may

close this part of the discussion, and pass to the three remaining predicables, Differentia, Proprium, and Accidens.

§ 5. To begin with Differentia. This word is correlative with the words genus and species, and as all admit, it signifies the attribute which distinguishes a given species from every other species of the same genus. This is so far clear: but we may still ask, which of the distinguishing attributes it signifies. For we have seen that every Kind (and a species must be a Kind) is distinguished from other Kinds, not by any one attribute, but by an indefinite number. Man, for instance, is a species of the genus animal: Rational (or rationality, for it is of no consequence here whether we use the concrete or the abstract form) is generally assigned by logicians as the Differentia; and doubtless this attribute serves the purpose of distinction: but it has also been remarked of man, that he is a cooking animal; the only animal that dresses its food. This, therefore, is another of the attributes by which the species man is distinguished from other species of the same genus: would this attribute serve equally well for a differentia? The Aristotelians say No; having laid it down that the differentia must, like the genus and species, be of the *essence* of the subject.

And here we lose even that vestige of a meaning grounded in the nature of the things themselves, which may be supposed to be attached to the word essence when it is said that genus and species must be of the essence of the thing. There can be no doubt that when the schoolmen talked of the essences of things as opposed to their accidents, they had confusedly in view the distinction between differences of kind, and the differences which are not of kind; they meant to intimate that genera and species must be Kinds. Their notion of the essence of a thing was a vague notion of a something which makes it what it is, *i.e.*, which makes it the Kind of thing that it is — which causes it to have all that variety of properties which distinguish its Kind. But when the matter came to be looked at more closely, nobody could discover what caused the thing to have all those properties, nor even that there was any thing which caused it to have them. Logicians, however, not liking to admit this, and being unable to detect what made the thing to be what it was, satisfied themselves with what made it to be what it was called. Of the innumerable properties, known and unknown, that are common to the class man, a

portion only, and of course a very small portion, are connoted by its name; these few, however, will naturally have been thus distinguished from the rest either for their greater obviousness, or for greater supposed importance. These properties, then, which were connoted by the name, logicians seized upon, and called them the essence of the species; and not stopping there, they affirmed them, in the case of the *infima species*, to be the essence of the individual too; for it was their maxim, that the species contained the “whole essence” of the thing. Metaphysics, that fertile field of delusion propagated by language, does not afford a more signal instance of such delusion. On this account it was that rationality, being connoted by the name man, was allowed to be a differentia of the class; but the peculiarity of cooking their food, not being connoted, was relegated to the class of accidental properties.

The distinction, therefore, between Differentia, Proprium, and Accidens, is not grounded in the nature of things, but in the connotation of names; and we must seek it there, if we wish to find what it is.

From the fact that the genus includes the species, in other words denotes more than the species, or is predicable of a greater number of individuals, it follows that the species must connote more than the genus. It must connote all the attributes which the genus connotes, or there would be nothing to prevent it from denoting individuals not included in the genus. And it must connote something besides, otherwise it would include the whole genus. Animal denotes all the individuals denoted by man, and many more. Man, therefore, must connote all that animal connotes, otherwise there might be men who are not animals; and it must connote something more than animal connotes, otherwise all animals would be men. This surplus of connotation — this which the species connotes over and above the connotation of the genus — is the Differentia, or specific difference; or, to state the same proposition in other words, the Differentia is that which must be added to the connotation of the genus, to complete the connotation of the species.

The word man, for instance, exclusively of what it connotes in common with animal, also connotes rationality, and at least some approximation to that external form which we all know, but which as we have no name for it considered in itself, we are content to call the human.

The Differentia, or specific difference, therefore, of man, as referred to the genus animal, is that outward form and the possession of reason. The Aristotelians said, the possession of reason, without the outward form. But if they adhered to this, they would have been obliged to call the Houyhnhnms men. The question never arose, and they were never called upon to decide how such a case would have affected their notion of essentiality. However this may be, they were satisfied with taking such a portion of the differentia as sufficed to distinguish the species from all other *existing* things, though by so doing they might not exhaust the connotation of the name.

§ 6. And here, to prevent the notion of differentia from being restricted within too narrow limits, it is necessary to remark, that a species, even as referred to the same genus, will not always have the same differentia, but a different one, according to the principle and purpose which preside over the particular classification. For example, a naturalist surveys the various kinds of animals, and looks out for the classification of them most in accordance with the order in which, for zoological purposes, he considers it desirable that we should think of them. With this view he finds it advisable that one of his fundamental divisions should be into warm-blooded and cold-blooded animals; or into animals which breathe with lungs and those which breathe with gills; or into carnivorous, and frugivorous or graminivorous; or into those which walk on the flat part and those which walk on the extremity of the foot, a distinction on which two of Cuvier's families are founded. In doing this, the naturalist creates as many new classes; which are by no means those to which the individual animal is familiarly and spontaneously referred; nor should we ever think of assigning to them so prominent a position in our arrangement of the animal kingdom, unless for a preconceived purpose of scientific convenience. And to the liberty of doing this there is no limit. In the examples we have given, most of the classes are real Kinds, since each of the peculiarities is an index to a multitude of properties belonging to the class which it characterizes: but even if the case were otherwise — if the other properties of those classes could all be derived, by any process known to us, from the one peculiarity on which the class is founded — even then, if these derivative properties were of primary importance for the



purposes of the naturalist, he would be warranted in founding his primary divisions on them.

If, however, practical convenience is a sufficient warrant for making the main demarcations in our arrangement of objects run in lines not coinciding with any distinction of Kind, and so creating genera and species in the popular sense which are not genera or species in the rigorous sense at all; *à fortiori* must we be warranted, when our genera and species *are* real genera and species, in marking the distinction between them by those of their properties which considerations of practical convenience most strongly recommend. If we cut a species out of a given genus — the species man, for instance, out of the genus animal — with an intention on our part that the peculiarity by which we are to be guided in the application of the name man should be rationality, then rationality is the differentia of the species man. Suppose, however, that being naturalists, we, for the purposes of our particular study, cut out of the genus animal the same species man, but with an intention that the distinction between man and all other species of animal should be, not rationality, but the possession of “four incisors in each jaw, tusks solitary, and erect posture.” It is evident that the word man, when used by us as naturalists, no longer connotes rationality, but connotes the three other properties specified; for that which we have expressly in view when we impose a name, assuredly forms part of the meaning of that name. We may, therefore, lay it down as a maxim, that wherever there is a Genus, and a Species marked out from that genus by an assignable differentia, the name of the species must be connotative, and must connote the differentia; but the connotation may be special — not involved in the signification of the term as ordinarily used, but given to it when employed as a term of art or science. The word Man in common use, connotes rationality and a certain form, but does not connote the number or character of the teeth; in the Linnæan system it connotes the number of incisor and canine teeth, but does not connote rationality nor any particular form. The word *man* has, therefore, two different meanings; though not commonly considered as ambiguous, because it happens in both cases to *denote* the same individual objects. But a case is conceivable in which the ambiguity would become evident: we have only to imagine that some new kind of animal were discovered, having Linnæus’s three characteristics of humanity, but not rational, or

not of the human form. In ordinary parlance, these animals would not be called men; but in natural history they must still be called so by those, if any there should be, who adhere to the Linnæan classification; and the question would arise, whether the word should continue to be used in two senses, or the classification be given up, and the technical sense of the term be abandoned along with it.

Words not otherwise connotative may, in the mode just adverted to, acquire a special or technical connotation. Thus the word whiteness, as we have so often remarked, connotes nothing; it merely denotes the attribute corresponding to a certain sensation: but if we are making a classification of colors, and desire to justify, or even merely to point out, the particular place assigned to whiteness in our arrangement, we may define it “the color produced by the mixture of all the simple rays;” and this fact, though by no means implied in the meaning of the word whiteness as ordinarily used, but only known by subsequent scientific investigation, is part of its meaning in the particular essay or treatise, and becomes the differentia of the species.<sup>42</sup>

The differentia, therefore, of a species may be defined to be, that part of the connotation of the specific name, whether ordinary or special and technical, which distinguishes the species in question from all other species of the genus to which on the particular occasion we are referring it.

§ 7. Having disposed of Genus, Species, and Differentia, we shall not find much difficulty in attaining a clear conception of the distinction between the other two predicables, as well as between them and the first three.

In the Aristotelian phraseology, Genus and Differentia are of the *essence* of the subject; by which, as we have seen, is really meant that the properties signified by the genus and those signified by the differentia, form part of the connotation of the name denoting the species. Proprium and Accidens, on the other hand, form no part of the essence, but are predicated of the species only *accidentally*. Both are Accidens, in the wider sense in which the accidents of a thing are opposed to its essence; though, in the doctrine of the Predicables, Accidens is used for one sort of accident only, Proprium being another sort. Proprium, continue the schoolmen, is predicated *accidentally*, indeed, but *necessarily*; or, as they

further explain it, signifies an attribute which is not indeed part of the essence, but which flows from, or is a consequence of, the essence, and is, therefore, inseparably attached to the species; *e.g.*, the various properties of a triangle, which, though no part of its definition, must necessarily be possessed by whatever comes under that definition. Accidens, on the contrary, has no connection whatever with the essence, but may come and go, and the species still remain what it was before. If a species could exist without its *Propria*, it must be capable of existing without that on which its *Propria* are necessarily consequent, and therefore without its essence, without that which constitutes it a species. But an *Accidens*, whether separable or inseparable from the species in actual experience, may be supposed separated, without the necessity of supposing any other alteration; or at least, without supposing any of the essential properties of the species to be altered, since with them an *Accidens* has no connection.

A *Proprium*, therefore, of the species, may be defined, any attribute which belongs to all the individuals included in the species, and which, though not connoted by the specific name (either ordinarily if the classification we are considering be for ordinary purposes, or specially if it be for a special purpose), yet follows from some attribute which the name either ordinarily or specially connotes.

One attribute may follow from another in two ways; and there are consequently two kinds of *Proprium*. It may follow as a conclusion follows premises, or it may follow as an effect follows a cause. Thus, the attribute of having the opposite sides equal, which is not one of those connoted by the word *Parallelogram*, nevertheless follows from those connoted by it, namely, from having the opposite sides straight lines and parallel, and the number of sides four. The attribute, therefore, of having the opposite sides equal, is a *Proprium* of the class *parallelogram*; and a *Proprium* of the first kind, which follows from the connoted attributes by way of *demonstration*. The attribute of being capable of understanding language, is a *Proprium* of the species *man*, since without being connoted by the word, it follows from an attribute which the word does connote, *viz.*, from the attribute of rationality. But this is a *Proprium* of the second kind, which follows by way of *causation*. How it is that one property of a thing follows, or can be inferred, from another; under what conditions this is possible, and what is

the exact meaning of the phrase; are among the questions which will occupy us in the two succeeding Books. At present it needs only be said, that whether a Proprium follows by demonstration or by causation, it follows *necessarily*; that is to say, its not following would be inconsistent with some law which we regard as a part of the constitution either of our thinking faculty or of the universe.

§ 8. Under the remaining predicable, Accidens, are included all attributes of a thing which are neither involved in the signification of the name (whether ordinarily or as a term of art), nor have, so far as we know, any necessary connection with attributes which are so involved. They are commonly divided into Separable and Inseparable Accidents. Inseparable accidents are those which — although we know of no connection between them and the attributes constitutive of the species, and although, therefore, so far as we are aware, they might be absent without making the name inapplicable and the species a different species — are yet never in fact known to be absent. A concise mode of expressing the same meaning is, that inseparable accidents are properties which are universal to the species, but not necessary to it. Thus, blackness is an attribute of a crow, and, as far as we know, a universal one. But if we were to discover a race of white birds, in other respects resembling crows, we should not say, These are not crows; we should say, These are white crows. Crow, therefore, does not connote blackness; nor, from any of the attributes which it does connote, whether as a word in popular use or as a term of art, could blackness be inferred. Not only, therefore, can we conceive a white crow, but we know of no reason why such an animal should not exist. Since, however, none but black crows are known to exist, blackness, in the present state of our knowledge, ranks as an accident, but an inseparable accident, of the species crow.

Separable Accidents are those which are found, in point of fact, to be sometimes absent from the species; which are not only not necessary, but not even universal. They are such as do not belong to every individual of the species, but only to some individuals; or if to all, not at all times. Thus the color of a European is one of the separable accidents of the species man, because it is not an attribute of all human creatures. Being born, is also (speaking in the logical sense) a separable accident of the species

man, because, though an attribute of all human beings, it is so only at one particular time. *A fortiori* those attributes which are not constant even in the same individual, as, to be in one or in another place, to be hot or cold, sitting or walking, must be ranked as separable accidents.

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<sup>42</sup> If we allow a differentia to what is not really a species. For the distinction of Kinds, in the sense explained by us, not being in any way applicable to attributes, it of course follows that although attributes may be put into classes, those classes can be admitted to be genera or species only by courtesy.



# CHAPTER VIII.

## OF DEFINITION.

§ 1. One necessary part of the theory of Names and of Propositions remains to be treated of in this place: the theory of Definitions. As being the most important of the class of propositions which we have characterized as purely verbal, they have already received some notice in the chapter preceding the last. But their fuller treatment was at that time postponed, because definition is so closely connected with classification, that, until the nature of the latter process is in some measure understood, the former can not be discussed to much purpose.

The simplest and most correct notion of a Definition is, a proposition declaratory of the meaning of a word; namely, either the meaning which it bears in common acceptation, or that which the speaker or writer, for the particular purposes of his discourse, intends to annex to it.

The definition of a word being the proposition which enunciates its meaning, words which have no meaning are unsusceptible of definition. Proper names, therefore, can not be defined. A proper name being a mere mark put upon an individual, and of which it is the characteristic property to be destitute of meaning, its meaning can not of course be declared; though we may indicate by language, as we might indicate still more conveniently by pointing with the finger, upon what individual that particular mark has been, or is intended to be, put. It is no definition of "John Thomson" to say he is "the son of General Thomson;" for the name John Thomson does not express this. Neither is it any definition of "John Thomson" to say he is "the man now crossing the street." These propositions may serve to make known who is the particular man to whom the name belongs, but that may be done still more unambiguously by pointing to him, which, however, has not been esteemed one of the modes of definition.

In the case of connotative names, the meaning, as has been so often observed, is the connotation; and the definition of a connotative name, is the proposition which declares its connotation. This might be done either

directly or indirectly. The direct mode would be by a proposition in this form: "Man" (or whatsoever the word may be) "is a name connoting such and such attributes," or "is a name which, when predicated of any thing, signifies the possession of such and such attributes by that thing." Or thus: Man is every thing which possesses such and such attributes: Man is every thing which possesses corporeity, organization, life, rationality, and certain peculiarities of external form.

This form of definition is the most precise and least equivocal of any; but it is not brief enough, and is besides too technical for common discourse. The more usual mode of declaring the connotation of a name, is to predicate of it another name or names of known signification, which connote the same aggregation of attributes. This may be done either by predicating of the name intended to be defined, another connotative name exactly synonymous, as, "Man is a human being," which is not commonly accounted a definition at all; or by predicating two or more connotative names, which make up among them the whole connotation of the name to be defined. In this last case, again, we may either compose our definition of as many connotative names as there are attributes, each attribute being connoted by one, as, Man is a corporeal, organized, animated, rational being, shaped so and so; or we employ names which connote several of the attributes at once, as, Man is a rational *animal*, shaped so and so.

The definition of a name, according to this view of it, is the sum total of all the *essential* propositions which can be framed with that name for their subject. All propositions the truth of which is implied in the name, all those which we are made aware of by merely hearing the name, are included in the definition, if complete, and may be evolved from it without the aid of any other premises; whether the definition expresses them in two or three words, or in a larger number. It is, therefore, not without reason that Condillac and other writers have affirmed a definition to be an *analysis*. To resolve any complex whole into the elements of which it is compounded, is the meaning of analysis: and this we do when we replace one word which connotes a set of attributes collectively, by two or more which connote the same attributes singly, or in smaller groups.

§ 2. From this, however, the question naturally arises, in what manner are we to define a name which connotes only a single attribute: for

instance, “white,” which connotes nothing but whiteness; “rational,” which connotes nothing but the possession of reason. It might seem that the meaning of such names could only be declared in two ways; by a synonymous term, if any such can be found; or in the direct way already alluded to: “White is a name connoting the attribute whiteness.” Let us see, however, whether the analysis of the meaning of the name, that is, the breaking down of that meaning into several parts, admits of being carried farther. Without at present deciding this question as to the word *white*, it is obvious that in the case of *rational* some further explanation may be given of its meaning than is contained in the proposition, “Rational is that which possesses the attribute of reason;” since the attribute reason itself admits of being defined. And here we must turn our attention to the definitions of attributes, or rather of the names of attributes, that is, of abstract names.

In regard to such names of attributes as are connotative, and express attributes of those attributes, there is no difficulty: like other connotative names, they are defined by declaring their connotation. Thus the word *fault* may be defined, “a quality productive of evil or inconvenience.” Sometimes, again, the attribute to be defined is not one attribute, but a union of several: we have only, therefore, to put together the names of all the attributes taken separately, and we obtain the definition of the name which belongs to them all taken together; a definition which will correspond exactly to that of the corresponding concrete name. For, as we define a concrete name by enumerating the attributes which it connotes, and as the attributes connoted by a concrete name form the entire signification of the corresponding abstract name, the same enumeration will serve for the definition of both. Thus, if the definition of a *human being* be this, “a being, corporeal, animated, rational, shaped so and so,” the definition of *humanity* will be corporeity and animal life, combined with rationality, and with such and such a shape.

When, on the other hand, the abstract name does not express a complication of attributes, but a single attribute, we must remember that every attribute is grounded on some fact or phenomenon, from which, and which alone, it derives its meaning. To that fact or phenomenon, called in a former chapter the foundation of the attribute, we must, therefore, have



recourse for its definition. Now, the foundation of the attribute may be a phenomenon of any degree of complexity, consisting of many different parts, either co-existent or in succession. To obtain a definition of the attribute, we must analyze the phenomenon into these parts. Eloquence, for example, is the name of one attribute only; but this attribute is grounded on external effects of a complicated nature, flowing from acts of the person to whom we ascribe the attribute; and by resolving this phenomenon of causation into its two parts, the cause and the effect, we obtain a definition of eloquence, viz. the power of influencing the feelings by speech or writing.

A name, therefore, whether concrete or abstract, admits of definition, provided we are able to analyze, that is, to distinguish into parts, the attribute or set of attributes which constitute the meaning both of the concrete name and of the corresponding abstract: if a set of attributes, by enumerating them; if a single attribute, by dissecting the fact or phenomenon (whether of perception or of internal consciousness) which is the foundation of the attribute. But, further, even when the fact is one of our simple feelings or states of consciousness, and therefore unsusceptible of analysis, the names both of the object and of the attribute still admit of definition; or rather, would do so if all our simple feelings had names. Whiteness may be defined, the property or power of exciting the sensation of white. A white object may be defined, an object which excites the sensation of white. The only names which are unsusceptible of definition, because their meaning is unsusceptible of analysis, are the names of the simple feelings themselves. These are in the same condition as proper names. They are not indeed, like proper names, unmeaning; for the words *sensation of white* signify, that the sensation which I so denominate resembles other sensations which I remember to have had before, and to have called by that name. But as we have no words by which to recall those former sensations, except the very word which we seek to define, or some other which, being exactly synonymous with it, requires definition as much, words can not unfold the signification of this class of names; and we are obliged to make a direct appeal to the personal experience of the individual whom we address.

§ 3. Having stated what seems to be the true idea of a Definition, I proceed to examine some opinions of philosophers, and some popular conceptions on the subject, which conflict more or less with that idea.

The only adequate definition of a name is, as already remarked, one which declares the facts, and the whole of the facts, which the name involves in its signification. But with most persons the object of a definition does not embrace so much; they look for nothing more, in a definition, than a guide to the correct use of the term — a protection against applying it in a manner inconsistent with custom and convention. Any thing, therefore, is to them a sufficient definition of a term, which will serve as a correct index to what the term *denotes*; though not embracing the whole, and sometimes, perhaps, not even any part, of what it *connotes*. This gives rise to two sorts of imperfect, or unscientific definition; Essential but incomplete Definitions, and Accidental Definitions, or Descriptions. In the former, a connotative name is defined by a part only of its connotation; in the latter, by something which forms no part of the connotation at all.

An example of the first kind of imperfect definitions is the following: Man is a rational animal. It is impossible to consider this as a complete definition of the word Man, since (as before remarked) if we adhered to it we should be obliged to call the Houyhnhnms men; but as there happen to be no Houyhnhnms, this imperfect definition is sufficient to mark out and distinguish from all other things, the objects at present denoted by “man;” all the beings actually known to exist, of whom the name is predicable. Though the word is defined by some only among the attributes which it connotes, not by all, it happens that all known objects which possess the enumerated attributes, possess also those which are omitted; so that the field of predication which the word covers, and the employment of it which is conformable to usage, are as well indicated by the inadequate definition as by an adequate one. Such definitions, however, are always liable to be overthrown by the discovery of new objects in nature.

Definitions of this kind are what logicians have had in view, when they laid down the rule, that the definition of a species should be *per genus et differentiam*. Differentia being seldom taken to mean the whole of the peculiarities constitutive of the species, but some one of those peculiarities

only, a complete definition would be *per genus et differentias*, rather than *differentiam*. It would include, with the name of the superior genus, not merely *some* attribute which distinguishes the species intended to be defined from all other species of the same genus, but *all* the attributes implied in the name of the species, which the name of the superior genus has not already implied. The assertion, however, that a definition must of necessity consist of a genus and differentia, is not tenable. It was early remarked by logicians, that the *summum genus* in any classification, having no genus superior to itself, could not be defined in this manner. Yet we have seen that all names, except those of our elementary feelings, are susceptible of definition in the strictest sense; by setting forth in words the constituent parts of the fact or phenomenon, of which the connotation of every word is ultimately composed.

§ 4. Although the first kind of imperfect definition (which defines a connotative term by a part only of what it connotes, but a part sufficient to mark out correctly the boundaries of its denotation), has been considered by the ancients, and by logicians in general, as a complete definition; it has always been deemed necessary that the attributes employed should really form part of the connotation; for the rule was that the definition must be drawn from the *essence* of the class; and this would not have been the case if it had been in any degree made up of attributes not connoted by the name. The second kind of imperfect definition, therefore, in which the name of a class is defined by any of its accidents — that is, by attributes which are not included in its connotation — has been rejected from the rank of genuine Definition by all logicians, and has been termed Description.

This kind of imperfect definition, however, takes its rise from the same cause as the other, namely, the willingness to accept as a definition any thing which, whether it expounds the meaning of the name or not, enables us to discriminate the things denoted by the name from all other things, and consequently to employ the term in predication without deviating from established usage. This purpose is duly answered by stating any (no matter what) of the attributes which are common to the whole of the class, and peculiar to it; or any combination of attributes which happens to be peculiar to it, though separately each of those attributes may

be common to it with some other things. It is only necessary that the definition (or description) thus formed, should be *convertible* with the name which it professes to define; that is, should be exactly co-extensive with it, being predicable of every thing of which it is predicable, and of nothing of which it is not predicable; though the attributes specified may have no connection with those which mankind had in view when they formed or recognized the class, and gave it a name. The following are correct definitions of Man, according to this test: Man is a mammiferous animal, having (by nature) two hands (for the human species answers to this description, and no other animal does): Man is an animal who cooks his food: Man is a featherless biped.

What would otherwise be a mere description, may be raised to the rank of a real definition by the peculiar purpose which the speaker or writer has in view. As was seen in the preceding chapter, it may, for the ends of a particular art or science, or for the more convenient statement of an author's particular doctrines, be advisable to give to some general name, without altering its denotation, a special connotation, different from its ordinary one. When this is done, a definition of the name by means of the attributes which make up the special connotation, though in general a mere accidental definition or description, becomes on the particular occasion and for the particular purpose a complete and genuine definition. This actually occurs with respect to one of the preceding examples, "Man is a mammiferous animal having two hands," which is the scientific definition of man, considered as one of the species in Cuvier's distribution of the animal kingdom.

In cases of this sort, though the definition is still a declaration of the meaning which in the particular instance the name is appointed to convey, it can not be said that to state the meaning of the word is the purpose of the definition. The purpose is not to expound a name, but a classification. The special meaning which Cuvier assigned to the word Man (quite foreign to its ordinary meaning, though involving no change in the denotation of the word), was incidental to a plan of arranging animals into classes on a certain principle, that is, according to a certain set of distinctions. And since the definition of Man according to the ordinary connotation of the word, though it would have answered every other purpose of a definition,

would not have pointed out the place which the species ought to occupy in that particular classification; he gave the word a special connotation, that he might be able to define it by the kind of attributes on which, for reasons of scientific convenience, he had resolved to found his division of animated nature.

Scientific definitions, whether they are definitions of scientific terms, or of common terms used in a scientific sense, are almost always of the kind last spoken of: their main purpose is to serve as the landmarks of scientific classification. And since the classifications in any science are continually modified as scientific knowledge advances, the definitions in the sciences are also constantly varying. A striking instance is afforded by the words Acid and Alkali, especially the former. As experimental discovery advanced, the substances classed with acids have been constantly multiplying, and by a natural consequence the attributes connoted by the word have receded and become fewer. At first it connoted the attributes, of combining with an alkali to form a neutral substance (called a salt); being compounded of a base and oxygen; causticity to the taste and touch; fluidity, etc. The true analysis of muriatic acid, into chlorine and hydrogen, caused the second property, composition from a base and oxygen, to be excluded from the connotation. The same discovery fixed the attention of chemists upon hydrogen as an important element in acids; and more recent discoveries having led to the recognition of its presence in sulphuric, nitric, and many other acids, where its existence was not previously suspected, there is now a tendency to include the presence of this element in the connotation of the word. But carbonic acid, silica, sulphurous acid, have no hydrogen in their composition; that property can not, therefore, be connoted by the term, unless those substances are no longer to be considered acids. Causticity and fluidity have long since been excluded from the characteristics of the class, by the inclusion of silica and many other substances in it; and the formation of neutral bodies by combination with alkalis, together with such electro-chemical peculiarities as this is supposed to imply, are now the only *differentiæ* which form the fixed connotation of the word Acid, as a term of chemical science.

What is true of the definition of any term of science, is of course true of the definition of a science itself; and accordingly (as observed in the Introductory Chapter of this work), the definition of a science must necessarily be progressive and provisional. Any extension of knowledge or alteration in the current opinions respecting the subject-matter, may lead to a change more or less extensive in the particulars included in the science; and its composition being thus altered, it may easily happen that a different set of characteristics will be found better adapted as *differentiæ* for defining its name.

In the same manner in which a special or technical definition has for its object to expound the artificial classification out of which it grows; the Aristotelian logicians seem to have imagined that it was also the business of ordinary definition to expound the ordinary, and what they deemed the natural, classification of things, namely, the division of them into Kinds; and to show the place which each Kind occupies, as superior, collateral, or subordinate, among other Kinds. This notion would account for the rule that all definition must necessarily be *per genus et differentiam*, and would also explain why a single differentia was deemed sufficient. But to expound, or express in words, a distinction of Kind, has already been shown to be an impossibility: the very meaning of a Kind is, that the properties which distinguish it do not grow out of one another, and can not therefore be set forth in words, even by implication, otherwise than by enumerating them all: and all are not known, nor are ever likely to be so. It is idle, therefore, to look to this as one of the purposes of a definition: while, if it be only required that the definition of a Kind should indicate what kinds include it or are included by it, any definitions which expound the connotation of the names will do this: for the name of each class must necessarily connote enough of its properties to fix the boundaries of the class. If the definition, therefore, be a full statement of the connotation, it is all that a definition can be required to be.<sup>43</sup>

§ 5. Of the two incomplete and popular modes of definition, and in what they differ from the complete or philosophical mode, enough has now been said. We shall next examine an ancient doctrine, once generally prevalent and still by no means exploded, which I regard as the source of a great part of the obscurity hanging over some of the most important

processes of the understanding in the pursuit of truth. According to this, the definitions of which we have now treated are only one of two sorts into which definitions may be divided, viz., definitions of names, and definitions of things. The former are intended to explain the meaning of a term; the latter, the nature of a thing; the last being incomparably the most important.

This opinion was held by the ancient philosophers, and by their followers, with the exception of the Nominalists; but as the spirit of modern metaphysics, until a recent period, has been on the whole a Nominalist spirit, the notion of definitions of things has been to a certain extent in abeyance, still continuing, however, to breed confusion in logic, by its consequences indeed rather than by itself. Yet the doctrine in its own proper form now and then breaks out, and has appeared (among other places) where it was scarcely to be expected, in a justly admired word, Archbishop Whately's *Logic*.<sup>44</sup> In a review of that work published by me in the *Westminster Review* for January, 1828, and containing some opinions which I no longer entertain, I find the following observations on the question now before us; observations with which my present view of that question is still sufficiently in accordance.

“The distinction between nominal and real definitions, between definitions of words and what are called definitions of things, though conformable to the ideas of most of the Aristotelian logicians, can not, as it appears to us, be maintained. We apprehend that no definition is ever intended to ‘explain and unfold the nature of a thing.’ It is some confirmation of our opinion, that none of those writers who have thought that there were definitions of things, have ever succeeded in discovering any criterion by which the definition of a thing can be distinguished from any other proposition relating to the thing. The definition, they say, unfolds the nature of the thing; but no definition can unfold its whole nature; and every proposition in which any quality whatever is predicated of the thing, unfolds some part of its nature. The true state of the case we take to be this. All definitions are of names, and of names only; but, in some definitions, it is clearly apparent, that nothing is intended except to explain the meaning of the word; while in others, besides explaining the meaning of the word, it is intended to be implied that there exists a thing,

corresponding to the word. Whether this be or be not implied in any given case, can not be collected from the mere form of the expression. 'A centaur is an animal with the upper parts of a man and the lower parts of a horse,' and 'A triangle is a rectilineal figure with three sides,' are, in form, expressions precisely similar; although in the former it is not implied that any *thing*, conformable to the term, really exists, while in the latter it is; as may be seen by substituting in both definitions, the word *means* for *is*. In the first expression, 'A centaur means an animal,' etc., the sense would remain unchanged: in the second, 'A triangle means,' etc., the meaning would be altered, since it would be obviously impossible to deduce any of the truths of geometry from a proposition expressive only of the manner in which we intend to employ a particular sign.

"There are, therefore, expressions, commonly passing for definitions, which include in themselves more than the mere explanation of the meaning of a term. But it is not correct to call an expression of this sort a peculiar kind of definition. Its difference from the other kind consists in this, that it is not a definition, but a definition and something more. The definition above given of a triangle, obviously comprises not one, but two propositions, perfectly distinguishable. The one is, 'There may exist a figure, bounded by three straight lines;' the other, 'And this figure may be termed a triangle.' The former of these propositions is not a definition at all: the latter is a mere nominal definition, or explanation of the use and application of a term. The first is susceptible of truth or falsehood, and may therefore be made the foundation of a train of reasoning. The latter can neither be true nor false; the only character it is susceptible of is that of conformity or disconformity to the ordinary usage of language."

There is a real distinction, then, between definitions of names, and what are erroneously called definitions of things; but it is, that the latter, along with the meaning of a name, covertly asserts a matter of fact. This covert assertion is not a definition, but a postulate. The definition is a mere identical proposition, which gives information only about the use of language, and from which no conclusions affecting matters of fact can possibly be drawn. The accompanying postulate, on the other hand, affirms a fact, which may lead to consequences of every degree of importance. It affirms the actual or possible existence of Things possessing



the combination of attributes set forth in the definition; and this, if true, may be foundation sufficient on which to build a whole fabric of scientific truth.

We have already made, and shall often have to repeat, the remark, that the philosophers who overthrew Realism by no means got rid of the consequences of Realism, but retained long afterward, in their own philosophy, numerous propositions which could only have a rational meaning as part of a Realistic system. It had been handed down from Aristotle, and probably from earlier times, as an obvious truth, that the science of Geometry is deduced from definitions. This, so long as a definition was considered to be a proposition “unfolding the nature of the thing,” did well enough. But Hobbes followed, and rejected utterly the notion that a definition declares the nature of the thing, or does any thing but state the meaning of a name; yet he continued to affirm as broadly as any of his predecessors, that the ἀρχαί, *principia*, or original premises of mathematics, and even of all science, are definitions; producing the singular paradox, that systems of scientific truth, nay, all truths whatever at which we arrive by reasoning, are deduced from the arbitrary conventions of mankind concerning the signification of words.

To save the credit of the doctrine that definitions are the premises of scientific knowledge, the proviso is sometimes added, that they are so only under a certain condition, namely, that they be framed conformably to the phenomena of nature; that is, that they ascribe such meanings to terms as shall suit objects actually existing. But this is only an instance of the attempt so often made, to escape from the necessity of abandoning old language after the ideas which it expresses have been exchanged for contrary ones. From the meaning of a name (we are told) it is possible to infer physical facts, provided the name has corresponding to it an existing thing. But if this proviso be necessary, from which of the two is the inference really drawn? From the existence of a thing having the properties, or from the existence of a name meaning them?

Take, for instance, any of the definitions laid down as premises in Euclid's Elements; the definition, let us say, of a circle. This, being analyzed, consists of two propositions; the one an assumption with respect to a matter of fact, the other a genuine definition. “A figure may exist,

having all the points in the line which bounds it equally distant from a single point within it.” “Any figure possessing this property is called a circle.” Let us look at one of the demonstrations which are said to depend on this definition, and observe to which of the two propositions contained in it the demonstration really appeals. “About the centre A, describe the circle B C D.”

Here is an assumption that a figure, such as the definition expresses, *may* be described; which is no other than the postulate, or covert assumption, involved in the so-called definition. But whether that figure be called a circle or not is quite immaterial. The purpose would be as well answered, in all respects except brevity, were we to say, “Through the point B, draw a line returning into itself, of which every point shall be at an equal distance from the point A.” By this the definition of a circle would be got rid of, and rendered needless; but not the postulate implied in it; without that the demonstration could not stand. The circle being now described, let us proceed to the consequence. “Since B C D is a circle, the radius B A is equal to the radius C A.” B A is equal to C A, not because B C D is a circle, but because B C D is a figure with the radii equal. Our warrant for assuming that such a figure about the centre A, with the radius B A, may be made to exist, is the postulate. Whether the admissibility of these postulates rests on intuition, or on proof, may be a matter of dispute; but in either case they are the premises on which the theorems depend; and while these are retained it would make no difference in the certainty of geometrical truths, though every definition in Euclid, and every technical term therein defined, were laid aside.

It is, perhaps, superfluous to dwell at so much length on what is so nearly self-evident; but when a distinction, obvious as it may appear, has been confounded, and by powerful intellects, it is better to say too much than too little for the purpose of rendering such mistakes impossible in future. I will, therefore detain the reader while I point out one of the absurd consequences flowing from the supposition that definitions, as such, are the premises in any of our reasonings, except such as relate to words only. If this supposition were true, we might argue correctly from true premises, and arrive at a false conclusion. We should only have to assume as a premise the definition of a nonentity; or rather of a name

which has no entity corresponding to it. Let this, for instance, be our definition:

A dragon is a serpent breathing flame.

This proposition, considered only as a definition, is indisputably correct. A dragon *is* a serpent breathing flame: the word *means* that. The tacit assumption, indeed (if there were any such understood assertion), of the existence of an object with properties corresponding to the definition, would, in the present instance, be false. Out of this definition we may carve the premises of the following syllogism:

A dragon is a thing which breathes flame:

A dragon is a serpent:

From which the conclusion is,

Therefore some serpent or serpents breathe flame:

an unexceptionable syllogism in the first mode of the third figure, in which both premises are true and yet the conclusion false; which every logician knows to be an absurdity. The conclusion being false and the syllogism correct, the premises can not be true. But the premises, considered as parts of a definition, are true. Therefore, the premises considered as parts of a definition can not be the real ones. The real premises must be —

A dragon is a *really existing* thing which breathes flame:

A dragon is a *really existing* serpent:

which implied premises being false, the falsity of the conclusion presents no absurdity.

If we would determine what conclusion follows from the same ostensible premises when the tacit assumption of real existence is left out, let us, according to the recommendation in a previous page, substitute *means* for *is*. We then have —

Dragon is a *word meaning* a thing which breathes flame:

Dragon is a *word meaning* a serpent:

From which the conclusion is,

Some *word or words which mean a serpent*, also mean a thing which breathes flame:

where the conclusion (as well as the premises) is true, and is the only kind of conclusion which can ever follow from a definition, namely, a proposition relating to the meaning of words.

There is still another shape into which we may transform this syllogism. We may suppose the middle term to be the designation neither of a thing nor of a name, but of an idea. We then have —

The *idea of a dragon* is *an idea of a thing which breathes flame*:

The *idea of a dragon* is *an idea of a serpent*:

Therefore, there is *an idea of a serpent*, which is *an idea of a thing breathing flame*.

Here the conclusion is true, and also the premises; but the premises are not definitions. They are propositions affirming that an idea existing in the mind, includes certain ideal elements. The truth of the conclusion follows from the existence of the psychological phenomenon called the idea of a dragon; and therefore still from the tacit assumption of a matter of fact.<sup>45</sup>

When, as in this last syllogism, the conclusion is a proposition respecting an idea, the assumption on which it depends may be merely that of the existence of an idea. But when the conclusion is a proposition concerning a Thing, the postulate involved in the definition which stands as the apparent premise, is the existence of a thing conformable to the definition, and not merely of an idea conformable to it. This assumption of real existence we always convey the impression that we intend to make, when we profess to define any name which is already known to be a name of really existing objects. On this account it is, that the assumption was not necessarily implied in the definition of a dragon, while there was no doubt of its being included in the definition of a circle.

§ 6. One of the circumstances which have contributed to keep up the notion, that demonstrative truths follow from definitions rather than from the postulates implied in those definitions, is, that the postulates, even in those sciences which are considered to surpass all others in demonstrative certainty, are not always exactly true. It is not true that a circle exists, or can be described, which has all its radii *exactly* equal. Such accuracy is

ideal only; it is not found in nature, still less can it be realized by art. People had a difficulty, therefore, in conceiving that the most certain of all conclusions could rest on premises which, instead of being certainly true, are certainly not true to the full extent asserted. This apparent paradox will be examined when we come to treat of Demonstration; where we shall be able to show that as much of the postulate is true, as is required to support as much as is true of the conclusion. Philosophers, however, to whom this view had not occurred, or whom it did not satisfy, have thought it indispensable that there should be found in definitions something *more* certain, or at least more accurately true, than the implied postulate of the real existence of a corresponding object. And this something they flattered themselves they had found, when they laid it down that a definition is a statement and analysis not of the mere meaning of a word, nor yet of the nature of a thing, but of an idea. Thus, the proposition, "A circle is a plane figure bounded by a line all the points of which are at an equal distance from a given point within it," was considered by them, not as an assertion that any real circle has that property (which would not be exactly true), but that we *conceive* a circle as having it; that our abstract idea of a circle is an idea of a figure with its radii exactly equal.

Conformably to this it is said, that the subject-matter of mathematics, and of every other demonstrative science, is not things as they really exist, but abstractions of the mind. A geometrical line is a line without breadth; but no such line exists in nature; it is a notion merely suggested to the mind by its experience of nature. The definition (it is said) is a definition of this mental line, not of any actual line: and it is only of the mental line, not of any line existing in nature, that the theorems of geometry are accurately true.

Allowing this doctrine respecting the nature of demonstrative truth to be correct (which, in a subsequent place, I shall endeavor to prove that it is not); even on that supposition, the conclusions which seem to follow from a definition, do not follow from the definition as such, but from an implied postulate. Even if it be true that there is no object in nature answering to the definition of a line, and that the geometrical properties of lines are not true of any lines in nature, but only of the idea of a line; the definition, at all events, postulates the real existence of such an idea: it assumes that the

mind can frame, or rather has framed, the notion of length without breadth, and without any other sensible property whatever. To me, indeed, it appears that the mind can not form any such notion; it can not conceive length without breadth; it can only, in contemplating objects, attend to their length, exclusively of their other sensible qualities, and so determine what properties may be predicated of them in virtue of their length alone. If this be true, the postulate involved in the geometrical definition of a line, is the real existence, not of length without breadth, but merely of length, that is, of long objects. This is quite enough to support all the truths of geometry, since every property of a geometrical line is really a property of all physical objects in so far as possessing length. But even what I hold to be the false doctrine on the subject, leaves the conclusion that our reasonings are grounded on the matters of fact postulated in definitions, and not on the definitions themselves, entirely unaffected; and accordingly this conclusion is one which I have in common with Dr. Whewell, in his *Philosophy of the Inductive Sciences*: though, on the nature of demonstrative truth, Dr. Whewell's opinions are greatly at variance with mine. And here, as in many other instances, I gladly acknowledge that his writings are eminently serviceable in clearing from confusion the initial steps in the analysis of the mental processes, even where his views respecting the ultimate analysis are such as (though with unfeigned respect) I can not but regard as fundamentally erroneous.

§ 7. Although, according to the opinion here presented, Definitions are properly of names only, and not of things, it does not follow from this that definitions are arbitrary. How to define a name, may not only be an inquiry of considerable difficulty and intricacy, but may involve considerations going deep into the nature of the things which are denoted by the name. Such, for instance, are the inquiries which form the subjects of the most important of Plato's Dialogues; as, "What is rhetoric?" the topic of the Gorgias, or, "What is justice?" that of the Republic. Such, also, is the question scornfully asked by Pilate, "What is truth?" and the fundamental question with speculative moralists in all ages, "What is virtue?"

It would be a mistake to represent these difficult and noble inquiries as having nothing in view beyond ascertaining the conventional meaning

of a name. They are inquiries not so much to determine what is, as what should be, the meaning of a name; which, like other practical questions of terminology, requires for its solution that we should enter, and sometimes enter very deeply, into the properties not merely of names but of the things named.

Although the meaning of every concrete general name resides in the attributes which it connotes, the objects were named before the attributes; as appears from the fact that in all languages, abstract names are mostly compounds or other derivatives of the concrete names which correspond to them. Connotative names, therefore, were, after proper names, the first which were used: and in the simpler cases, no doubt, a distinct connotation was present to the minds of those who first used the name, and was distinctly intended by them to be conveyed by it. The first person who used the word white, as applied to snow or to any other object, knew, no doubt, very well what quality he intended to predicate, and had a perfectly distinct conception in his mind of the attribute signified by the name.

But where the resemblances and differences on which our classifications are founded are not of this palpable and easily determinable kind; especially where they consist not in any one quality but in a number of qualities, the effects of which, being blended together, are not very easily discriminated, and referred each to its true source; it often happens that names are applied to namable objects, with no distinct connotation present to the minds of those who apply them. They are only influenced by a general resemblance between the new object and all or some of the old familiar objects which they have been accustomed to call by that name. This, as we have seen, is the law which even the mind of the philosopher must follow, in giving names to the simple elementary feelings of our nature: but, where the things to be named are complex wholes, a philosopher is not content with noticing a general resemblance; he examines what the resemblance consists in: and he only gives the same name to things which resemble one another in the same definite particulars. The philosopher, therefore, habitually employs his general names with a definite connotation. But language was not made, and can only in some small degree be mended, by philosophers. In the minds of the

real arbiters of language, general names, especially where the classes they denote can not be brought before the tribunal of the outward senses to be identified and discriminated, connote little more than a vague gross resemblance to the things which they were earliest, or have been most, accustomed to call by those names. When, for instance, ordinary persons predicate the words *just* or *unjust* of any action, *noble* or *mean* of any sentiment, expression, or demeanor, *statesman* or *charlatan* of any personage figuring in politics, do they mean to affirm of those various subjects any determinate attributes, of whatever kind? No: they merely recognize, as they think, some likeness, more or less vague and loose, between these and some other things which they have been accustomed to denominate or to hear denominated by those appellations.

Language, as Sir James Mackintosh used to say of governments, “is not made, but grows.” A name is not imposed at once and by previous purpose upon a *class* of objects, but is first applied to one thing, and then extended by a series of transitions to another and another. By this process (as has been remarked by several writers, and illustrated with great force and clearness by Dugald Stewart in his *Philosophical Essays*) a name not unfrequently passes by successive links of resemblance from one object to another, until it becomes applied to things having nothing in common with the first things to which the name was given; which, however, do not, for that reason, drop the name; so that it at last denotes a confused huddle of objects, having nothing whatever in common; and connotes nothing, not even a vague and general resemblance. When a name has fallen into this state, in which by predicating it of any object we assert literally nothing about the object, it has become unfit for the purposes either of thought or of the communication of thought; and can only be made serviceable by stripping it of some part of its multifarious denotation, and confining it to objects possessed of some attributes in common, which it may be made to connote. Such are the inconveniences of a language which “is not made, but grows.” Like the governments which are in a similar case, it may be compared to a road which is not made but has made itself: it requires continual mending in order to be passable.

From this it is already evident, why the question respecting the definition of an abstract name is often one of so much difficulty. The



question, What is justice? is, in other words, What is the attribute which mankind mean to predicate when they call an action just? To which the first answer is, that having come to no precise agreement on the point, they do not mean to predicate distinctly any attribute at all. Nevertheless, all believe that there is some common attribute belonging to all the actions which they are in the habit of calling just. The question then must be, whether there is any such common attribute? and, in the first place, whether mankind agree sufficiently with one another as to the particular actions which they do or do not call just, to render the inquiry, what quality those actions have in common, a possible one: if so, whether the actions really have any quality in common; and if they have, what it is. Of these three, the first alone is an inquiry into usage and convention; the other two are inquiries into matters of fact. And if the second question (whether the actions form a class at all) has been answered negatively, there remains a fourth, often more arduous than all the rest, namely, how best to form a class artificially, which the name may denote.

And here it is fitting to remark, that the study of the spontaneous growth of languages is of the utmost importance to those who would logically remodel them. The classifications rudely made by established language, when retouched, as they almost all require to be, by the hands of the logician, are often themselves excellently suited to his purposes. As compared with the classifications of a philosopher, they are like the customary law of a country, which has grown up as it were spontaneously, compared with laws methodized and digested into a code: the former are a far less perfect instrument than the latter; but being the result of a long, though unscientific, course of experience, they contain a mass of materials which may be made very usefully available in the formation of the systematic body of written law. In like manner, the established grouping of objects under a common name, even when founded only on a gross and general resemblance, is evidence, in the first place, that the resemblance is obvious, and therefore considerable; and, in the next place, that it is a resemblance which has struck great numbers of persons during a series of years and ages. Even when a name, by successive extensions, has come to be applied to things among which there does not exist this gross resemblance common to them all, still at every step in its progress we shall find such a resemblance. And these transitions of the meaning of words

are often an index to real connections between the things denoted by them, which might otherwise escape the notice of thinkers; of those at least who, from using a different language, or from any difference in their habitual associations, have fixed their attention in preference on some other aspect of the things. The history of philosophy abounds in examples of such oversights, committed for want of perceiving the hidden link that connected together the seemingly disparate meanings of some ambiguous word.<sup>46</sup>.....

Whenever the inquiry into the definition of the name of any real object consists of any thing else than a mere comparison of authorities, we tacitly assume that a meaning must be found for the name, compatible with its continuing to denote, if possible all, but at any rate the greater or the more important part, of the things of which it is commonly predicated. The inquiry, therefore, into the definition, is an inquiry into the resemblances and differences among those things: whether there be any resemblance running through them all; if not, through what portion of them such a general resemblance can be traced: and finally, what are the common attributes, the possession of which gives to them all, or to that portion of them, the character of resemblance which has led to their being classed together. When these common attributes have been ascertained and specified, the name which belongs in common to the resembling objects acquires a distinct instead of a vague connotation; and by possessing this distinct connotation, becomes susceptible of definition.

In giving a distinct connotation to the general name, the philosopher will endeavor to fix upon such attributes as, while they are common to all the things usually denoted by the name, are also of greatest importance in themselves; either directly, or from the number, the conspicuousness, or the interesting character, of the consequences to which they lead. He will select, as far as possible, such *differentiæ* as lead to the greatest number of interesting *propria*. For these, rather than the more obscure and recondite qualities on which they often depend, give that general character and aspect to a set of objects, which determine the groups into which they naturally fall. But to penetrate to the more hidden agreement on which these obvious and superficial agreements depend, is often one of the most difficult of scientific problems. As it is among the most difficult, so it

seldom fails to be among the most important. And since upon the result of this inquiry respecting the causes of the properties of a class of things, there incidentally depends the question what shall be the meaning of a word; some of the most profound and most valuable investigations which philosophy presents to us, have been introduced by, and have offered themselves under the guise of, inquiries into the definition of a name.

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<sup>43</sup> Professor Bain, in his *Logic*, takes a peculiar view of Definition. He holds (i., 71) with the present work, that "the definition in its full import, is the sum of all the properties connoted by the name; it exhausts the meaning of a word." But he regards the meaning of a general name as including, not indeed all the common properties of the class named, but all of them that are ultimate properties, not resolvable into one another. "The enumeration of the attributes of oxygen, of gold, of man, should be an enumeration of the final (so far as can be made out), the underivable, powers or functions of each," and nothing less than this is a complete Definition (i., 75). An independent property, not derivable from other properties, even if previously unknown, yet as soon as discovered becomes, according to him, part of the meaning of the term, and should be included in the definition. "When we are told that diamond, which we know to be a transparent, glittering, hard, and high-priced substance, is composed of carbon, and is combustible, we must put these additional properties on the same level as the rest; to us they are henceforth connoted by the name" (i., 73). Consequently the propositions that diamond is composed of carbon, and that it is combustible, are regarded by Mr. Bain as merely verbal propositions. He carries this doctrine so far as to say that unless mortality can be shown to be a consequence of the ultimate laws of animal organization, mortality is connoted by man, and "Man is Mortal" is a merely verbal proposition. And one of the peculiarities (I think a disadvantageous peculiarity) of his able and valuable treatise, is the large number of propositions requiring proof, and learned by experience, which, in conformity with this doctrine, he considers as not real, but verbal, propositions.

The objection I have to this language is that it confounds, or at least confuses, a much more important distinction than that which it draws. The only reason for dividing Propositions into real and verbal, is in order to discriminate propositions which convey information about facts, from those which do not. A proposition which affirms that an object has a given attribute, while designating the object by a name which already signifies the attribute, adds no information to that which was already possessed by all who understood the name. But when this is said, it is implied that, by the signification of a name, is meant the signification attached to it in the common usage of life. I can not think we ought to say that the meaning of a

word includes matters of fact which are unknown to every person who uses the word unless he has learned them by special study of a particular department of Nature; or that because a few persons are aware of these matters of fact, the affirmation of them is a proposition conveying no information. I hold that (special scientific connotation apart) a name means, or connotes, only the properties which it is a mark of in the general mind; and that in the case of any additional properties, however uniformly found to accompany these, it remains possible that a thing which did not possess the properties might still be thought entitled to the name. Ruminant, according to Mr. Bain's use of language, connotes cloven-hoofed, since the two properties are always found together, and no connection has ever been discovered between them: but ruminant does not mean cloven-hoofed; and were an animal to be discovered which chews the cud, but has its feet undivided, I venture to say that it would still be called ruminant.

<sup>44</sup> In the fuller discussion which Archbishop Whately has given to this subject in his later editions, he almost ceases to regard the definitions of names and those of things as, in any important sense, distinct. He seems (9th ed., p. 145) to limit the notion of a Real Definition to one which "explains any thing *more* of the nature of the thing than is implied in the name;" (including under the word "implied," not only what the name connotes, but every thing which can be deduced by reasoning from the attributes connoted). Even this, as he adds, is usually called not a Definition, but a Description; and (as it seems to me) rightly so called. A Description, I conceive, can only be ranked among Definitions, when taken (as in the case of the zoological definition of man) to fulfill the true office of a Definition, by declaring the connotation given to a word in some special use, as a term of science or art: which special connotation of course would not be expressed by the proper definition of the word in its ordinary employment.

Mr. De Morgan, exactly reversing the doctrine of Archbishop Whately, understands by a Real Definition one which contains *less* than the Nominal Definition, provided only that what it contains is sufficient for distinction. "By *real* definition I mean such an explanation of the word, be it the whole of the meaning or only part, as will be sufficient to separate the things contained under that word from all others. Thus the following, I believe, is a complete definition of an elephant: An animal which naturally drinks by drawing the water into its nose, and then spurting it into its mouth." — *Formal Logic*, p. 36. Mr. De Morgan's general proposition and his example are at variance; for the peculiar mode of drinking of the elephant certainly forms no part of the meaning of the word elephant. It could not be said, because a person happened to be ignorant of this property, that he did not know what an elephant means.

<sup>45</sup> In the only attempt which, so far as I know, has been made to refute the preceding argumentation, it is maintained that in the first form of the

syllogism,

A dragon is a thing which breathes flame, A dragon is a serpent, Therefore some serpent or serpents breathe flame,

“there is just as much truth in the conclusion as there is in the premises, or rather, no more in the latter than in the former. If the general name serpent includes both real and imaginary serpents, there is no falsity in the conclusion; if not, there is falsity in the minor premise.”

Let us, then, try to set out the syllogism on the hypothesis that the name serpent includes imaginary serpents. We shall find that it is now necessary to alter the predicates; for it can not be asserted that an imaginary creature breathes flame; in predicating of it such a fact, we assert by the most positive implication that it is real, and not imaginary. The conclusion must run thus, “Some serpent or serpents either do or are *imagined* to breathe flame.” And to prove this conclusion by the instance of dragons, the premises must be, A dragon is *imagined* as breathing flame. A dragon is a (real or imaginary) serpent: from which it undoubtedly follows, that there are serpents which are imagined to breathe flame; but the major premise is not a definition, nor part of a definition; which is all that I am concerned to prove.

Let us now examine the other assertion — that if the word serpent stands for none but real serpents, the minor premise (a dragon is a serpent) is false. This is exactly what I have myself said of the premise, considered as a statement of fact: but it is not false as part of the definition of a dragon; and since the premises, or one of them, must be false (the conclusion being so), the real premise can not be the definition, which is true, but the statement of fact, which is false.

<sup>46</sup> “Few people” (I have said in another place) “have reflected how great a knowledge of Things is required to enable a man to affirm that any given argument turns wholly upon words. There is, perhaps, not one of the leading terms of philosophy which is not used in almost innumerable shades of meaning, to express ideas more or less widely different from one another. Between two of these ideas a sagacious and penetrating mind will discern, as it were intuitively, an unobvious link of connection, upon which, though perhaps unable to give a logical account of it, he will found a perfectly valid argument, which his critic, not having so keen an insight into the Things, will mistake for a fallacy turning on the double meaning of a term. And the greater the genius of him who thus safely leaps over the chasm, the greater will probably be the crowing and vainglory of the mere logician, who, hobbling after him, evinces his own superior wisdom by pausing on its brink, and giving up as desperate his proper business of bridging it over.”



# BOOK II.

## OF REASONING.

Διωρισμένων δε τούτων λέγωμεν ἤδη, διὰ τίνων, καὶ πότε, καὶ πῶς γίνεται πᾶς συλλογισμὸς ὕστερον δεῖ λεκτέον περὶ ἀποδείξεως. Πρῶτερον γὰρ περὶ συλλογισμοῦ λεκτέον, ἢ περὶ ἀποδείξεως, διὰ τὸ καθόλου μᾶλλον εἶναι τὸν συλλογισμὸν. Ἡ μὲν γὰρ ἀπόδειξις, συλλογισμὸς τις; ὁ συλλογισμὸς δὲ οὐ πᾶς, ἀπόδειξις. — ARIST., *Analyt. Prior.*, l. i., cap. 4.

# CHAPTER I.

## OF INFERENCE, OR REASONING, IN GENERAL.

§ 1. In the preceding Book, we have been occupied not with the nature of Proof, but with the nature of Assertion: the import conveyed by a Proposition, whether that Proposition be true or false; not the means by which to discriminate true from false Propositions. The proper subject, however, of Logic is Proof. Before we could understand what Proof is, it was necessary to understand what that is to which proof is applicable; what that is which can be a subject of belief or disbelief, of affirmation or denial; what, in short, the different kinds of Propositions assert.

This preliminary inquiry we have prosecuted to a definite result. Assertion, in the first place, relates either to the meaning of words, or to some property of the things which words signify. Assertions respecting the meaning of words, among which definitions are the most important, hold a place, and an indispensable one, in philosophy; but as the meaning of words is essentially arbitrary, this class of assertions are not susceptible of truth or falsity, nor therefore of proof or disproof. Assertions respecting Things, or what may be called Real Propositions, in contradistinction to verbal ones, are of various sorts. We have analyzed the import of each sort, and have ascertained the nature of the things they relate to, and the nature of what they severally assert respecting those things. We found that whatever be the form of the proposition, and whatever its nominal subject or predicate, the real subject of every proposition is some one or more facts or phenomena of consciousness, or some one or more of the hidden causes or powers to which we ascribe those facts; and that what is predicated or asserted, either in the affirmative or negative, of those phenomena or those powers, is always either Existence, Order in Place, Order in Time, Causation, or Resemblance. This, then, is the theory of the Import of Propositions, reduced to its ultimate elements: but there is another and a less abstruse expression for it, which, though stopping short in an earlier stage of the analysis, is sufficiently scientific for many of the purposes for which such a general expression is required. This expression



recognizes the commonly received distinction between Subject and Attribute, and gives the following as the analysis of the meaning of propositions:— Every Proposition asserts, that some given subject does or does not possess some attribute; or that some attribute is or is not (either in all or in some portion of the subjects in which it is met with) conjoined with some other attribute.

We shall now for the present take our leave of this portion of our inquiry, and proceed to the peculiar problem of the Science of Logic, namely, how the assertions, of which we have analyzed the import, are proved or disproved; such of them, at least, as, not being amenable to direct consciousness or intuition, are appropriate subjects of proof.

We say of a fact or statement, that it is proved, when we believe its truth by reason of some other fact or statement from which it is said to *follow*. Most of the propositions, whether affirmative or negative, universal, particular, or singular, which we believe, are not believed on their own evidence, but on the ground of something previously assented to, from which they are said to be *inferred*. To infer a proposition from a previous proposition or propositions; to give credence to it, or claim credence for it, as a conclusion from something else; is to *reason*, in the most extensive sense of the term. There is a narrower sense, in which the name reasoning is confined to the form of inference which is termed ratiocination, and of which the syllogism is the general type. The reasons for not conforming to this restricted use of the term were stated in an earlier stage of our inquiry, and additional motives will be suggested by the considerations on which we are now about to enter.

§ 2. In proceeding to take into consideration the cases in which inferences can legitimately be drawn, we shall first mention some cases in which the inference is apparent, not real; and which require notice chiefly that they may not be confounded with cases of inference properly so called. This occurs when the proposition ostensibly inferred from another, appears on analysis to be merely a repetition of the same, or part of the same, assertion, which was contained in the first. All the cases mentioned in books of Logic as examples of equipollency or equivalence of propositions, are of this nature. Thus, if we were to argue, No man is incapable of reason, for every man is rational; or, All men are mortal, for

no man is exempt from death; it would be plain that we were not proving the proposition, but only appealing to another mode of wording it, which may or may not be more readily comprehensible by the hearer, or better adapted to suggest the real proof, but which contains in itself no shadow of proof.

Another case is where, from a universal proposition, we affect to infer another which differs from it only in being particular: as All A is B, therefore Some A is B: No A is B, therefore Some A is not B. This, too, is not to conclude one proposition from another, but to repeat a second time something which had been asserted at first; with the difference, that we do not here repeat the whole of the previous assertion, but only an indefinite part of it.

A third case is where, the antecedent having affirmed a predicate of a given subject, the consequent affirms of the same subject something already connoted by the former predicate: as, Socrates is a man, therefore Socrates is a living creature; where all that is connoted by living creature was affirmed of Socrates when he was asserted to be a man. If the propositions are negative, we must invert their order, thus: Socrates is not a living creature, therefore he is not a man; for if we deny the less, the greater, which includes it, is already denied by implication. These, therefore, are not really cases of inference; and yet the trivial examples by which, in manuals of Logic, the rules of the syllogism are illustrated, are often of this ill-chosen kind; formal demonstrations of conclusions to which whoever understands the terms used in the statement of the data, has already, and consciously, assented.<sup>47</sup>.....

The most complex case of this sort of apparent inference is what is called the Conversion of propositions; which consists in turning the predicate into a subject, and the subject into a predicate, and framing out of the same terms thus reversed, another proposition, which must be true if the former is true. Thus, from the particular affirmative proposition, Some A is B, we may infer that Some B is A. From the universal negative, No A is B, we may conclude that No B is A. From the universal affirmative proposition, All A is B, it can not be inferred that all B is A; though all water is liquid, it is not implied that all liquid is water; but it is implied that some liquid is so; and hence the proposition, All A is B, is legitimately

convertible into Some B is A. This process, which converts a universal proposition into a particular, is termed conversion *per accidens*. From the proposition, Some A is not B, we can not even infer that some B is not A; though some men are not Englishmen, it does not follow that some Englishmen are not men. The only mode usually recognized of converting a particular negative proposition, is in the form, Some A is not B, therefore something which is not B is A; and this is termed conversion by contraposition. In this case, however, the predicate and subject are not merely reversed, but one of them is changed. Instead of [A] and [B], the terms of the new proposition are [a thing which is not B], and [A]. The original proposition, Some A is *not* B, is first changed into a proposition equipollent with it, Some A is “a thing which is not B;” and the proposition, being now no longer a particular negative, but a particular affirmative, admits of conversion in the first mode, or as it is called, *simple conversion*.<sup>48</sup>  
.....

In all these cases there is not really any inference; there is in the conclusion no new truth, nothing but what was already asserted in the premises, and obvious to whoever apprehends them. The fact asserted in the conclusion is either the very same fact, or part of the fact, asserted in the original proposition. This follows from our previous analysis of the Import of Propositions. When we say, for example, that some lawful sovereigns are tyrants, what is the meaning of the assertion? That the attributes connoted by the term “lawful sovereign,” and the attributes connoted by the term “tyrant,” sometimes co-exist in the same individual. Now this is also precisely what we mean, when we say that some tyrants are lawful sovereigns; which, therefore, is not a second proposition inferred from the first, any more than the English translation of Euclid’s Elements is a collection of theorems different from and consequences of, those contained in the Greek original. Again, if we assert that no great general is a rash man, we mean that the attributes connoted by “great general,” and those connoted by “rash,” never co-exist in the same subject; which is also the exact meaning which would be expressed by saying, that no rash man is a great general. When we say that all quadrupeds are warm-blooded, we assert, not only that the attributes connoted by “quadruped” and those connoted by “warm-blooded” sometimes co-exist, but that the former never exist without the latter: now the proposition,

Some warm-blooded creatures are quadrupeds, expresses the first half of this meaning, dropping the latter half; and therefore has been already affirmed in the antecedent proposition, All quadrupeds are warm-blooded. But that *all* warm-blooded creatures are quadrupeds, or, in other words, that the attributes connoted by “warm-blooded” never exist without those connoted by “quadruped,” has not been asserted, and can not be inferred. In order to re-assert, in an inverted form, the whole of what was affirmed in the proposition, All quadrupeds are warm-blooded, we must convert it by contraposition, thus, Nothing which is not warm-blooded is a quadruped. This proposition, and the one from which it is derived, are exactly equivalent, and either of them may be substituted for the other; for, to say that when the attributes of a quadruped are present, those of a warm-blooded creature are present, is to say that when the latter are absent the former are absent.

In a manual for young students, it would be proper to dwell at greater length on the conversion and equipollency of propositions. For though that can not be called reasoning or inference which is a mere re-assertion in different words of what had been asserted before, there is no more important intellectual habit, nor any the cultivation of which falls more strictly within the province of the art of logic, than that of discerning rapidly and surely the identity of an assertion when disguised under diversity of language. That important chapter in logical treatises which relates to the Opposition of Propositions, and the excellent technical language which logic provides for distinguishing the different kinds or modes of opposition, are of use chiefly for this purpose. Such considerations as these, that contrary propositions may both be false, but can not both be true; that subcontrary propositions may both be true, but can not both be false; that of two contradictory propositions one must be true and the other false; that of two subalternate propositions the truth of the universal proves the truth of the particular, and the falsity of the particular proves the falsity of the universal, but not *vice versa*;<sup>49</sup> are apt to appear, at first sight, very technical and mysterious, but when explained, seem almost too obvious to require so formal a statement, since the same amount of explanation which is necessary to make the principles intelligible, would enable the truths which they convey to be apprehended in any particular case which can occur. In this respect, however, these

axioms of logic are on a level with those of mathematics. That things which are equal to the same thing are equal to one another, is as obvious in any particular case as it is in the general statement: and if no such general maxim had ever been laid down, the demonstrations in Euclid would never have halted for any difficulty in stepping across the gap which this axiom at present serves to bridge over. Yet no one has ever censured writers on geometry, for placing a list of these elementary generalizations at the head of their treatises, as a first exercise to the learner of the faculty which will be required in him at every step, that of apprehending a *general* truth. And the student of logic, in the discussion even of such truths as we have cited above, acquires habits of circumspect interpretation of words, and of exactly measuring the length and breadth of his assertions, which are among the most indispensable conditions of any considerable mental attainment, and which it is one of the primary objects of logical discipline to cultivate.

§ 3. Having noticed, in order to exclude from the province of Reasoning or Inference properly so called, the cases in which the progression from one truth to another is only apparent, the logical consequent being a mere repetition of the logical antecedent; we now pass to those which are cases of inference in the proper acceptance of the term, those in which we set out from known truths, to arrive at others really distinct from them.

Reasoning, in the extended sense in which I use the term, and in which it is synonymous with Inference, is popularly said to be of two kinds: reasoning from particulars to generals, and reasoning from generals to particulars; the former being called Induction, the latter Ratiocination or Syllogism. It will presently be shown that there is a third species of reasoning, which falls under neither of these descriptions, and which, nevertheless, is not only valid, but is the foundation of both the others.

It is necessary to observe, that the expressions, reasoning from particulars to generals, and reasoning from generals to particulars, are recommended by brevity rather than by precision, and do not adequately mark, without the aid of a commentary, the distinction between Induction (in the sense now adverted to) and Ratiocination. The meaning intended by these expressions is, that Induction is inferring a proposition from

propositions *less general* than itself, and Ratiocination is inferring a proposition from propositions *equally* or *more* general. When, from the observation of a number of individual instances, we ascend to a general proposition, or when, by combining a number of general propositions, we conclude from them another proposition still more general, the process, which is substantially the same in both instances, is called Induction. When from a general proposition, not alone (for from a single proposition nothing can be concluded which is not involved in the terms), but by combining it with other propositions, we infer a proposition of the same degree of generality with itself, or a less general proposition, or a proposition merely individual, the process is Ratiocination. When, in short, the conclusion is more general than the largest of the premises, the argument is commonly called Induction; when less general, or equally general, it is Ratiocination.

As all experience begins with individual cases, and proceeds from them to generals, it might seem most conformable to the natural order of thought that Induction should be treated of before we touch upon Ratiocination. It will, however, be advantageous, in a science which aims at tracing our acquired knowledge to its sources, that the inquirer should commence with the latter rather than with the earlier stages of the process of constructing our knowledge; and should trace derivative truths backward to the truths from which they are deduced, and on which they depend for their evidence, before attempting to point out the original spring from which both ultimately take their rise. The advantages of this order of proceeding in the present instance will manifest themselves as we advance, in a manner superseding the necessity of any further justification or explanation.

Of Induction, therefore, we shall say no more at present, than that it at least is, without doubt, a process of real inference. The conclusion in an induction embraces more than is contained in the premises. The principle or law collected from particular instances, the general proposition in which we embody the result of our experience, covers a much larger extent of ground than the individual experiments which form its basis. A principle ascertained by experience, is more than a mere summing up of what has been specifically observed in the individual cases which have

been examined; it is a generalization grounded on those cases, and expressive of our belief, that what we there found true is true in an indefinite number of cases which we have not examined, and are never likely to examine. The nature and grounds of this inference, and the conditions necessary to make it legitimate, will be the subject of discussion in the Third Book: but that such inference really takes place is not susceptible of question. In every induction we proceed from truths which we knew, to truths which we did not know; from facts certified by observation, to facts which we have not observed, and even to facts not capable of being now observed; future facts, for example; but which we do not hesitate to believe on the sole evidence of the induction itself.

Induction, then, is a real process of Reasoning or Inference. Whether, and in what sense, as much can be said of the Syllogism, remains to be determined by the examination into which we are about to enter.

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<sup>47</sup> The different cases of Equipollency, or "Equivalent Propositional Forms," are set forth with some fullness in Professor Bain's *Logic*. One of the commonest of these changes of expression, that from affirming a proposition to denying its negative, or *vice versa*, Mr. Bain designates, very happily, by the name Obversion.

<sup>48</sup> As Sir William Hamilton has pointed out, "Some A is not B" may also be converted in the following form: "No B is *some* A." Some men are not negroes; therefore, No negroes are *some* men (*e.g.*, Europeans).

<sup>49</sup> Contraries: All A is B No A is B

Subtraries: Some A is B Some A is not B

Contradictories: All A is B Some A is not B

Also contradictories: No A is B Some A is B

Respectively subalternate: All A is B and No A is B Some A is B and Some A is not B



# CHAPTER II.

## OF RATIOCINATION, OR SYLLOGISM.

§ 1. The analysis of the Syllogism has been so accurately and fully performed in the common manuals of Logic, that in the present work, which is not designed as a manual, it is sufficient to recapitulate, *memoriæ causâ*, the leading results of that analysis, as a foundation for the remarks to be afterward made on the functions of the Syllogism, and the place which it holds in science.

To a legitimate syllogism it is essential that there should be three, and no more than three, propositions, namely, the conclusion, or proposition to be proved, and two other propositions which together prove it, and which are called the premises. It is essential that there should be three, and no more than three, terms, namely, the subject and predicate of the conclusion, and another called the middle term, which must be found in both premises, since it is by means of it that the other two terms are to be connected together. The predicate of the conclusion is called the major term of the syllogism; the subject of the conclusion is called the minor term. As there can be but three terms, the major and minor terms must each be found in one, and only one, of the premises, together with the middle term which is in them both. The premise which contains the middle term and the major term is called the major premise; that which contains the middle term and the minor term is called the minor premise.

Syllogisms are divided by some logicians into three *figures*, by others into four, according to the position of the middle term, which may either be the subject in both premises, the predicate in both, or the subject in one and the predicate in the other. The most common case is that in which the middle term is the subject of the major premise and the predicate of the minor. This is reckoned as the first figure. When the middle term is the predicate in both premises, the syllogism belongs to the second figure; when it is the subject in both, to the third. In the fourth figure the middle term is the subject of the minor premise and the predicate of the major.



Those writers who reckon no more than three figures, include this case in the first.

Each figure is divided into *moods*, according to what are called the *quantity* and *quality* of the propositions, that is, according as they are universal or particular, affirmative or negative. The following are examples of all the legitimate moods, that is, all those in which the conclusion correctly follows from the premises. A is the minor term, C the major, B the middle term.

FIRST FIGURE.

All B is C No B is C All B is C No B is C All A is B All A is B Some A is B  
Some A is B therefore therefore therefore therefore All A is C No A is C  
Some A is C Some A is not C

SECOND FIGURE.

No C is B All C is B No C is B All C is B All A is B No A is B Some A is B  
Some A is not B therefore therefore therefore therefore No A is C No A is C  
Some A is not C Some A is not C

THIRD FIGURE.

All B is No B is C Some B is All B is Some B is No B is C C C C not C All  
B is All B is All B is Some B is All B is Some B is A A A A A therefore  
therefore therefore therefore therefore therefore Some A is Some A is  
Some A is Some A is Some A is Some A is C not C C C not C not C

FOURTH FIGURE.

All C is B All C is B Some C is B No C is B No C is B All B is A No B is A  
All B is A All B is A Some B is A therefore therefore therefore therefore  
therefore Some A is C Some A is Some A is C Some A is Some A is  
not C not C not C

In these exemplars, or blank forms for making syllogisms, no place is assigned to *singular* propositions; not, of course, because such propositions are not used in ratiocination, but because, their predicate being affirmed or denied of the whole of the subject, they are ranked, for the purposes of the syllogism, with universal propositions. Thus, these two syllogisms —

All men are mortal, All men are mortal, All kings are men, Socrates is a man, therefore therefore All kings are mortal, Socrates is mortal,

are arguments precisely similar, and are both ranked in the first mood of the first figure.<sup>50</sup>

The reasons why syllogisms in any of the above forms are legitimate, that is, why, if the premises are true, the conclusion must inevitably be so, and why this is not the case in any other possible mood (that is, in any other combination of universal and particular, affirmative and negative propositions), any person taking interest in these inquiries may be presumed to have either learned from the common-school books of the syllogistic logic, or to be capable of discovering for himself. The reader may, however, be referred, for every needful explanation, to Archbishop Whately's *Elements of Logic*, where he will find stated with philosophical precision, and explained with remarkable perspicuity, the whole of the common doctrine of the syllogism.

All valid ratiocination; all reasoning by which, from general propositions previously admitted, other propositions equally or less general are inferred; may be exhibited in some of the above forms. The whole of Euclid, for example, might be thrown without difficulty into a series of syllogisms, regular in mood and figure.

Though a syllogism framed according to any of these formulæ is a valid argument, all correct ratiocination admits of being stated in syllogisms of the first figure alone. The rules for throwing an argument in any of the other figures into the first figure, are called rules for the *reduction* of syllogisms. It is done by the *conversion* of one or other, or both, of the premises. Thus an argument in the first mood of the second figure, as —

No C is B All A is B therefore No A is C,

may be reduced as follows. The proposition, No C is B, being a universal negative, admits of simple conversion, and may be changed into No B is C, which, as we showed, is the very same assertion in other words — the same fact differently expressed. This transformation having been effected, the argument assumes the following form:

No B is C All A is B therefore No A is C,

which is a good syllogism in the second mood of the first figure. Again, an argument in the first mood of the third figure must resemble the following:

All B is C All B is A therefore Some A is C,

where the minor premise, All B is A, conformably to what was laid down in the last chapter respecting universal affirmatives, does not admit of simple conversion, but may be converted *per accidens*, thus, Some A is B; which, though it does not express the whole of what is asserted in the proposition All B is A, expresses, as was formerly shown, part of it, and must therefore be true if the whole is true. We have, then, as the result of the reduction, the following syllogism in the third mood of the first figure:

All B is C Some A is B,

from which it obviously follows, that

Some A is C.

In the same manner, or in a manner on which after these examples it is not necessary to enlarge, every mood of the second, third, and fourth figures may be reduced to some one of the four moods of the first. In other words, every conclusion which can be proved in any of the last three figures, may be proved in the first figure from the same premises, with a slight alteration in the mere manner of expressing them. Every valid ratiocination, therefore, may be stated in the first figure, that is, in one of the following forms:

Every B is C No B is C All A is B, All A is B, Some A is B, Some A is B, therefore therefore All A is C. No A is C. Some A is C. Some A is not C.

Or, if more significant symbols are preferred:

To prove an affirmative, the argument must admit of being stated in this form:

All animals are mortal; All men/Some men/Socrates are animals; therefore All men/Some men/Socrates are mortal.

To prove a negative, the argument must be capable of being expressed in this form:

No one who is capable of self-control is necessarily vicious;

No one who is capable of self-control is necessarily vicious; All negroes/Some negroes/Mr. A's negro are capable of self-control; therefore

No negroes are/Some negroes are not/Mr. A's negro is not necessarily vicious.

Though all ratiocination admits of being thrown into one or the other of these forms, and sometimes gains considerably by the transformation, both in clearness and in the obviousness of its consequence; there are, no doubt, cases in which the argument falls more naturally into one of the other three figures, and in which its conclusiveness is more apparent at the first glance in those figures, than when reduced to the first. Thus, if the proposition were that pagans may be virtuous, and the evidence to prove it were the example of Aristides; a syllogism in the third figure,

Aristides was virtuous, Aristides was a pagan, therefore Some pagan was virtuous,

would be a more natural mode of stating the argument, and would carry conviction more instantly home, than the same ratiocination strained into the first figure, thus —

Aristides was virtuous, Some pagan was Aristides, therefore Some pagan was virtuous.

A German philosopher, Lambert, whose *Neues Organon* (published in the year 1764) contains among other things one of the most elaborate and complete expositions which had ever been made of the syllogistic doctrine, has expressly examined what sort of arguments fall most naturally and suitably into each of the four figures; and his investigation is characterized by great ingenuity and clearness of thought.<sup>51</sup> The argument, however, is one and the same, in whichever figure it is expressed; since, as we have already seen, the premises of a syllogism in the second, third, or fourth figure, and those of the syllogism in the first figure to which it may be reduced, are the same premises in every thing except language, or, at least, as much of them as contributes to the proof of the conclusion is the same. We are therefore at liberty, in conformity with the general opinion of logicians, to consider the two elementary forms of the first figure as the universal types of all correct ratiocination; the one, when the conclusion to be proved is affirmative, the other, when it is negative; even though certain arguments may have a tendency to clothe themselves in the forms of the second, third, and fourth figures; which, however, can not possibly happen with the only class of arguments which are of first-rate scientific

importance, those in which the conclusion is a universal affirmative, such conclusions being susceptible of proof in the first figure alone.<sup>52</sup>

§ 2. On examining, then, these two general formulæ, we find that in both of them, one premise, the major, is a universal proposition; and according as this is affirmative or negative, the conclusion is so too. All ratiocination, therefore, starts from a *general* proposition, principle, or assumption: a proposition in which a predicate is affirmed or denied of an entire class; that is, in which some attribute, or the negation of some attribute, is asserted of an indefinite number of objects distinguished by a common characteristic, and designated, in consequence, by a common name.

The other premise is always affirmative, and asserts that something (which may be either an individual, a class, or part of a class) belongs to, or is included in, the class respecting which something was affirmed or denied in the major premise. It follows that the attribute affirmed or denied of the entire class may (if that affirmation or denial was correct) be affirmed or denied of the object or objects alleged to be included in the class: and this is precisely the assertion made in the conclusion.

Whether or not the foregoing is an adequate account of the constituent parts of the syllogism, will be presently considered; but as far as it goes it is a true account. It has accordingly been generalized, and erected into a logical maxim, on which all ratiocination is said to be founded, insomuch that to reason, and to apply the maxim, are supposed to be one and the same thing. The maxim is, That whatever can be affirmed (or denied) of a class, may be affirmed (or denied) of every thing included in the class. This axiom, supposed to be the basis of the syllogistic theory, is termed by logicians the *dictum de omni et nullo*.

This maxim, however, when considered as a principle of reasoning, appears suited to a system of metaphysics once indeed generally received, but which for the last two centuries has been considered as finally abandoned, though there have not been wanting in our own day attempts at its revival. So long as what are termed Universals were regarded as a peculiar kind of substances, having an objective existence distinct from the individual objects classed under them, the *dictum de omni* conveyed an important meaning; because it expressed the intercommunity of nature,

which it was necessary on that theory that we should suppose to exist between those general substances and the particular substances which were subordinated to them. That every thing predicable of the universal was predicable of the various individuals contained under it, was then no identical proposition, but a statement of what was conceived as a fundamental law of the universe. The assertion that the entire nature and properties of the *substantia secunda* formed part of the nature and properties of each of the individual substances called by the same name; that the properties of Man, for example, were properties of all men; was a proposition of real significance when man did not *mean* all men, but something inherent in men, and vastly superior to them in dignity. Now, however, when it is known that a class, a universal, a genus or species, is not an entity *per se*, but neither more nor less than the individual substances themselves which are placed in the class, and that there is nothing real in the matter except those objects, a common name given to them, and common attributes indicated by the name; what, I should be glad to know, do we learn by being told, that whatever can be affirmed of a class, may be affirmed of every object contained in the class? The class is nothing but the objects contained in it: and the *dictum de omni* merely amounts to the identical proposition, that whatever is true of certain objects, is true of each of those objects. If all ratiocination were no more than the application of this maxim to particular cases, the syllogism would indeed be, what it has so often been declared to be, solemn trifling. The *dictum de omni* is on a par with another truth, which in its time was also reckoned of great importance, "Whatever is, is." To give any real meaning to the *dictum de omni*, we must consider it not as an axiom, but as a definition; we must look upon it as intended to explain, in a circuitous and paraphrastic manner, the meaning of the word *class*.

An error which seemed finally refuted and dislodged from thought, often needs only put on a new suit of phrases, to be welcomed back to its old quarters, and allowed to repose unquestioned for another cycle of ages. Modern philosophers have not been sparing in their contempt for the scholastic dogma that genera and species are a peculiar kind of substances, which general substances being the only permanent things, while the individual substances comprehended under them are in a perpetual flux, knowledge, which necessarily imports stability, can only have relation to

those general substances or universals, and not to the facts or particulars included under them. Yet, though nominally rejected, this very doctrine, whether disguised under the Abstract Ideas of Locke (whose speculations, however, it has less vitiated than those of perhaps any other writer who has been infected with it), under the ultra-nominalism of Hobbes and Condillac, or the ontology of the later German schools, has never ceased to poison philosophy. Once accustomed to consider scientific investigation as essentially consisting in the study of universals, men did not drop this habit of thought when they ceased to regard universals as possessing an independent existence: and even those who went the length of considering them as mere names, could not free themselves from the notion that the investigation of truth consisted entirely or partly in some kind of conjuration or juggle with those names. When a philosopher adopted fully the Nominalist view of the signification of general language, retaining along with it the *dictum de omni* as the foundation of all reasoning, two such premises fairly put together were likely, if he was a consistent thinker, to land him in rather startling conclusions. Accordingly it has been seriously held, by writers of deserved celebrity, that the process of arriving at new truths by reasoning consists in the mere substitution of one set of arbitrary signs for another; a doctrine which they suppose to derive irresistible confirmation from the example of algebra. If there were any process in sorcery or necromancy more preternatural than this, I should be much surprised. The culminating point of this philosophy is the noted aphorism of Condillac, that a science is nothing, or scarcely any thing, but *une langue bien faite*; in other words, that the one sufficient rule for discovering the nature and properties of objects is to name them properly: as if the reverse were not the truth, that it is impossible to name them properly except in proportion as we are already acquainted with their nature and properties. Can it be necessary to say, that none, not even the most trivial knowledge with respect to Things, ever was or could be originally got at by any conceivable manipulation of mere names, as such; and that what can be learned from names, is only what somebody who used the names knew before? Philosophical analysis confirms the indication of common sense, that the function of names is but that of enabling us to *remember* and to *communicate* our thoughts. That they also strengthen, even to an incalculable extent, the power of thought itself,

is most true: but they do this by no intrinsic and peculiar virtue; they do it by the power inherent in an artificial memory, an instrument of which few have adequately considered the immense potency. As an artificial memory, language truly is, what it has so often been called, an instrument of thought; but it is one thing to be the instrument, and another to be the exclusive subject upon which the instrument is exercised. We think, indeed, to a considerable extent, by means of names, but what we think of, are the things called by those names; and there can not be a greater error than to imagine that thought can be carried on with nothing in our mind but names, or that we can make the names think for us.

§ 3. Those who considered the *dictum de omni* as the foundation of the syllogism, looked upon arguments in a manner corresponding to the erroneous view which Hobbes took of propositions. Because there are some propositions which are merely verbal, Hobbes, in order apparently that his definition might be rigorously universal, defined a proposition as if no propositions declared any thing except the meaning of words. If Hobbes was right; if no further account than this could be given of the import of propositions; no theory could be given but the commonly received one, of the combination of propositions in a syllogism. If the minor premise asserted nothing more than that something belongs to a class, and if the major premise asserted nothing of that class except that it is included in another class, the conclusion would only be that what was included in the lower class is included in the higher, and the result, therefore, nothing except that the classification is consistent with itself. But we have seen that it is no sufficient account of the meaning of a proposition, to say that it refers something to, or excludes something from, a class. Every proposition which conveys real information asserts a matter of fact, dependent on the laws of nature, and not on classification. It asserts that a given object does or does not possess a given attribute; or it asserts that two attributes, or sets of attributes, do or do not (constantly or occasionally) co-exist. Since such is the purport of all propositions which convey any real knowledge, and since ratiocination is a mode of acquiring real knowledge, any theory of ratiocination which does not recognize this import of propositions, can not, we may be sure, be the true one.



Applying this view of propositions to the two premises of a syllogism, we obtain the following results. The major premise, which, as already remarked, is always universal, asserts, that all things which have a certain attribute (or attributes) have or have not along with it, a certain other attribute (or attributes). The minor premise asserts that the thing or set of things which are the subject of that premise, have the first-mentioned attribute; and the conclusion is, that they have (or that they have not), the second. Thus in our former example,

All men are mortal, Socrates is a man, therefore Socrates is mortal,

the subject and predicate of the major premise are connotative terms, denoting objects and connoting attributes. The assertion in the major premise is, that along with one of the two sets of attributes, we always find the other: that the attributes connoted by “man” never exist unless conjoined with the attribute called mortality. The assertion in the minor premise is that the individual named Socrates possesses the former attributes; and it is concluded that he possesses also the attribute mortality. Or, if both the premises are general propositions, as

All men are mortal, All kings are men, therefore All kings are mortal,

the minor premise asserts that the attributes denoted by kingship only exist in conjunction with those signified by the word man. The major asserts as before, that the last-mentioned attributes are never found without the attribute of mortality. The conclusion is, that wherever the attributes of kingship are found, that of mortality is found also.

If the major premise were negative, as, No men are omnipotent, it would assert, not that the attributes connoted by “man” never exist without, but that they never exist with, those connoted by “omnipotent:” from which, together with the minor premise, it is concluded, that the same incompatibility exists between the attribute omnipotence and those constituting a king. In a similar manner we might analyze any other example of the syllogism.

If we generalize this process, and look out for the principle or law involved in every such inference, and presupposed in every syllogism, the propositions of which are any thing more than merely verbal; we find, not the unmeaning *dictum de omni et nullo*, but a fundamental principle, or rather two principles, strikingly resembling the axioms of mathematics.

The first, which is the principle of affirmative syllogisms, is, that things which co-exist with the same thing, co-exist with one another: or (still more precisely) a thing which co-exists with another thing, which other co-exists with a third thing, also co-exists with that third thing. The second is the principle of negative syllogisms, and is to this effect: that a thing which co-exists with another thing, with which other a third thing does not co-exist, is not co-existent with that third thing. These axioms manifestly relate to facts, and not to conventions; and one or other of them is the ground of the legitimacy of every argument in which facts and not conventions are the matter treated of.<sup>53</sup>

§ 4. It remains to translate this exposition of the syllogism from the one into the other of the two languages in which we formerly remarked<sup>54</sup> that all propositions, and of course therefore all combinations of propositions, might be expressed. We observed that a proposition might be considered in two different lights; as a portion of our knowledge of nature, or as a memorandum for our guidance. Under the former, or speculative aspect, an affirmative general proposition is an assertion of a speculative truth, viz., that whatever has a certain attribute has a certain other attribute. Under the other aspect, it is to be regarded not as a part of our knowledge, but as an aid for our practical exigencies, by enabling us, when we see or learn that an object possesses one of the two attributes, to infer that it possesses the other; thus employing the first attribute as a mark or evidence of the second. Thus regarded, every syllogism comes within the following general formula:

Attribute A is a mark of attribute B, The given object has the mark A, therefore The given object has the attribute B.

Referred to this type, the arguments which we have lately cited as specimens of the syllogism, will express themselves in the following manner:

The attributes of man are a mark of the attribute mortality, Socrates has the attributes of man, therefore Socrates has the attribute mortality.

And again,

The attributes of man are a mark of the attribute mortality, The attributes of a king are a mark of the attributes of man, therefore The attributes of a king are a mark of the attribute mortality.

And, lastly,

The attributes of man are a mark of the absence of the attribute omnipotence, The attributes of a king are a mark of the attributes of man, therefore The attributes of a king are a mark of the absence of the attribute signified by the word omnipotent (or, are evidence of the absence of that attribute).

To correspond with this alteration in the form of the syllogisms, the axioms on which the syllogistic process is founded must undergo a corresponding transformation. In this altered phraseology, both those axioms may be brought under one general expression; namely, that whatever has any mark, has that which it is a mark of. Or, when the minor premise as well as the major is universal, we may state it thus: Whatever is a mark of any mark, is a mark of that which this last is a mark of. To trace the identity of these axioms with those previously laid down, may be left to the intelligent reader. We shall find, as we proceed, the great convenience of the phraseology into which we have last thrown them, and which is better adapted than any I am acquainted with, to express with precision and force what is aimed at, and actually accomplished, in every case of the ascertainment of a truth by ratiocination.<sup>55</sup>

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<sup>50</sup> Professor Bain denies the claim of Singular Propositions to be classed, for the purposes of ratiocination, with Universal; though they come within the designation which he himself proposes as an equivalent for Universal, that of Total. He would even, to use his own expression, banish them entirely from the syllogism. He takes as an example,

Socrates is wise, Socrates is poor, therefore Some poor men are wise,

or more properly (as he observes) "one poor man is wise." "Now, if wise, poor, and a man, are attributes belonging to the meaning of the word Socrates, there is then no march of reasoning at all. We have given in Socrates, *inter alia*, the facts wise, poor, and a man, and we merely repeat the concurrence which is selected from the whole aggregate of properties making up the whole, Socrates. The case is one under the head 'Greater and Less Connotation' in Equivalent Propositional Forms, or Immediate Inference.

"But the example in this form does not do justice to the syllogism of singulars. We must suppose both propositions to be real, the predicates being in no way involved in the subject. Thus

Socrates was the master of Plato, Socrates fought at Delium, The master of Plato fought at Delium.

"It may fairly be doubted whether the transitions, in this instance, are any thing more than equivalent forms. For the proposition 'Socrates was the master of Plato and fought at Delium,' compounded out of the two premises, is obviously nothing more than a grammatical abbreviation. No one can say that there is here any change of meaning, or any thing beyond a verbal modification of the original form. The next step is, 'The master of Plato fought at Delium,' which is the previous statement cut down by the omission of Socrates. It contents itself with reproducing a part of the meaning, or saying less than had been previously said. The full equivalent of the affirmation is, 'The master of Plato fought at Delium, and the master of Plato was Socrates:' the new form omits the last piece of information, and gives only the first. Now, we never consider that we have made a real inference, a step in advance, when we repeat *less* than we are entitled to say, or drop from a complex statement some portion not desired at the moment. Such an operation keeps strictly within the domain of equivalence, or Immediate Inference. In no way, therefore, can a syllogism with two singular premises be viewed as a genuine syllogistic or deductive inference." (*Logic*, i., 159.)

The first argument, as will have been seen, rests upon the supposition that the name Socrates has a meaning; that man, wise, and poor, are parts of this meaning; and that by predicating them of Socrates we convey no information; a view of the signification of names which, for reasons already given (Note to § 4 of the chapter on Definition, *supra*, pp. 110, 111.), I can not admit, and which, as applied to the class of names which Socrates belongs to, is at war with Mr. Bain's own definition of a Proper Name (i., 148), "a single *meaningless* mark or designation appropriated to the thing." Such names, Mr. Bain proceeded to say, do not necessarily indicate even human beings: much less then does the name Socrates include the meaning of wise or poor. Otherwise it would follow that if Socrates had grown rich, or had lost his mental faculties by illness, he would no longer have been called Socrates.

The second part of Mr. Bain's argument, in which he contends that even when the premises convey real information, the conclusion is merely the premises with a part left out, is applicable, if at all, as much to universal propositions as to singular. In every syllogism the conclusion contains less than is asserted in the two premises taken together. Suppose the syllogism to be

All bees are intelligent, All bees are insects, therefore Some insects are intelligent:

one might use the same liberty taken by Mr. Bain, of joining together the two premises as if they were one — "All bees are insects and intelligent" —

and might say that in omitting the middle term *bees* we make no real inference, but merely reproduce part of what had been previously said. Mr. Bain's is really an objection to the syllogism itself, or at all events to the third figure: it has no special applicability to singular propositions.

<sup>51</sup> His conclusions are, "The first figure is suited to the discovery or proof of the properties of a thing; the second to the discovery or proof of the distinctions between things; the third to the discovery or proof of instances and exceptions; the fourth to the discovery, or exclusion, of the different species of a genus." The reference of syllogisms in the last three figures to the *dictum de omni et nullo* is, in Lambert's opinion, strained and unnatural: to each of the three belongs, according to him, a separate axiom, co-ordinate and of equal authority with that *dictum*, and to which he gives the names of *dictum de diverso* for the second figure, *dictum de exemplo* for the third, and *dictum de reciproco* for the fourth. See part i., or *Dianoilogie*, chap. iv., § 229 *et seqq.* Mr. Bailey (*Theory of Reasoning*, 2d ed., pp. 70–74) takes a similar view of the subject.

<sup>52</sup> Since this chapter was written, two treatises have appeared (or rather a treatise and a fragment of a treatise), which aim at a further improvement in the theory of the forms of ratiocination: Mr. De Morgan's "Formal Logic; or, the Calculus of Inference, Necessary and Probable;" and the "New Analytic of Logical Forms," attached as an Appendix to Sir William Hamilton's *Discussions on Philosophy*, and at greater length, to his posthumous *Lectures on Logic*.

In Mr. De Morgan's volume — abounding, in its more popular parts, with valuable observations felicitously expressed — the principal feature of originality is an attempt to bring within strict technical rules the cases in which a conclusion can be drawn from premises of a form usually classed as particular. Mr. De Morgan observes, very justly, that from the premises most Bs are Cs, most Bs are As, it may be concluded with certainty that some As are Cs, since two portions of the class B, each of them comprising more than half, must necessarily in part consist of the same individuals. Following out this line of thought, it is equally evident that if we knew exactly what proportion the "most" in each of the premises bear to the entire class B, we could increase in a corresponding degree the definiteness of the conclusion. Thus if 60 per cent. of B are included in C, and 70 per cent. in A, 30 per cent. at least must be common to both; in other words, the number of As which are Cs, and of Cs which are As, must be at least equal to 30 per cent. of the class B. Proceeding on this conception of "numerically definite propositions," and extending it to such forms as these:— "45 Xs (or more) are each of them one of 70 Ys," or "45 Xs (or more) are no one of them to be found among 70 Ys," and examining what inferences admit of being drawn from the various combinations which may be made of premises of this description, Mr. De Morgan establishes universal formulæ for such

inferences; creating for that purpose not only a new technical language, but a formidable array of symbols analogous to those of algebra.

Since it is undeniable that inferences, in the cases examined by Mr. De Morgan, can legitimately be drawn, and that the ordinary theory takes no account of them, I will not say that it was not worth while to show in detail how these also could be reduced to formulæ as rigorous as those of Aristotle. What Mr. De Morgan has done was worth doing once (perhaps more than once, as a school exercise); but I question if its results are worth studying and mastering for any practical purpose. The practical use of technical forms of reasoning is to bar out fallacies: but the fallacies which require to be guarded against in ratiocination properly so called, arise from the incautious use of the common forms of language; and the logician must track the fallacy into that territory, instead of waiting for it on a territory of his own. While he remains among propositions which have acquired the numerical precision of the Calculus of Probabilities, the enemy is left in possession of the only ground on which he can be formidable. And since the propositions (short of universal) on which a thinker has to depend, either for purposes of speculation or of practice, do not, except in a few peculiar cases, admit of any numerical precision; common reasoning can not be translated into Mr. De Morgan's forms, which therefore can not serve any purpose as a test of it.

Sir William Hamilton's theory of the "quantification of the predicate" may be described as follows:

"Logically" (I quote his words) "we ought to take into account the quantity, always understood in thought, but usually, for manifest reasons, elided in its expression, not only of the subject, but also of the predicate of a judgment." All A is B, is equivalent to all A is *some* B. No A is B, to No A is *any* B. Some A is B, is tantamount to some A is *some* B. Some A is not B, to Some A is *not any* B. As in these forms of assertion the predicate is exactly co-extensive with the subject, they all admit of simple conversion; and by this we obtain two additional forms — Some B is *all* A, and No B is *some* A. We may also make the assertion All A is all B, which will be true if the classes A and B are exactly co-extensive. The last three forms, though conveying real assertions, have no place in the ordinary classification of Propositions. All propositions, then, being supposed to be translated into this language, and written each in that one of the preceding forms which answers to its signification, there emerges a new set of syllogistic rules, materially different from the common ones. A general view of the points of difference may be given in the words of Sir W. Hamilton (*Discussions*, 2d ed., p. 651):

"The revocation of the two terms of a Proposition to their true relation; a proposition being always an *equation* of its subject and its predicate.

"The consequent reduction of the Conversion of Propositions from three species to one — that of Simple Conversion.

"The reduction of all the *General Laws* of Categorical Syllogisms to a single Canon.

"The evolution from that one canon of all the Species and varieties of Syllogisms.

"The abrogation of all the *Special Laws* of Syllogism.

"A demonstration of the exclusive possibility of Three Syllogistic Figures; and (on new grounds) the scientific and final abolition of the Fourth.

"A manifestation that Figure is an unessential variation in syllogistic form; and the consequent absurdity of Reducing the syllogisms of the other figures to the first.

"An enunciation of *one Organic Principle* for each Figure.

"A determination of the true number of the Legitimate Moods; with

"Their amplification in number (thirty-six);

"Their numerical equality under all the figures; and

"Their relative equivalence, or virtual identity, throughout every schematic difference.

"That, in the second and third figures, the extremes holding both the same relation to the middle term, there is not, as in the first, an opposition and subordination between a term major and a term minor, mutually containing and contained, in the counter wholes of Extension and Comprehension.

"Consequently, in the second and third figures, there is no determinate major and minor premises, and there are two indifferent conclusions: whereas in the first the premises are determinate, and there is a single proximate conclusion."

This doctrine, like that of Mr. De Morgan previously noticed, is a real addition to the syllogistic theory; and has moreover this advantage over Mr. De Morgan's "numerically definite Syllogism," that the forms it supplies are really available as a test of the correctness of ratiocination; since propositions in the common form may always have their predicates quantified, and so be made amenable to Sir W. Hamilton's rules. Considered, however, as a contribution to the *Science of Logic*, that is, to the analysis of the mental processes concerned in reasoning, the new doctrine appears to me, I confess, not merely superfluous, but erroneous; since the form in which it clothes propositions does not, like the ordinary form, express what is in the mind of the speaker when he enunciates the proposition. I can not think Sir William Hamilton right in maintaining that the quantity of the predicate is "always understood in thought." It is implied, but is not present to the mind of the person who asserts the proposition.

The quantification of the predicate, instead of being a means of bringing out more clearly the meaning of the proposition, actually leads the mind out of the proposition, into another order of ideas. For when we say, All men are mortal, we simply mean to affirm the attribute mortality of all men; without thinking at all of the *class* mortal in the concrete, or troubling ourselves about whether it contains any other beings or not. It is only for some artificial purpose that we ever look at the proposition in the aspect in which the predicate also is thought of as a class-name, either including the subject only, or the subject and something more. (See above, p. 77, 78.)

For a fuller discussion of this subject, see the twenty-second chapter of a work already referred to, "An Examination of Sir William Hamilton's Philosophy."

<sup>53</sup> Mr. Herbert Spencer (*Principles of Psychology*, pp. 125–7), though his theory of the syllogism coincides with all that is essential of mine, thinks it a logical fallacy to present the two axioms in the text, as the regulating principles of syllogism. He charges me with falling into the error pointed out by Archbishop Whately and myself, of confounding exact likeness with literal identity; and maintains, that we ought not to say that Socrates possesses *the same* attributes which are connoted by the word Man, but only that he possesses attributes *exactly like* them: according to which phraseology, Socrates, and the attribute mortality, are not two things co-existing with the same thing, as the axiom asserts, but two things coexisting with two different things.

The question between Mr. Spencer and me is merely one of language; for neither of us (if I understand Mr. Spencer's opinions rightly) believes an attribute to be a real thing, possessed of objective existence; we believe it to be a particular mode of naming our sensations, or our expectations of sensation, when looked at in their relation to an external object which excites them. The question raised by Mr. Spencer does not, therefore, concern the properties of any really existing thing, but the comparative appropriateness, for philosophical purposes, of two different modes of using a name. Considered in this point of view, the phraseology I have employed, which is that commonly used by philosophers, seems to me to be the best. Mr. Spencer is of opinion that because Socrates and Alcibiades are not the same man, the attribute which constitutes them men should not be called the same attribute; that because the humanity of one man and that of another express themselves to our senses not by the same individual sensations but by sensations exactly alike, humanity ought to be regarded as a different attribute in every different man. But on this showing, the humanity even of any one man should be considered as different attributes now and half an hour hence; for the sensations by which it will then manifest itself to my organs will not be a continuation of my present sensations, but a repetition of them; fresh sensations, not identical with, but only exactly like the present. If every general conception, instead of being



"the One in the Many," were considered to be as many different conceptions as there are things to which it is applicable, there would be no such thing as general language. A name would have no general meaning if *man* connoted one thing when predicated of John, and another, though closely resembling, thing when predicated of William. Accordingly a recent pamphlet asserts the impossibility of general knowledge on this precise ground.

The meaning of any general name is some outward or inward phenomenon, consisting, in the last resort, of feelings; and these feelings, if their continuity is for an instant broken, are no longer the same feelings, in the sense of individual identity. What, then, is the common something which gives a meaning to the general name? Mr. Spencer can only say, it is the similarity of the feelings; and I rejoin, the attribute is precisely that similarity. The names of attributes are in their ultimate analysis names for the resemblances of our sensations (or other feelings). Every general name, whether abstract or concrete, denotes or connotes one or more of those resemblances. It will not, probably, be denied, that if a hundred sensations are undistinguishably alike, their resemblance ought to be spoken of as one resemblance, and not a hundred resemblances which merely *resemble* one another. The things compared are many, but the something common to all of them must be conceived as one, just as the name is conceived as one, though corresponding to numerically different sensations of sound each time it is pronounced. The general term *man* does not connote the sensations derived once from one man, which, once gone, can no more occur again than the same flash of lightning. It connotes the general type of the sensations derived always from all men, and the power (always thought of as one) of producing sensations of that type. And the axiom might be thus worded: Two *types of sensation* each of which co-exists with a third type, co-exist with another; or Two *powers* each of which co-exists with a third power co-exist with one another.

Mr. Spencer has misunderstood me in another particular. He supposes that the co-existence spoken of in the axiom, of two things with the same third thing, means simultaneousness in time. The co-existence meant is that of being jointly attributes of the same subject. The attribute of being born without teeth, and the attribute of having thirty-two teeth in mature age, are in this sense co-existent, both being attributes of man, though *ex vi termini* never of the same man at the same time.

<sup>54</sup> *Supra*, p. 93.

<sup>55</sup> Professor Bain (*Logic*, i., 157) considers the axiom (or rather axioms) here proposed as a substitute for the *dictum de omni*, to possess certain advantages, but to be "unworkable as a basis of the syllogism. The fatal defect consists in this, that it is ill-adapted to bring out the difference between total and partial coincidence of terms, the observation of which is the essential precaution in syllogizing correctly. If all the terms were co-

extensive, the axiom would flow on admirably; A carries B, all B and none but B; B carries C in the same manner; at once A carries C, without limitation or reserve. But in point of fact, we know that while A carries B, other things carry B also; whence a process of limitation is required, in transferring A to C through B. A (in common with other things) carries B; B (in common with other things) carries C; whence A (in common with other things) carries C. The axiom provides no means of making this limitation; if we were to follow A literally, we should be led to suppose A and C co-extensive: for such is the only obvious meaning of 'the attribute A coincides with the attribute C.' "

It is certainly possible that a careless learner here and there may suppose that if A carries B, it follows that B carries A. But if any one is so incautious as to commit this mistake, the very earliest lesson in the logic of inference, the Conversion of propositions, will correct it. The first of the two forms in which I have stated the axiom, is in some degree open to Mr. Bain's criticism: when B is said to co-exist with A (it must be by a *lapsus calami* that Mr. Bain uses the word *coincide*), it is possible, in the absence of warning, to suppose the meaning to be that the two things are only found together. But this misinterpretation is excluded by the other, or practical, form of the maxim; *Nota notæ est nota rei ipsius*. No one would be in any danger of inferring that because *a* is a mark of *b*, *b* can never exist without *a*; that because being in a confirmed consumption is a mark of being about to die, no one dies who is not in a consumption; that because being coal is a mark of having come out of the earth, nothing can come out of the earth except coal. Ordinary knowledge of English seems a sufficient protection against these mistakes, since in speaking of a mark of any thing we are never understood as implying reciprocity.

A more fundamental objection is stated by Mr. Bain in a subsequent passage (p. 158). "The axiom does not accommodate itself to the type of Deductive Reasoning as contrasted with Induction — the application of a general principle to a special case. Any thing that fails to make prominent this circumstance is not adapted as a foundation for the syllogism." But though it may be proper to limit the term Deduction to the application of a general principle to a special case, it has never been held that Ratiocination or Syllogism is subject to the same limitation; and the adoption of it would exclude a great amount of valid and conclusive syllogistic reasoning. Moreover, if the *dictum de omni* makes prominent the fact of the application of a general principle to a particular case, the axiom I propose makes prominent the condition which alone makes that application a real inference.

I conclude, therefore, that both forms have their value, and their place in Logic. The *dictum de omni* should be retained as the fundamental axiom of the logic of mere consistency, often called Formal Logic; nor have I ever quarreled with the use of it in that character, nor proposed to banish it from treatises on Formal Logic. But the other is the proper axiom for the logic of

the pursuit of truth by way of Deduction; and the recognition of it can alone show how it is possible that deductive reasoning can be a road to truth.



# CHAPTER III.

## OF THE FUNCTIONS AND LOGICAL VALUE OF THE SYLLOGISM.

§ 1. We have shown what is the real nature of the truths with which the Syllogism is conversant, in contradistinction to the more superficial manner in which their import is conceived in the common theory; and what are the fundamental axioms on which its probative force or conclusiveness depends. We have now to inquire, whether the syllogistic process, that of reasoning from generals to particulars, is, or is not, a process of inference; a progress from the known to the unknown: a means of coming to a knowledge of something which we did not know before.

Logicians have been remarkably unanimous in their mode of answering this question. It is universally allowed that a syllogism is vicious if there be any thing more in the conclusion than was assumed in the premises. But this is, in fact, to say, that nothing ever was, or can be, proved by syllogism, which was not known, or assumed to be known, before. Is ratiocination, then, not a process of inference? And is the syllogism, to which the word reasoning has so often been represented to be exclusively appropriate, not really entitled to be called reasoning at all? This seems an inevitable consequence of the doctrine, admitted by all writers on the subject, that a syllogism can prove no more than is involved in the premises. Yet the acknowledgment so explicitly made, has not prevented one set of writers from continuing to represent the syllogism as the correct analysis of what the mind actually performs in discovering and proving the larger half of the truths, whether of science or of daily life, which we believe; while those who have avoided this inconsistency, and followed out the general theorem respecting the logical value of the syllogism to its legitimate corollary, have been led to impute uselessness and frivolity to the syllogistic theory itself, on the ground of the *petitio principii* which they allege to be inherent in every syllogism. As I believe both these opinions to be fundamentally erroneous, I must request the attention of the reader to certain considerations, without which any just

appreciation of the true character of the syllogism, and the functions it performs in philosophy, appears to me impossible; but which seem to have been either overlooked, or insufficiently adverted to, both by the defenders of the syllogistic theory and by its assailants.

§ 2. It must be granted that in every syllogism, considered as an argument to prove the conclusion, there is a *petitio principii*. When we say,

All men are mortal, Socrates is a man, therefore Socrates is mortal;

it is unanswerably urged by the adversaries of the syllogistic theory, that the proposition, Socrates is mortal, is presupposed in the more general assumption, All men are mortal: that we can not be assured of the mortality of all men, unless we are already certain of the mortality of every individual man: that if it be still doubtful whether Socrates, or any other individual we choose to name, be mortal or not, the same degree of uncertainty must hang over the assertion, All men are mortal: that the general principle, instead of being given as evidence of the particular case, can not itself be taken for true without exception, until every shadow of doubt which could affect any case comprised with it, is dispelled by evidence *aliundè*; and then what remains for the syllogism to prove? That, in short, no reasoning from generals to particulars can, as such, prove any thing: since from a general principle we can not infer any particulars, but those which the principle itself assumes as known.

This doctrine appears to me irrefragable; and if logicians, though unable to dispute it, have usually exhibited a strong disposition to explain it away, this was not because they could discover any flaw in the argument itself, but because the contrary opinion seemed to rest on arguments equally indisputable. In the syllogism last referred to, for example, or in any of those which we previously constructed, is it not evident that the conclusion may, to the person to whom the syllogism is presented, be actually and *bona fide* a new truth? Is it not matter of daily experience that truths previously unthought of, facts which have not been, and can not be, directly observed, are arrived at by way of general reasoning? We believe that the Duke of Wellington is mortal. We do not know this by direct observation, so long as he is not yet dead. If we were asked how, this being the case, we know the duke to be mortal, we should probably answer,

Because all men are so. Here, therefore, we arrive at the knowledge of a truth not (as yet) susceptible of observation, by a reasoning which admits of being exhibited in the following syllogism:

All men are mortal, The Duke of Wellington is a man, therefore The Duke of Wellington is mortal.

And since a large portion of our knowledge is thus acquired, logicians have persisted in representing the syllogism as a process of inference or proof; though none of them has cleared up the difficulty which arises from the inconsistency between that assertion, and the principle, that if there be any thing in the conclusion which was not already asserted in the premises, the argument is vicious. For it is impossible to attach any serious scientific value to such a mere salvo, as the distinction drawn between being involved *by implication* in the premises, and being directly asserted in them. When Archbishop Whately says<sup>56</sup> that the object of reasoning is “merely to expand and unfold the assertions wrapped up, as it were, and implied in those with which we set out, and to bring a person to perceive and acknowledge the full force of that which he has admitted,” he does not, I think, meet the real difficulty requiring to be explained, namely, how it happens that a science, like geometry, *can* be all “wrapped up” in a few definitions and axioms. Nor does this defense of the syllogism differ much from what its assailants urge against it as an accusation, when they charge it with being of no use except to those who seek to press the consequences of an admission into which a person has been entrapped without having considered and understood its full force. When you admitted the major premise, you asserted the conclusion; but, says Archbishop Whately, you asserted it by implication merely: this, however, can here only mean that you asserted it unconsciously; that you did not know you were asserting it; but, if so, the difficulty revives in this shape — Ought you not to have known? Were you warranted in asserting the general proposition without having satisfied yourself of the truth of every thing which it fairly includes? And if not, is not the syllogistic art *prima facie* what its assailants affirm it to be, a contrivance for catching you in a trap, and holding you fast in it?<sup>57</sup>

§ 3. From this difficulty there appears to be but one issue. The proposition that the Duke of Wellington is mortal, is evidently an

inference; it is got at as a conclusion from something else; but do we, in reality, conclude it from the proposition, All men are mortal? I answer, no.

The error committed is, I conceive, that of overlooking the distinction between two parts of the process of philosophizing, the inferring part, and the registering part; and ascribing to the latter the functions of the former. The mistake is that of referring a person to his own notes for the origin of his knowledge. If a person is asked a question, and is at the moment unable to answer it, he may refresh his memory by turning to a memorandum which he carries about with him. But if he were asked, how the fact came to his knowledge, he would scarcely answer, because it was set down in his note-book: unless the book was written, like the Koran, with a quill from the wing of the angel Gabriel.

Assuming that the proposition, The Duke of Wellington is mortal, is immediately an inference from the proposition, All men are mortal; whence do we derive our knowledge of that general truth? Of course from observation. Now, all which man can observe are individual cases. From these all general truths must be drawn, and into these they may be again resolved; for a general truth is but an aggregate of particular truths; a comprehensive expression, by which an indefinite number of individual facts are affirmed or denied at once. But a general proposition is not merely a compendious form for recording and preserving in the memory a number of particular facts, all of which have been observed. Generalization is not a process of mere naming, it is also a process of inference. From instances which we have observed, we feel warranted in concluding, that what we found true in those instances, holds in all similar ones, past, present, and future, however numerous they may be. We then, by that valuable contrivance of language which enables us to speak of many as if they were one, record all that we have observed, together with all that we infer from our observations, in one concise expression; and have thus only one proposition, instead of an endless number, to remember or to communicate. The results of many observations and inferences, and instructions for making innumerable inferences in unforeseen cases, are compressed into one short sentence.

When, therefore, we conclude from the death of John and Thomas, and every other person we ever heard of in whose case the experiment had

been fairly tried, that the Duke of Wellington is mortal like the rest; we may, indeed, pass through the generalization, All men are mortal, as an intermediate stage; but it is not in the latter half of the process, the descent from all men to the Duke of Wellington, that the *inference* resides. The inference is finished when we have asserted that all men are mortal. What remains to be performed afterward is merely deciphering our own notes.

Archbishop Whately has contended that syllogizing, or reasoning from generals to particulars, is not, agreeably to the vulgar idea, a peculiar *mode* of reasoning, but the philosophical analysis of *the* mode in which all men reason, and must do so if they reason at all. With the deference due to so high an authority, I can not help thinking that the vulgar notion is, in this case, the more correct. If, from our experience of John, Thomas, etc., who once were living, but are now dead, we are entitled to conclude that all human beings are mortal, we might surely without any logical inconsequence have concluded at once from those instances, that the Duke of Wellington is mortal. The mortality of John, Thomas, and others is, after all, the whole evidence we have for the mortality of the Duke of Wellington. Not one iota is added to the proof by interpolating a general proposition. Since the individual cases are all the evidence we can possess, evidence which no logical form into which we choose to throw it can make greater than it is; and since that evidence is either sufficient in itself, or, if insufficient for the one purpose, can not be sufficient for the other; I am unable to see why we should be forbidden to take the shortest cut from these sufficient premises to the conclusion, and constrained to travel the "high priori road," by the arbitrary fiat of logicians. I can not perceive why it should be impossible to journey from one place to another unless we "march up a hill, and then march down again." It may be the safest road, and there may be a resting-place at the top of the hill, affording a commanding view of the surrounding country; but for the mere purpose of arriving at our journey's end, our taking that road is perfectly optional; it is a question of time, trouble, and danger.

Not only *may* we reason from particulars to particulars without passing through generals, but we perpetually do so reason. All our earliest inferences are of this nature. From the first dawn of intelligence we draw inferences, but years elapse before we learn the use of general language.



The child, who, having burned his fingers, avoids to thrust them again into the fire, has reasoned or inferred, though he has never thought of the general maxim, Fire burns. He knows from memory that he has been burned, and on this evidence believes, when he sees a candle, that if he puts his finger into the flame of it, he will be burned again. He believes this in every case which happens to arise; but without looking, in each instance, beyond the present case. He is not generalizing; he is inferring a particular from particulars. In the same way, also, brutes reason. There is no ground for attributing to any of the lower animals the use of signs, of such a nature as to render general propositions possible. But those animals profit by experience, and avoid what they have found to cause them pain, in the same manner, though not always with the same skill, as a human creature. Not only the burned child, but the burned dog, dreads the fire.

I believe that, in point of fact, when drawing inferences from our personal experience, and not from maxims handed down to us by books or tradition, we much oftener conclude from particulars to particulars directly, than through the intermediate agency of any general proposition. We are constantly reasoning from ourselves to other people, or from one person to another, without giving ourselves the trouble to erect our observations into general maxims of human or external nature. When we conclude that some person will, on some given occasion, feel or act so and so, we sometimes judge from an enlarged consideration of the manner in which human beings in general, or persons of some particular character, are accustomed to feel and act; but much oftener from merely recollecting the feelings and conduct of the same person in some previous instance, or from considering how we should feel or act ourselves. It is not only the village matron, who, when called to a consultation upon the case of a neighbor's child, pronounces on the evil and its remedy simply on the recollection and authority of what she accounts the similar case of her Lucy. We all, where we have no definite maxims to steer by, guide ourselves in the same way: and if we have an extensive experience, and retain its impressions strongly, we may acquire in this manner a very considerable power of accurate judgment, which we may be utterly incapable of justifying or of communicating to others. Among the higher order of practical intellects there have been many of whom it was remarked how admirably they suited their means to their ends, without

being able to give any sufficient reasons for what they did; and applied, or seemed to apply, recondite principles which they were wholly unable to state. This is a natural consequence of having a mind stored with appropriate particulars, and having been long accustomed to reason at once from these to fresh particulars, without practicing the habit of stating to one's self or to others the corresponding general propositions. An old warrior, on a rapid glance at the outlines of the ground, is able at once to give the necessary orders for a skillful arrangement of his troops; though if he has received little theoretical instruction, and has seldom been called upon to answer to other people for his conduct, he may never have had in his mind a single general theorem respecting the relation between ground and array. But his experience of encampments, in circumstances more or less similar, has left a number of vivid, unexpressed, ungeneralized analogies in his mind, the most appropriate of which, instantly suggesting itself, determines him to a judicious arrangement.

The skill of an uneducated person in the use of weapons, or of tools, is of a precisely similar nature. The savage who executes unerringly the exact throw which brings down his game, or his enemy, in the manner most suited to his purpose, under the operation of all the conditions necessarily involved, the weight and form of the weapon, the direction and distance of the object, the action of the wind, etc., owes this power to a long series of previous experiments, the results of which he certainly never framed into any verbal theorems or rules. The same thing may generally be said of any other extraordinary manual dexterity. Not long ago a Scotch manufacturer procured from England, at a high rate of wages, a working dyer, famous for producing very fine colors, with the view of teaching to his other workmen the same skill. The workman came; but his mode of proportioning the ingredients, in which lay the secret of the effects he produced, was by taking them up in handfuls, while the common method was to weigh them. The manufacturer sought to make him turn his handling system into an equivalent weighing system, that the general principle of his peculiar mode of proceeding might be ascertained. This, however, the man found himself quite unable to do, and therefore could impart his skill to nobody. He had, from the individual cases of his own experience, established a connection in his mind between fine effects of color, and tactual perceptions in handling his dyeing materials; and from

these perceptions he could, in any particular case, infer the means to be employed, and the effects which would be produced, but could not put others in possession of the grounds on which he proceeded, from having never generalized them in his own mind, or expressed them in language.

Almost every one knows Lord Mansfield's advice to a man of practical good sense, who, being appointed governor of a colony, had to preside in its courts of justice, without previous judicial practice or legal education. The advice was to give his decision boldly, for it would probably be right; but never to venture on assigning reasons, for they would almost infallibly be wrong. In cases like this, which are of no uncommon occurrence, it would be absurd to suppose that the bad reason was the source of the good decision. Lord Mansfield knew that if any reason were assigned it would be necessarily an afterthought, the judge being *in fact* guided by impressions from past experience, without the circuitous process of framing general principles from them, and that if he attempted to frame any such he would assuredly fail. Lord Mansfield, however, would not have doubted that a man of equal experience who had also a mind stored with general propositions derived by legitimate induction from that experience, would have been greatly preferable as a judge, to one, however sagacious, who could not be trusted with the explanation and justification of his own judgments. The cases of men of talent performing wonderful things they know not how, are examples of the rudest and most spontaneous form of the operations of superior minds. It is a defect in them, and often a source of errors, not to have generalized as they went on; but generalization, though a help, the most important indeed of all helps, is not an essential.

Even the scientifically instructed, who possess, in the form of general propositions, a systematic record of the results of the experience of mankind, need not always revert to those general propositions in order to apply that experience to a new case. It is justly remarked by Dugald Stewart, that though the reasonings in mathematics depend entirely on the axioms, it is by no means necessary to our seeing the conclusiveness of the proof, that the axioms should be expressly adverted to. When it is inferred that  $AB$  is equal to  $CD$  because each of them is equal to  $EF$ , the most uncultivated understanding, as soon as the propositions were understood, would assent to the inference, without having ever heard of the general

truth that “things which are equal to the same thing are equal to one another.” This remark of Stewart, consistently followed out, goes to the root, as I conceive, of the philosophy of ratiocination; and it is to be regretted that he himself stopped short at a much more limited application of it. He saw that the general propositions on which a reasoning is said to depend, may, in certain cases, be altogether omitted, without impairing its probative force. But he imagined this to be a peculiarity belonging to axioms; and argued from it, that axioms are not the foundations or first principles of geometry, from which all the other truths of the science are synthetically deduced (as the laws of motion and of the composition of forces in dynamics, the equal mobility of fluids in hydrostatics, the laws of reflection and refraction in optics, are the first principles of those sciences); but are merely necessary assumptions, self-evident indeed, and the denial of which would annihilate all demonstration, but from which, as premises, nothing can be demonstrated. In the present, as in many other instances, this thoughtful and elegant writer has perceived an important truth, but only by halves. Finding, in the case of geometrical axioms, that general names have not any talismanic virtue for conjuring new truths out of the well where they lie hid, and not seeing that this is equally true in every other case of generalization, he contended that axioms are in their nature barren of consequences, and that the really fruitful truths, the real first principles of geometry, are the definitions; that the definition, for example, of the circle is to the properties of the circle, what the laws of equilibrium and of the pressure of the atmosphere are to the rise of the mercury in the Torricellian tube. Yet all that he had asserted respecting the function to which the axioms are confined in the demonstrations of geometry, holds equally true of the definitions. Every demonstration in Euclid might be carried on without them. This is apparent from the ordinary process of proving a proposition of geometry by means of a diagram. What assumption, in fact, do we set out from, to demonstrate by a diagram any of the properties of the circle? Not that in all circles the radii are equal, but only that they are so in the circle ABC. As our warrant for assuming this, we appeal, it is true, to the definition of a circle in general; but it is only necessary that the assumption be granted in the case of the particular circle supposed. From this, which is not a general but a singular proposition, combined with other propositions of a similar kind, some of

which *when generalized* are called definitions, and other axioms, we prove that a certain conclusion is true, not of all circles, but of the particular circle ABC; or at least would be so, if the facts precisely accorded with our assumptions. The enunciation, as it is called, that is, the general theorem which stands at the head of the demonstration, is not the proposition actually demonstrated. One instance only is demonstrated: but the process by which this is done, is a process which, when we consider its nature, we perceive might be exactly copied in an indefinite number of other instances; in every instance which conforms to certain conditions. The contrivance of general language furnishing us with terms which connote these conditions, we are able to assert this indefinite multitude of truths in a single expression, and this expression is the general theorem. By dropping the use of diagrams, and substituting, in the demonstrations, general phrases for the letters of the alphabet, we might prove the general theorem directly, that is, we might demonstrate all the cases at once; and to do this we must, of course, employ as our premises, the axioms and definitions in their general form. But this only means, that if we can prove an individual conclusion by assuming an individual fact, then in whatever case we are warranted in making an exactly similar assumption, we may draw an exactly similar conclusion. The definition is a sort of notice to ourselves and others, what assumptions we think ourselves entitled to make. And so in all cases, the general propositions, whether called definitions, axioms, or laws of nature, which we lay down at the beginning of our reasonings, are merely abridged statements, in a kind of short-hand, of the particular facts, which, as occasion arises, we either think we may proceed on as proved, or intend to assume. In any one demonstration it is enough if we assume for a particular case suitably selected, what by the statement of the definition or principle we announce that we intend to assume in all cases which may arise. The definition of the circle, therefore, is to one of Euclid's demonstrations, exactly what, according to Stewart, the axioms are; that is, the demonstration does not depend on it, but yet if we deny it the demonstration fails. The proof does not rest on the general assumption, but on a similar assumption confined to the particular case: that case, however, being chosen as a specimen or paradigm of the whole class of cases included in the theorem, there can be no ground for making the assumption in that case which does not exist in every other; and to

deny the assumption as a general truth, is to deny the right of making it in the particular instance.

There are, undoubtedly, the most ample reasons for stating both the principles and the theorems in their general form, and these will be explained presently, so far as explanation is requisite. But, that unpracticed learners, even in making use of one theorem to demonstrate another, reason rather from particular to particular than from the general proposition, is manifest from the difficulty they find in applying a theorem to a case in which the configuration of the diagram is extremely unlike that of the diagram by which the original theorem was demonstrated. A difficulty which, except in cases of unusual mental power, long practice can alone remove, and removes chiefly by rendering us familiar with all the configurations consistent with the general conditions of the theorem.

§ 4. From the considerations now adduced, the following conclusions seem to be established. All inference is from particulars to particulars: General propositions are merely registers of such inferences already made, and short formulæ for making more: The major premise of a syllogism, consequently, is a formula of this description: and the conclusion is not an inference drawn *from* the formula, but an inference drawn *according* to the formula: the real logical antecedent, or premise, being the particular facts from which the general proposition was collected by induction. Those facts, and the individual instances which supplied them, may have been forgotten: but a record remains, not indeed descriptive of the facts themselves, but showing how those cases may be distinguished, respecting which, the facts, when known, were considered to warrant a given inference. According to the indications of this record we draw our conclusion: which is, to all intents and purposes, a conclusion from the forgotten facts. For this it is essential that we should read the record correctly: and the rules of the syllogism are a set of precautions to insure our doing so.

This view of the functions of the syllogism is confirmed by the consideration of precisely those cases which might be expected to be least favorable to it, namely, those in which ratiocination is independent of any previous induction. We have already observed that the syllogism, in the ordinary course of our reasoning, is only the latter half of the process of

traveling from premises to a conclusion. There are, however, some peculiar cases in which it is the whole process. Particulars alone are capable of being subjected to observation; and all knowledge which is derived from observation, begins, therefore, of necessity, in particulars; but our knowledge may, in cases of certain descriptions, be conceived as coming to us from other sources than observation. It may present itself as coming from testimony, which, on the occasion and for the purpose in hand, is accepted as of an authoritative character: and the information thus communicated, may be conceived to comprise not only particular facts but general propositions, as when a scientific doctrine is accepted without examination on the authority of writers, or a theological doctrine on that of Scripture. Or the generalization may not be, in the ordinary sense, an assertion at all, but a command; a law, not in the philosophical, but in the moral and political sense of the term: an expression of the desire of a superior, that we, or any number of other persons, shall conform our conduct to certain general instructions. So far as this asserts a fact, namely, a volition of the legislator, that fact is an individual fact, and the proposition, therefore, is not a general proposition. But the description therein contained of the conduct which it is the will of the legislator that his subjects should observe, is general. The proposition asserts, not that all men *are* any thing, but that all men *shall* do something.

In both these cases the generalities are the original data, and the particulars are elicited from them by a process which correctly resolves itself into a series of syllogisms. The real nature, however, of the supposed deductive process, is evident enough. The only point to be determined is, whether the authority which declared the general proposition, intended to include this case in it; and whether the legislator intended his command to apply to the present case among others, or not. This is ascertained by examining whether the case possesses the marks by which, as those authorities have signified, the cases which they meant to certify or to influence may be known. The object of the inquiry is to make out the witness's or the legislator's intention, through the indication given by their words. This is a question, as the Germans express it, of hermeneutics. The operation is not a process of inference, but a process of interpretation.

In this last phrase we have obtained an expression which appears to me to characterize, more aptly than any other, the functions of the syllogism in all cases. When the premises are given by authority, the function of Reasoning is to ascertain the testimony of a witness, or the will of a legislator, by interpreting the signs in which the one has intimated his assertion and the other his command. In like manner, when the premises are derived from observation, the function of Reasoning is to ascertain what we (or our predecessors) formerly thought might be inferred from the observed facts, and to do this by interpreting a memorandum of ours, or of theirs. The memorandum reminds us, that from evidence, more or less carefully weighed, it formerly appeared that a certain attribute might be inferred wherever we perceive a certain mark. The proposition, All men are mortal (for instance) shows that we have had experience from which we thought it followed that the attributes connoted by the term man, are a mark of mortality. But when we conclude that the Duke of Wellington is mortal, we do not infer this from the memorandum, but from the former experience. All that we infer from the memorandum is our own previous belief, (or that of those who transmitted to us the proposition), concerning the inferences which that former experience would warrant.

This view of the nature of the syllogism renders consistent and intelligible what otherwise remains obscure and confused in the theory of Archbishop Whately and other enlightened defenders of the syllogistic doctrine, respecting the limits to which its functions are confined. They affirm in as explicit terms as can be used, that the sole office of general reasoning is to prevent inconsistency in our opinions; to prevent us from assenting to any thing, the truth of which would contradict something to which we had previously on good grounds given our assent. And they tell us, that the sole ground which a syllogism affords for assenting to the conclusion, is that the supposition of its being false, combined with the supposition that the premises are true, would lead to a contradiction in terms. Now this would be but a lame account of the real grounds which we have for believing the facts which we learn from reasoning, in contradistinction to observation. The true reason why we believe that the Duke of Wellington will die, is that his fathers, and our fathers, and all other persons who were contemporary with them, have died. Those facts are the real premises of the reasoning. But we are not led to infer the



conclusion from those premises, by the necessity of avoiding any verbal inconsistency. There is no contradiction in supposing that all those persons have died, and that the Duke of Wellington may, notwithstanding, live forever. But there would be a contradiction if we first, on the ground of those same premises, made a general assertion including and covering the case of the Duke of Wellington, and then refused to stand to it in the individual case. There is an inconsistency to be avoided between the memorandum we make of the inferences which may be justly drawn in future cases, and the inferences we actually draw in those cases when they arise. With this view we interpret our own formula, precisely as a judge interprets a law: in order that we may avoid drawing any inferences not conformable to our former intention, as a judge avoids giving any decision not conformable to the legislator's intention. The rules for this interpretation are the rules of the syllogism: and its sole purpose is to maintain consistency between the conclusions we draw in every particular case, and the previous general directions for drawing them; whether those general directions were framed by ourselves as the result of induction, or were received by us from an authority competent to give them.

§ 5. In the above observations it has, I think, been shown, that, though there is always a process of reasoning or inference where a syllogism is used, the syllogism is not a correct analysis of that process of reasoning or inference; which is, on the contrary (when not a mere inference from testimony), an inference from particulars to particulars; authorized by a previous inference from particulars to generals, and substantially the same with it; of the nature, therefore, of Induction. But while these conclusions appear to me undeniable, I must yet enter a protest, as strong as that of Archbishop Whately himself, against the doctrine that the syllogistic art is useless for the purposes of reasoning. The reasoning lies in the act of generalization, not in interpreting the record of that act; but the syllogistic form is an indispensable collateral security for the correctness of the generalization itself.

It has already been seen, that if we have a collection of particulars sufficient for grounding an induction, we need not frame a general proposition; we may reason at once from those particulars to other particulars. But it is to be remarked withal, that whenever, from a set of

particular cases, we can legitimately draw any inference, we may legitimately make our inference a general one. If, from observation and experiment, we can conclude to one new case, so may we to an indefinite number. If that which has held true in our past experience will therefore hold in time to come, it will hold not merely in some individual case, but in all cases of some given description. Every induction, therefore, which suffices to prove one fact, proves an indefinite multitude of facts: the experience which justifies a single prediction must be such as will suffice to bear out a general theorem. This theorem it is extremely important to ascertain and declare, in its broadest form of generality; and thus to place before our minds, in its full extent, the whole of what our evidence must prove if it proves any thing.

This throwing of the whole body of possible inferences from a given set of particulars, into one general expression, operates as a security for their being just inferences, in more ways than one. First, the general principle presents a larger object to the imagination than any of the singular propositions which it contains. A process of thought which leads to a comprehensive generality, is felt as of greater importance than one which terminates in an insulated fact; and the mind is, even unconsciously, led to bestow greater attention upon the process, and to weigh more carefully the sufficiency of the experience appealed to, for supporting the inference grounded upon it. There is another, and a more important, advantage. In reasoning from a course of individual observations to some new and unobserved case, which we are but imperfectly acquainted with (or we should not be inquiring into it), and in which, since we are inquiring into it, we probably feel a peculiar interest; there is very little to prevent us from giving way to negligence, or to any bias which may affect our wishes or our imagination, and, under that influence, accepting insufficient evidence as sufficient. But if, instead of concluding straight to the particular case, we place before ourselves an entire class of facts — the whole contents of a general proposition, every tittle of which is legitimately inferable from our premises, if that one particular conclusion is so; there is then a considerable likelihood that if the premises are insufficient, and the general inference therefore, groundless, it will comprise within it some fact or facts the reverse of

which we already know to be true; and we shall thus discover the error in our generalization by a *reductio ad impossibile*.

Thus if, during the reign of Marcus Aurelius, a subject of the Roman empire, under the bias naturally given to the imagination and expectations by the lives and characters of the Antonines, had been disposed to expect that Commodus would be a just ruler; supposing him to stop there, he might only have been undeceived by sad experience. But if he reflected that this expectation could not be justifiable unless from the same evidence he was warranted in concluding some general proposition, as, for instance, that all Roman emperors are just rulers; he would immediately have thought of Nero, Domitian, and other instances, which, showing the falsity of the general conclusion, and therefore the insufficiency of the premises, would have warned him that those premises could not prove in the instance of Commodus, what they were inadequate to prove in any collection of cases in which his was included.

The advantage, in judging whether any controverted inference is legitimate, of referring to a parallel case, is universally acknowledged. But by ascending to the general proposition, we bring under our view not one parallel case only, but all possible parallel cases at once; all cases to which the same set of evidentiary considerations are applicable.

When, therefore, we argue from a number of known cases to another case supposed to be analogous, it is always possible, and generally advantageous, to divert our argument into the circuitous channel of an induction from those known cases to a general proposition, and a subsequent application of that general proposition to the unknown case. This second part of the operation, which, as before observed, is essentially a process of interpretation, will be resolvable into a syllogism or a series of syllogisms, the majors of which will be general propositions embracing whole classes of cases; every one of which propositions must be true in all its extent, if the argument is maintainable. If, therefore, any fact fairly coming within the range of one of these general propositions, and consequently asserted by it, is known or suspected to be other than the proposition asserts it to be, this mode of stating the argument causes us to know or to suspect that the original observations, which are the real grounds of our conclusion, are not sufficient to support it. And in

proportion to the greater chance of our detecting the inconclusiveness of our evidence, will be the increased reliance we are entitled to place in it if no such evidence of defect shall appear.

The value, therefore, of the syllogistic form, and of the rules for using it correctly, does not consist in their being the form and the rules according to which our reasonings are necessarily, or even usually, made; but in their furnishing us with a mode in which those reasonings may always be represented, and which is admirably calculated, if they are inconclusive, to bring their inconclusiveness to light. An induction from particulars to generals, followed by a syllogistic process from those generals to other particulars, is a form in which we may always state our reasonings if we please. It is not a form in which we *must* reason, but it is a form in which we *may* reason, and into which it is indispensable to throw our reasoning, when there is any doubt of its validity: though when the case is familiar and little complicated, and there is no suspicion of error, we may, and do, reason at once from the known particular cases to unknown ones.<sup>58</sup>

These are the uses of syllogism, as a mode of verifying any given argument. Its ulterior uses, as respects the general course of our intellectual operations, hardly require illustration, being in fact the acknowledged uses of general language. They amount substantially to this, that the inductions may be made once for all: a single careful interrogation of experience may suffice, and the result may be registered in the form of a general proposition, which is committed to memory or to writing, and from which afterward we have only to syllogize. The particulars of our experiments may then be dismissed from the memory, in which it would be impossible to retain so great a multitude of details; while the knowledge which those details afforded for future use, and which would otherwise be lost as soon as the observations were forgotten, or as their record became too bulky for reference, is retained in a commodious and immediately available shape by means of general language.

Against this advantage is to be set the countervailing inconvenience, that inferences originally made on insufficient evidence become consecrated, and, as it were, hardened into general maxims; and the mind cleaves to them from habit, after it has outgrown any liability to be misled

by similar fallacious appearances if they were now for the first time presented; but having forgotten the particulars, it does not think of revising its own former decision. An inevitable drawback, which, however considerable in itself, forms evidently but a small set-off against the immense benefits of general language.

The use of the syllogism is in truth no other than the use of general propositions in reasoning. We *can* reason without them; in simple and obvious cases we habitually do so; minds of great sagacity can do it in cases not simple and obvious, provided their experience supplies them with instances essentially similar to every combination of circumstances likely to arise. But other minds, and the same minds where they have not the same pre-eminent advantages of personal experience, are quite helpless without the aid of general propositions, wherever the case presents the smallest complication; and if we made no general propositions, few persons would get much beyond those simple inferences which are drawn by the more intelligent of the brutes. Though not necessary to reasoning, general propositions are necessary to any considerable progress in reasoning. It is, therefore, natural and indispensable to separate the process of investigation into two parts; and obtain general formulæ for determining what inferences may be drawn, before the occasion arises for drawing the inferences. The work of drawing them is then that of applying the formulæ; and the rules of syllogism are a system of securities for the correctness of the application.

§ 6. To complete the series of considerations connected with the philosophical character of the syllogism, it is requisite to consider, since the syllogism is not the universal type of the reasoning process, what is the real type. This resolves itself into the question, what is the nature of the minor premise, and in what manner it contributes to establish the conclusion: for as to the major, we now fully understand, that the place which it nominally occupies in our reasonings, properly belongs to the individual facts or observations of which it expresses the general result; the major itself being no real part of the argument, but an intermediate halting-place for the mind, interposed by an artifice of language between the real premises and the conclusion, by way of a security, which it is in a most material degree, for the correctness of the process. The minor,

however, being an indispensable part of the syllogistic expression of an argument, without doubt either is, or corresponds to, an equally indispensable part of the argument itself, and we have only to inquire what part.

It is perhaps worth while to notice here a speculation of a philosopher to whom mental science is much indebted, but who, though a very penetrating, was a very hasty thinker, and whose want of due circumspection rendered him fully as remarkable for what he did not see, as for what he saw. I allude to Dr. Thomas Brown, whose theory of ratiocination is peculiar. He saw the *petitio principii* which is inherent in every syllogism, if we consider the major to be itself the evidence by which the conclusion is proved, instead of being, what in fact it is, an assertion of the existence of evidence sufficient to prove any conclusion of a given description. Seeing this, Dr. Brown not only failed to see the immense advantage, in point of security for correctness, which is gained by interposing this step between the real evidence and the conclusion; but he thought it incumbent on him to strike out the major altogether from the reasoning process, without substituting any thing else, and maintained that our reasonings consist only of the minor premise and the conclusion, Socrates is a man, therefore Socrates is mortal: thus actually suppressing, as an unnecessary step in the argument, the appeal to former experience. The absurdity of this was disguised from him by the opinion he adopted, that reasoning is merely analyzing our own general notions, or abstract ideas; and that the proposition, Socrates is mortal, is evolved from the proposition, Socrates is a man, simply by recognizing the notion of mortality as already contained in the notion we form of a man.

After the explanations so fully entered into on the subject of propositions, much further discussion can not be necessary to make the radical error of this view of ratiocination apparent. If the word man connoted mortality; if the meaning of "mortal" were involved in the meaning of "man;" we might, undoubtedly, evolve the conclusion from the minor alone, because the minor would have already asserted it. But if, as is in fact the case, the word man does not connote mortality, how does it appear that in the mind of every person who admits Socrates to be a man, the idea of man must include the idea of mortality? Dr. Brown could not

help seeing this difficulty, and in order to avoid it, was led, contrary to his intention, to re-establish, under another name, that step in the argument which corresponds to the major, by affirming the necessity of *previously perceiving* the relation between the idea of man and the idea of mortal. If the reasoner has not previously perceived this relation, he will not, says Dr. Brown, infer because Socrates is a man, that Socrates is mortal. But even this admission, though amounting to a surrender of the doctrine that an argument consists of the minor and the conclusion alone, will not save the remainder of Dr. Brown's theory. The failure of assent to the argument does not take place merely because the reasoner, for want of due analysis, does not perceive that his idea of man includes the idea of mortality; it takes place, much more commonly, because in his mind that relation between the two ideas has never existed. And in truth it never does exist, except as the result of experience. Consenting, for the sake of the argument, to discuss the question on a supposition of which we have recognized the radical incorrectness, namely, that the meaning of a proposition relates to the ideas of the things spoken of, and not to the things themselves; I must yet observe, that the idea of man, as a universal idea, the common property of all rational creatures, can not involve any thing but what is strictly implied in the name. If any one includes in his own private idea of man, as no doubt is always the case, some other attributes, such for instance as mortality, he does so only as the consequence of experience, after having satisfied himself that all men possess that attribute: so that whatever the idea contains, in any person's mind, beyond what is included in the conventional signification of the word, has been added to it as the result of assent to a proposition; while Dr. Brown's theory requires us to suppose, on the contrary, that assent to the proposition is produced by evolving, through an analytic process, this very element out of the idea. This theory, therefore, may be considered as sufficiently refuted; and the minor premise must be regarded as totally insufficient to prove the conclusion, except with the assistance of the major, or of that which the major represents, namely, the various singular propositions expressive of the series of observations, of which the generalization called the major premise is the result.

In the argument, then, which proves that Socrates is mortal, one indispensable part of the premises will be as follows: "My father, and my

father's father, A, B, C, and an indefinite number of other persons, were mortal;" which is only an expression in different words of the observed fact that they have died. This is the major premise divested of the *petitio principii*, and cut down to as much as is really known by direct evidence.

In order to connect this proposition with the conclusion Socrates is mortal, the additional link necessary is such a proposition as the following: "Socrates resembles my father, and my father's father, and the other individuals specified." This proposition we assert when we say that Socrates is a man. By saying so we likewise assert in what respect he resembles them, namely, in the attributes connoted by the word man. And we conclude that he further resembles them in the attribute mortality.

§ 7. We have thus obtained what we were seeking, a universal type of the reasoning process. We find it resolvable in all cases into the following elements: Certain individuals have a given attribute; an individual or individuals resemble the former in certain other attributes; therefore they resemble them also in the given attribute. This type of ratiocination does not claim, like the syllogism, to be conclusive from the mere form of the expression; nor can it possibly be so. That one proposition does or does not assert the very fact which was already asserted in another, may appear from the form of the expression, that is, from a comparison of the language; but when the two propositions assert facts which are *bona fide* different, whether the one fact proves the other or not can never appear from the language, but must depend on other considerations. Whether, from the attributes in which Socrates resembles those men who have heretofore died, it is allowable to infer that he resembles them also in being mortal, is a question of Induction; and is to be decided by the principles or canons which we shall hereafter recognize as tests of the correct performance of that great mental operation.

Meanwhile, however, it is certain, as before remarked, that if this inference can be drawn as to Socrates, it can be drawn as to all others who resemble the observed individuals in the same attributes in which he resembles them; that is (to express the thing concisely) of all mankind. If, therefore, the argument be admissible in the case of Socrates, we are at liberty, once for all, to treat the possession of the attributes of man as a mark, or satisfactory evidence, of the attribute of mortality. This we do by



laying down the universal proposition, All men are mortal, and interpreting this, as occasion arises, in its application to Socrates and others. By this means we establish a very convenient division of the entire logical operation into two steps; first, that of ascertaining what attributes are marks of mortality; and, secondly, whether any given individuals possess those marks. And it will generally be advisable, in our speculations on the reasoning process, to consider this double operation as in fact taking place, and all reasoning as carried on in the form into which it must necessarily be thrown to enable us to apply to it any test of its correct performance.

Although, therefore, all processes of thought in which the ultimate premises are particulars, whether we conclude from particulars to a general formula, or from particulars to other particulars according to that formula, are equally Induction; we shall yet, conformably to usage, consider the name Induction as more peculiarly belonging to the process of establishing the general proposition, and the remaining operation, which is substantially that of interpreting the general proposition, we shall call by its usual name, Deduction. And we shall consider every process by which any thing is inferred respecting an unobserved case, as consisting of an Induction followed by a Deduction; because, although the process needs not necessarily be carried on in this form, it is always susceptible of the form, and must be thrown into it when assurance of scientific accuracy is needed and desired.

§ 8. The theory of the syllogism laid down in the preceding pages, has obtained, among other important adhesions, three of peculiar value: those of Sir John Herschel,<sup>59</sup> Dr. Whewell,<sup>60</sup> and Mr. Bailey;<sup>61</sup> Sir John Herschel considering the doctrine, though not strictly “a discovery,” having been anticipated by Berkeley,<sup>62</sup> to be “one of the greatest steps which have yet been made in the philosophy of Logic.” “When we consider” (to quote the further words of the same authority) “the inveteracy of the habits and prejudices which it has cast to the winds,” there is no cause for misgiving in the fact that other thinkers, no less entitled to consideration, have formed a very different estimate of it. Their principal objection can not be better or more succinctly stated than by borrowing a sentence from Archbishop Whately.<sup>63</sup> “In every case where an inference is drawn from

Induction (unless that name is to be given to a mere random guess without any grounds at all) we must form a judgment that the instance or instances adduced are *sufficient* to authorize the conclusion; that it is *allowable* to take these instances as a sample warranting an inference respecting the whole class;” and the expression of this judgment in words (it has been said by several of my critics) is the major premise.

I quite admit that the major is an affirmation of the sufficiency of the evidence on which the conclusion rests. That it is so, is the very essence of my own theory. And whoever admits that the major premise is *only* this, adopts the theory in its essentials.

But I can not concede that this recognition of the sufficiency of the evidence — that is, of the correctness of the induction — is a part of the induction itself; unless we ought to say that it is a part of every thing we do, to satisfy ourselves that it has been done rightly. We conclude from known instances to unknown by the impulse of the generalizing propensity; and (until after a considerable amount of practice and mental discipline) the question of the sufficiency of the evidence is only raised by a retrospective act, turning back upon our own footsteps, and examining whether we were warranted in doing what we have provisionally done. To speak of this reflex operation as part of the original one, requiring to be expressed in words in order that the verbal formula may correctly represent the psychological process, appears to me false psychology.<sup>64</sup> We review our syllogistic as well as our inductive processes, and recognize that they have been correctly performed; but logicians do not add a third premise to the syllogism, to express this act of recognition. A careful copyist verifies his transcript by collating it with the original; and if no error appears, he recognizes that the transcript has been correctly made. But we do not call the examination of the copy a part of the act of copying.

The conclusion in an induction is inferred from the evidence itself, and not from a recognition of the sufficiency of the evidence; as I infer that my friend is walking toward me because I see him, and not because I recognize that my eyes are open, and that eyesight is a means of knowledge. In all operations which require care, it is good to assure ourselves that the process has been performed accurately; but the testing of the process is not the process itself; and, besides, may have been

omitted altogether, and yet the process be correct. It is precisely because that operation is omitted in ordinary unscientific reasoning, that there is any thing gained in certainty by throwing reasoning into the syllogistic form. To make sure, as far as possible, that it shall not be omitted, we make the testing operation a part of the reasoning process itself. We insist that the inference from particulars to particulars shall pass through a general proposition. But this is a security for good reasoning, not a condition of all reasoning; and in some cases not even a security. Our most familiar inferences are all made before we learn the use of general propositions; and a person of untutored sagacity will skillfully apply his acquired experience to adjacent cases, though he would bungle grievously in fixing the limits of the appropriate general theorem. But though he may conclude rightly, he never, properly speaking, knows whether he has done so or not; he has not tested his reasoning. Now, this is precisely what forms of reasoning do for us. We do not need them to enable us to reason, but to enable us to know whether we reason correctly.

In still further answer to the objection, it may be added that — even when the test has been applied, and the sufficiency of the evidence recognized — if it is sufficient to support the general proposition, it is sufficient also to support an inference from particulars to particulars without passing through the general proposition. The inquirer who has logically satisfied himself that the conditions of legitimate induction were realized in the cases A, B, C, would be as much justified in concluding directly to the Duke of Wellington as in concluding to all men. The general conclusion is never legitimate, unless the particular one would be so too; and in no sense, intelligible to me, can the particular conclusion be said to be drawn from the general one. Whenever there is ground for drawing any conclusion at all from particular instances, there is ground for a general conclusion; but that this general conclusion should be actually drawn, however useful, can not be an indispensable condition of the validity of the inference in the particular case. A man gives away sixpence by the same power by which he disposes of his whole fortune; but it is not necessary to the legality of the smaller act, that he should make a formal assertion of his right to the greater one.

Some additional remarks, in reply to minor objections, are appended.<sup>65</sup>

§ 9. The preceding considerations enable us to understand the true nature of what is termed, by recent writers, Formal Logic, and the relation between it and Logic in the widest sense. Logic, as I conceive it, is the entire theory of the ascertainment of reasoned or inferred truth. Formal Logic, therefore, which Sir William Hamilton from his own point of view, and Archbishop Whately from his, have represented as the whole of Logic properly so called, is really a very subordinate part of it, not being directly concerned with the process of Reasoning or Inference in the sense in which that process is a part of the Investigation of Truth. What, then, is Formal Logic? The name seems to be properly applied to all that portion of doctrine which relates to the equivalence of different modes of expression; the rules for determining when assertions in a given form imply or suppose the truth or falsity of other assertions. This includes the theory of the Import of Propositions, and of their Conversion, *Æquipollence*, and Opposition; of those falsely called Inductions (to be hereafter spoken of)<sup>66</sup>, in which the apparent generalization is a mere abridged statement of cases known individually; and finally, of the syllogism: while the theory of Naming, and of (what is inseparably connected with it) Definition, though belonging still more to the other and larger kind of logic than to this, is a necessary preliminary to this. The end aimed at by Formal Logic, and attained by the observance of its precepts, is not truth, but consistency. It has been seen that this is the only direct purpose of the rules of the syllogism; the intention and effect of which is simply to keep our inferences or conclusions in complete consistency with our general formulæ or directions for drawing them. The Logic of Consistency is a necessary auxiliary to the logic of truth, not only because what is inconsistent with itself or with other truths can not be true, but also because truth can only be successfully pursued by drawing inferences from experience, which, if warrantable at all, admit of being generalized, and, to test their warrantableness, require to be exhibited in a generalized form; after which the correctness of their application to particular cases is a question which specially concerns the Logic of Consistency. This Logic, not requiring any preliminary knowledge of the processes or conclusions of the various sciences, may be studied with benefit in a much earlier stage of

education than the Logic of Truth: and the practice which has empirically obtained of teaching it apart, through elementary treatises which do not attempt to include any thing else, though the reasons assigned for the practice are in general very far from philosophical, admits of philosophical justification.

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<sup>56</sup> *Logic*, p. 239 (9th ed.).

<sup>57</sup> It is hardly necessary to say, that I am not contending for any such absurdity as that we *actually* "ought to have known" and considered the case of every individual man, past, present, and future, before affirming that all men are mortal: although this interpretation has been, strangely enough, put upon the preceding observations. There is no difference between me and Archbishop Whately, or any other defender of the syllogism, on the practical part of the matter; I am only pointing out an inconsistency in the logical theory of it, as conceived by almost all writers. I do not say that a person who affirmed, before the Duke of Wellington was born, that all men are mortal, *knew* that the Duke of Wellington was mortal; but I do say that he *asserted* it; and I ask for an explanation of the apparent logical fallacy, of adducing in proof of the Duke of Wellington's mortality, a general statement which presupposes it. Finding no sufficient resolution of this difficulty in any of the writers on Logic, I have attempted to supply one.

<sup>58</sup> The language of ratiocination would, I think, be brought into closer agreement with the real nature of the process, if the general propositions employed in reasoning, instead of being in the form All men are mortal, or Every man is mortal, were expressed in the form Any man is mortal. This mode of expression, exhibiting as the type of all reasoning from experience "The men A, B, C, etc., are so and so, therefore *any* man is so and so," would much better manifest the true idea — that inductive reasoning is always, at bottom, inference from particulars to particulars, and that the whole function of general propositions in reasoning, is to vouch for the legitimacy of such inferences.

<sup>59</sup> Review of Quetelet on Probabilities, *Essays*, p. 367.

<sup>60</sup> *Philosophy of Discovery*, p. 289.

<sup>61</sup> *Theory of Reasoning*, chap. iv., to which I may refer for an able statement and enforcement of the grounds of the doctrine.

<sup>62</sup> On a recent careful reperusal of Berkeley's whole works, I have been unable to find this doctrine in them. Sir John Herschel probably meant that it is implied in Berkeley's argument against abstract ideas. But I can not find

that Berkeley saw the implication, or had ever asked himself what bearing his argument had on the theory of the syllogism. Still less can I admit that the doctrine is (as has been affirmed by one of my ablest and most candid critics) "among the standing marks of what is called the empirical philosophy."

<sup>63</sup> *Logic*, book iv., chap. i., sect. 1.

<sup>64</sup> See the important chapter on Belief, in Professor Bain's great treatise, *The Emotions and the Will*, pp. 581-4.

<sup>65</sup> A writer in the "British Quarterly Review" (August, 1846), in a review of this treatise, endeavors to show that there is no *petitio principii* in the syllogism, by denying that the proposition, All men are mortal, asserts or assumes that Socrates is mortal. In support of this denial, he argues that we may, and in fact do, admit the general proposition that all men are mortal, without having particularly examined the case of Socrates, and even without knowing whether the individual so named is a man or something else. But this of course was never denied. That we can and do draw conclusions concerning cases specifically unknown to us, is the datum from which all who discuss this subject must set out. The question is, in what terms the evidence, or ground, on which we draw these conclusions, may best be designated — whether it is most correct to say, that the unknown case is proved by known cases, or that it is proved by a general proposition including both sets of cases, the unknown and the known? I contend for the former mode of expression. I hold it an abuse of language to say, that the proof that Socrates is mortal, is that all men are mortal. Turn it in what way we will, this seems to me to be asserting that a thing is the proof of itself. Whoever pronounces the words, All men are mortal, has affirmed that Socrates is mortal, though he may never have heard of Socrates; for since Socrates, whether known to be so or not, really is a man, he is included in the words, All men, and in every assertion of which they are the subject. If the reviewer does not see that there is a difficulty here, I can only advise him to reconsider the subject until he does: after which he will be a better judge of the success or failure of an attempt to remove the difficulty. That he had reflected very little on the point when he wrote his remarks, is shown by his oversight respecting the *dictum de omni et nullo*. He acknowledges that this maxim as commonly expressed — "Whatever is true of a class, is true of every thing included in the class," is a mere identical proposition, since the class *is* nothing but the things included in it. But he thinks this defect would be cured by wording the maxim thus — "Whatever is true of a class, is true of every thing which *can be shown* to be a member of the class:" as if a thing could "be shown" to be a member of the class without being one. If a class means the sum of all the things included in the class, the things which can "be shown" to be included in it are part of the sum, and the *dictum* is as much an identical proposition with respect to them as to the rest. One would almost imagine that, in the reviewer's

opinion, things are not members of a class until they are called up publicly to take their place in it — that so long, in fact, as Socrates is not known to be a man, he *is not* a man, and any assertion which can be made concerning men does not at all regard him, nor is affected as to its truth or falsity by any thing in which he is concerned.

The difference between the reviewer's theory and mine may be thus stated. Both admit that when we say, All men are mortal, we make an assertion reaching beyond the sphere of our knowledge of individual cases; and that when a new individual, Socrates, is brought within the field of our knowledge by means of the minor premise, we learn that we have already made an assertion respecting Socrates without knowing it: our own general formula being, to that extent, for the first time *interpreted* to us. But according to the reviewer's theory, the smaller assertion is proved by the larger: while I contend, that both assertions are proved together, by the same evidence, namely, the grounds of experience on which the general assertion was made, and by which it must be justified.

The reviewer says, that if the major premise included the conclusion, "we should be able to affirm the conclusion without the intervention of the minor premise; but every one sees that that is impossible." A similar argument is urged by Mr. De Morgan (*Formal Logic*, p. 259): "The whole objection tacitly assumes the superfluity of the minor; that is, tacitly assumes we know Socrates (Mr. De Morgan says 'Plato,' but to prevent confusion I have kept to my own *exemplum*.) to be a man as soon as we know him to be Socrates." The objection would be well grounded if the assertion that the major premise includes the conclusion, meant that it individually specifies all it includes. As, however, the only indication it gives is a description by marks, we have still to compare any new individual with the marks; and to show that this comparison has been made, is the office of the minor. But since, by supposition, the new individual has the marks, whether we have ascertained him to have them or not; if we have affirmed the major premise, we have asserted him to be mortal. Now my position is that this assertion can not be a necessary part of the argument. It can not be a necessary condition of reasoning that we should begin by making an assertion, which is afterward to be employed in proving a part of itself. I can conceive only one way out of this difficulty, viz., that what really forms the proof is *the other* part of the assertion: the portion of it, the truth of which has been ascertained previously: and that the unproved part is bound up in one formula with the proved part in mere anticipation, and as a memorandum of the nature of the conclusions which we are prepared to prove.

With respect to the minor premise in its formal shape, the minor as it stands in the syllogism, predicating of Socrates a definite class name, I readily admit that it is no more a necessary part of reasoning than the major. When there is a major, doing its work by means of a class name, minors are needed to interpret it: but reasoning can be carried on without either the

one or the other. They are not the conditions of reasoning, but a precaution against erroneous reasoning. The only minor premise necessary to reasoning in the example under consideration, is, Socrates is *like* A, B, C, and the other individuals who are known to have died. And this is the only universal type of that step in the reasoning process which is represented by the minor. Experience, however, of the uncertainty of this loose mode of inference, teaches the expediency of determining beforehand what *kind* of likeness to the cases observed, is necessary to bring an unobserved case within the same predicate; and the answer to this question is the major. The minor then identifies the precise kind of likeness possessed by Socrates, as being the kind required by the formula. Thus the syllogistic major and the syllogistic minor start into existence together, and are called forth by the same exigency. When we conclude from personal experience without referring to any record — to any general theorems, either written, or traditional, or mentally registered by ourselves as conclusions of our own drawing — we do not use, in our thoughts, either a major or a minor, such as the syllogism puts into words. When, however, we revise this rough inference from particulars to particulars, and substitute a careful one, the revision consists in selecting two syllogistic premises. But this neither alters nor adds to the evidence we had before; it only puts us in a better position for judging whether our inference from particulars to particulars is well grounded.

<sup>66</sup> *Infra*, book iii., chap. ii.





# CHAPTER IV.

## OF TRAINS OF REASONING, AND DEDUCTIVE SCIENCES.

§ 1. In our analysis of the syllogism, it appeared that the minor premise always affirms a resemblance between a new case and some cases previously known; while the major premise asserts something which, having been found true of those known cases, we consider ourselves warranted in holding true of any other case resembling the former in certain given particulars.

If all ratiocinations resembled, as to the minor premise, the examples which were exclusively employed in the preceding chapter; if the resemblance, which that premise asserts, were obvious to the senses, as in the proposition "Socrates is a man," or were at once ascertainable by direct observation; there would be no necessity for trains of reasoning, and Deductive or Ratiocinative Sciences would not exist. Trains of reasoning exist only for the sake of extending an induction founded, as all inductions must be, on observed cases, to other cases in which we not only can not directly observe the fact which is to be proved, but can not directly observe even the mark which is to prove it.

§ 2. Suppose the syllogism to be, All cows ruminate, the animal which is before me is a cow, therefore it ruminates. The minor, if true at all, is obviously so: the only premise the establishment of which requires any anterior process of inquiry, is the major; and provided the induction of which that premise is the expression was correctly performed, the conclusion respecting the animal now present will be instantly drawn; because, as soon as she is compared with the formula, she will be identified as being included in it. But suppose the syllogism to be the following: All arsenic is poisonous, the substance which is before me is arsenic, therefore it is poisonous. The truth of the minor may not here be obvious at first sight; it may not be intuitively evident, but may itself be known only by inference. It may be the conclusion of another argument, which, thrown into the syllogistic form, would stand thus: Whatever when

lighted produces a dark spot on a piece of white porcelain held in the flame, which spot is soluble in hypochloride of calcium, is arsenic; the substance before me conforms to this condition; therefore it is arsenic. To establish, therefore, the ultimate conclusion, The substance before me is poisonous, requires a process, which, in order to be syllogistically expressed, stands in need of two syllogisms; and we have a Train of Reasoning.

When, however, we thus add syllogism to syllogism, we are really adding induction to induction. Two separate inductions must have taken place to render this chain of inference possible; inductions founded, probably, on different sets of individual instances, but which converge in their results, so that the instance which is the subject of inquiry comes within the range of them both. The record of these inductions is contained in the majors of the two syllogisms. First, we, or others for us, have examined various objects which yielded under the given circumstances a dark spot with the given property, and found that they possessed the properties connoted by the word arsenic; they were metallic, volatile, their vapor had a smell of garlic, and so forth. Next, we, or others for us, have examined various specimens which possessed this metallic and volatile character, whose vapor had this smell, etc., and have invariably found that they were poisonous. The first observation we judge that we may extend to all substances whatever which yield that particular kind of dark spot; the second, to all metallic and volatile substances resembling those we examined; and consequently, not to those only which are seen to be such, but to those which are concluded to be such by the prior induction. The substance before us is only seen to come within one of these inductions; but by means of this one, it is brought within the other. We are still, as before, concluding from particulars to particulars; but we are now concluding from particulars observed, to other particulars which are not, as in the simple case, *seen* to resemble them in material points, but *inferred* to do so, because resembling them in something else, which we have been led by quite a different set of instances to consider as a mark of the former resemblance.

This first example of a train of reasoning is still extremely simple, the series consisting of only two syllogisms. The following is somewhat more

complicated: No government, which earnestly seeks the good of its subjects, is likely to be overthrown; some particular government earnestly seeks the good of its subjects, therefore it is not likely to be overthrown. The major premise in this argument we shall suppose not to be derived from considerations *a priori*, but to be a generalization from history, which, whether correct or erroneous, must have been founded on observation of governments concerning whose desire of the good of their subjects there was no doubt. It has been found, or thought to be found, that these were not easily overthrown, and it has been deemed that those instances warranted an extension of the same predicate to any and every government which resembles them in the attribute of desiring earnestly the good of its subjects. But *does* the government in question thus resemble them? This may be debated *pro* and *con* by many arguments, and must, in any case, be proved by another induction; for we can not directly observe the sentiments and desires of the persons who carry on the government. To prove the minor, therefore, we require an argument in this form: Every government which acts in a certain manner, desires the good of its subjects; the supposed government acts in that particular manner, therefore it desires the good of its subjects. But is it true that the government acts in the manner supposed? This minor also may require proof; still another induction, as thus: What is asserted by intelligent and disinterested witnesses, may be believed to be true; that the government acts in this manner, is asserted by such witnesses, therefore it may be believed to be true. The argument hence consists of three steps. Having the evidence of our senses that the case of the government under consideration resembles a number of former cases, in the circumstance of having something asserted respecting it by intelligent and disinterested witnesses, we infer, first, that, as in those former instances, so in this instance, the assertion is true. Secondly, what was asserted of the government being that it acts in a particular manner, and other governments or persons having been observed to act in the same manner, the government in question is brought into known resemblance with those other governments or persons; and since they were known to desire the good of the people, it is thereupon, by a second induction, inferred that the particular government spoken of, desires the good of the people. This brings that government into known resemblance with the other

governments which were thought likely to escape revolution, and thence, by a third induction, it is concluded that this particular government is also likely to escape. This is still reasoning from particulars to particulars, but we now reason to the new instance from three distinct sets of former instances: to one only of those sets of instances do we directly perceive the new one to be similar; but from that similarity we inductively infer that it has the attribute by which it is assimilated to the next set, and brought within the corresponding induction; after which by a repetition of the same operation we infer it to be similar to the third set, and hence a third induction conducts us to the ultimate conclusion.

§ 3. Notwithstanding the superior complication of these examples, compared with those by which in the preceding chapter we illustrated the general theory of reasoning, every doctrine which we then laid down holds equally true in these more intricate cases. The successive general propositions are not steps in the reasoning, are not intermediate links in the chain of inference, between the particulars observed and those to which we apply the observation. If we had sufficiently capacious memories, and a sufficient power of maintaining order among a huge mass of details, the reasoning could go on without any general propositions; they are mere formulæ for inferring particulars from particulars. The principle of general reasoning is (as before explained), that if, from observation of certain known particulars, what was seen to be true of them can be inferred to be true of any others, it may be inferred of all others which are of a certain description. And in order that we may never fail to draw this conclusion in a new case when it can be drawn correctly, and may avoid drawing it when it can not, we determine once for all what are the distinguishing marks by which such cases may be recognized. The subsequent process is merely that of identifying an object, and ascertaining it to have those marks; whether we identify it by the very marks themselves, or by others which we have ascertained (through another and a similar process) to be marks of those marks. The real inference is always from particulars to particulars, from the observed instances to an unobserved one: but in drawing this inference, we conform to a formula which we have adopted for our guidance in such operations, and which is a record of the criteria by which we thought we had ascertained that we might distinguish when the inference could, and when

it could not, be drawn. The real premises are the individual observations, even though they may have been forgotten, or, being the observations of others and not of ourselves, may, to us, never have been known: but we have before us proof that we or others once thought them sufficient for an induction, and we have marks to show whether any new case is one of those to which, if then known, the induction would have been deemed to extend. These marks we either recognize at once, or by the aid of other marks, which by another previous induction we collected to be marks of the first. Even these marks of marks may only be recognized through a third set of marks; and we may have a train of reasoning, of any length, to bring a new case within the scope of an induction grounded on particulars its similarity to which is only ascertained in this indirect manner.

Thus, in the preceding example, the ultimate inductive inference was, that a certain government was not likely to be overthrown; this inference was drawn according to a formula in which desire of the public good was set down as a mark of not being likely to be overthrown; a mark of this mark was, acting in a particular manner; and a mark of acting in that manner was, being asserted to do so by intelligent and disinterested witnesses: this mark, the government under discussion was recognized by the senses as possessing. Hence that government fell within the last induction, and by it was brought within all the others. The perceived resemblance of the case to one set of observed particular cases, brought it into known resemblance with another set, and that with a third.

In the more complex branches of knowledge, the deductions seldom consist, as in the examples hitherto exhibited, of a single chain, *a* a mark of *b*, *b* of *c*, *c* of *d*, therefore *a* a mark of *d*. They consist (to carry on the same metaphor) of several chains united at the extremity, as thus: *a* a mark of *d*, *b* of *e*, *c* of *f*, *d e f* of *n*, therefore *a b c* a mark of *n*. Suppose, for example, the following combination of circumstances: 1st, rays of light impinging on a reflecting surface; 2d, that surface parabolic; 3d, those rays parallel to each other and to the axis of the surface. It is to be proved that the concurrence of these three circumstances is a mark that the reflected rays will pass through the focus of the parabolic surface. Now, each of the three circumstances is singly a mark of something material to the case. Rays of light impinging on a reflecting surface are a mark that those rays will be

reflected at an angle equal to the angle of incidence. The parabolic form of the surface, is a mark that, from any point of it, a line drawn to the focus and a line parallel to the axis will make equal angles with the surface. And finally, the parallelism of the rays to the axis is a mark that their angle of incidence coincides with one of these equal angles. The three marks taken together are therefore a mark of all these three things united. But the three united are evidently a mark that the angle of reflection must coincide with the other of the two equal angles, that formed by a line drawn to the focus; and this again, by the fundamental axiom concerning straight lines, is a mark that the reflected rays pass through the focus. Most chains of physical deduction are of this more complicated type; and even in mathematics such are abundant, as in all propositions where the hypothesis includes numerous conditions: “*If* a circle be taken, and *if* within that circle a point be taken, not the centre, and *if* straight lines be drawn from that point to the circumference, then,” etc.

§ 4. The considerations now stated remove a serious difficulty from the view we have taken of reasoning; which view might otherwise have seemed not easily reconcilable with the fact that there are Deductive or Ratiocinative Sciences. It might seem to follow, if all reasoning be induction, that the difficulties of philosophical investigation must lie in the inductions exclusively, and that when these were easy, and susceptible of no doubt or hesitation, there could be no science, or, at least, no difficulties in science. The existence, for example, of an extensive Science of Mathematics, requiring the highest scientific genius in those who contributed to its creation, and calling for a most continued and vigorous exertion of intellect in order to appropriate it when created, may seem hard to be accounted for on the foregoing theory. But the considerations more recently adduced remove the mystery, by showing, that even when the inductions themselves are obvious, there may be much difficulty in finding whether the particular case which is the subject of inquiry comes within them; and ample room for scientific ingenuity in so combining various inductions, as, by means of one within which the case evidently falls, to bring it within others in which it can not be directly seen to be included.

When the more obvious of the inductions which can be made in any science from direct observations, have been made, and general formulas have been framed, determining the limits within which these inductions are applicable; as often as a new case can be at once seen to come within one of the formulas, the induction is applied to the new case, and the business is ended. But new cases are continually arising, which do not obviously come within any formula whereby the question we want solved in respect of them could be answered. Let us take an instance from geometry: and as it is taken only for illustration, let the reader concede to us for the present, what we shall endeavor to prove in the next chapter, that the first principles of geometry are results of induction. Our example shall be the fifth proposition of the first book of Euclid. The inquiry is, Are the angles at the base of an isosceles triangle equal or unequal? The first thing to be considered is, what inductions we have, from which we can infer equality or inequality. For inferring equality we have the following formulæ: Things which being applied to each other coincide, are equals. Things which are equal to the same thing are equals. A whole and the sum of its parts are equals. The sums of equal things are equals. The differences of equal things are equals. There are no other original formulæ to prove equality. For inferring inequality we have the following: A whole and its parts are unequals. The sums of equal things and unequal things are unequals. The differences of equal things and unequal things are unequals. In all, eight formulæ. The angles at the base of an isosceles triangle do not obviously come within any of these. The formulæ specify certain marks of equality and of inequality, but the angles can not be perceived intuitively to have any of those marks. On examination it appears that they have; and we ultimately succeed in bringing them within the formula, "The differences of equal things are equal." Whence comes the difficulty of recognizing these angles as the differences of equal things? Because each of them is the difference not of one pair only, but of innumerable pairs of angles; and out of these we had to imagine and select two, which could either be intuitively perceived to be equals, or possessed some of the marks of equality set down in the various formulæ. By an exercise of ingenuity, which, on the part of the first inventor, deserves to be regarded as considerable, two pairs of angles were hit upon, which united these requisites. First, it could be perceived intuitively that their differences

were the angles at the base; and, secondly, they possessed one of the marks of equality, namely, coincidence when applied to one another. This coincidence, however, was not perceived intuitively, but inferred, in conformity to another formula.

For greater clearness, I subjoin an analysis of the demonstration. Euclid, it will be remembered, demonstrates his fifth proposition by means of the fourth. This it is not allowable for us to do, because we are undertaking to trace deductive truths not to prior deductions, but to their original inductive foundation. We must, therefore, use the premises of the fourth proposition instead of its conclusion, and prove the fifth directly from first principles. To do so requires six formulas. (We presuppose an equilateral triangle, whose vertices are A, D, E, with point B on the side AD, and point C on the side AE, such that BC is parallel to DE. We must begin, as in Euclid, by prolonging the equal sides AB, AC, to equal distances, and joining the extremities BE, DC.)

FIRST FORMULA. — *The sums of equals are equal.*

AD and AE are sums of equals by the supposition. Having that mark of equality, they are concluded by this formula to be equal.

SECOND FORMULA. — *Equal straight lines or angles, being applied to one another, coincide.*

AC, AB, are within this formula by supposition; AD, AE, have been brought within it by the preceding step. The angle at A considered as an angle of the triangle ABE, and the same angle considered as an angle of the triangle ACD, are of course within the formula. All these pairs, therefore, possess the property which, according to the second formula, is a mark that when applied to one another they will coincide. Conceive them, then, applied to one another, by turning over the triangle ABE, and laying it on the triangle ACD in such a manner that AB of the one shall lie upon AC of the other. Then, by the equality of the angles, AE will lie on AD. But AB and AC, AE and AD are equals; therefore they will coincide altogether, and of course at their extremities, D, E, and B, C.

THIRD FORMULA. — *Straight lines, having their extremities coincident, coincide.*

BE and CD have been brought within this formula by the preceding induction; they will, therefore, coincide.



FOURTH FORMULA. — *Angles, having their sides coincident, coincide.*

The third induction having shown that BE and CD coincide, and the second that AB, AC, coincide, the angles ABE and ACD are thereby brought within the fourth formula, and accordingly coincide.

FIFTH FORMULA. — *Things which coincide are equal.*

The angles ABE and ACD are brought within this formula by the induction immediately preceding. This train of reasoning being also applicable, *mutatis mutandis*, to the angles EBC, DCB, these also are brought within the fifth formula. And, finally,

SIXTH FORMULA. — *The differences of equals are equal.*

The angle ABC being the difference of ABE, CBE, and the angle ACB being the difference of ACD, DCB; which have been proved to be equals; ABC and ACB are brought within the last formula by the whole of the previous process.

The difficulty here encountered is chiefly that of figuring to ourselves the two angles at the base of the triangle ABC as remainders made by cutting one pair of angles out of another, while each pair shall be corresponding angles of triangles which have two sides and the intervening angle equal. It is by this happy contrivance that so many different inductions are brought to bear upon the same particular case. And this not being at all an obvious thought, it may be seen from an example so near the threshold of mathematics, how much scope there may well be for scientific dexterity in the higher branches of that and other sciences, in order so to combine a few simple inductions, as to bring within each of them innumerable cases which are not obviously included in it; and how long, and numerous, and complicated may be the processes necessary for bringing the inductions together, even when each induction may itself be very easy and simple. All the inductions involved in all geometry are comprised in those simple ones, the formulæ of which are the Axioms, and a few of the so-called Definitions. The remainder of the science is made up of the processes employed for bringing unforeseen cases within these inductions; or (in syllogistic language) for proving the minors necessary to complete the syllogisms; the majors being the definitions and axioms. In those definitions and axioms are laid down the

whole of the marks, by an artful combination of which it has been found possible to discover and prove all that is proved in geometry. The marks being so few, and the inductions which furnish them being so obvious and familiar; the connecting of several of them together, which constitutes Deductions, or Trains of Reasoning, forms the whole difficulty of the science, and, with a trifling exception, its whole bulk; and hence Geometry is a Deductive Science.

§ 5. It will be seen hereafter<sup>67</sup> that there are weighty scientific reasons for giving to every science as much of the character of a Deductive Science as possible; for endeavoring to construct the science from the fewest and the simplest possible inductions, and to make these, by any combinations however complicated, suffice for proving even such truths, relating to complex cases, as could be proved, if we chose, by inductions from specific experience. Every branch of natural philosophy was originally experimental; each generalization rested on a special induction, and was derived from its own distinct set of observations and experiments. From being sciences of pure experiment, as the phrase is, or, to speak more correctly, sciences in which the reasonings mostly consist of no more than one step, and are expressed by single syllogisms, all these sciences have become to some extent, and some of them in nearly the whole of their extent, sciences of pure reasoning; whereby multitudes of truths, already known by induction from as many different sets of experiments, have come to be exhibited as deductions or corollaries from inductive propositions of a simpler and more universal character. Thus mechanics, hydrostatics, optics, acoustics, thermology, have successively been rendered mathematical; and astronomy was brought by Newton within the laws of general mechanics. Why it is that the substitution of this circuitous mode of proceeding for a process apparently much easier and more natural, is held, and justly, to be the greatest triumph of the investigation of nature, we are not, in this stage of our inquiry, prepared to examine. But it is necessary to remark, that although, by this progressive transformation, all sciences tend to become more and more Deductive, they are not, therefore, the less Inductive; every step in the Deduction is still an Induction. The opposition is not between the terms Deductive and Inductive, but between Deductive and Experimental. A science is experimental, in proportion as every new case, which presents any

peculiar features, stands in need of a new set of observations and experiments — a fresh induction. It is deductive, in proportion as it can draw conclusions, respecting cases of a new kind, by processes which bring those cases under old inductions; by ascertaining that cases which can not be observed to have the requisite marks, have, however, marks of those marks.

We can now, therefore, perceive what is the generic distinction between sciences which can be made Deductive, and those which must as yet remain Experimental. The difference consists in our having been able, or not yet able, to discover marks of marks. If by our various inductions we have been able to proceed no further than to such propositions as these, *a* a mark of *b*, or *a* and *b* marks of one another, *c* a mark of *d*, or *c* and *d* marks of one another, without any thing to connect *a* or *b* with *c* or *d*; we have a science of detached and mutually independent generalizations, such as these, that acids redden vegetable blues, and that alkalis color them green; from neither of which propositions could we, directly or indirectly, infer the other: and a science, so far as it is composed of such propositions, is purely experimental. Chemistry, in the present state of our knowledge, has not yet thrown off this character. There are other sciences, however, of which the propositions are of this kind: *a* a mark of *b*, *b* a mark of *c*, *c* of *d*, *d* of *e*, etc. In these sciences we can mount the ladder from *a* to *e* by a process of ratiocination; we can conclude that *a* is a mark of *e*, and that every object which has the mark *a* has the property *e*, although, perhaps, we never were able to observe *a* and *e* together, and although even *d*, our only direct mark of *e*, may not be perceptible in those objects, but only inferable. Or, varying the first metaphor, we may be said to get from *a* to *e* underground: the marks *b*, *c*, *d*, which indicate the route, must all be possessed somewhere by the objects concerning which we are inquiring; but they are below the surface: *a* is the only mark that is visible, and by it we are able to trace in succession all the rest.

§ 6. We can now understand how an experimental may transform itself into a deductive science by the mere progress of experiment. In an experimental science, the inductions, as we have said, lie detached, as, *a* a mark of *b*, *c* a mark of *d*, *e* a mark of *f*, and so on: now, a new set of instances, and a consequent new induction, may at any time bridge over

the interval between two of these unconnected arches; *b*, for example, may be ascertained to be a mark of *c*, which enables us thenceforth to prove deductively that *a* is a mark of *c*. Or, as sometimes happens, some comprehensive induction may raise an arch high in the air, which bridges over hosts of them at once; *b*, *d*, *f*, and all the rest, turning out to be marks of some one thing, or of things between which a connection has already been traced. As when Newton discovered that the motions, whether regular or apparently anomalous, of all the bodies of the solar system (each of which motions had been inferred by a separate logical operation, from separate marks), were all marks of moving round a common centre, with a centripetal force varying directly as the mass, and inversely as the square of the distance from that centre. This is the greatest example which has yet occurred of the transformation, at one stroke, of a science which was still to a great degree merely experimental, into a deductive science.

Transformations of the same nature, but on a smaller scale, continually take place in the less advanced branches of physical knowledge, without enabling them to throw off the character of experimental sciences. Thus with regard to the two unconnected propositions before cited, namely, Acids redden vegetable blues, Alkalies make them green; it is remarked by Liebig, that all blue coloring matters which are reddened by acids (as well as, reciprocally, all red coloring matters which are rendered blue by alkalies) contain nitrogen: and it is quite possible that this circumstance may one day furnish a bond of connection between the two propositions in question, by showing that the antagonistic action of acids and alkalies in producing or destroying the color blue, is the result of some one, more general, law. Although this connecting of detached generalizations is so much gain, it tends but little to give a deductive character to any science as a whole; because the new courses of observation and experiment, which thus enable us to connect together a few general truths, usually make known to us a still greater number of unconnected new ones. Hence chemistry, though similar extensions and simplifications of its generalizations are continually taking place, is still in the main an experimental science; and is likely so to continue unless some comprehensive induction should be hereafter arrived at, which, like Newton's, shall connect a vast number of the smaller known inductions together, and change the whole method of the science at

once. Chemistry has already one great generalization, which, though relating to one of the subordinate aspects of chemical phenomena, possesses within its limited sphere this comprehensive character; the principle of Dalton, called the atomic theory, or the doctrine of chemical equivalents: which by enabling us to a certain extent to foresee the proportions in which two substances will combine, before the experiment has been tried, constitutes undoubtedly a source of new chemical truths obtainable by deduction, as well as a connecting principle for all truths of the same description previously obtained by experiment.

§ 7. The discoveries which change the method of a science from experimental to deductive, mostly consist in establishing, either by deduction or by direct experiment, that the varieties of a particular phenomenon uniformly accompany the varieties of some other phenomenon better known. Thus the science of sound, which previously stood in the lowest rank of merely experimental science, became deductive when it was proved by experiment that every variety of sound was consequent on, and therefore a mark of, a distinct and definable variety of oscillatory motion among the particles of the transmitting medium. When this was ascertained, it followed that every relation of succession or co-existence which obtained between phenomena of the more known class, obtained also between the phenomena which correspond to them in the other class. Every sound, being a mark of a particular oscillatory motion, became a mark of every thing which, by the laws of dynamics, was known to be inferable from that motion; and every thing which by those same laws was a mark of any oscillatory motion among the particles of an elastic medium, became a mark of the corresponding sound. And thus many truths, not before suspected, concerning sound, become deducible from the known laws of the propagation of motion through an elastic medium; while facts already empirically known respecting sound, become an indication of corresponding properties of vibrating bodies, previously undiscovered.

But the grand agent for transforming experimental into deductive sciences, is the science of number. The properties of number, alone among all known phenomena, are, in the most rigorous sense, properties of all things whatever. All things are not colored, or ponderable, or even

extended; but all things are numerable. And if we consider this science in its whole extent, from common arithmetic up to the calculus of variations, the truths already ascertained seem all but infinite, and admit of indefinite extension.

These truths, though affirmable of all things whatever, of course apply to them only in respect of their quantity. But if it comes to be discovered that variations of quality in any class of phenomena, correspond regularly to variations of quantity either in those same or in some other phenomena; every formula of mathematics applicable to quantities which vary in that particular manner, becomes a mark of a corresponding general truth, respecting the variations in quality which accompany them: and the science of quantity being (as far as any science can be) altogether deductive, the theory of that particular kind of qualities becomes, to this extent, deductive likewise.

The most striking instance in point which history affords (though not an example of an experimental science rendered deductive, but of an unparalleled extension given to the deductive process in a science which was deductive already), is the revolution in geometry which originated with Descartes, and was completed by Clairaut. These great mathematicians pointed out the importance of the fact, that to every variety of position in points, direction in lines, or form in curves or surfaces (all of which are Qualities), there corresponds a peculiar relation of quantity between either two or three rectilinear co-ordinates; insomuch that if the law were known according to which those co-ordinates vary relatively to one another, every other geometrical property of the line or surface in question, whether relating to quantity or quality, would be capable of being inferred. Hence it followed that every geometrical question could be solved, if the corresponding algebraical one could; and geometry received an accession (actual or potential) of new truths, corresponding to every property of numbers which the progress of the calculus had brought, or might in future bring, to light. In the same general manner, mechanics, astronomy, and in a less degree, every branch of natural philosophy commonly so called, have been made algebraical. The varieties of physical phenomena with which those sciences are conversant, have been found to answer to determinable varieties in the

quantity of some circumstance or other; or at least to varieties of form or position, for which corresponding equations of quantity had already been, or were susceptible of being, discovered by geometers.

In these various transformations, the propositions of the science of number do but fulfill the function proper to all propositions forming a train of reasoning, viz., that of enabling us to arrive in an indirect method, by marks of marks, at such of the properties of objects as we can not directly ascertain (or not so conveniently) by experiment. We travel from a given visible or tangible fact, through the truths of numbers, to the facts sought. The given fact is a mark that a certain relation subsists between the quantities of some of the elements concerned; while the fact sought presupposes a certain relation between the quantities of some other elements: now, if these last quantities are dependent in some known manner upon the former, or *vicè versa*, we can argue from the numerical relation between the one set of quantities, to determine that which subsists between the other set; the theorems of the calculus affording the intermediate links. And thus one of the two physical facts becomes a mark of the other, by being a mark of a mark of a mark of it.

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<sup>67</sup> *Infra*, book iii., ch. iv., § 3, and elsewhere.



# CHAPTER V.

## OF DEMONSTRATION, AND NECESSARY TRUTHS.

§ 1. If, as laid down in the two preceding chapters, the foundation of all sciences, even deductive or demonstrative sciences, is Induction; if every step in the ratiocinations even of geometry is an act of induction; and if a train of reasoning is but bringing many inductions to bear upon the same subject of inquiry, and drawing a case within one induction by means of another; wherein lies the peculiar certainty always ascribed to the sciences which are entirely, or almost entirely, deductive? Why are they called the Exact Sciences? Why are mathematical certainty, and the evidence of demonstration, common phrases to express the very highest degree of assurance attainable by reason? Why are mathematics by almost all philosophers, and (by some) even those branches of natural philosophy which, through the medium of mathematics, have been converted into deductive sciences, considered to be independent of the evidence of experience and observation, and characterized as systems of Necessary Truth?

The answer I conceive to be, that this character of necessity, ascribed to the truths of mathematics, and (even with some reservations to be hereafter made) the peculiar certainty attributed to them, is an illusion; in order to sustain which, it is necessary to suppose that those truths relate to, and express the properties of, purely imaginary objects. It is acknowledged that the conclusions of geometry are deduced, partly at least, from the so-called Definitions, and that those definitions are assumed to be correct representations, as far as they go, of the objects with which geometry is conversant. Now we have pointed out that, from a definition as such, no proposition, unless it be one concerning the meaning of a word, can ever follow; and that what apparently follows from a definition, follows in reality from an implied assumption that there exists a real thing conformable thereto. This assumption, in the case of the definitions of geometry, is not strictly true: there exist no real things exactly conformable to the definitions. There exist no points without



magnitude; no lines without breadth, nor perfectly straight; no circles with all their radii exactly equal, nor squares with all their angles perfectly right. It will perhaps be said that the assumption does not extend to the actual, but only to the possible, existence of such things. I answer that, according to any test we have of possibility, they are not even possible. Their existence, so far as we can form any judgment, would seem to be inconsistent with the physical constitution of our planet at least, if not of the universe. To get rid of this difficulty, and at the same time to save the credit of the supposed system of necessary truth, it is customary to say that the points, lines, circles, and squares which are the subject of geometry, exist in our conceptions merely, and are part of our minds; which minds, by working on their own materials, construct an *a priori* science, the evidence of which is purely mental, and has nothing whatever to do with outward experience. By howsoever high authorities this doctrine may have been sanctioned, it appears to me psychologically incorrect. The points, lines, circles, and squares which any one has in his mind, are (I apprehend) simply copies of the points, lines, circles, and squares which he has known in his experience. Our idea of a point, I apprehend to be simply our idea of the *minimum visibile*, the smallest portion of surface which we can see. A line, as defined by geometers, is wholly inconceivable. We can reason about a line as if it had no breadth; because we have a power, which is the foundation of all the control we can exercise over the operations of our minds; the power, when a perception is present to our senses, or a conception to our intellects, of *attending* to a part only of that perception or conception, instead of the whole. But we can not *conceive* a line without breadth; we can form no mental picture of such a line: all the lines which we have in our minds are lines possessing breadth. If any one doubts this, we may refer him to his own experience. I much question if any one who fancies that he can conceive what is called a mathematical line, thinks so from the evidence of his consciousness: I suspect it is rather because he supposes that unless such a conception were possible, mathematics could not exist as a science: a supposition which there will be no difficulty in showing to be entirely groundless.

Since, then, neither in nature, nor in the human mind, do there exist any objects exactly corresponding to the definitions of geometry, while yet that science can not be supposed to be conversant about nonentities;

nothing remains but to consider geometry as conversant with such lines, angles, and figures, as really exist; and the definitions, as they are called, must be regarded as some of our first and most obvious generalizations concerning those natural objects. The correctness of those generalizations, as generalizations, is without a flaw: the equality of all the radii of a circle is true of all circles, so far as it is true of any one: but it is not exactly true of any circle; it is only nearly true; so nearly that no error of any importance in practice will be incurred by feigning it to be exactly true. When we have occasion to extend these inductions, or their consequences, to cases in which the error would be appreciable — to lines of perceptible breadth or thickness, parallels which deviate sensibly from equidistance, and the like — we correct our conclusions, by combining with them a fresh set of propositions relating to the aberration; just as we also take in propositions relating to the physical or chemical properties of the material, if those properties happen to introduce any modification into the result; which they easily may, even with respect to figure and magnitude, as in the case, for instance, of expansion by heat. So long, however, as there exists no practical necessity for attending to any of the properties of the object except its geometrical properties, or to any of the natural irregularities in those, it is convenient to neglect the consideration of the other properties and of the irregularities, and to reason as if these did not exist: accordingly, we formally announce in the definitions, that we intend to proceed on this plan. But it is an error to suppose, because we resolve to confine our attention to a certain number of the properties of an object, that we therefore conceive, or have an idea of, the object, denuded of its other properties. We are thinking, all the time, of precisely such objects as we have seen and touched, and with all the properties which naturally belong to them; but, for scientific convenience, we feign them to be divested of all properties, except those which are material to our purpose, and in regard to which we design to consider them.

The peculiar accuracy, supposed to be characteristic of the first principles of geometry, thus appears to be fictitious. The assertions on which the reasonings of the science are founded, do not, any more than in other sciences, exactly correspond with the fact; but we suppose that they do so, for the sake of tracing the consequences which follow from the supposition. The opinion of Dugald Stewart respecting the foundations of

geometry, is, I conceive, substantially correct; that it is built on hypotheses; that it owes to this alone the peculiar certainty supposed to distinguish it; and that in any science whatever, by reasoning from a set of hypotheses, we may obtain a body of conclusions as certain as those of geometry, that is, as strictly in accordance with the hypotheses, and as irresistibly compelling assent, *on condition* that those hypotheses are true.<sup>68</sup>.....

When, therefore, it is affirmed that the conclusions of geometry are necessary truths, the necessity consists in reality only in this, that they correctly follow from the suppositions from which they are deduced. Those suppositions are so far from being necessary, that they are not even true; they purposely depart, more or less widely, from the truth. The only sense in which necessity can be ascribed to the conclusions of any scientific investigation, is that of legitimately following from some assumption, which, by the conditions of the inquiry, is not to be questioned. In this relation, of course, the derivative truths of every deductive science must stand to the inductions, or assumptions, on which the science is founded, and which, whether true or untrue, certain or doubtful in themselves, are always supposed certain for the purposes of the particular science. And therefore the conclusions of all deductive sciences were said by the ancients to be necessary propositions. We have observed already that to be predicated necessarily was characteristic of the predicable Proprium, and that a proprium was any property of a thing which could be deduced from its essence, that is, from the properties included in its definition.

§ 2. The important doctrine of Dugald Stewart, which I have endeavored to enforce, has been contested by Dr. Whewell, both in the dissertation appended to his excellent *Mechanical Euclid*, and in his elaborate work on the *Philosophy of the Inductive Sciences*; in which last he also replies to an article in the Edinburgh Review (ascribed to a writer of great scientific eminence), in which Stewart's opinion was defended against his former strictures. The supposed refutation of Stewart consists in proving against him (as has also been done in this work) that the premises of geometry are not definitions, but assumptions of the real existence of things corresponding to those definitions. This, however, is doing little for Dr. Whewell's purpose; for it is these very assumptions

which are asserted to be hypotheses, and which he, if he denies that geometry is founded on hypotheses, must show to be absolute truths. All he does, however, is to observe, that they, at any rate, are not *arbitrary* hypotheses; that we should not be at liberty to substitute other hypotheses for them; that not only “a definition, to be admissible, must necessarily refer to and agree with some conception which we can distinctly frame in our thoughts,” but that the straight lines, for instance, which we define, must be “those by which angles are contained, those by which triangles are bounded, those of which parallelism may be predicated, and the like.”<sup>69</sup> And this is true; but this has never been contradicted. Those who say that the premises of geometry are hypotheses, are not bound to maintain them to be hypotheses which have no relation whatever to fact. Since an hypothesis framed for the purpose of scientific inquiry must relate to something which has real existence (for there can be no science respecting nonentities), it follows that any hypothesis we make respecting an object, to facilitate our study of it, must not involve any thing which is distinctly false, and repugnant to its real nature: we must not ascribe to the thing any property which it has not; our liberty extends only to slightly exaggerating some of those which it has (by assuming it to be completely what it really is very nearly), and suppressing others, under the indispensable obligation of restoring them whenever, and in as far as, their presence or absence would make any material difference in the truth of our conclusions. Of this nature, accordingly, are the first principles involved in the definitions of geometry. That the hypotheses should be of this particular character, is, however, no further necessary, than inasmuch as no others could enable us to deduce conclusions which, with due corrections, would be true of real objects: and in fact, when our aim is only to illustrate truths, and not to investigate them, we are not under any such restriction. We might suppose an imaginary animal, and work out by deduction, from the known laws of physiology, its natural history; or an imaginary commonwealth, and from the elements composing it, might argue what would be its fate. And the conclusions which we might thus draw from purely arbitrary hypotheses, might form a highly useful intellectual exercise: but as they could only teach us what *would* be the properties of objects which do not really exist, they would not constitute any addition to our knowledge of nature: while, on the contrary, if the

hypothesis merely divests a real object of some portion of its properties, without clothing it in false ones, the conclusions will always express, under known liability to correction, actual truth.

§ 3. But though Dr. Whewell has not shaken Stewart's doctrine as to the hypothetical character of that portion of the first principles of geometry which are involved in the so-called definitions, he has, I conceive, greatly the advantage of Stewart on another important point in the theory of geometrical reasoning; the necessity of admitting, among those first principles, axioms as well as definitions. Some of the axioms of Euclid might, no doubt, be exhibited in the form of definitions, or might be deduced, by reasoning, from propositions similar to what are so called. Thus, if instead of the axiom, Magnitudes which can be made to coincide are equal, we introduce a definition, "Equal magnitudes are those which may be so applied to one another as to coincide;" the three axioms which follow (Magnitudes which are equal to the same are equal to one another — If equals are added to equals, the sums are equal — If equals are taken from equals, the remainders are equal), may be proved by an imaginary superposition, resembling that by which the fourth proposition of the first book of Euclid is demonstrated. But though these and several others may be struck out of the list of first principles, because, though not requiring demonstration, they are susceptible of it; there will be found in the list of axioms two or three fundamental truths, not capable of being demonstrated: among which must be reckoned the proposition that two straight lines can not inclose a space (or its equivalent, Straight lines which coincide in two points coincide altogether), and some property of parallel lines, other than that which constitutes their definition: one of the most suitable for the purpose being that selected by Professor Playfair: "Two straight lines which intersect each other can not both of them be parallel to a third straight line."<sup>70</sup>  
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The axioms, as well those which are indemonstrable as those which admit of being demonstrated, differ from that other class of fundamental principles which are involved in the definitions, in this, that they are true without any mixture of hypothesis. That things which are equal to the same thing are equal to one another, is as true of the lines and figures in nature, as it would be of the imaginary ones assumed in the definitions. In

this respect, however, mathematics are only on a par with most other sciences. In almost all sciences there are some general propositions which are exactly true, while the greater part are only more or less distant approximations to the truth. Thus in mechanics, the first law of motion (the continuance of a movement once impressed, until stopped or slackened by some resisting force) is true without qualification or error. The rotation of the earth in twenty-four hours, of the same length as in our time, has gone on since the first accurate observations, without the increase or diminution of one second in all that period. These are inductions which require no fiction to make them be received as accurately true: but along with them there are others, as for instance the propositions respecting the figure of the earth, which are but approximations to the truth; and in order to use them for the further advancement of our knowledge, we must feign that they are exactly true, though they really want something of being so.

§ 4. It remains to inquire, what is the ground of our belief in axioms — what is the evidence on which they rest? I answer, they are experimental truths; generalizations from observation. The proposition, Two straight lines can not inclose a space — or, in other words, Two straight lines which have once met, do not meet again, but continue to diverge — is an induction from the evidence of our senses.

This opinion runs counter to a scientific prejudice of long standing and great strength, and there is probably no proposition enunciated in this work for which a more unfavorable reception is to be expected. It is, however, no new opinion; and even if it were so, would be entitled to be judged, not by its novelty, but by the strength of the arguments by which it can be supported. I consider it very fortunate that so eminent a champion of the contrary opinion as Dr. Whewell has found occasion for a most elaborate treatment of the whole theory of axioms, in attempting to construct the philosophy of the mathematical and physical sciences on the basis of the doctrine against which I now contend. Whoever is anxious that a discussion should go to the bottom of the subject, must rejoice to see the opposite side of the question worthily represented. If what is said by Dr. Whewell, in support of an opinion which he has made the foundation of a systematic work, can be shown not to be conclusive, enough will have been

done, without going elsewhere in quest of stronger arguments and a more powerful adversary.

It is not necessary to show that the truths which we call axioms are originally *suggested* by observation, and that we should never have known that two straight lines can not inclose a space if we had never seen a straight line: thus much being admitted by Dr. Whewell, and by all, in recent times, who have taken his view of the subject. But they contend, that it is not experience which *proves* the axiom; but that its truth is perceived *a priori*, by the constitution of the mind itself, from the first moment when the meaning of the proposition is apprehended; and without any necessity for verifying it by repeated trials, as is requisite in the case of truths really ascertained by observation.

They can not, however, but allow that the truth of the axiom, Two straight lines can not inclose a space, even if evident independently of experience, is also evident from experience. Whether the axiom needs confirmation or not, it receives confirmation in almost every instant of our lives; since we can not look at any two straight lines which intersect one another, without seeing that from that point they continue to diverge more and more. Experimental proof crowds in upon us in such endless profusion, and without one instance in which there can be even a suspicion of an exception to the rule, that we should soon have stronger ground for believing the axiom, even as an experimental truth, than we have for almost any of the general truths which we confessedly learn from the evidence of our senses. Independently of *a priori* evidence, we should certainly believe it with an intensity of conviction far greater than we accord to any ordinary physical truth: and this too at a time of life much earlier than that from which we date almost any part of our acquired knowledge, and much too early to admit of our retaining any recollection of the history of our intellectual operations at that period. Where then is the necessity for assuming that our recognition of these truths has a different origin from the rest of our knowledge, when its existence is perfectly accounted for by supposing its origin to be the same? when the causes which produce belief in all other instances, exist in this instance, and in a degree of strength as much superior to what exists in other cases, as the intensity of the belief itself is superior? The burden of proof lies on

the advocates of the contrary opinion: it is for them to point out some fact, inconsistent with the supposition that this part of our knowledge of nature is derived from the same sources as every other part.<sup>71</sup>.....

This, for instance, they would be able to do, if they could prove chronologically that we had the conviction (at least practically) so early in infancy as to be anterior to those impressions on the senses, upon which, on the other theory, the conviction is founded. This, however, can not be proved: the point being too far back to be within the reach of memory, and too obscure for external observation. The advocates of the *a priori* theory are obliged to have recourse to other arguments. These are reducible to two, which I shall endeavor to state as clearly and as forcibly as possible.

§ 5. In the first place it is said, that if our assent to the proposition that two straight lines can not inclose a space, were derived from the senses, we could only be convinced of its truth by actual trial, that is, by seeing or feeling the straight lines; whereas, in fact, it is seen to be true by merely thinking of them. That a stone thrown into water goes to the bottom, may be perceived by our senses, but mere thinking of a stone thrown into the water would never have led us to that conclusion: not so, however, with the axioms relating to straight lines: if I could be made to conceive what a straight line is, without having seen one, I should at once recognize that two such lines can not inclose a space. Intuition is “imaginary looking;”<sup>72</sup>..... but experience must be real looking: if we see a property of straight lines to be true by merely fancying ourselves to be looking at them, the ground of our belief can not be the senses, or experience; it must be something mental.

To this argument it might be added in the case of this particular axiom (for the assertion would not be true of all axioms), that the evidence of it from actual ocular inspection is not only unnecessary, but unattainable. What says the axiom? That two straight lines *can not* inclose a space; that after having once intersected, if they are prolonged to infinity they do not meet, but continue to diverge from one another. How can this, in any single case, be proved by actual observation? We may follow the lines to any distance we please; but we can not follow them to infinity: for aught our senses can testify, they may, immediately beyond the farthest point to which we have traced them, begin to approach, and at last meet. Unless,



therefore, we had some other proof of the impossibility than observation affords us, we should have no ground for believing the axiom at all.

To these arguments, which I trust I can not be accused of understating, a satisfactory answer will, I conceive, be found, if we advert to one of the characteristic properties of geometrical forms — their capacity of being painted in the imagination with a distinctness equal to reality: in other words, the exact resemblance of our ideas of form to the sensations which suggest them. This, in the first place, enables us to make (at least with a little practice) mental pictures of all possible combinations of lines and angles, which resemble the realities quite as well as any which we could make on paper; and in the next place, make those pictures just as fit subjects of geometrical experimentation as the realities themselves; inasmuch as pictures, if sufficiently accurate, exhibit of course all the properties which would be manifested by the realities at one given instant, and on simple inspection: and in geometry we are concerned only with such properties, and not with that which pictures could not exhibit, the mutual action of bodies one upon another. The foundations of geometry would therefore be laid in direct experience, even if the experiments (which in this case consist merely in attentive contemplation) were practiced solely upon what we call our ideas, that is, upon the diagrams in our minds, and not upon outward objects. For in all systems of experimentation we take some objects to serve as representatives of all which resemble them; and in the present case the conditions which qualify a real object to be the representative of its class, are completely fulfilled by an object existing only in our fancy. Without denying, therefore, the possibility of satisfying ourselves that two straight lines can not inclose a space, by merely thinking of straight lines without actually looking at them; I contend, that we do not believe this truth on the ground of the imaginary intuition simply, but because we know that the imaginary lines exactly resemble real ones, and that we may conclude from them to real ones with quite as much certainty as we could conclude from one real line to another. The conclusion, therefore, is still an induction from observation. And we should not be authorized to substitute observation of the image in our mind, for observation of the reality, if we had not learned by long-continued experience that the properties of the reality are faithfully represented in the image; just as we should be scientifically

warranted in describing an animal which we have never seen, from a picture made of it with a daguerreotype; but not until we had learned by ample experience, that observation of such a picture is precisely equivalent to observation of the original.

These considerations also remove the objection arising from the impossibility of ocularly following the lines in their prolongation to infinity. For though, in order actually to see that two given lines never meet, it would be necessary to follow them to infinity; yet without doing so we may know that if they ever do meet, or if, after diverging from one another, they begin again to approach, this must take place not at an infinite, but at a finite distance. Supposing, therefore, such to be the case, we can transport ourselves thither in imagination, and can frame a mental image of the appearance which one or both of the lines must present at that point, which we may rely on as being precisely similar to the reality. Now, whether we fix our contemplation upon this imaginary picture, or call to mind the generalizations we have had occasion to make from former ocular observation, we learn by the evidence of experience, that a line which, after diverging from another straight line, begins to approach to it, produces the impression on our senses which we describe by the expression, “a bent line,” not by the expression, “a straight line.”<sup>73</sup>.....

The preceding argument, which is, to my mind unanswerable, merges, however, in a still more comprehensive one, which is stated most clearly and conclusively by Professor Bain. The psychological reason why axioms, and indeed many propositions not ordinarily classed as such, may be learned from the idea only without referring to the fact, is that in the process of acquiring the idea we have learned the fact. The proposition is assented to as soon as the terms are understood, because in learning to understand the terms we have acquired the experience which proves the proposition to be true. “We required,” says Mr. Bain,<sup>74</sup>..... “concrete experience in the first instance, to attain to the notion of whole and part; but the notion, once arrived at, implies that the whole is greater. In fact, we could not have the notion without an experience tantamount to this conclusion. . . . When we have mastered the notion of straightness, we have also mastered that aspect of it expressed by the affirmation that two straight lines can not inclose a space. No intuitive or innate powers or

perceptions are needed in such case. . . . We can not have the full meaning of Straightness, without going through a comparison of straight objects among themselves, and with their opposites, bent or crooked objects. The result of this comparison is, *inter alia*, that straightness in two lines is seen to be incompatible with inclosing a space; the inclosure of space involves crookedness in at least one of the lines.” And similarly, in the case of every first principle,<sup>75</sup>..... “the same knowledge that makes it understood, suffices to verify it.” The more this observation is considered the more (I am convinced) it will be felt to go to the very root of the controversy.

§ 6. The first of the two arguments in support of the theory that axioms are *a priori* truths, having, I think, been sufficiently answered; I proceed to the second, which is usually the most relied on. Axioms (it is asserted) are conceived by us not only as true, but as universally and necessarily true. Now, experience can not possibly give to any proposition this character. I may have seen snow a hundred times, and may have seen that it was white, but this can not give me entire assurance even that all snow is white; much less that snow *must* be white. “However many instances we may have observed of the truth of a proposition, there is nothing to assure us that the next case shall not be an exception to the rule. If it be strictly true that every ruminant animal yet known has cloven hoofs, we still can not be sure that some creature will not hereafter be discovered which has the first of these attributes, without having the other. . . . Experience must always consist of a limited number of observations; and, however numerous these may be, they can show nothing with regard to the infinite number of cases in which the experiment has not been made.” Besides, Axioms are not only universal, they are also necessary. Now “experience can not offer the smallest ground for the necessity of a proposition. She can observe and record what has happened; but she can not find, in any case, or in any accumulation of cases, any reason for what *must* happen. She may see objects side by side; but she can not see a reason why they must ever be side by side. She finds certain events to occur in succession; but the succession supplies, in its occurrence, no reason for its recurrence. She contemplates external objects; but she can not detect any internal bond, which indissolubly connects the future with the past, the possible with the real. To learn a proposition by experience, and to see it to be necessarily true, are two altogether different processes

of thought.”<sup>76</sup> ..... And Dr. Whewell adds, “If any one does not clearly comprehend this distinction of necessary and contingent truths, he will not be able to go along with us in our researches into the foundations of human knowledge; nor, indeed, to pursue with success any speculation on the subject.”<sup>77</sup> .....

In the following passage, we are told what the distinction is, the non-recognition of which incurs this denunciation. “Necessary truths are those in which we not only learn that the proposition *is* true, but see that it *must be* true; in which the negation of the truth is not only false, but impossible; in which we can not, even by an effort of imagination, or in a supposition, conceive the reverse of that which is asserted. That there are such truths can not be doubted. We may take, for example, all relations of number. Three and Two added together make Five. We can not conceive it to be otherwise. We can not, by any freak of thought, imagine Three and Two to make Seven.”<sup>78</sup> .....

Although Dr. Whewell has naturally and properly employed a variety of phrases to bring his meaning more forcibly home, he would, I presume, allow that they are all equivalent; and that what he means by a necessary truth, would be sufficiently defined, a proposition the negation of which is not only false but inconceivable. I am unable to find in any of his expressions, turn them what way you will, a meaning beyond this, and I do not believe he would contend that they mean any thing more.

This, therefore, is the principle asserted: that propositions, the negation of which is inconceivable, or in other words, which we can not figure to ourselves as being false, must rest on evidence of a higher and more cogent description than any which experience can afford.

Now I can not but wonder that so much stress should be laid on the circumstance of inconceivableness, when there is such ample experience to show, that our capacity or incapacity of conceiving a thing has very little to do with the possibility of the thing in itself; but is in truth very much an affair of accident, and depends on the past history and habits of our own minds. There is no more generally acknowledged fact in human nature, than the extreme difficulty at first felt in conceiving any thing as possible, which is in contradiction to long established and familiar experience; or even to old familiar habits of thought. And this difficulty is a necessary

result of the fundamental laws of the human mind. When we have often seen and thought of two things together, and have never in any one instance either seen or thought of them separately, there is by the primary law of association an increasing difficulty, which may in the end become insuperable, of conceiving the two things apart. This is most of all conspicuous in uneducated persons, who are in general utterly unable to separate any two ideas which have once become firmly associated in their minds; and if persons of cultivated intellect have any advantage on the point, it is only because, having seen and heard and read more, and being more accustomed to exercise their imagination, they have experienced their sensations and thoughts in more varied combinations, and have been prevented from forming many of these inseparable associations. But this advantage has necessarily its limits. The most practiced intellect is not exempt from the universal laws of our conceptive faculty. If daily habit presents to any one for a long period two facts in combination, and if he is not led during that period either by accident or by his voluntary mental operations to think of them apart, he will probably in time become incapable of doing so even by the strongest effort; and the supposition that the two facts can be separated in nature, will at last present itself to his mind with all the characters of an inconceivable phenomenon.<sup>79</sup> There are remarkable instances of this in the history of science: instances in which the most instructed men rejected as impossible, because inconceivable, things which their posterity, by earlier practice and longer perseverance in the attempt, found it quite easy to conceive, and which every body now knows to be true. There was a time when men of the most cultivated intellects, and the most emancipated from the dominion of early prejudice, could not credit the existence of antipodes; were unable to conceive, in opposition to old association, the force of gravity acting upward instead of downward. The Cartesians long rejected the Newtonian doctrine of the gravitation of all bodies toward one another, on the faith of a general proposition, the reverse of which seemed to them to be inconceivable — the proposition that a body can not act where it is not. All the cumbrous machinery of imaginary vortices, assumed without the smallest particle of evidence, appeared to these philosophers a more rational mode of explaining the heavenly motions, than one which involved what seemed to them so great an absurdity.<sup>80</sup>

And they no doubt found it as impossible to conceive that a body should act upon the earth from the distance of the sun or moon, as we find it to conceive an end to space or time, or two straight lines inclosing a space. Newton himself had not been able to realize the conception, or we should not have had his hypothesis of a subtle ether, the occult cause of gravitation; and his writings prove, that though he deemed the particular nature of the intermediate agency a matter of conjecture, the necessity of *some* such agency appeared to him indubitable.

If, then, it be so natural to the human mind, even in a high state of culture, to be incapable of conceiving, and on that ground to believe impossible, what is afterward not only found to be conceivable but proved to be true; what wonder if in cases where the association is still older, more confirmed, and more familiar, and in which nothing ever occurs to shake our conviction, or even suggest to us any conception at variance with the association, the acquired incapacity should continue, and be mistaken for a natural incapacity? It is true, our experience of the varieties in nature enables us, within certain limits, to conceive other varieties analogous to them. We can conceive the sun or moon falling; for though we never saw them fall, nor ever, perhaps, imagined them falling, we have seen so many other things fall, that we have innumerable familiar analogies to assist the conception; which, after all, we should probably have some difficulty in framing, were we not well accustomed to see the sun and moon move (or appear to move), so that we are only called upon to conceive a slight change in the direction of motion, a circumstance familiar to our experience. But when experience affords no model on which to shape the new conception, how is it possible for us to form it? How, for example, can we imagine an end to space or time? We never saw any object without something beyond it, nor experienced any feeling without something following it. When, therefore, we attempt to conceive the last point of space, we have the idea irresistibly raised of other points beyond it. When we try to imagine the last instant of time, we can not help conceiving another instant after it. Nor is there any necessity to assume, as is done by a modern school of metaphysicians, a peculiar fundamental law of the mind to account for the feeling of infinity inherent in our conceptions of space and time; that apparent infinity is sufficiently accounted for by simpler and universally acknowledged laws.

Now, in the case of a geometrical axiom, such, for example, as that two straight lines can not inclose a space — a truth which is testified to us by our very earliest impressions of the external world — how is it possible (whether those external impressions be or be not the ground of our belief) that the reverse of the proposition *could* be otherwise than inconceivable to us? What analogy have we, what similar order of facts in any other branch of our experience, to facilitate to us the conception of two straight lines inclosing a space? Nor is even this all. I have already called attention to the peculiar property of our impressions of form, that the ideas or mental images exactly resemble their prototypes, and adequately represent them for the purposes of scientific observation. From this, and from the intuitive character of the observation, which in this case reduces itself to simple inspection, we can not so much as call up in our imagination two straight lines, in order to attempt to conceive them inclosing a space, without by that very act repeating the scientific experiment which establishes the contrary. Will it really be contended that the inconceivableness of the thing, in such circumstances, proves any thing against the experimental origin of the conviction? Is it not clear that in whichever mode our belief in the proposition may have originated, the impossibility of our conceiving the negative of it must, on either hypothesis, be the same? As, then, Dr. Whewell exhorts those who have any difficulty in recognizing the distinction held by him between necessary and contingent truths, to study geometry — a condition which I can assure him I have conscientiously fulfilled — I, in return, with equal confidence, exhort those who agree with him, to study the general laws of association; being convinced that nothing more is requisite than a moderate familiarity with those laws, to dispel the illusion which ascribes a peculiar necessity to our earliest inductions from experience, and measures the possibility of things in themselves, by the human capacity of conceiving them.

I hope to be pardoned for adding, that Dr. Whewell himself has both confirmed by his testimony the effect of habitual association in giving to an experimental truth the appearance of a necessary one, and afforded a striking instance of that remarkable law in his own person. In his *Philosophy of the Inductive Sciences* he continually asserts, that propositions which not only are not self-evident, but which we know to have been discovered gradually, and by great efforts of genius and

patience, have, when once established, appeared so self-evident that, but for historical proof, it would have been impossible to conceive that they had not been recognized from the first by all persons in a sound state of their faculties. “We now despise those who, in the Copernican controversy, could not conceive the apparent motion of the sun on the heliocentric hypothesis; or those who, in opposition to Galileo, thought that a uniform force might be that which generated a velocity proportional to the space; or those who held there was something absurd in Newton’s doctrine of the different refrangibility of differently colored rays; or those who imagined that when elements combine, their sensible qualities must be manifest in the compound; or those who were reluctant to give up the distinction of vegetables into herbs, shrubs, and trees. We can not help thinking that men must have been singularly dull of comprehension, to find a difficulty in admitting what is to us so plain and simple. We have a latent persuasion that we in their place should have been wiser and more clear-sighted; that we should have taken the right side, and given our assent at once to the truth. Yet in reality such a persuasion is a mere delusion. The persons who, in such instances as the above, were on the losing side, were very far, in most cases, from being persons more prejudiced, or stupid, or narrow-minded, than the greater part of mankind now are; and the cause for which they fought was far from being a manifestly bad one, till it had been so decided by the result of the war. . . . So complete has been the victory of truth in most of these instances, that at present we can hardly imagine the struggle to have been necessary. *The very essence of these triumphs is, that they lead us to regard the views we reject as not only false but inconceivable.*”<sup>81</sup> .....

This last proposition is precisely what I contend for; and I ask no more, in order to overthrow the whole theory of its author on the nature of the evidence of axioms. For what is that theory? That the truth of axioms can not have been learned from experience, because their falsity is inconceivable. But Dr. Whewell himself says, that we are continually led, by the natural progress of thought, to regard as inconceivable what our forefathers not only conceived but believed, nay even (he might have added) were unable to conceive the reverse of. He can not intend to justify this mode of thought: he can not mean to say, that we can be right in regarding as inconceivable what others have conceived, and as self-evident



what to others did not appear evident at all. After so complete an admission that inconceivableness is an accidental thing, not inherent in the phenomenon itself, but dependent on the mental history of the person who tries to conceive it, how can he ever call upon us to reject a proposition as impossible on no other ground than its inconceivableness? Yet he not only does so, but has unintentionally afforded some of the most remarkable examples which can be cited of the very illusion which he has himself so clearly pointed out. I select as specimens, his remarks on the evidence of the three laws of motion, and of the atomic theory.

With respect to the laws of motion, Dr. Whewell says: "No one can doubt that, in historical fact, these laws were collected from experience. That such is the case, is no matter of conjecture. We know the time, the persons, the circumstances, belonging to each step of each discovery."<sup>82</sup> After this testimony, to adduce evidence of the fact would be superfluous. And not only were these laws by no means intuitively evident, but some of them were originally paradoxes. The first law was especially so. That a body, once in motion, would continue forever to move in the same direction with undiminished velocity unless acted upon by some new force, was a proposition which mankind found for a long time the greatest difficulty in crediting. It stood opposed to apparent experience of the most familiar kind, which taught that it was the nature of motion to abate gradually, and at last terminate of itself. Yet when once the contrary doctrine was firmly established, mathematicians, as Dr. Whewell observes, speedily began to believe that laws, thus contradictory to first appearances, and which, even after full proof had been obtained, it had required generations to render familiar to the minds of the scientific world, were under "a demonstrable necessity, compelling them to be such as they are and no other;" and he himself, though not venturing "absolutely to pronounce" that *all* these laws "can be rigorously traced to an absolute necessity in the nature of things,"<sup>83</sup> does actually so think of the law just mentioned; of which he says: "Though the discovery of the first law of motion was made, historically speaking, by means of experiment, we have now attained a point of view in which we see that it might have been certainly known to be true, independently of experience."<sup>84</sup> Can there be a more striking exemplification than is here afforded, of the effect of association which we have described? Philosophers, for generations, have

the most extraordinary difficulty in putting certain ideas together; they at last succeed in doing so; and after a sufficient repetition of the process, they first fancy a natural bond between the ideas, then experience a growing difficulty, which at last, by the continuation of the same progress, becomes an impossibility, of severing them from one another. If such be the progress of an experimental conviction of which the date is of yesterday, and which is in opposition to first appearances, how must it fare with those which are conformable to appearances familiar from the first dawn of intelligence, and of the conclusiveness of which, from the earliest records of human thought, no skeptic has suggested even a momentary doubt?

The other instance which I shall quote is a truly astonishing one, and may be called the *reductio ad absurdum* of the theory of inconceivableness. Speaking of the laws of chemical composition, Dr. Whewell says:<sup>85</sup> "That they could never have been clearly understood, and therefore never firmly established, without laborious and exact experiments, is certain; but yet we may venture to say, that being once known, they possess an evidence beyond that of mere experiment. *For how in fact can we conceive combinations, otherwise than as definite in kind and quality?* If we were to suppose each element ready to combine with any other indifferently, and indifferently in any quantity, we should have a world in which all would be confusion and indefiniteness. There would be no fixed kinds of bodies. Salts, and stones, and ores, would approach to and graduate into each other by insensible degrees. Instead of this, we know that the world consists of bodies distinguishable from each other by definite differences, capable of being classified and named, and of having general propositions asserted concerning them. And as *we can not conceive a world in which this should not be the case*, it would appear that we can not conceive a state of things in which the laws of the combination of elements should not be of that definite and measured kind which we have above asserted."

That a philosopher of Dr. Whewell's eminence should gravely assert that we can not conceive a world in which the simple elements should combine in other than definite proportions; that by dint of meditating on a scientific truth, the original discoverer of which was still living, he should

have rendered the association in his own mind between the idea of combination and that of constant proportions so familiar and intimate as to be unable to conceive the one fact without the other; is so signal an instance of the mental law for which I am contending, that one word more in illustration must be superfluous.

In the latest and most complete elaboration of his metaphysical system (the *Philosophy of Discovery*), as well as in the earlier discourse on the *Fundamental Antithesis of Philosophy*, reprinted as an appendix to that work, Dr. Whewell, while very candidly admitting that his language was open to misconception, disclaims having intended to say that mankind in general can *now* perceive the law of definite proportions in chemical combination to be a necessary truth. All he meant was that philosophical chemists in a future generation may possibly see this. "Some truths may be seen by intuition, but yet the intuition of them may be a rare and a difficult attainment."<sup>86</sup> And he explains that the inconceivableness which, according to his theory, is the test of axioms, "depends entirely upon the clearness of the Ideas which the axioms involve. So long as those ideas are vague and indistinct, the contrary of an axiom may be assented to, though it can not be distinctly conceived. It may be assented to, not because it is possible, but because we do not see clearly what is possible. To a person who is only beginning to think geometrically, there may appear nothing absurd in the assertion that two straight lines may inclose a space. And in the same manner, to a person who is only beginning to think of mechanical truths, it may not appear to be absurd, that in mechanical processes, Reaction should be greater or less than Action; and so, again, to a person who has not thought steadily about Substance, it may not appear inconceivable, that by chemical operations, we should generate new matter, or destroy matter which already exists."<sup>87</sup> Necessary truths, therefore, are not those of which we can not conceive, but "those of which we can not *distinctly* conceive, the contrary."<sup>88</sup> So long as our ideas are indistinct altogether, we do not know what is or is not capable of being distinctly conceived; but, by the ever increasing distinctness with which scientific men apprehend the general conceptions of science, they in time come to perceive that there are certain laws of nature, which, though historically and as a matter of fact they were learned from experience, we

can not, now that we know them, distinctly conceive to be other than they are.

The account which I should give of this progress of the scientific mind is somewhat different. After a general law of nature has been ascertained, men's minds do not at first acquire a complete facility of familiarly representing to themselves the phenomena of nature in the character which that law assigns to them. The habit which constitutes the scientific cast of mind, that of conceiving facts of all descriptions conformably to the laws which regulate them — phenomena of all descriptions according to the relations which have been ascertained really to exist between them; this habit, in the case of newly-discovered relations, comes only by degrees. So long as it is not thoroughly formed, no necessary character is ascribed to the new truth. But in time, the philosopher attains a state of mind in which his mental picture of nature spontaneously represents to him all the phenomena with which the new theory is concerned, in the exact light in which the theory regards them: all images or conceptions derived from any other theory, or from the confused view of the facts which is anterior to any theory, having entirely disappeared from his mind. The mode of representing facts which results from the theory, has now become, to his faculties, the only natural mode of conceiving them. It is a known truth, that a prolonged habit of arranging phenomena in certain groups, and explaining them by means of certain principles, makes any other arrangement or explanation of these facts be felt as unnatural: and it may at last become as difficult to him to represent the facts to himself in any other mode, as it often was, originally, to represent them in that mode.

But, further (if the theory is true, as we are supposing it to be), any other mode in which he tries, or in which he was formerly accustomed, to represent the phenomena, will be seen by him to be inconsistent with the facts that suggested the new theory — facts which now form a part of his mental picture of nature. And since a contradiction is always inconceivable, his imagination rejects these false theories, and declares itself incapable of conceiving them. Their inconceivableness to him does not, however, result from any thing in the theories themselves, intrinsically and *a priori* repugnant to the human faculties; it results from

the repugnance between them and a portion of the facts; which facts as long as he did not know, or did not distinctly realize in his mental representations, the false theory did not appear other than conceivable; it becomes inconceivable, merely from the fact that contradictory elements can not be combined in the same conception. Although, then, his real reason for rejecting theories at variance with the true one, is no other than that they clash with his experience, he easily falls into the belief, that he rejects them because they are inconceivable, and that he adopts the true theory because it is self-evident, and does not need the evidence of experience at all.

This I take to be the real and sufficient explanation of the paradoxical truth, on which so much stress is laid by Dr. Whewell, that a scientifically cultivated mind is actually, in virtue of that cultivation, unable to conceive suppositions which a common man conceives without the smallest difficulty. For there is nothing inconceivable in the suppositions themselves; the impossibility is in combining them with facts inconsistent with them, as part of the same mental picture; an obstacle of course only felt by those who know the facts, and are able to perceive the inconsistency. As far as the suppositions themselves are concerned, in the case of many of Dr. Whewell's necessary truths the negative of the axiom is, and probably will be as long as the human race lasts, as easily conceivable as the affirmative. There is no axiom (for example) to which Dr. Whewell ascribes a more thorough character of necessity and self-evidence, than that of the indestructibility of matter. That this is a true law of nature I fully admit; but I imagine there is no human being to whom the opposite supposition is inconceivable — who has any difficulty in imagining a portion of matter annihilated: inasmuch as its apparent annihilation, in no respect distinguishable from real by our unassisted senses, takes place every time that water dries up, or fuel is consumed. Again, the law that bodies combine chemically in definite proportions is undeniably true; but few besides Dr. Whewell have reached the point which he seems personally to have arrived at (though he only dares prophesy similar success to the multitude after the lapse of generations), that of being unable to conceive a world in which the elements are ready to combine with one another “indifferently in any quantity;” nor is it likely that we shall ever rise to this sublime height of inability, so long as all the

mechanical mixtures in our planet, whether solid, liquid, or aëriform, exhibit to our daily observation the very phenomenon declared to be inconceivable.

According to Dr. Whewell, these and similar laws of nature can not be drawn from experience, inasmuch as they are, on the contrary, assumed in the interpretation of experience. Our inability to “add to or diminish the quantity of matter in the world,” is a truth which “neither is nor can be derived from experience; for the experiments which we make to verify it presuppose its truth. . . . When men began to use the balance in chemical analysis, they did not prove by trial, but took for granted, as self-evident, that the weight of the whole must be found in the aggregate weight of the elements.”<sup>89</sup> True, it is assumed; but, I apprehend, no otherwise than as all experimental inquiry assumes provisionally some theory or hypothesis, which is to be finally held true or not, according as the experiments decide. The hypothesis chosen for this purpose will naturally be one which groups together some considerable number of facts already known. The proposition that the material of the world, as estimated by weight, is neither increased nor diminished by any of the processes of nature or art, had many appearances in its favor to begin with. It expressed truly a great number of familiar facts. There were other facts which it had the appearance of conflicting with, and which made its truth, as a universal law of nature, at first doubtful. Because it was doubtful, experiments were devised to verify it. Men assumed its truth hypothetically, and proceeded to try whether, on more careful examination, the phenomena which apparently pointed to a different conclusion, would not be found to be consistent with it. This turned out to be the case; and from that time the doctrine took its place as a universal truth, but as one proved to be such by experience. That the theory itself preceded the proof of its truth — that it had to be conceived before it could be proved, and in order that it might be proved — does not imply that it was self-evident, and did not need proof. Otherwise all the true theories in the sciences are necessary and self-evident; for no one knows better than Dr. Whewell that they all began by being assumed, for the purpose of connecting them by deductions with those facts of experience on which, as evidence, they now confessedly rest.<sup>90</sup>

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<sup>68</sup> It is justly remarked by Professor Bain (*Logic*, ii., 134) that the word Hypothesis is here used in a somewhat peculiar sense. An hypothesis, in science, usually means a supposition not proved to be true, but surmised to be so, because if true it would account for certain known facts; and the final result of the speculation may be to prove its truth. The hypotheses spoken of in the text are of a different character; they are known not to be literally true, while as much of them as is true is not hypothetical, but certain. The two cases, however, resemble in the circumstance that in both we reason, not from a truth, but from an assumption, and the truth therefore of the conclusions is conditional, not categorical. This suffices to justify, in point of logical propriety, Stewart's use of the term. It is of course needful to bear in mind that the hypothetical element in the definitions of geometry is the assumption that what is very nearly true is exactly so. This unreal exactitude might be called a fiction, as properly as an hypothesis; but that appellation, still more than the other, would fail to point out the close relation which exists between the fictitious point or line and the points and lines of which we have experience.

<sup>69</sup> *Mechanical Euclid*, pp. 149 *et seqq.*

<sup>70</sup> We might, it is true, insert this property into the definition of parallel lines, framing the definition so as to require, both that when produced indefinitely they shall never meet, and also that any straight line which intersects one of them shall, if prolonged, meet the other. But by doing this we by no means get rid of the assumption; we are still obliged to take for granted the geometrical truth, that all straight lines in the same plane, which have the former of these properties, have also the latter. For if it were possible that they should not, that is, if any straight lines in the same plane, other than those which are parallel according to the definition, had the property of never meeting although indefinitely produced, the demonstrations of the subsequent portions of the theory of parallels could not be maintained.

<sup>71</sup> Some persons find themselves prevented from believing that the axiom, Two straight lines can not inclose a space, could ever become known to us through experience, by a difficulty which may be stated as follows: If the straight lines spoken of are those contemplated in the definition — lines absolutely without breadth and absolutely straight — that such are incapable of inclosing a space is not proved by experience, for lines such as these do not present themselves in our experience. If, on the other hand, the lines meant are such straight lines as we do meet with in experience, lines straight enough for practical purposes, but in reality slightly zigzag, and with some, however trifling, breadth; as applied to these lines the axiom is not true, for two of them may, and sometimes do, inclose a small portion of space. In neither case, therefore, does experience prove the axiom.

Those who employ this argument to show that geometrical axioms can not be proved by induction, show themselves unfamiliar with a common and perfectly valid mode of inductive proof; proof by approximation. Though experience furnishes us with no lines so unimpeachably straight that two of them are incapable of inclosing the smallest space, it presents us with gradations of lines possessing less and less either of breadth or of flexure, of which series the straight line of the definition is the ideal limit. And observation shows that just as much, and as nearly, as the straight lines of experience approximate to having no breadth or flexure, so much and so nearly does the space-inclosing power of any two of them approach to zero. The inference that if they had no breadth or flexure at all, they would inclose no space at all, is a correct inductive inference from these facts, conformable to one of the four Inductive Methods hereinafter characterized, the Method of Concomitant Variations; of which the mathematical Doctrine of Limits presents the extreme case.

<sup>72</sup> Whewell's *History of Scientific Ideas*, i., 140.

<sup>73</sup> Dr. Whewell (*Philosophy of Discovery*, p. 289) thinks it unreasonable to contend that we know by experience, that our idea of a line exactly resembles a real line. "It does not appear," he says, "how we can compare our ideas with the realities, since we know the realities only by our ideas." We know the realities by our sensations. Dr. Whewell surely does not hold the "doctrine of perception by means of ideas," which Reid gave himself so much trouble to refute. If Dr. Whewell doubts whether we compare our ideas with the corresponding sensations, and assume that they resemble, let me ask on what evidence do we judge that a portrait of a person not present is like the original. Surely because it is like our idea, or mental image of the person, and because our idea is like the man himself.

Dr. Whewell also says, that it does not appear why this resemblance of ideas to the sensations of which they are copies, should be spoken of as if it were a peculiarity of one class of ideas, those of space. My reply is, that I do not so speak of it. The peculiarity I contend for is only one of degree. All our ideas of sensation of course resemble the corresponding sensations, but they do so with very different degrees of exactness and of reliability. No one, I presume, can recall in imagination a color or an odor with the same distinctness and accuracy with which almost every one can mentally reproduce an image of a straight line or a triangle. To the extent, however, of their capabilities of accuracy, our recollections of colors or of odors may serve as subjects of experimentation, as well as those of lines and spaces, and may yield conclusions which will be true of their external prototypes. A person in whom, either from natural gift or from cultivation, the impressions of color were peculiarly vivid and distinct, if asked which of two blue flowers was of the darkest tinge, though he might never have compared the two, or even looked at them together, might be able to give a confident answer on the faith of his distinct recollection of the colors; that is, he might examine



his mental pictures, and find there a property of the outward objects. But in hardly any case except that of simple geometrical forms, could this be done by mankind generally, with a degree of assurance equal to that which is given by a contemplation of the objects themselves. Persons differ most widely in the precision of their recollection, even of forms: one person, when he has looked any one in the face for half a minute, can draw an accurate likeness of him from memory; another may have seen him every day for six months, and hardly know whether his nose is long or short. But every body has a perfectly distinct mental image of a straight line, a circle, or a rectangle. And every one concludes confidently from these mental images to the corresponding outward things. The truth is, that we may, and continually do, study nature in our recollections, when the objects themselves are absent; and in the case of geometrical forms we can perfectly, but in most other cases only imperfectly, trust our recollections.

<sup>74</sup> *Logic*, i., 222.

<sup>75</sup> *Ibid.*, 226.

<sup>76</sup> *History of Scientific Ideas*, i., 65–67.

<sup>77</sup> *Ibid.*, i., 60.

<sup>78</sup> *Ibid.*, 58, 59.

<sup>79</sup> “If all mankind had spoken one language, we can not doubt that there would have been a powerful, perhaps a universal, school of philosophers, who would have believed in the inherent connection between names and things, who would have taken the sound *man* to be the mode of agitating the air which is essentially communicative of the ideas of reason, cookery, bipedality, etc.” — De Morgan, *Formal Logic*, p. 246.

<sup>80</sup> It would be difficult to name a man more remarkable at once for the greatness and the wide range of his mental accomplishments, than Leibnitz. Yet this eminent man gave as a reason for rejecting Newton’s scheme of the solar system, that God *could not* make a body revolve round a distant centre, unless either by some impelling mechanism, or by miracle: “Tout ce qui n’est pas explicable,” says he in a letter to the Abbé Conti, “par la nature des créatures, est miraculeux. Il ne suffit pas de dire: Dieu a fait une telle loi de nature; donc la chose est naturelle. Il faut que la loi soit exécutable par les natures des créatures. Si Dieu donnait cette loi, par exemple, à un corps libre, de tourner à l’entour d’un certain centre, *il faudrait ou qu’il y joignît d’autres corps qui par leur impulsion l’obligeassent de rester toujours dans son orbite circulaire, ou qu’il mît un ange à ses trousses, ou enfin il faudrait qu’il y concourût extraordinairement*; car naturellement il s’écartera par la tangente.” — *Works of Leibnitz*, ed. Dutens, iii., 446.

<sup>81</sup> *Novum Organum Renovatum*, pp. 32, 33.

<sup>82</sup> *History of Scientific Ideas*, i., 264.

<sup>83</sup> *Ibid.*, i., 263.

<sup>84</sup> *Ibid.*, 240.

<sup>85</sup> *Hist. Scientific Ideas*, ii., 25, 26.

<sup>86</sup> *Phil. of Disc.*, p. 339.

<sup>87</sup> *Phil. of Disc.*, p. 338.

<sup>88</sup> *Ibid.*, p. 463.

<sup>89</sup> *Phil. of Disc.*, pp. 472, 473.

<sup>90</sup> The *Quarterly Review* for June, 1841, contained an article of great ability on Dr. Whewell's two great works (since acknowledged and reprinted in Sir John Herschel's *Essays*) which maintains, on the subject of axioms, the doctrine advanced in the text, that they are generalizations from experience, and supports that opinion by a line of argument strikingly coinciding with mine. When I state that the whole of the present chapter (except the last four pages, added in the fifth edition) was written before I had seen the article (the greater part, indeed, before it was published), it is not my object to occupy the reader's attention with a matter so unimportant as the degree of originality which may or may not belong to any portion of my own speculations, but to obtain for an opinion which is opposed to reigning doctrines, the recommendation derived from a striking concurrence of sentiment between two inquirers entirely independent of one another. I embrace the opportunity of citing from a writer of the extensive acquirements in physical and metaphysical knowledge and the capacity of systematic thought which the article evinces, passages so remarkably in unison with my own views as the following:

"The truths of geometry are summed up and embodied in its definitions and axioms. . . . Let us turn to the axioms, and what do we find? A string of propositions concerning magnitude in the abstract, which are equally true of space, time, force, number, and every other magnitude susceptible of aggregation and subdivision. Such propositions, where they are not mere definitions, as some of them are, carry their inductive origin on the face of their enunciation. . . . Those which declare that two straight lines can not inclose a space, and that two straight lines which cut one another can not both be parallel to a third, are in reality the only ones which express characteristic properties of space, and these it will be worth while to consider more nearly. Now the only clear notion we can form of straightness is uniformity of direction, for space in its ultimate analysis is nothing but an

assemblage of distances and directions. And (not to dwell on the notion of continued contemplation, *i.e.*, mental experience, as included in the very idea of uniformity; nor on that of transfer of the contemplating being from point to point, and of experience, during such transfer, of the homogeneity of the interval passed over) we can not even propose the proposition in an intelligible form to any one whose experience ever since he was born has not assured him of the fact. The unity of direction, or that we can not march from a given point by more than one path direct to the same object, is matter of practical experience long before it can by possibility become matter of abstract thought. *We can not attempt mentally to exemplify the conditions of the assertion in an imaginary case opposed to it, without violating our habitual recollection of this experience, and defacing our mental picture of space as grounded on it.* What but experience, we may ask, can possibly assure us of the homogeneity of the parts of distance, time, force, and measurable aggregates in general, on which the truth of the other axioms depends? As regards the latter axiom, after what has been said it must be clear that the very same course of remarks equally applies to its case, and that its truth is quite as much forced on the mind as that of the former by daily and hourly experience, . . . *including always, be it observed, in our notion of experience, that which is gained by contemplation of the inward picture which the mind forms to itself in any proposed case, or which it arbitrarily selects as an example — such picture, in virtue of the extreme simplicity of these primary relations, being called up by the imagination with as much vividness and clearness as could be done by any external impression, which is the only meaning we can attach to the word intuition, as applied to such relations.*"

And again, of the axioms of mechanics: "As we admit no such propositions, other than as truths inductively collected from observation, even in geometry itself, it can hardly be expected that, in a science of obviously contingent relations, we should acquiesce in a contrary view. Let us take one of these axioms and examine its evidence: for instance, that equal forces perpendicularly applied at the opposite ends of equal arms of a straight lever will balance each other. What but experience, we may ask, in the first place, can possibly inform us that a force so applied will have any tendency to turn the lever on its centre at all? or that force can be so transmitted along a rigid line perpendicular to its direction, as to act elsewhere in space than along its own line of action? Surely this is so far from being self-evident that it has even a paradoxical appearance, which is only to be removed by giving our lever thickness, material composition, and molecular powers. Again, we conclude, that the two forces, being equal and applied under precisely similar circumstances, must, if they exert any effort at all to turn the lever, exert equal and opposite efforts: but what *a priori* reasoning can possibly assure us that they *do* act under precisely similar circumstances? that points which differ in place *are* similarly circumstanced as regards the exertion of force? that universal space may not have relations to universal force — or, at all events, that the organization of the

material universe may not be such as to place that portion of space occupied by it in such relations to the forces exerted in it, as may invalidate the absolute similarity of circumstances assumed? Or we may argue, what have we to do with the notion of angular movement in the lever at all? The case is one of rest, and of quiescent destruction of force by force. Now how is this destruction effected? Assuredly by the counter-pressure which supports the fulcrum. But would not this destruction equally arise, and by the same amount of counteracting force, if each force simply pressed its own half of the lever against the fulcrum? And what can assure us that it is not so, except removal of one or other force, and consequent tilting of the lever? The other fundamental axiom of statics, that the pressure on the point of support is the sum of the weights . . . is merely a scientific transformation and more refined mode of stating a coarse and obvious result of universal experience, viz., that the weight of a rigid body is the same, handle it or suspend it in what position or by what point we will, and that whatever sustains it sustains its total weight. Assuredly, as Mr. Whewell justly remarks, 'No one probably ever made a trial for the purpose of showing that the pressure on the support is equal to the sum of the weights.' . . . But it is precisely because in every action of his life from earliest infancy he has been continually making the trial, and seeing it made by every other living being about him, that he never dreams of staking its result on one additional attempt made with scientific accuracy. This would be as if a man should resolve to decide by experiment whether his eyes were useful for the purpose of seeing, by hermetically sealing himself up for half an hour in a metal case."

On the "paradox of universal propositions obtained by experience," the same writer says: "If there be necessary and universal truths expressible in propositions of axiomatic simplicity and obviousness, and having for their subject-matter the elements of all our experience and all our knowledge, surely these are the truths which, if experience suggest to us any truths at all, it ought to suggest most readily, clearly, and unceasingly. If it were a truth, universal and necessary, that a net is spread over the whole surface of every planetary globe, we should not travel far on our own without getting entangled in its meshes, and making the necessity of some means of extrication an axiom of locomotion. . . . There is, therefore, nothing paradoxical, but the reverse, in our being led by observation to a recognition of such truths, as *general* propositions, co-extensive at least with all human experience. That they pervade all the objects of experience, must insure their continual suggestion *by* experience; that they are true, must insure that consistency of suggestion, that iteration of uncontradicted assertion, which commands implicit assent, and removes all occasion of exception; that they are simple, and admit of no misunderstanding, must secure their admission by every mind."

"A truth, necessary and universal, relative to any object of our knowledge, must verify itself in every instance where that object is before our

contemplation, and if at the same time it be simple and intelligible, its verification must be obvious. *The sentiment of such a truth can not, therefore, but be present to our minds whenever that object is contemplated, and must therefore make a part of the mental picture or idea of that object which we may on any occasion summon before our imagination. . . . All propositions, therefore, become not only untrue but inconceivable, if . . . axioms be violated in their enunciation.*"

Another eminent mathematician had previously sanctioned by his authority the doctrine of the origin of geometrical axioms in experience. "Geometry is thus founded likewise on observation; but of a kind so familiar and obvious, that the primary notions which it furnishes might seem intuitive." — *Sir John Leslie*, quoted by Sir William Hamilton, *Discourses*, etc., p. 272.



# CHAPTER VI.

## THE SAME SUBJECT CONTINUED.

§ 1. In the examination which formed the subject of the last chapter, into the nature of the evidence of those deductive sciences which are commonly represented to be systems of necessary truth, we have been led to the following conclusions. The results of those sciences are indeed necessary, in the sense of necessarily following from certain first principles, commonly called axioms and definitions; that is, of being certainly true if those axioms and definitions are so; for the word necessity, even in this acceptation of it, means no more than certainty. But their claim to the character of necessity in any sense beyond this, as implying an evidence independent of and superior to observation and experience, must depend on the previous establishment of such a claim in favor of the definitions and axioms themselves. With regard to axioms, we found that, considered as experimental truths, they rest on superabundant and obvious evidence. We inquired, whether, since this is the case, it be imperative to suppose any other evidence of those truths than experimental evidence, any other origin for our belief of them than an experimental origin. We decided, that the burden of proof lies with those who maintain the affirmative, and we examined, at considerable length, such arguments as they have produced. The examination having led to the rejection of those arguments, we have thought ourselves warranted in concluding that axioms are but a class, the most universal class, of inductions from experience; the simplest and easiest cases of generalization from the facts furnished to us by our senses or by our internal consciousness.

While the axioms of demonstrative sciences thus appeared to be experimental truths, the definitions, as they are incorrectly called, in those sciences, were found by us to be generalizations from experience which are not even, accurately speaking, truths; being propositions in which, while we assert of some kind of object, some property or properties which observation shows to belong to it, we at the same time deny that it possesses any other properties, though in truth other properties do in

every individual instance accompany, and in almost all instances modify, the property thus exclusively predicated. The denial, therefore, is a mere fiction, or supposition, made for the purpose of excluding the consideration of those modifying circumstances, when their influence is of too trifling amount to be worth considering, or adjourning it, when important to a more convenient moment.

From these considerations it would appear that Deductive or Demonstrative Sciences are all, without exception, Inductive Sciences; that their evidence is that of experience; but that they are also, in virtue of the peculiar character of one indispensable portion of the general formulæ according to which their inductions are made, Hypothetical Sciences. Their conclusions are only true on certain suppositions, which are, or ought to be, approximations to the truth, but are seldom, if ever, exactly true; and to this hypothetical character is to be ascribed the peculiar certainty, which is supposed to be inherent in demonstration.

What we have now asserted, however, cannot be received as universally true of Deductive or Demonstrative Sciences, until verified by being applied to the most remarkable of all those sciences, that of Numbers; the theory of the Calculus; Arithmetic and Algebra. It is harder to believe of the doctrines of this science than of any other, either that they are not truths *a priori*, but experimental truths, or that their peculiar certainty is owing to their being not absolute but only conditional truths. This, therefore, is a case which merits examination apart; and the more so, because on this subject we have a double set of doctrines to contend with; that of the *a priori* philosophers on one side; and on the other, a theory the most opposite to theirs, which was at one time very generally received, and is still far from being altogether exploded, among metaphysicians.

§ 2. This theory attempts to solve the difficulty apparently inherent in the case, by representing the propositions of the science of numbers as merely verbal, and its processes as simple transformations of language, substitutions of one expression for another. The proposition, Two and one is equal to three, according to these writers, is not a truth, is not the assertion of a really existing fact, but a definition of the word three; a statement that mankind have agreed to use the name three as a sign exactly equivalent to two and one; to call by the former name whatever is

called by the other more clumsy phrase. According to this doctrine, the longest process in algebra is but a succession of changes in terminology, by which equivalent expressions are substituted one for another; a series of translations of the same fact, from one into another language; though how, after such a series of translations, the fact itself comes out changed (as when we demonstrate a new geometrical theorem by algebra), they have not explained; and it is a difficulty which is fatal to their theory.

It must be acknowledged that there are peculiarities in the processes of arithmetic and algebra which render the theory in question very plausible, and have not unnaturally made those sciences the stronghold of Nominalism. The doctrine that we can discover facts, detect the hidden processes of nature, by an artful manipulation of language, is so contrary to common sense, that a person must have made some advances in philosophy to believe it: men fly to so paradoxical a belief to avoid, as they think, some even greater difficulty, which the vulgar do not see. What has led many to believe that reasoning is a mere verbal process, is, that no other theory seemed reconcilable with the nature of the Science of Numbers. For we do not carry any ideas along with us when we use the symbols of arithmetic or of algebra. In a geometrical demonstration we have a mental diagram, if not one on paper;  $AB$ ,  $AC$ , are present to our imagination as lines, intersecting other lines, forming an angle with one another, and the like; but not so  $a$  and  $b$ . These may represent lines or any other magnitudes, but those magnitudes are never thought of; nothing is realized in our imagination but  $a$  and  $b$ . The ideas which, on the particular occasion, they happen to represent, are banished from the mind during every intermediate part of the process, between the beginning, when the premises are translated from things into signs, and the end, when the conclusion is translated back from signs into things. Nothing, then, being in the reasoner's mind but the symbols, what can seem more inadmissible than to contend that the reasoning process has to do with any thing more? We seem to have come to one of Bacon's Prerogative Instances; an *experimentum crucis* on the nature of reasoning itself.

Nevertheless, it will appear on consideration, that this apparently so decisive instance is no instance at all; that there is in every step of an arithmetical or algebraical calculation a real induction, a real inference of



facts from facts; and that what disguises the induction is simply its comprehensive nature, and the consequent extreme generality of the language. All numbers must be numbers of something: there are no such things as numbers in the abstract. *Ten* must mean ten bodies, or ten sounds, or ten beatings of the pulse. But though numbers must be numbers of something, they may be numbers of any thing. Propositions, therefore, concerning numbers, have the remarkable peculiarity that they are propositions concerning all things whatever; all objects, all existences of every kind, known to our experience. All things possess quantity; consist of parts which can be numbered; and in that character possess all the properties which are called properties of numbers. That half of four is two, must be true whatever the word four represents, whether four hours, four miles, or four pounds weight. We need only conceive a thing divided into four equal parts (and all things may be conceived as so divided), to be able to predicate of it every property of the number four, that is, every arithmetical proposition in which the number four stands on one side of the equation. Algebra extends the generalization still farther: every number represents that particular number of all things without distinction, but every algebraical symbol does more, it represents all numbers without distinction. As soon as we conceive a thing divided into equal parts, without knowing into what number of parts, we may call it  $a$  or  $x$ , and apply to it, without danger of error, every algebraical formula in the books. The proposition,  $2(a + b) = 2a + 2b$ , is a truth co-extensive with all nature. Since then algebraical truths are true of all things whatever, and not, like those of geometry, true of lines only or of angles only, it is no wonder that the symbols should not excite in our minds ideas of any things in particular. When we demonstrate the forty-seventh proposition of Euclid, it is not necessary that the words should raise in us an image of all right-angled triangles, but only of some one right-angled triangle: so in algebra we need not, under the symbol  $a$ , picture to ourselves all things whatever, but only some one thing; why not, then, the letter itself? The mere written characters,  $a$ ,  $b$ ,  $x$ ,  $y$ ,  $z$ , serve as well for representatives of Things in general, as any more complex and apparently more concrete conception. That we are conscious of them, however, in their character of things, and not of mere signs, is evident from the fact that our whole process of reasoning is carried on by predicating of them

the properties of things. In resolving an algebraic equation, by what rules do we proceed? By applying at each step to  $a$ ,  $b$ , and  $x$ , the proposition that equals added to equals make equals; that equals taken from equals leave equals; and other propositions founded on these two. These are not properties of language, or of signs as such, but of magnitudes, which is as much as to say, of all things. The inferences, therefore, which are successively drawn, are inferences concerning things, not symbols; though as any Things whatever will serve the turn, there is no necessity for keeping the idea of the Thing at all distinct, and consequently the process of thought may, in this case, be allowed without danger to do what all processes of thought, when they have been performed often, will do if permitted, namely, to become entirely mechanical. Hence the general language of algebra comes to be used familiarly without exciting ideas, as all other general language is prone to do from mere habit, though in no other case than this can it be done with complete safety. But when we look back to see from whence the probative force of the process is derived, we find that at every single step, unless we suppose ourselves to be thinking and talking of the things, and not the mere symbols, the evidence fails.

There is another circumstance, which, still more than that which we have now mentioned, gives plausibility to the notion that the propositions of arithmetic and algebra are merely verbal. That is, that when considered as propositions respecting Things, they all have the appearance of being identical propositions. The assertion, Two and one is equal to three, considered as an assertion respecting objects, as for instance, "Two pebbles and one pebble are equal to three pebbles," does not affirm equality between two collections of pebbles, but absolute identity. It affirms that if we put one pebble to two pebbles, those very pebbles are three. The objects, therefore, being the very same, and the mere assertion that "objects are themselves" being insignificant, it seems but natural to consider the proposition, Two and one is equal to three, as asserting mere identity of signification between the two names.

This, however, though it looks so plausible, will not bear examination. The expression "two pebbles and one pebble," and the expression "three pebbles," stand indeed for the same aggregation of objects, but they by no means stand for the same physical fact. They are names of the same

objects, but of those objects in two different states: though they *denote* the same things, their *connotation* is different. Three pebbles in two separate parcels, and three pebbles in one parcel, do not make the same impression on our senses; and the assertion that the very same pebbles may by an alteration of place and arrangement be made to produce either the one set of sensations or the other, though a very familiar proposition, is not an identical one. It is a truth known to us by early and constant experience: an inductive truth; and such truths are the foundation of the science of Number. The fundamental truths of that science all rest on the evidence of sense; they are proved by showing to our eyes and our fingers that any given number of objects — ten balls, for example — may by separation and re-arrangement exhibit to our senses all the different sets of numbers the sums of which is equal to ten. All the improved methods of teaching arithmetic to children proceed on a knowledge of this fact. All who wish to carry the child's *mind* along with them in learning arithmetic; all who wish to teach numbers, and not mere ciphers — now teach it through the evidence of the senses, in the manner we have described.

We may, if we please, call the proposition, “Three is two and one,” a definition of the number three, and assert that arithmetic, as it has been asserted that geometry, is a science founded on definitions. But they are definitions in the geometrical sense, not the logical; asserting not the meaning of a term only, but along with it an observed matter of fact. The proposition, “A circle is a figure bounded by a line which has all its points equally distant from a point within it,” is called the definition of a circle; but the proposition from which so many consequences follow, and which is really a first principle in geometry, is, that figures answering to this description exist. And thus we may call “Three is two and one” a definition of three; but the calculations which depend on that proposition do not follow from the definition itself, but from an arithmetical theorem presupposed in it, namely, that collections of objects exist, which while they impress the senses thus, [Symbol: three circles, two above one], may be separated into two parts, thus, [Symbol: two circles, a space, and a third circle]. This proposition being granted, we term all such parcels Threes, after which the enunciation of the above-mentioned physical fact will serve also for a definition of the word Three.

The Science of Number is thus no exception to the conclusion we previously arrived at, that the processes even of deductive sciences are altogether inductive, and that their first principles are generalizations from experience. It remains to be examined whether this science resembles geometry in the further circumstance, that some of its inductions are not exactly true; and that the peculiar certainty ascribed to it, on account of which its propositions are called Necessary Truths, is fictitious and hypothetical, being true in no other sense than that those propositions legitimately follow from the hypothesis of the truth of premises which are avowedly mere approximations to truth.

§ 3. The inductions of arithmetic are of two sorts: first, those which we have just expounded, such as One and one are two, Two and one are three, etc., which may be called the definitions of the various numbers, in the improper or geometrical sense of the word Definition; and secondly, the two following axioms: The sums of equals are equal, The differences of equals are equal. These two are sufficient; for the corresponding propositions respecting unequals may be proved from these by a *reductio ad absurdum*.

These axioms, and likewise the so-called definitions, are, as has already been said, results of induction; true of all objects whatever, and, as it may seem, exactly true, without the hypothetical assumption of unqualified truth where an approximation to it is all that exists. The conclusions, therefore, it will naturally be inferred, are exactly true, and the science of number is an exception to other demonstrative sciences in this, that the categorical certainty which is predicable of its demonstrations is independent of all hypothesis.

On more accurate investigation, however, it will be found that, even in this case, there is one hypothetical element in the ratiocination. In all propositions concerning numbers, a condition is implied, without which none of them would be true; and that condition is an assumption which may be false. The condition is, that  $1=1$ ; that all the numbers are numbers of the same or of equal units. Let this be doubtful, and not one of the propositions of arithmetic will hold true. How can we know that one pound and one pound make two pounds, if one of the pounds may be troy, and the other avoirdupois? They may not make two pounds of either, or of

any weight. How can we know that a forty-horse power is always equal to itself, unless we assume that all horses are of equal strength? It is certain that 1 is always equal in *number* to 1; and where the mere number of objects, or of the parts of an object, without supposing them to be equivalent in any other respect, is all that is material, the conclusions of arithmetic, so far as they go to that alone, are true without mixture of hypothesis. There are such cases in statistics; as, for instance, an inquiry into the amount of the population of any country. It is indifferent to that inquiry whether they are grown people or children, strong or weak, tall or short; the only thing we want to ascertain is their number. But whenever, from equality or inequality of number, equality or inequality in any other respect is to be inferred, arithmetic carried into such inquiries becomes as hypothetical a science as geometry. All units must be assumed to be equal in that other respect; and this is never accurately true, for one actual pound weight is not exactly equal to another, nor one measured mile's length to another; a nicer balance, or more accurate measuring instruments, would always detect some difference.

What is commonly called mathematical certainty, therefore, which comprises the twofold conception of unconditional truth and perfect accuracy, is not an attribute of all mathematical truths, but of those only which relate to pure Number, as distinguished from Quantity in the more enlarged sense; and only so long as we abstain from supposing that the numbers are a precise index to actual quantities. The certainty usually ascribed to the conclusions of geometry, and even to those of mechanics, is nothing whatever but certainty of inference. We can have full assurance of particular results under particular suppositions, but we can not have the same assurance that these suppositions are accurately true, nor that they include all the data which may exercise an influence over the result in any given instance.

§ 4. It appears, therefore, that the method of all Deductive Sciences is hypothetical. They proceed by tracing the consequences of certain assumptions; leaving for separate consideration whether the assumptions are true or not, and if not exactly true, whether they are a sufficiently near approximation to the truth. The reason is obvious. Since it is only in questions of pure number that the assumptions are exactly true, and even there only so long as no conclusions except purely numerical ones are to be

founded on them; it must, in all other cases of deductive investigation, form a part of the inquiry, to determine how much the assumptions want of being exactly true in the case in hand. This is generally a matter of observation, to be repeated in every fresh case; or if it has to be settled by argument instead of observation, may require in every different case different evidence, and present every degree of difficulty, from the lowest to the highest. But the other part of the process — namely, to determine what else may be concluded if we find, and in proportion as we find, the assumptions to be true — may be performed once for all, and the results held ready to be employed as the occasions turn up for use. We thus do all beforehand that can be so done, and leave the least possible work to be performed when cases arise and press for a decision. This inquiry into the inferences which can be drawn from assumptions, is what properly constitutes Demonstrative Science.

It is of course quite as practicable to arrive at new conclusions from facts assumed, as from facts observed; from fictitious, as from real, inductions. Deduction, as we have seen, consists of a series of inferences in this form —  $a$  is a mark of  $b$ ,  $b$  of  $c$ ,  $c$  of  $d$ , therefore  $a$  is a mark of  $d$ , which last may be a truth inaccessible to direct observation. In like manner it is allowable to say, *suppose* that  $a$  were a mark of  $b$ ,  $b$  of  $c$ , and  $c$  of  $d$ ,  $a$  would be a mark of  $d$ , which last conclusion was not thought of by those who laid down the premises. A system of propositions as complicated as geometry might be deduced from assumptions which are false; as was done by Ptolemy, Descartes, and others, in their attempts to explain synthetically the phenomena of the solar system on the supposition that the apparent motions of the heavenly bodies were the real motions, or were produced in some way more or less different from the true one. Sometimes the same thing is knowingly done, for the purpose of showing the falsity of the assumption; which is called a *reductio ad absurdum*. In such cases, the reasoning is as follows:  $a$  is a mark of  $b$ , and  $b$  of  $c$ ; now if  $c$  were also a mark of  $d$ ,  $a$  would be a mark of  $d$ ; but  $d$  is known to be a mark of the absence of  $a$ ; consequently  $a$  would be a mark of its own absence, which is a contradiction; therefore  $c$  is not a mark of  $d$ .

§ 5. It has even been held by some writers, that all ratiocination rests in the last resort on a *reductio ad absurdum*; since the way to enforce

assent to it, in case of obscurity, would be to show that if the conclusion be denied we must deny some one at least of the premises, which, as they are all supposed true, would be a contradiction. And in accordance with this, many have thought that the peculiar nature of the evidence of ratiocination consisted in the impossibility of admitting the premises and rejecting the conclusion without a contradiction in terms. This theory, however, is inadmissible as an explanation of the grounds on which ratiocination itself rests. If any one denies the conclusion notwithstanding his admission of the premises, he is not involved in any direct and express contradiction until he is compelled to deny some premise; and he can only be forced to do this by a *reductio ad absurdum*, that is, by another ratiocination: now, if he denies the validity of the reasoning process itself, he can no more be forced to assent to the second syllogism than to the first. In truth, therefore, no one is ever forced to a contradiction in terms: he can only be forced to a contradiction (or rather an infringement) of the fundamental maxim of ratiocination, namely, that whatever has a mark, has what it is a mark of; or (in the case of universal propositions), that whatever is a mark of any thing, is a mark of whatever else that thing is a mark of. For in the case of every correct argument, as soon as thrown into the syllogistic form, it is evident without the aid of any other syllogism, that he who, admitting the premises, fails to draw the conclusion, does not conform to the above axiom.

We have now proceeded as far in the theory of Deduction as we can advance in the present stage of our inquiry. Any further insight into the subject requires that the foundation shall have been laid of the philosophic theory of Induction itself; in which theory that of Deduction, as a mode of Induction, which we have now shown it to be, will assume spontaneously the place which belongs to it, and will receive its share of whatever light may be thrown upon the great intellectual operation of which it forms so important a part.



# CHAPTER VII.

## EXAMINATION OF SOME OPINIONS OPPOSED TO THE PRECEDING DOCTRINES.

§ 1. Polemical discussion is foreign to the plan of this work. But an opinion which stands in need of much illustration, can often receive it most effectually, and least tediously, in the form of a defense against objections. And on subjects concerning which speculative minds are still divided, a writer does but half his duty by stating his own doctrine, if he does not also examine, and to the best of his ability judge, those of other thinkers.

In the dissertation which Mr. Herbert Spencer has prefixed to his, in many respects, highly philosophical treatise on the Mind,<sup>91</sup> he criticises some of the doctrines of the two preceding chapters, and propounds a theory of his own on the subject of first principles. Mr. Spencer agrees with me in considering axioms to be “simply our earliest inductions from experience.” But he differs from me “widely as to the worth of the test of inconceivableness.” He thinks that it is the ultimate test of all beliefs. He arrives at this conclusion by two steps. First, we never can have any stronger ground for believing any thing, than that the belief of it “invariably exists.” Whenever any fact or proposition is invariably believed; that is, if I understand Mr. Spencer rightly, believed by all persons, and by one’s self at all times; it is entitled to be received as one of the primitive truths, or original premises of our knowledge. Secondly, the criterion by which we decide whether any thing is invariably believed to be true, is our inability to conceive it as false. “The inconceivability of its negation is the test by which we ascertain whether a given belief invariably exists or not.” “For our primary beliefs, the fact of invariable existence, tested by an abortive effort to cause their non-existence, is the only reason assignable.” He thinks this the sole ground of our belief in our own sensations. If I believe that I feel cold, I only receive this as true because I can not conceive that I am not feeling cold. “While the proposition remains true, the negation of it remains inconceivable.” There are numerous other beliefs which Mr. Spencer considers to rest on the same basis; being



chiefly those, or a part of those, which the metaphysicians of the Reid and Stewart school consider as truths of immediate intuition. That there exists a material world; that this is the very world which we directly and immediately perceive, and not merely the hidden cause of our perceptions; that Space, Time, Force, Extension, Figure, are not modes of our consciousness, but objective realities; are regarded by Mr. Spencer as truths known by the inconceivableness of their negatives. We can not, he says, by any effort, conceive these objects of thought as mere states of our mind; as not having an existence external to us. Their real existence is, therefore, as certain as our sensations themselves. The truths which are the subject of direct knowledge, being, according to this doctrine, known to be truths only by the inconceivability of their negation; and the truths which are not the object of direct knowledge, being known as inferences from those which are; and those inferences being believed to follow from the premises, only because we can not conceive them not to follow; inconceivability is thus the ultimate ground of all assured beliefs.

Thus far, there is no very wide difference between Mr. Spencer's doctrine and the ordinary one of philosophers of the intuitive school, from Descartes to Dr. Whewell; but at this point Mr. Spencer diverges from them. For he does not, like them, set up the test of inconceivability as infallible. On the contrary, he holds that it may be fallacious, not from any fault in the test itself, but because "men have mistaken for inconceivable things, some things which were not inconceivable." And he himself, in this very book, denies not a few propositions usually regarded as among the most marked examples of truths whose negations are inconceivable. But occasional failure, he says, is incident to all tests. If such failure vitiates "the test of inconceivableness," it "must similarly vitiate all tests whatever. We consider an inference logically drawn from established premises to be true. Yet in millions of cases men have been wrong in the inferences they have thought thus drawn. Do we therefore argue that it is absurd to consider an inference true on no other ground than that it is logically drawn from established premises? No: we say that though men may have taken for logical inferences, inferences that were not logical, there nevertheless *are* logical inferences, and that we are justified in assuming the truth of what seem to us such, until better instructed. Similarly, though men may have thought some things inconceivable which were not so, there

may still be inconceivable things; and the inability to conceive the negation of a thing, may still be our best warrant for believing it. . . . Though occasionally it may prove an imperfect test, yet, as our most certain beliefs are capable of no better, to doubt any one belief because we have no higher guarantee for it, is really to doubt all beliefs." Mr. Spencer's doctrine, therefore, does not erect the curable, but only the incurable limitations of the human conceptive faculty, into laws of the outward universe.

§ 2. The doctrine, that "a belief which is proved by the inconceivableness of its negation to invariably exist, is true," Mr. Spencer enforces by two arguments, one of which may be distinguished as positive, and the other as negative.

The positive argument is, that every such belief represents the aggregate of all past experience. "Conceding the entire truth of" the "position, that during any phase of human progress, the ability or inability to form a specific conception wholly depends on the experiences men have had; and that, by a widening of their experiences, they may, by and by, be enabled to conceive things before inconceivable to them, it may still be argued that as, at any time, the best warrant men can have for a belief is the perfect agreement of all pre-existing experience in support of it, it follows that, at any time, the inconceivableness of its negation is the deepest test any belief admits of. . . . Objective facts are ever impressing themselves upon us; our experience is a register of these objective facts; and the inconceivableness of a thing implies that it is wholly at variance with the register. Even were this all, it is not clear how, if every truth is primarily inductive, any better test of truth could exist. But it must be remembered that while many of these facts, impressing themselves upon us, are occasional; while others again are very general; some are universal and unchanging. These universal and unchanging facts are, by the hypothesis, certain to establish beliefs of which the negations are inconceivable; while the others are not certain to do this; and if they do, subsequent facts will reverse their action. Hence if, after an immense accumulation of experiences, there remain beliefs of which the negations are still inconceivable, most, if not all of them, must correspond to universal objective facts. If there be . . . certain absolute uniformities in nature; if these uniformities produce, as they must, absolute uniformities

in our experience; and if . . . these absolute uniformities in our experience disable us from conceiving the negations of them; then answering to each absolute uniformity in nature which we can cognize, there must exist in us a belief of which the negation is inconceivable, and which is absolutely true. In this wide range of cases subjective inconceivableness must correspond to objective impossibility. Further experience will produce correspondence where it may not yet exist; and we may expect the correspondence to become ultimately complete. In nearly all cases this test of inconceivableness must be valid now” (I wish I could think we were so nearly arrived at omniscience); “and where it is not, it still expresses the net result of our experience up to the present time; which is the most that any test can do.”

To this I answer, first, that it is by no means true that the inconceivability, by us, of the negative of a proposition proves all, or even any, “pre-existing experience” to be in favor of the affirmative. There may have been no such pre-existing experiences, but only a mistaken supposition of experience. How did the inconceivability of antipodes prove that experience had given any testimony against their possibility? How did the incapacity men felt of conceiving sunset otherwise than as a motion of the sun, represent any “net result” of experience in support of its being the sun and not the earth that moves? It is not experience that is represented, it is only a superficial semblance of experience. The only thing proved with regard to real experience, is the negative fact, that men have *not had* it of the kind which would have made the inconceivable proposition conceivable.

Next: Even if it were true that inconceivableness represents the net result of all past experience, why should we stop at the representative when we can get at the thing represented? If our incapacity to conceive the negation of a given supposition is proof of its truth, because proving that our experience has hitherto been uniform in its favor, the real evidence for the supposition is not the inconceivableness, but the uniformity of experience. Now this, which is the substantial and only proof, is directly accessible. We are not obliged to presume it from an incidental consequence. If all past experience is in favor of a belief, let this be stated, and the belief openly rested on that ground: after which the question

arises, what that fact may be worth as evidence of its truth? For uniformity of experience is evidence in very different degrees: in some cases it is strong evidence, in others weak, in others it scarcely amounts to evidence at all. That all metals sink in water, was a uniform experience, from the origin of the human race to the discovery of potassium in the present century by Sir Humphry Davy. That all swans are white, was a uniform experience down to the discovery of Australia. In the few cases in which uniformity of experience does amount to the strongest possible proof, as with such propositions as these, Two straight lines can not inclose a space, Every event has a cause, it is not because their negations are inconceivable, which is not always the fact; but because the experience, which has been thus uniform, pervades all nature. It will be shown in the following Book that none of the conclusions either of induction or of deduction can be considered certain, except as far as their truth is shown to be inseparably bound up with truths of this class.

I maintain then, first, that uniformity of past experience is very far from being universally a criterion of truth. But secondly, inconceivableness is still further from being a test even of that test. Uniformity of contrary experience is only one of many causes of inconceivability. Tradition handed down from a period of more limited knowledge, is one of the commonest. The mere familiarity of one mode of production of a phenomenon often suffices to make every other mode appear inconceivable. Whatever connects two ideas by a strong association may, and continually does, render their separation in thought impossible; as Mr. Spencer, in other parts of his speculations, frequently recognizes. It was not for want of experience that the Cartesians were unable to conceive that one body could produce motion in another without contact. They had as much experience of other modes of producing motion as they had of that mode. The planets had revolved, and heavy bodies had fallen, every hour of their lives. But they fancied these phenomena to be produced by a hidden machinery which they did not see, because without it they were unable to conceive what they did see. The inconceivableness, instead of representing their experience, dominated and overrode their experience. Without dwelling further on what I have termed the positive argument of Mr. Spencer in support of his criterion of truth, I pass to his negative argument, on which he lays more stress.

§ 3. The negative argument is, that, whether inconceivability be good evidence or bad, no stronger evidence is to be obtained. That what is inconceivable can not be true, is postulated in every act of thought. It is the foundation of all our original premises. Still more it is assumed in all conclusions from those premises. The invariability of belief, tested by the inconceivableness of its negation, “is our sole warrant for every demonstration. Logic is simply a systematization of the process by which we indirectly obtain this warrant for beliefs that do not directly possess it. To gain the strongest conviction possible respecting any complex fact, we either analytically descend from it by successive steps, each of which we unconsciously test by the inconceivableness of its negation, until we reach some axiom or truth which we have similarly tested; or we synthetically ascend from such axiom or truth by such steps. In either case we connect some isolated belief, with a belief which invariably exists, by a series of intermediate beliefs which invariably exist.” The following passage sums up the theory: “When we perceive that the negation of the belief is inconceivable, we have all possible warrant for asserting the invariability of its existence: and in asserting this, we express alike our logical justification of it, and the inexorable necessity we are under of holding it. . . . We have seen that this is the assumption on which every conclusion whatever ultimately rests. We have no other guarantee for the reality of consciousness, of sensations, of personal existence; we have no other guarantee for any axiom; we have no other guarantee for any step in a demonstration. Hence, as being taken for granted in every act of the understanding, it must be regarded as the Universal Postulate.” But as this postulate, which we are under an “inexorable necessity” of holding true, is sometimes false; as “beliefs that once were shown by the inconceivableness of their negations to invariably exist, have since been found untrue,” and as “beliefs that now possess this character may some day share the same fate;” the canon of belief laid down by Mr. Spencer is, that “the most certain conclusion” is that “which involves the postulate the fewest times.” Reasoning, therefore, never ought to prevail against one of the immediate beliefs (the belief in Matter, in the outward reality of Extension, Space, and the like), because each of these involves the postulate only once; while an argument, besides involving it in the premises, involves it again in every step of the ratiocination, no one of the successive acts of inference being

recognized as valid except because we can not conceive the conclusion not to follow from the premises.

It will be convenient to take the last part of this argument first. In every reasoning, according to Mr. Spencer, the assumption of the postulate is renewed at every step. At each inference we judge that the conclusion follows from the premises, our sole warrant for that judgment being that we can not conceive it not to follow. Consequently if the postulate is fallible, the conclusions of reasoning are more vitiated by that uncertainty than direct intuitions; and the disproportion is greater, the more numerous the steps of the argument.

To test this doctrine, let us first suppose an argument consisting only of a single step, which would be represented by one syllogism. This argument does rest on an assumption, and we have seen in the preceding chapters what the assumption is. It is, that whatever has a mark, has what it is a mark of. The evidence of this axiom I shall not consider at present;<sup>92</sup> let us suppose it (with Mr. Spencer) to be the inconceivableness of its reverse.

Let us now add a second step to the argument: we require, what? Another assumption? No: the same assumption a second time; and so on to a third, and a fourth. I confess I do not see how, on Mr. Spencer's own principles, the repetition of the assumption at all weakens the force of the argument. If it were necessary the second time to assume some other axiom, the argument would no doubt be weakened, since it would be necessary to its validity that both axioms should be true, and it might happen that one was true and not the other: making two chances of error instead of one. But since it is the *same* axiom, if it is true once it is true every time; and if the argument, being of a hundred links, assumed the axiom a hundred times, these hundred assumptions would make but one chance of error among them all. It is satisfactory that we are not obliged to suppose the deductions of pure mathematics to be among the most uncertain of argumentative processes, which on Mr. Spencer's theory they could hardly fail to be, since they are the longest. But the number of steps in an argument does not subtract from its reliableness, if no new *premises*, of an uncertain character, are taken up by the way.<sup>93</sup>

To speak next of the premises. Our assurance of their truth, whether they be generalities or individual facts, is grounded, in Mr. Spencer's opinion, on the inconceivableness of their being false. It is necessary to advert to a double meaning of the word inconceivable, which Mr. Spencer is aware of, and would sincerely disclaim founding an argument upon, but from which his case derives no little advantage notwithstanding. By inconceivableness is sometimes meant, inability to form or get rid of an *idea*; sometimes, inability to form or get rid of a *belief*. The former meaning is the most conformable to the analogy of language; for a conception always means an idea, and never a belief. The wrong meaning of "inconceivable" is, however, fully as frequent in philosophical discussion as the right meaning, and the intuitive school of metaphysicians could not well do without either. To illustrate the difference, we will take two contrasted examples. The early physical speculators considered antipodes incredible, because inconceivable. But antipodes were not inconceivable in the primitive sense of the word. An idea of them could be formed without difficulty: they could be completely pictured to the mental eye. What was difficult, and, as it then seemed, impossible, was to apprehend them as believable. The idea could be put together, of men sticking on by their feet to the under side of the earth; but the belief *would* follow, that they must fall off. Antipodes were not unimaginable, but they were unbelievable.

On the other hand, when I endeavor to conceive an end to extension, the two ideas refuse to come together. When I attempt to form a conception of the last point of space, I can not help figuring to myself a vast space beyond that last point. The combination is, under the conditions of our experience, unimaginable. This double meaning of inconceivable it is very important to bear in mind, for the argument from inconceivableness almost always turns on the alternate substitution of each of those meanings for the other.

In which of these two senses does Mr. Spencer employ the term, when he makes it a test of the truth of a proposition that its negation is inconceivable? Until Mr. Spencer expressly stated the contrary, I inferred from the course of his argument, that he meant unbelievable. He has, however, in a paper published in the fifth number of the *Fortnightly Review*, disclaimed this meaning, and declared that by an inconceivable

proposition he means, now and always, “one of which the terms can not, by any effort, be brought before consciousness in that relation which the proposition asserts between them — a proposition of which the subject and predicate offer an insurmountable resistance to union in thought.” We now, therefore, know positively that Mr. Spencer always endeavors to use the word inconceivable in this, its proper, sense: but it may yet be questioned whether his endeavor is always successful; whether the other, and popular use of the word, does not sometimes creep in with its associations, and prevent him from maintaining a clear separation between the two. When, for example, he says, that when I feel cold, I can not conceive that I am not feeling cold, this expression can not be translated into “I can not conceive myself not feeling cold,” for it is evident that I can: the word conceive, therefore, is here used to express the recognition of a matter of fact — the perception of truth or falsehood; which I apprehend to be exactly the meaning of an act of belief, as distinguished from simple conception. Again, Mr. Spencer calls the attempt to conceive something which is inconceivable “an abortive effort to cause the non-existence,” not of a conception or mental representation, but of a belief. There is need, therefore, to revise a considerable part of Mr. Spencer’s language, if it is to be kept always consistent with his definition of inconceivability. But in truth the point is of little importance; since inconceivability, in Mr. Spencer’s theory, is only a test of truth, inasmuch as it is a test of believability. The inconceivableness of a supposition is the extreme case of its unbelievability. This is the very foundation of Mr. Spencer’s doctrine. The invariability of the belief is with him the real guarantee. The attempt to conceive the negative is made in order to test the inevitableness of the belief. It should be called, an attempt to *believe* the negative. When Mr. Spencer says that while looking at the sun a man can not conceive that he is looking into darkness, he should have said that a man can not *believe* that he is doing so. For it is surely possible, in broad daylight, to *imagine* one’s self looking into darkness.<sup>94</sup> As Mr. Spencer himself says, speaking of the belief of our own existence, “That he *might* not exist, he can conceive well enough; but that he *does* not exist, he finds it impossible to conceive,” *i.e.*, to believe. So that the statement resolves itself into this: That I exist, and that I have sensations, I believe, because I can not believe otherwise. And in this case every one will admit that the



impossibility is real. Any one's present sensations, or other states of subjective consciousness, that one person inevitably believes. They are facts known *per se*: it is impossible to ascend beyond them. Their negative is really unbelievable, and therefore there is never any question about believing it. Mr. Spencer's theory is not needed for these truths.

But according to Mr. Spencer there are other beliefs, relating to other things than our own subjective feelings, for which we have the same guarantee — which are, in a similar manner, invariable and necessary. With regard to these other beliefs, they can not be necessary, since they do not always exist. There have been, and are, many persons who do not believe the reality of an external world, still less the reality of extension and figure as the forms of that external world; who do not believe that space and time have an existence independent of the mind — nor any other of Mr. Spencer's objective intuitions. The negations of these alleged invariable beliefs are not unbelievable, for they are believed. It may be maintained, without obvious error, that we can not *imagine* tangible objects as mere states of our own and other people's consciousness; that the perception of them irresistibly suggests to us the *idea* of something external to ourselves: and I am not in a condition to say that this is not the fact (though I do not think any one is entitled to affirm it of any person besides himself). But many thinkers have believed, whether they could conceive it or not, that what we represent to ourselves as material objects, are mere modifications of consciousness; complex feelings of touch and of muscular action. Mr. Spencer may think the inference correct from the unimaginable to the unbelievable, because he holds that belief itself is but the persistence of an idea, and that what we can succeed in imagining we can not at the moment help apprehending as believable. But of what consequence is it what we apprehend at the moment, if the moment is in contradiction to the permanent state of our mind? A person who has been frightened when an infant by stories of ghosts, though he disbelieves them in after years (and perhaps never believed them), may be unable all his life to be in a dark place, in circumstances stimulating to the imagination, without mental discomposure. The idea of ghosts, with all its attendant terrors, is irresistibly called up in his mind by the outward circumstances. Mr. Spencer may say, that while he is under the influence of this terror he does not disbelieve in ghosts, but has a temporary and uncontrollable

belief in them. Be it so; but allowing it to be so, which would it be truest to say of this man on the whole — that he believes in ghosts, or that he does not believe in them? Assuredly that he does not believe in them. The case is similar with those who disbelieve a material world. Though they can not get rid of the idea; though while looking at a solid object they can not help having the conception, and therefore, according to Mr. Spencer's metaphysics, the momentary belief, of its externality; even at that moment they would sincerely deny holding that belief: and it would be incorrect to call them other than disbelievers of the doctrine. The belief therefore is not invariable; and the test of inconceivableness fails in the only cases to which there could ever be any occasion to apply it.

That a thing may be perfectly believable, and yet may not have become conceivable, and that we may habitually believe one side of an alternative, and conceive only in the other, is familiarly exemplified in the state of mind of educated persons respecting sunrise and sunset. All educated persons either know by investigation, or believe on the authority of science, that it is the earth and not the sun which moves: but there are probably few who habitually *conceive* the phenomenon otherwise than as the ascent or descent of the sun. Assuredly no one can do so without a prolonged trial; and it is probably not easier now than in the first generation after Copernicus. Mr. Spencer does not say, "In looking at sunrise it is impossible not to conceive that it is the sun which moves, therefore this is what every body believes, and we have all the evidence for it that we can have for any truth." Yet this would be an exact parallel to his doctrine about the belief in matter.

The existence of matter, and other Noumena, as distinguished from the phenomenal world, remains a question of argument, as it was before; and the very general, but neither necessary nor universal, belief in them, stands as a psychological phenomenon to be explained, either on the hypothesis of its truth, or on some other. The belief is not a conclusive proof of its own truth, unless there are no such things as *idola tribûs*; but being a fact, it calls on antagonists to show, from what except the real existence of the thing believed, so general and apparently spontaneous a belief can have originated. And its opponents have never hesitated to

accept this challenge.<sup>95</sup> The amount of their success in meeting it will probably determine the ultimate verdict of philosophers on the question.

§ 4. In the revision, or rather reconstruction, of his “Principles of Psychology,” as one of the stages or platforms in the imposing structure of his System of Philosophy, Mr. Spencer has resumed what he justly terms<sup>96</sup> the “amicable controversy that has been long pending between us;” expressing at the same time a regret, which I cordially share, that “this lengthened exposition of a single point of difference, unaccompanied by an exposition of the numerous points of concurrence, unavoidably produces an appearance of dissent very far greater than that which exists.” I believe, with Mr. Spencer, that the difference between us, if measured by our conclusions, is “superficial rather than substantial;” and the value I attach to so great an amount of agreement, in the field of analytic psychology, with a thinker of his force and depth, is such as I can hardly overstate. But I also agree with him that the difference which exists in our premises is one of “profound importance, philosophically considered;” and not to be dismissed while any part of the case of either of us has not been fully examined and discussed.

In his present statement of the Universal Postulate, Mr. Spencer has exchanged his former expression, “beliefs which invariably exist,” for the following: “cognitions of which the predicates invariably exist along with their subjects.” And he says that “an abortive effort to conceive the negation of a proposition, shows that the cognition expressed is one of which the predicate invariably exists along with its subject; and the discovery that the predicate invariably exists along with its subject, is the discovery that this cognition is one we are compelled to accept.” Both these premises of Mr. Spencer’s syllogism I am able to assent to, but in different senses of the middle term. If the invariable existence of the predicate along with its subject, is to be understood in the most obvious meaning, as an existence in actual Nature, or in other words, in our objective, or sensational, experience, I of course admit that this, once ascertained, compels us to accept the proposition: but then I do not admit that the failure of an attempt to conceive the negative, proves the predicate to be always co-existent with the subject in actual Nature. If, on the other hand (which I believe to be Mr. Spencer’s meaning) the invariable existence of

the predicate along with the subject is to be understood only of our conceptive faculty, *i.e.*, that the one is inseparable from the other in our thoughts; then, indeed, the inability to separate the two ideas proves their inseparable conjunction, here and now, in the mind which has failed in the attempt; but this inseparability in thought does not prove a corresponding inseparability in fact; nor even in the thoughts of other people, or of the same person in a possible future.

“That some propositions have been wrongly accepted as true, because their negations were supposed inconceivable when they were not,” does not, in Mr. Spencer’s opinion, “disprove the validity of the test;” not only because any test whatever “is liable to yield untrue results, either from incapacity or from carelessness in those who use it,” but because the propositions in question “were complex propositions, not to be established by a test applicable to propositions no further decomposable.” “A test legitimately applicable to a simple proposition, the subject and predicate of which are in direct relation, can not be legitimately applied to a complex proposition, the subject and predicate of which are indirectly related through the many simple propositions implied.” “That things which are equal to the same thing are equal to one another, is a fact which can be known by direct comparison of actual or ideal relations. . . . But that the square of the hypotenuse of a right-angled triangle equals the sum of the squares of the other two sides, can not be known immediately by comparison of two states of consciousness: here the truth can be reached only mediately, through a series of simple judgments respecting the likenesses or unlikenesses of certain relations.” Moreover, even when the proposition admits of being tested by immediate consciousness, people often neglect to do it. A school-boy, in adding up a column of figures, will say “35 and 9 are 46,” though this is contrary to the verdict which consciousness gives when 35 and 9 are really called up before it; but this is not done. And not only school-boys, but men and thinkers, do not always “distinctly translate into their equivalent states of consciousness the words they use.”

It is but just to give Mr. Spencer’s doctrine the benefit of the limitation he claims — *viz.*, that it is only applicable to propositions which are assented to on simple inspection, without any intervening media of

proof. But this limitation does not exclude some of the most marked instances of propositions now known to be false or groundless, but whose negative was once found inconceivable: such as, that in sunrise and sunset it is the sun which moves; that gravitation may exist without an intervening medium; and even the case of antipodes. The distinction drawn by Mr. Spencer is real; but, in the case of the propositions classed by him as complex, consciousness, until the media of proof are supplied, gives no verdict at all: it neither declares the equality of the square of the hypotenuse with the sum of the squares of the sides to be inconceivable, nor their inequality to be inconceivable. But in all the three cases which I have just cited, the inconceivability seems to be apprehended directly; no train of argument was needed, as in the case of the square of the hypotenuse, to obtain the verdict of consciousness on the point. Neither is any of the three a case like that of the school-boy's mistake, in which the mind was never really brought into contact with the proposition. They are cases in which one of two opposite predicates, *mero aspectu*, seemed to be incompatible with the subject, and the other, therefore, to be proved always to exist with it.<sup>97</sup>

As now limited by Mr. Spencer, the ultimate cognitions fit to be submitted to his test are only those of so universal and elementary a character as to be represented in the earliest and most unvarying experience, or apparent experience, of all mankind. In such cases the inconceivability of the negative, if real, is accounted for by the experience: and why (I have asked) should the truth be tested by the inconceivability, when we can go further back for proof — namely, to the experience itself? To this Mr. Spencer answers, that the experiences can not be all recalled to mind, and if recalled, would be of unmanageable multitude. To test a proposition by experience seems to him to mean that “before accepting as certain the proposition that any rectilinear figure must have as many angles as it has sides,” I have “to think of every triangle, square, pentagon, hexagon, etc., which I have ever seen, and to verify the asserted relation in each case.” I can only say, with surprise, that I do not understand this to be the meaning of an appeal to experience. It is enough to know that one has been seeing the fact all one's life, and has never remarked any instance to the contrary, and that other people, with every opportunity of observation, unanimously declare the same thing. It is true, even this experience may

be insufficient, and so it might be even if I could recall to mind every instance of it; but its insufficiency, instead of being brought to light, is disguised, if instead of sifting the experience itself, I appeal to a test which bears no relation to the sufficiency of the experience, but, at the most, only to its familiarity. These remarks do not lose their force even if we believe, with Mr. Spencer, that mental tendencies originally derived from experience impress themselves permanently on the cerebral structure and are transmitted by inheritance, so that modes of thinking which are acquired by the race become innate and *a priori* in the individual, thus representing, in Mr. Spencer's opinion, the experience of his progenitors, in addition to his own. All that would follow from this is, that a conviction might be really innate, *i.e.*, prior to individual experience, and yet not be true, since the inherited tendency to accept it may have been originally the result of other causes than its truth.

Mr. Spencer would have a much stronger case, if he could really show that the evidence of Reasoning rests on the Postulate, or, in other words, that we believe that a conclusion follows from premises only because we can not conceive it not to follow. But this statement seems to me to be of the same kind as one I have previously commented on, *viz.*, that I believe I see light, because I can not, while the sensation remains, conceive that I am looking into darkness. Both these statements seem to me incompatible with the meaning (as very rightly limited by Mr. Spencer) of the verb to conceive. To say that when I apprehend that A is B and that B is C, I can not conceive that A is not C, is to my mind merely to say that I am compelled to *believe* that A is C. If to conceive be taken in its proper meaning, *viz.*, to form a mental representation, I *may* be able to conceive A as not being C. After assenting, with full understanding, to the Copernican proof that it is the earth and not the sun that moves, I not only can conceive, or represent to myself, sunset as a motion of the sun, but almost every one finds this conception of sunset easier to form, than that which they nevertheless know to be the true one.

§ 5. Sir William Hamilton holds as I do, that inconceivability is no criterion of impossibility. "There is no ground for inferring a certain fact to be impossible, merely from our inability to conceive its possibility." "Things there are which *may*, nay *must*, be true, of which the

understanding is wholly unable to construe to itself the possibility.”<sup>98</sup> Sir William Hamilton is, however, a firm believer in the *a priori* character of many axioms, and of the sciences deduced from them; and is so far from considering those axioms to rest on the evidence of experience, that he declares certain of them to be true even of Noumena — of the Unconditioned — of which it is one of the principal aims of his philosophy to prove that the nature of our faculties debars us from having any knowledge. The axioms to which he attributes this exceptional emancipation from the limits which confine all our other possibilities of knowledge; the chinks through which, as he represents, one ray of light finds its way to us from behind the curtain which veils from us the mysterious world of Things in themselves — are the two principles, which he terms, after the school-men, the Principle of Contradiction, and the Principle of Excluded Middle: the first, that two contradictory propositions can not both be true; the second, that they can not both be false. Armed with these logical weapons, we may boldly face Things in themselves, and tender to them the double alternative, sure that they must absolutely elect one or the other side, though we may be forever precluded from discovering which. To take his favorite example, we can not conceive the infinite divisibility of matter, and we can not conceive a minimum, or end to divisibility: yet one or the other must be true.

As I have hitherto said nothing of the two axioms in question, those of Contradiction and of Excluded Middle, it is not unseasonable to consider them here. The former asserts that an affirmative proposition and the corresponding negative proposition can not both be true; which has generally been held to be intuitively evident. Sir William Hamilton and the Germans consider it to be the statement in words of a form or law of our thinking faculty. Other philosophers, not less deserving of consideration, deem it to be an identical proposition; an assertion involved in the meaning of terms; a mode of defining Negation, and the word Not.

I am able to go one step with these last. An affirmative assertion and its negative are not two independent assertions, connected with each other only as mutually incompatible. That if the negative be true, the affirmative must be false, really is a mere identical proposition; for the negative proposition asserts nothing but the falsity of the affirmative, and has no

other sense or meaning whatever. The Principium Contradictionis should therefore put off the ambitious phraseology which gives it the air of a fundamental antithesis pervading nature, and should be enunciated in the simpler form, that the same proposition can not at the same time be false and true. But I can go no further with the Nominalists; for I can not look upon this last as a merely verbal proposition. I consider it to be, like other axioms, one of our first and most familiar generalizations from experience. The original foundation of it I take to be, that Belief and Disbelief are two different mental states, excluding one another. This we know by the simplest observation of our own minds. And if we carry our observation outward, we also find that light and darkness, sound and silence, motion and quiescence, equality and inequality, preceding and following, succession and simultaneousness, any positive phenomenon whatever and its negative, are distinct phenomena, pointedly contrasted, and the one always absent where the other is present. I consider the maxim in question to be a generalization from all these facts.

In like manner as the Principle of Contradiction (that one of two contradictories must be false) means that an assertion can not be *both* true and false, so the Principle of Excluded Middle, or that one of two contradictories must be true, means that an assertion must be *either* true or false: either the affirmative is true, or otherwise the negative is true, which means that the affirmative is false. I can not help thinking this principle a surprising specimen of a so-called necessity of Thought, since it is not even true, unless with a large qualification. A proposition must be either true or false, *provided* that the predicate be one which can in any intelligible sense be attributed to the subject; (and as this is always assumed to be the case in treatises on logic, the axiom is always laid down there as of absolute truth). "Abracadabra is a second intention" is neither true nor false. Between the true and the false there is a third possibility, the Unmeaning; and this alternative is fatal to Sir William Hamilton's extension of the maxim to Noumena. That Matter must either have a minimum of divisibility or be infinitely divisible, is more than we can ever know. For in the first place, Matter, in any other than the phenomenal sense of the term, may not exist: and it will scarcely be said that a nonentity must be either infinitely or finitely divisible. In the second place, though matter, considered as the occult cause of our sensations, do really



exist, yet what we call divisibility may be an attribute only of our sensations of sight and touch, and not of their uncognizable cause. Divisibility may not be predicable at all, in any intelligible sense, of Things in themselves, nor therefore of Matter in itself; and the assumed necessity of being either infinitely or finitely divisible, may be an inapplicable alternative.

On this question I am happy to have the full concurrence of Mr. Herbert Spencer, from whose paper in the *Fortnightly Review* I extract the following passage. The germ of an idea identical with that of Mr. Spencer may be found in the present chapter, on a preceding page; but in Mr. Spencer it is not an undeveloped thought, but a philosophical theory.

“When remembering a certain thing as in a certain place, the place and the thing are mentally represented together; while to think of the non-existence of the thing in that place implies a consciousness in which the place is represented, but not the thing. Similarly, if instead of thinking of an object as colorless, we think of its having color, the change consists in the addition to the concept of an element that was before absent from it — the object can not be thought of first as red and then as not red, without one component of the thought being totally expelled from the mind by another. The law of the Excluded Middle, then, is simply a generalization of the universal experience that some mental states are directly destructive of other states. It formulates a certain absolutely constant law, that the appearance of any positive mode of consciousness can not occur without excluding a correlative negative mode; and that the negative mode can not occur without excluding the correlative positive mode: the antithesis of positive and negative being, indeed, merely an expression of this experience. Hence it follows that if consciousness is not in one of the two modes it must be in the other.”<sup>99</sup>  
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I must here close this supplementary chapter, and with it the Second Book. The theory of Induction, in the most comprehensive sense of the term, will form the subject of the Third.

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<sup>91</sup> Principles of Psychology.

<sup>92</sup> Mr. Spencer is mistaken in supposing me to claim any peculiar “necessity” for this axiom as compared with others. I have corrected the expressions

which led him into that misapprehension of my meaning.

<sup>93</sup> Mr. Spencer, in recently returning to the subject (*Principles of Psychology*, new edition, chap. xii.: "The Test of Relative Validity"), makes two answers to the preceding remarks. One is:

"Were an argument formed by repeating the same proposition over and over again, it would be true that any intrinsic fallibility of the postulate would not make the conclusion more untrustworthy than the first step. But an argument consists of unlike propositions. Now, since Mr. Mill's criticism on the Universal Postulate is that in some cases, which he names, it has proved to be an untrustworthy test; it follows that in any argument consisting of heterogeneous propositions, there is a risk, increasing as the number of propositions increases, that some one of them belongs to this class of cases, and is wrongly accepted because of the inconceivableness of its negation."

No doubt: but this supposes new *premises* to be taken in. The point we are discussing is the fallibility not of the premises, but of the reasoning, as distinguished from the premises. Now the validity of the reasoning depends always upon the same axiom, repeated (in thought) "over and over again," viz., that whatever has a mark, has what it is a mark of. Even, therefore, on the assumption that this axiom rests ultimately on the Universal Postulate, and that, the Postulate not being wholly trustworthy, the axiom may be one of the cases of its failure; all the risk there is of this is incurred at the very first step of the reasoning, and is not added to, however long may be the series of subsequent steps.

I am here arguing, of course, from Mr. Spencer's point of view. From my own the case is still clearer; for, in my view, the truth that whatever has a mark has what it is a mark of, is wholly trustworthy, and derives none of its evidence from so very untrustworthy a test as the inconceivability of the negative.

Mr. Spencer's second answer is valid up to a certain point; it is, that every prolongation of the process involves additional chances of casual error, from carelessness in the reasoning operation. This is an important consideration in the private speculations of an individual reasoner; and even with respect to mankind at large, it must be admitted that, though mere oversights in the syllogistic process, like errors of addition in an account, are special to the individual, and seldom escape detection, confusion of thought produced (for example) by ambiguous terms has led whole nations or ages to accept fallacious reasoning as valid. But this very fact points to causes of error so much more dangerous than the mere length of the process, as quite to vitiate the doctrine that the "test of the relative validities of conflicting conclusions" is the number of times the fundamental postulate is involved. On the contrary, the subjects on which the trains of reasoning are longest, and the assumption, therefore, oftenest repeated, are in general those

which are best fortified against the really formidable causes of fallacy; as in the example already given of mathematics.

<sup>94</sup> Mr. Spencer makes a distinction between conceiving myself looking into darkness, and conceiving *that I am* then and there looking into darkness. To me it seems that this change of the expression to the form *I am*, just marks the transition from conception to belief, and that the phrase "to conceive that *I am*," or "that any thing *is*," is not consistent with using the word conceive in its rigorous sense.

<sup>95</sup> I have myself accepted the contest, and fought it out on this battleground, in the eleventh chapter of *An Examination of Sir William Hamilton's Philosophy*.

<sup>96</sup> Chap. xi.

<sup>97</sup> In one of the three cases, Mr. Spencer, to my no small surprise, thinks that the belief of mankind "can not be rightly said to have undergone" the change I allege. Mr. Spencer himself still thinks we are unable to conceive gravitation acting through empty space. "If an astronomer avowed that he could conceive gravitative force as exercised through space absolutely void, my private opinion would be that he mistook the nature of conception. Conception implies representation. Here the elements of the representation are the two bodies and an agency by which either affects the other. To conceive this agency is to represent it in some terms derived from our experiences — that is, from our sensations. As this agency gives us no sensations, we are obliged (if we try to conceive it) to use symbols idealized from our sensations — imponderable units forming a medium."

If Mr. Spencer means that the action of gravitation gives us no sensations, the assertion is one than which I have not seen, in the writings of philosophers, many more startling. What other sensation do we need than the sensation of one body moving toward another? "The elements of the representation" are not two bodies and an "agency," but two bodies and an effect; viz., the fact of their approaching one another. If we are able to conceive a vacuum, is there any difficulty in conceiving a body falling to the earth through it?

<sup>98</sup> *Discussions, etc.*, 2d ed., p. 624.

<sup>99</sup> Professor Bain (*Logic*, i., 16) identifies the Principle of Contradiction with his Law of Relativity, viz., that "every thing that can be thought of, every affirmation that can be made, has an opposite or counter notion or affirmation;" a proposition which is one of the general results of the whole body of human experience. For further considerations respecting the axioms of Contradiction and Excluded Middle, see the twenty-first chapter of *An Examination of Sir William Hamilton's Philosophy*.



# BOOK III.

## OF INDUCTION.

“According to the doctrine now stated, the highest, or rather the only proper object of physics, is to ascertain those established conjunctions of successive events, which constitute the order of the universe; to record the phenomena which it exhibits to our observations, or which it discloses to our experiments; and to refer these phenomena to their general laws.” — D. STEWART, *Elements of the Philosophy of the Human Mind*, vol. ii., chap. iv., sect. 1.

“In such cases the inductive and deductive methods of inquiry may be said to go hand in hand, the one verifying the conclusions deduced by the other; and the combination of experiment and theory, which may thus be brought to bear in such cases, forms an engine of discovery infinitely more powerful than either taken separately. This state of any department of science is perhaps of all others the most interesting, and that which promises the most to research.” — SIR J. HERSCHEL, *Discourse on the Study of Natural Philosophy*.

# CHAPTER I.

## PRELIMINARY OBSERVATIONS ON INDUCTION IN GENERAL.

§ 1. The portion of the present inquiry upon which we are now about to enter, may be considered as the principal, both from its surpassing in intricacy all the other branches, and because it relates to a process which has been shown in the preceding Book to be that in which the investigation of nature essentially consists. We have found that all Inference, consequently all Proof, and all discovery of truths not self-evident, consists of inductions, and the interpretation of inductions: that all our knowledge, not intuitive, comes to us exclusively from that source. What Induction is, therefore, and what conditions render it legitimate, can not but be deemed the main question of the science of logic — the question which includes all others. It is, however, one which professed writers on logic have almost entirely passed over. The generalities of the subject have not been altogether neglected by metaphysicians; but, for want of sufficient acquaintance with the processes by which science has actually succeeded in establishing general truths, their analysis of the inductive operation, even when unexceptionable as to correctness, has not been specific enough to be made the foundation of practical rules, which might be for induction itself what the rules of the syllogism are for the interpretation of induction: while those by whom physical science has been carried to its present state of improvement — and who, to arrive at a complete theory of the process, needed only to generalize, and adapt to all varieties of problems, the methods which they themselves employed in their habitual pursuits — never until very lately made any serious attempt to philosophize on the subject, nor regarded the mode in which they arrived at their conclusions as deserving of study, independently of the conclusions themselves.

§ 2. For the purposes of the present inquiry, Induction may be defined, the operation of discovering and proving general propositions. It is true that (as already shown) the process of indirectly ascertaining individual facts, is as truly inductive as that by which we establish general

truths. But it is not a different kind of induction; it is a form of the very same process: since, on the one hand, generals are but collections of particulars, definite in kind but indefinite in number; and on the other hand, whenever the evidence which we derive from observation of known cases justifies us in drawing an inference respecting even one unknown case, we should on the same evidence be justified in drawing a similar inference with respect to a whole class of cases. The inference either does not hold at all, or it holds in all cases of a certain description; in all cases which, in certain definable respects, resemble those we have observed.

If these remarks are just; if the principles and rules of inference are the same whether we infer general propositions or individual facts; it follows that a complete logic of the sciences would be also a complete logic of practical business and common life. Since there is no case of legitimate inference from experience, in which the conclusion may not legitimately be a general proposition; an analysis of the process by which general truths are arrived at, is virtually an analysis of all induction whatever. Whether we are inquiring into a scientific principle or into an individual fact, and whether we proceed by experiment or by ratiocination, every step in the train of inferences is essentially inductive, and the legitimacy of the induction depends in both cases on the same conditions.

True it is that in the case of the practical inquirer, who is endeavoring to ascertain facts not for the purposes of science but for those of business, such, for instance, as the advocate or the judge, the chief difficulty is one in which the principles of induction will afford him no assistance. It lies not in making his inductions, but in the selection of them; in choosing from among all general propositions ascertained to be true, those which furnish marks by which he may trace whether the given subject possesses or not the predicate in question. In arguing a doubtful question of fact before a jury, the general propositions or principles to which the advocate appeals are mostly, in themselves, sufficiently trite, and assented to as soon as stated: his skill lies in bringing his case under those propositions or principles; in calling to mind such of the known or received maxims of probability as admit of application to the case in hand, and selecting from among them those best adapted to his object. Success is here dependent on natural or acquired sagacity, aided by knowledge of the particular subject,

and of subjects allied with it. Invention, though it can be cultivated, can not be reduced to rule; there is no science which will enable a man to bethink himself of that which will suit his purpose.

But when he *has* thought of something, science can tell him whether that which he has thought of will suit his purpose or not. The inquirer or arguer must be guided by his own knowledge and sagacity in the choice of the inductions out of which he will construct his argument. But the validity of the argument when constructed, depends on principles, and must be tried by tests which are the same for all descriptions of inquiries, whether the result be to give A an estate, or to enrich science with a new general truth. In the one case and in the other, the senses, or testimony, must decide on the individual facts; the rules of the syllogism will determine whether, those facts being supposed correct, the case really falls within the formulæ of the different inductions under which it has been successively brought; and finally, the legitimacy of the inductions themselves must be decided by other rules, and these it is now our purpose to investigate. If this third part of the operation be, in many of the questions of practical life, not the most, but the least arduous portion of it, we have seen that this is also the case in some great departments of the field of science; in all those which are principally deductive, and most of all in mathematics; where the inductions themselves are few in number, and so obvious and elementary that they seem to stand in no need of the evidence of experience, while to combine them so as to prove a given theorem or solve a problem, may call for the utmost powers of invention and contrivance with which our species is gifted.

If the identity of the logical processes which prove particular facts and those which establish general scientific truths, required any additional confirmation, it would be sufficient to consider that in many branches of science, single facts have to be proved, as well as principles; facts as completely individual as any that are debated in a court of justice; but which are proved in the same manner as the other truths of the science, and without disturbing in any degree the homogeneity of its method. A remarkable example of this is afforded by astronomy. The individual facts on which that science grounds its most important deductions, such facts as the magnitudes of the bodies of the solar system, their distances from one



another, the figure of the earth, and its rotation, are scarcely any of them accessible to our means of direct observation: they are proved indirectly, by the aid of inductions founded on other facts which we can more easily reach. For example, the distance of the moon from the earth was determined by a very circuitous process. The share which direct observation had in the work consisted in ascertaining, at one and the same instant, the zenith distances of the moon, as seen from two points very remote from one another on the earth's surface. The ascertainment of these angular distances ascertained their supplements; and since the angle at the earth's centre subtended by the distance between the two places of observation was deducible by spherical trigonometry from the latitude and longitude of those places, the angle at the moon subtended by the same line became the fourth angle of a quadrilateral of which the other three angles were known. The four angles being thus ascertained, and two sides of the quadrilateral being radii of the earth; the two remaining sides and the diagonal, or, in other words, the moon's distance from the two places of observation and from the centre of the earth, could be ascertained, at least in terms of the earth's radius, from elementary theorems of geometry. At each step in this demonstration a new induction is taken in, represented in the aggregate of its results by a general proposition.

Not only is the process by which an individual astronomical fact was thus ascertained, exactly similar to those by which the same science establishes its general truths, but also (as we have shown to be the case in all legitimate reasoning) a general proposition might have been concluded instead of a single fact. In strictness, indeed, the result of the reasoning is a general proposition; a theorem respecting the distance, not of the moon in particular, but of any inaccessible object; showing in what relation that distance stands to certain other quantities. And although the moon is almost the only heavenly body the distance of which from the earth can really be thus ascertained, this is merely owing to the accidental circumstances of the other heavenly bodies, which render them incapable of affording such data as the application of the theorem requires; for the theorem itself is as true of them as it is of the moon.<sup>100</sup>

We shall fall into no error, then, if in treating of Induction, we limit our attention to the establishment of general propositions. The principles

and rules of Induction as directed to this end, are the principles and rules of all Induction; and the logic of Science is the universal Logic, applicable to all inquiries in which man can engage.

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<sup>100</sup> Dr. Whewell thinks it improper to apply the term Induction to any operation not terminating in the establishment of a general truth. Induction, he says (*Philosophy of Discovery*, p. 245), "is not the same thing as experience and observation. Induction is experience or observation *consciously* looked at in a *general* form. This consciousness and generality are necessary parts of that knowledge which is science." And he objects (p. 241) to the mode in which the word Induction is employed in this work, as an undue extension of that term "not only to the cases in which the general induction is consciously applied to a particular instance, but to the cases in which the particular instance is dealt with by means of experience in that rude sense in which experience can be asserted of brutes, and in which of course we can in no way imagine that the law is possessed or understood as a general proposition." This use of the term he deems a "confusion of knowledge with practical tendencies."

I disclaim, as strongly as Dr. Whewell can do, the application of such terms as induction, inference, or reasoning, to operations performed by mere instinct, that is, from an animal impulse, without the exertion of any intelligence. But I perceive no ground for confining the use of those terms to cases in which the inference is drawn in the forms and with the precautions required by scientific propriety. To the idea of Science, an express recognition and distinct apprehension of general laws as such, is essential: but nine-tenths of the conclusions drawn from experience in the course of practical life, are drawn without any such recognition: they are direct inferences from known cases, to a case supposed to be similar. I have endeavored to show that this is not only as legitimate an operation, but substantially the same operation, as that of ascending from known cases to a general proposition; except that the latter process has one great security for correctness which the former does not possess. In science, the inference must necessarily pass through the intermediate stage of a general proposition, because Science wants its conclusions for record, and not for instantaneous use. But the inferences drawn for the guidance of practical affairs, by persons who would often be quite incapable of expressing in unexceptionable terms the corresponding generalizations, may and frequently do exhibit intellectual powers quite equal to any which have ever been displayed in science; and if these inferences are not inductive, what are they? The limitation imposed on the term by Dr. Whewell seems perfectly arbitrary; neither justified by any fundamental distinction between what he includes and what he desires to exclude, nor sanctioned by usage, at least from the time of Reid and Stewart, the principal legislators (as far as the English language is concerned) of modern metaphysical terminology.



# CHAPTER II.

## OF INDUCTIONS IMPROPERLY SO CALLED.

§ 1. Induction, then, is that operation of the mind, by which we infer that what we know to be true in a particular case or cases, will be true in all cases which resemble the former in certain assignable respects. In other words, Induction is the process by which we conclude that what is true of certain individuals of a class is true of the whole class, or that what is true at certain times will be true in similar circumstances at all times.

This definition excludes from the meaning of the term Induction, various logical operations, to which it is not unusual to apply that name.

Induction, as above defined, is a process of inference; it proceeds from the known to the unknown; and any operation involving no inference, any process in which what seems the conclusion is no wider than the premises from which it is drawn, does not fall within the meaning of the term. Yet in the common books of Logic we find this laid down as the most perfect, indeed the only quite perfect, form of induction. In those books, every process which sets out from a less general and terminates in a more general expression — which admits of being stated in the form, “This and that A are B, therefore every A is B” — is called an induction, whether any thing be really concluded or not: and the induction is asserted not to be perfect, unless every single individual of the class A is included in the antecedent, or premise: that is, unless what we affirm of the class has already been ascertained to be true of every individual in it, so that the nominal conclusion is not really a conclusion, but a mere re-assertion of the premises. If we were to say, All the planets shine by the sun’s light, from observation of each separate planet, or All the Apostles were Jews, because this is true of Peter, Paul, John, and every other apostle — these, and such as these, would, in the phraseology in question, be called perfect, and the only perfect, Inductions. This, however, is a totally different kind of induction from ours; it is not an inference from facts known to facts unknown, but a mere short-hand registration of facts known. The two simulated arguments which we have quoted, are not generalizations; the

propositions purporting to be conclusions from them, are not really general propositions. A general proposition is one in which the predicate is affirmed or denied of an unlimited number of individuals; namely, all, whether few or many, existing or capable of existing, which possess the properties connoted by the subject of the proposition. "All men are mortal" does not mean all now living, but all men past, present, and to come. When the signification of the term is limited so as to render it a name not for any and every individual falling under a certain general description, but only for each of a number of individuals, designated as such, and as it were counted off individually, the proposition, though it may be general in its language, is no general proposition, but merely that number of singular propositions, written in an abridged character. The operation may be very useful, as most forms of abridged notation are; but it is no part of the investigation of truth, though often bearing an important part in the preparation of the materials for that investigation.

As we may sum up a definite number of singular propositions in one proposition, which will be apparently, but not really, general, so we may sum up a definite number of general propositions in one proposition, which will be apparently, but not really, more general. If by a separate induction applied to every distinct species of animals, it has been established that each possesses a nervous system, and we affirm thereupon that all animals have a nervous system; this looks like a generalization, though as the conclusion merely affirms of all what has already been affirmed of each, it seems to tell us nothing but what we knew before. A distinction, however, must be made. If in concluding that all animals have a nervous system, we mean the same thing and no more as if we had said "all known animals," the proposition is not general, and the process by which it is arrived at is not induction. But if our meaning is that the observations made of the various species of animals have discovered to us a law of animal nature, and that we are in a condition to say that a nervous system will be found even in animals yet undiscovered, this indeed is an induction; but in this case the general proposition contains more than the sum of the special propositions from which it is inferred. The distinction is still more forcibly brought out when we consider, that if this real generalization be legitimate at all, its legitimacy probably does not require that we should have examined without exception every known species. It is

the number and nature of the instances, and not their being the whole of those which happen to be known, that makes them sufficient evidence to prove a general law: while the more limited assertion, which stops at all known animals, can not be made unless we have rigorously verified it in every species. In like manner (to return to a former example) we might have inferred, not that all *the* planets, but that all *planets*, shine by reflected light: the former is no induction; the latter is an induction, and a bad one, being disproved by the case of double stars — self-luminous bodies which are properly planets, since they revolve round a centre.

§ 2. There are several processes used in mathematics which require to be distinguished from Induction, being not unfrequently called by that name, and being so far similar to Induction properly so called, that the propositions they lead to are really general propositions. For example, when we have proved with respect to the circle, that a straight line can not meet it in more than two points, and when the same thing has been successively proved of the ellipse, the parabola, and the hyperbola, it may be laid down as a universal property of the sections of the cone. The distinction drawn in the two previous examples can have no place here, there being no difference between all *known* sections of the cone and *all* sections, since a cone demonstrably can not be intersected by a plane except in one of these four lines. It would be difficult, therefore, to refuse to the proposition arrived at, the name of a generalization, since there is no room for any generalization beyond it. But there is no induction, because there is no inference: the conclusion is a mere summing up of what was asserted in the various propositions from which it is drawn. A case somewhat, though not altogether, similar, is the proof of a geometrical theorem by means of a diagram. Whether the diagram be on paper or only in the imagination, the demonstration (as formerly observed<sup>101</sup>) does not prove directly the general theorem; it proves only that the conclusion, which the theorem asserts generally, is true of the particular triangle or circle exhibited in the diagram; but since we perceive that in the same way in which we have proved it of that circle, it might also be proved of any other circle, we gather up into one general expression all the singular propositions susceptible of being thus proved, and embody them in a universal proposition. Having shown that the three angles of the triangle ABC are together equal to two right angles, we conclude that this

is true of every other triangle, not because it is true of ABC, but for the same reason which proved it to be true of ABC. If this were to be called Induction, an appropriate name for it would be, induction by parity of reasoning. But the term can not properly belong to it; the characteristic quality of Induction is wanting, since the truth obtained, though really general, is not believed on the evidence of particular instances. We do not conclude that all triangles have the property because some triangles have, but from the ulterior demonstrative evidence which was the ground of our conviction in the particular instances.

There are nevertheless, in mathematics, some examples of so-called Induction, in which the conclusion does bear the appearance of a generalization grounded on some of the particular cases included in it. A mathematician, when he has calculated a sufficient number of the terms of an algebraical or arithmetical series to have ascertained what is called the *law* of the series, does not hesitate to fill up any number of the succeeding terms without repeating the calculations. But I apprehend he only does so when it is apparent from *a priori* considerations (which might be exhibited in the form of demonstration) that the mode of formation of the subsequent terms, each from that which preceded it, must be similar to the formation of the terms which have been already calculated. And when the attempt has been hazarded without the sanction of such general considerations, there are instances on record in which it has led to false results.

It is said that Newton discovered the binomial theorem by induction; by raising a binomial successively to a certain number of powers, and comparing those powers with one another until he detected the relation in which the algebraic formula of each power stands to the exponent of that power, and to the two terms of the binomial. The fact is not improbable: but a mathematician like Newton, who seemed to arrive *per saltum* at principles and conclusions that ordinary mathematicians only reached by a succession of steps, certainly could not have performed the comparison in question without being led by it to the *a priori* ground of the law; since any one who understands sufficiently the nature of multiplication to venture upon multiplying several lines of symbols at one operation, can not but perceive that in raising a binomial to a power, the co-efficients

must depend on the laws of permutation and combination: and as soon as this is recognized, the theorem is demonstrated. Indeed, when once it was seen that the law prevailed in a few of the lower powers, its identity with the law of permutation would at once suggest the considerations which prove it to obtain universally. Even, therefore, such cases as these, are but examples of what I have called Induction by parity of reasoning, that is, not really Induction, because not involving inference of a general proposition from particular instances.

§ 3. There remains a third improper use of the term Induction, which it is of real importance to clear up, because the theory of Induction has been, in no ordinary degree, confused by it, and because the confusion is exemplified in the most recent and elaborate treatise on the inductive philosophy which exists in our language. The error in question is that of confounding a mere description, by general terms, of a set of observed phenomena, with an induction from them.

Suppose that a phenomenon consists of parts, and that these parts are only capable of being observed separately, and as it were piecemeal. When the observations have been made, there is a convenience (amounting for many purposes to a necessity) in obtaining a representation of the phenomenon as a whole, by combining, or as we may say, piecing these detached fragments together. A navigator sailing in the midst of the ocean discovers land: he can not at first, or by any one observation, determine whether it is a continent or an island; but he coasts along it, and after a few days finds himself to have sailed completely round it: he then pronounces it an island. Now there was no particular time or place of observation at which he could perceive that this land was entirely surrounded by water: he ascertained the fact by a succession of partial observations, and then selected a general expression which summed up in two or three words the whole of what he so observed. But is there any thing of the nature of an induction in this process? Did he infer any thing that had not been observed, from something else which had? Certainly not. He had observed the whole of what the proposition asserts. That the land in question is an island, is not an inference from the partial facts which the navigator saw in the course of his circumnavigation; it is the facts themselves; it is a



summary of those facts; the description of a complex fact, to which those simpler ones are as the parts of a whole.

Now there is, I conceive, no difference in kind between this simple operation, and that by which Kepler ascertained the nature of the planetary orbits: and Kepler's operation, all at least that was characteristic in it, was not more an inductive act than that of our supposed navigator.

The object of Kepler was to determine the real path described by each of the planets, or let us say by the planet Mars (since it was of that body that he first established the two of his three laws which did not require a comparison of planets). To do this there was no other mode than that of direct observation: and all which observation could do was to ascertain a great number of the successive places of the planet; or rather, of its apparent places. That the planet occupied successively all these positions, or at all events, positions which produced the same impressions on the eye, and that it passed from one of these to another insensibly, and without any apparent breach of continuity; thus much the senses, with the aid of the proper instruments, could ascertain. What Kepler did more than this, was to find what sort of a curve these different points would make, supposing them to be all joined together. He expressed the whole series of the observed places of Mars by what Dr. Whewell calls the general conception of an ellipse. This operation was far from being as easy as that of the navigator who expressed the series of his observations on successive points of the coast by the general conception of an island. But it is the very same sort of operation; and if the one is not an induction but a description, this must also be true of the other.

The only real induction concerned in the case, consisted in inferring that because the observed places of Mars were correctly represented by points in an imaginary ellipse, therefore Mars would continue to revolve in that same ellipse; and in concluding (before the gap had been filled up by further observations) that the positions of the planet during the time which intervened between two observations, must have coincided with the intermediate points of the curve. For these were facts which had not been directly observed. They were inferences from the observations; facts inferred, as distinguished from facts seen. But these inferences were so far from being a part of Kepler's philosophical operation, that they had been

drawn long before he was born. Astronomers had long known that the planets periodically returned to the same places. When this had been ascertained, there was no induction left for Kepler to make, nor did he make any further induction. He merely applied his new conception to the facts inferred, as he did to the facts observed. Knowing already that the planets continued to move in the same paths; when he found that an ellipse correctly represented the past path, he knew that it would represent the future path. In finding a compendious expression for the one set of facts, he found one for the other: but he found the expression only, not the inference; nor did he (which is the true test of a general truth) add any thing to the power of prediction already possessed.

§ 4. The descriptive operation which enables a number of details to be summed up in a single proposition, Dr. Whewell, by an aptly chosen expression, has termed the Colligation of Facts. In most of his observations concerning that mental process I fully agree, and would gladly transfer all that portion of his book into my own pages. I only think him mistaken in setting up this kind of operation, which according to the old and received meaning of the term, is not induction at all, as the type of induction generally; and laying down, throughout his work, as principles of induction, the principles of mere colligation.

Dr. Whewell maintains that the general proposition which binds together the particular facts, and makes them, as it were, one fact, is not the mere sum of those facts, but something more, since there is introduced a conception of the mind, which did not exist in the facts themselves. "The particular facts," says he,<sup>102</sup> "are not merely brought together, but there is a new element added to the combination by the very act of thought by which they are combined. . . . When the Greeks, after long observing the motions of the planets, saw that these motions might be rightly considered as produced by the motion of one wheel revolving in the inside of another wheel, these wheels were creations of their minds, added to the facts which they perceived by sense. And even if the wheels were no longer supposed to be material, but were reduced to mere geometrical spheres or circles, they were not the less products of the mind alone — something additional to the facts observed. The same is the case in all other discoveries. The facts are known, but they are insulated and unconnected, till the

discoverer supplies from his own store a principle of connection. The pearls are there, but they will not hang together till some one provides the string.”

Let me first remark that Dr. Whewell, in this passage, blends together, indiscriminately, examples of both the processes which I am endeavoring to distinguish from one another. When the Greeks abandoned the supposition that the planetary motions were produced by the revolution of material wheels, and fell back upon the idea of “mere geometrical spheres or circles,” there was more in this change of opinion than the mere substitution of an ideal curve for a physical one. There was the abandonment of a theory, and the replacement of it by a mere description. No one would think of calling the doctrine of material wheels a mere description. That doctrine was an attempt to point out the force by which the planets were acted upon, and compelled to move in their orbits. But when, by a great step in philosophy, the materiality of the wheels was discarded, and the geometrical forms alone retained, the attempt to account for the motions was given up, and what was left of the theory was a mere description of the orbits. The assertion that the planets were carried round by wheels revolving in the inside of other wheels, gave place to the proposition, that they moved in the same lines which would be traced by bodies so carried: which was a mere mode of representing the sum of the observed facts; as Kepler’s was another and a better mode of representing the same observations.

It is true that for these simply descriptive operations, as well as for the erroneous inductive one, a conception of the mind was required. The conception of an ellipse must have presented itself to Kepler’s mind, before he could identify the planetary orbits with it. According to Dr. Whewell, the conception was something added to the facts. He expresses himself as if Kepler had put something into the facts by his mode of conceiving them. But Kepler did no such thing. The ellipse was in the facts before Kepler recognized it; just as the island was an island before it had been sailed round. Kepler did not *put* what he had conceived into the facts, but *saw* it in them. A conception implies, and corresponds to, something conceived: and though the conception itself is not in the facts, but in our mind, yet if it is to convey any knowledge relating to them, it must be a

conception of something which really is in the facts, some property which they actually possess, and which they would manifest to our senses, if our senses were able to take cognizance of it. If, for instance, the planet left behind it in space a visible track, and if the observer were in a fixed position at such a distance from the plane of the orbit as would enable him to see the whole of it at once, he would see it to be an ellipse; and if gifted with appropriate instruments and powers of locomotion, he could prove it to be such by measuring its different dimensions. Nay, further: if the track were visible, and he were so placed that he could see all parts of it in succession, but not all of them at once, he might be able, by piecing together his successive observations, to discover both that it was an ellipse and that the planet moved in it. The case would then exactly resemble that of the navigator who discovers the land to be an island by sailing round it. If the path was visible, no one I think would dispute that to identify it with an ellipse is to describe it: and I can not see why any difference should be made by its not being directly an object of sense, when every point in it is as exactly ascertained as if it were so.

Subject to the indispensable condition which has just been stated, I do not conceive that the part which conceptions have in the operation of studying facts, has ever been overlooked or undervalued. No one ever disputed that in order to reason about any thing we must have a conception of it; or that when we include a multitude of things under a general expression, there is implied in the expression a conception of something common to those things. But it by no means follows that the conception is necessarily pre-existent, or constructed by the mind out of its own materials. If the facts are rightly classed under the conception, it is because there is in the facts themselves something of which the conception is itself a copy; and which if we can not directly perceive, it is because of the limited power of our organs, and not because the thing itself is not there. The conception itself is often obtained by abstraction from the very facts which, in Dr. Whewell's language, it is afterward called in to connect. This he himself admits, when he observes (which he does on several occasions), how great a service would be rendered to the science of physiology by the philosopher "who should establish a precise, tenable, and consistent conception of life."<sup>103</sup> Such a conception can only be abstracted from the phenomena of life itself; from the very facts which it is

put in requisition to connect. In other cases, no doubt, instead of collecting the conception from the very phenomena which we are attempting to colligate, we select it from among those which have been previously collected by abstraction from other facts. In the instance of Kepler's laws, the latter was the case. The facts being out of the reach of being observed, in any such manner as would have enabled the senses to identify directly the path of the planet, the conception requisite for framing a general description of that path could not be collected by abstraction from the observations themselves; the mind had to supply hypothetically, from among the conceptions it had obtained from other portions of its experience, some one which would correctly represent the series of the observed facts. It had to frame a supposition respecting the general course of the phenomenon, and ask itself, If this be the general description, what will the details be? and then compare these with the details actually observed. If they agreed, the hypothesis would serve for a description of the phenomenon: if not, it was necessarily abandoned, and another tried. It is such a case as this which gives rise to the doctrine that the mind, in framing the descriptions, adds something of its own which it does not find in the facts.

Yet it is a fact surely, that the planet does describe an ellipse; and a fact which we could see, if we had adequate visual organs and a suitable position. Not having these advantages, but possessing the conception of an ellipse, or (to express the meaning in less technical language) knowing what an ellipse was, Kepler tried whether the observed places of the planet were consistent with such a path. He found they were so; and he, consequently, asserted as a fact that the planet moved in an ellipse. But this fact, which Kepler did not add to, but found in, the motions of the planet, namely, that it occupied in succession the various points in the circumference of a given ellipse, was the very fact, the separate parts of which had been separately observed; it was the sum of the different observations.

Having stated this fundamental difference between my opinion and that of Dr. Whewell, I must add, that his account of the manner in which a conception is selected, suitable to express the facts, appears to me perfectly just. The experience of all thinkers will, I believe, testify that the

process is tentative; that it consists of a succession of guesses; many being rejected, until one at last occurs fit to be chosen. We know from Kepler himself that before hitting upon the "conception" of an ellipse, he tried nineteen other imaginary paths, which, finding them inconsistent with the observations, he was obliged to reject. But as Dr. Whewell truly says, the successful hypothesis, though a guess, ought generally to be called, not a lucky, but a skillful guess. The guesses which serve to give mental unity and wholeness to a chaos of scattered particulars, are accidents which rarely occur to any minds but those abounding in knowledge and disciplined in intellectual combinations.

How far this tentative method, so indispensable as a means to the colligation of facts for purposes of description, admits of application to Induction itself, and what functions belong to it in that department, will be considered in the chapter of the present Book which relates to Hypotheses. On the present occasion we have chiefly to distinguish this process of Colligation from Induction properly so called; and that the distinction may be made clearer, it is well to advert to a curious and interesting remark, which is as strikingly true of the former operation, as it appears to me unequivocally false of the latter.

In different stages of the progress of knowledge, philosophers have employed, for the colligation of the same order of facts, different conceptions. The early rude observations of the heavenly bodies, in which minute precision was neither attained nor sought, presented nothing inconsistent with the representation of the path of a planet as an exact circle, having the earth for its centre. As observations increased in accuracy, facts were disclosed which were not reconcilable with this simple supposition: for the colligation of those additional facts, the supposition was varied; and varied again and again as facts became more numerous and precise. The earth was removed from the centre to some other point within the circle; the planet was supposed to revolve in a smaller circle called an epicycle, round an imaginary point which revolved in a circle round the earth: in proportion as observation elicited fresh facts contradictory to these representations, other epicycles and other eccentrics were added, producing additional complication; until at last Kepler swept all these circles away, and substituted the conception of an exact ellipse.

Even this is found not to represent with complete correctness the accurate observations of the present day, which disclose many slight deviations from an orbit exactly elliptical. Now Dr. Whewell has remarked that these successive general expressions, though apparently so conflicting, were all correct: they all answered the purpose of colligation; they all enabled the mind to represent to itself with facility, and by a simultaneous glance, the whole body of facts at the time ascertained: each in its turn served as a correct description of the phenomena, so far as the senses had up to that time taken cognizance of them. If a necessity afterward arose for discarding one of these general descriptions of the planet's orbit, and framing a different imaginary line, by which to express the series of observed positions, it was because a number of new facts had now been added, which it was necessary to combine with the old facts into one general description. But this did not affect the correctness of the former expression, considered as a general statement of the only facts which it was intended to represent. And so true is this, that, as is well remarked by M. Comte, these ancient generalizations, even the rudest and most imperfect of them, that of uniform movement in a circle, are so far from being entirely false, that they are even now habitually employed by astronomers when only a rough approximation to correctness is required. "L'astronomie moderne, en détruisant sans retour les hypothèses primitives, envisagées comme lois réelles du monde, a soigneusement maintenu leur valeur positive et permanente, la propriété de représenter commodément les phénomènes quand il s'agit d'une première ébauche. Nos ressources à cet égard sont même bien plus étendues, précisément à cause que nous ne nous faisons aucune illusion sur la réalité des hypothèses; ce qui nous permet d'employer sans scrupule, en chaque cas, celle que nous jugeons la plus avantageuse."<sup>104</sup>.....

Dr. Whewell's remark, therefore, is philosophically correct. Successive expressions for the colligation of observed facts, or, in other words, successive descriptions of a phenomenon as a whole, which has been observed only in parts, may, though conflicting, be all correct as far as they go. But it would surely be absurd to assert this of conflicting inductions.

The scientific study of facts may be undertaken for three different purposes: the simple description of the facts; their explanation; or their

prediction: meaning by prediction, the determination of the conditions under which similar facts may be expected again to occur. To the first of these three operations the name of Induction does not properly belong: to the other two it does. Now, Dr. Whewell's observation is true of the first alone. Considered as a mere description, the circular theory of the heavenly motions represents perfectly well their general features: and by adding epicycles without limit, those motions, even as now known to us, might be expressed with any degree of accuracy that might be required. The elliptical theory, as a mere description, would have a great advantage in point of simplicity, and in the consequent facility of conceiving it and reasoning about it; but it would not really be more true than the other. Different descriptions, therefore, may be all true: but not, surely, different explanations. The doctrine that the heavenly bodies moved by a virtue inherent in their celestial nature; the doctrine that they were moved by impact (which led to the hypothesis of vortices as the only impelling force capable of whirling bodies in circles), and the Newtonian doctrine, that they are moved by the composition of a centripetal with an original projectile force; all these are explanations, collected by real induction from supposed parallel cases; and they were all successively received by philosophers, as scientific truths on the subject of the heavenly bodies. Can it be said of these, as was said of the different descriptions, that they are all true as far as they go? Is it not clear that only one can be true in any degree, and the other two must be altogether false? So much for explanations: let us now compare different predictions: the first, that eclipses will occur when one planet or satellite is so situated as to cast its shadow upon another; the second, that they will occur when some great calamity is impending over mankind. Do these two doctrines only differ in the degree of their truth, as expressing real facts with unequal degrees of accuracy? Assuredly the one is true, and the other absolutely false.<sup>105</sup>.....

In every way, therefore, it is evident that to explain induction as the colligation of facts by means of appropriate conceptions, that is, conceptions which will really express them, is to confound mere description of the observed facts with inference from those facts, and ascribe to the latter what is a characteristic property of the former.



There is, however, between Colligation and Induction, a real correlation, which it is important to conceive correctly. Colligation is not always induction; but induction is always colligation. The assertion that the planets move in ellipses, was but a mode of representing observed facts; it was but a colligation; while the assertion that they are drawn, or tend, toward the sun, was the statement of a new fact, inferred by induction. But the induction, once made, accomplishes the purposes of colligation likewise. It brings the same facts, which Kepler had connected by his conception of an ellipse, under the additional conception of bodies acted upon by a central force, and serves, therefore, as a new bond of connection for those facts; a new principle for their classification.

Further, the descriptions which are improperly confounded with induction, are nevertheless a necessary preparation for induction; no less necessary than correct observation of the facts themselves. Without the previous colligation of detached observations by means of one general conception, we could never have obtained any basis for an induction, except in the case of phenomena of very limited compass. We should not be able to affirm any predicates at all, of a subject incapable of being observed otherwise than piecemeal: much less could we extend those predicates by induction to other similar subjects. Induction, therefore, always presupposes, not only that the necessary observations are made with the necessary accuracy, but also that the results of these observations are, so far as practicable, connected together by general descriptions, enabling the mind to represent to itself as wholes whatever phenomena are capable of being so represented.

§ 5. Dr. Whewell has replied at some length to the preceding observations, restating his opinions, but without (as far as I can perceive) adding any thing material to his former arguments. Since, however, mine have not had the good fortune to make any impression upon him, I will subjoin a few remarks, tending to show more clearly in what our difference of opinion consists, as well as, in some measure, to account for it.

Nearly all the definitions of induction, by writers of authority, make it consist in drawing inferences from known cases to unknown; affirming of a class, a predicate which has been found true of some cases belonging to the class; concluding because some things have a certain property, that

other things which resemble them have the same property — or because a thing has manifested a property at a certain time, that it has and will have that property at other times.

It will scarcely be contended that Kepler's operation was an Induction in this sense of the term. The statement, that Mars moves in an elliptical orbit, was no generalization from individual cases to a class of cases. Neither was it an extension to all time, of what had been found true at some particular time. The whole amount of generalization which the case admitted of, was already completed, or might have been so. Long before the elliptic theory was thought of, it had been ascertained that the planets returned periodically to the same apparent places; the series of these places was, or might have been, completely determined, and the apparent course of each planet marked out on the celestial globe in an uninterrupted line. Kepler did not extend an observed truth to other cases than those in which it had been observed: he did not widen the *subject* of the proposition which expressed the observed facts. The alteration he made was in the predicate. Instead of saying, the successive places of Mars are so and so, he summed them up in the statement, that the successive places of Mars are points in an ellipse. It is true, this statement, as Dr. Whewell says, was not the sum of the observations *merely*; it was the sum of the observations *seen under a new point of view*.<sup>106</sup> But it was not the sum of *more* than the observations, as a real induction is. It took in no cases but those which had been actually observed, or which could have been inferred from the observations before the new point of view presented itself. There was not that transition from known cases to unknown, which constitutes Induction in the original and acknowledged meaning of the term.

Old definitions, it is true, can not prevail against new knowledge: and if the Keplerian operation, as a logical process, be really identical with what takes place in acknowledged induction, the definition of induction ought to be so widened as to take it in; since scientific language ought to adapt itself to the true relations which subsist between the things it is employed to designate. Here then it is that I am at issue with Dr. Whewell. He does think the operations identical. He allows of no logical process in any case of induction, other than what there was in Kepler's case, namely, guessing until a guess is found which tallies with the facts; and

accordingly, as we shall see hereafter, he rejects all canons of induction, because it is not by means of them that we guess. Dr. Whewell's theory of the logic of science would be very perfect if it did not pass over altogether the question of Proof. But in my apprehension there is such a thing as proof, and inductions differ altogether from descriptions in their relation to that element. Induction is proof; it is inferring something unobserved from something observed: it requires, therefore, an appropriate test of proof; and to provide that test, is the special purpose of inductive logic. When, on the contrary, we merely collate known observations, and, in Dr. Whewell's phraseology, connect them by means of a new conception; if the conception does serve to connect the observations, we have all we want. As the proposition in which it is embodied pretends to no other truth than what it may share with many other modes of representing the same facts, to be consistent with the facts is all it requires: it neither needs nor admits of proof; though it may serve to prove other things, inasmuch as, by placing the facts in mental connection with other facts, not previously seen to resemble them, it assimilates the case to another class of phenomena, concerning which real Inductions have already been made. Thus Kepler's so-called law brought the orbit of Mars into the class ellipse, and by doing so, proved all the properties of an ellipse to be true of the orbit: but in this proof Kepler's law supplied the minor premise, and not (as is the case with real Inductions) the major.

Dr. Whewell calls nothing Induction where there is not a new mental conception introduced, and every thing induction where there is. But this is to confound two very different things, Invention and Proof. The introduction of a new conception belongs to Invention: and invention may be required in any operation, but is the essence of none. A new conception may be introduced for descriptive purposes, and so it may for inductive purposes. But it is so far from constituting induction, that induction does not necessarily stand in need of it. Most inductions require no conception but what was present in every one of the particular instances on which the induction is grounded. That all men are mortal is surely an inductive conclusion; yet no new conception is introduced by it. Whoever knows that any man has died, has all the conceptions involved in the inductive generalization. But Dr. Whewell considers the process of invention which

consists in framing a new conception consistent with the facts, to be not merely a necessary part of all induction, but the whole of it.

The mental operation which extracts from a number of detached observations certain general characters in which the observed phenomena resemble one another, or resemble other known facts, is what Bacon, Locke, and most subsequent metaphysicians, have understood by the word Abstraction. A general expression obtained by abstraction, connecting known facts by means of common characters, but without concluding from them to unknown, may, I think, with strict logical correctness, be termed a Description; nor do I know in what other way things can ever be described. My position, however, does not depend on the employment of that particular word; I am quite content to use Dr. Whewell's term Colligation, or the more general phrases, "mode of representing, or of expressing, phenomena:" provided it be clearly seen that the process is not Induction, but something radically different.

What more may usefully be said on the subject of Colligation, or of the correlative expression invented by Dr. Whewell, the Explication of Conceptions, and generally on the subject of ideas and mental representations as connected with the study of facts, will find a more appropriate place in the Fourth Book, on the Operations Subsidiary to Induction: to which I must refer the reader for the removal of any difficulty which the present discussion may have left.

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<sup>101</sup> *Supra*, p. 145.

<sup>102</sup> *Novum Organum Renovatum*, pp. 72, 73.

<sup>103</sup> *Novum Organum Renovatum*, p. 32.

<sup>104</sup> *Cours de Philosophie Positive*, vol. ii., p. 202.

<sup>105</sup> Dr. Whewell, in his reply, contests the distinction here drawn, and maintains, that not only different descriptions, but different explanations of a phenomenon, may all be true. Of the three theories respecting the motions of the heavenly bodies, he says (*Philosophy of Discovery*, p. 231): "Undoubtedly all these explanations may be true and consistent with each other, and would be so if each had been followed out so as to show in what manner it could be made consistent with the facts. And this was, in reality, in a great measure done. The doctrine that the heavenly bodies were moved

by vortices was successfully modified, so that it came to coincide in its results with the doctrine of an inverse-quadratic centripetal force. . . . When this point was reached, the vortex was merely a machinery, well or ill devised, for producing such a centripetal force, and therefore did not contradict the doctrine of a centripetal force. Newton himself does not appear to have been averse to explaining gravity by impulse. So little is it true that if one theory be true the other must be false. The attempt to explain gravity by the impulse of streams of particles flowing through the universe in all directions, which I have mentioned in the *Philosophy*, is so far from being inconsistent with the Newtonian theory, that it is founded entirely upon it. And even with regard to the doctrine, that the heavenly bodies move by an inherent virtue; if this doctrine had been maintained in any such way that it was brought to agree with the facts, the inherent virtue must have had its laws determined; and then it would have been found that the virtue had a reference to the central body; and so, the 'inherent virtue' must have coincided in its effect with the Newtonian force; and then, the two explanations would agree, except so far as the word 'inherent' was concerned. And if such a part of an earlier theory as this word *inherent* indicates, is found to be untenable, it is of course rejected in the transition to later and more exact theories, in Inductions of this kind, as well as in what Mr. Mill calls Descriptions. There is, therefore, still no validity discoverable in the distinction which Mr. Mill attempts to draw between descriptions like Kepler's law of elliptical orbits, and other examples of induction."

If the doctrine of vortices had meant, not that vortices existed, but only that the planets moved *in the same manner* as if they had been whirled by vortices; if the hypothesis had been merely a mode of representing the facts, not an attempt to account for them; if, in short, it had been only a Description; it would, no doubt, have been reconcilable with the Newtonian theory. The vortices, however, were not a mere aid to conceiving the motions of the planets, but a supposed physical agent, actively impelling them; a material fact, which might be true or not true, but could not be both true and not true. According to Descartes's theory it was true, according to Newton's it was not true. Dr. Whewell probably means that since the phrases, centripetal and projectile force, do not declare the nature but only the direction of the forces, the Newtonian theory does not absolutely contradict any hypothesis which may be framed respecting the mode of their production. The Newtonian theory, regarded as a mere *description* of the planetary motions, does not; but the Newtonian theory as an *explanation* of them does. For in what does the explanation consist? In ascribing those motions to a general law which obtains between all particles of matter, and in identifying this with the law by which bodies fall to the ground. If the planets are kept in their orbits by a force which draws the particles composing them toward every other particle of matter in the solar system, they are not kept in those orbits by the impulsive force of certain streams of matter which whirl them round. The one explanation absolutely

excludes the other. Either the planets are not moved by vortices, or they do not move by a law common to all matter. It is impossible that both opinions can be true. As well might it be said that there is no contradiction between the assertions, that a man died because somebody killed him, and that he died a natural death.

So, again, the theory that the planets move by a virtue inherent in their celestial nature, is incompatible with either of the two others: either that of their being moved by vortices, or that which regards them as moving by a property which they have in common with the earth and all terrestrial bodies. Dr. Whewell says that the theory of an inherent virtue agrees with Newton's when the word inherent is left out, which of course it would be (he says) if "found to be untenable." But leave that out, and where is the theory? The word inherent *is* the theory. When that is omitted, there remains nothing except that the heavenly bodies move "by a virtue," *i.e.*, by a power of some sort; or by virtue of their celestial nature, which directly contradicts the doctrine that terrestrial bodies fall by the same law.

If Dr. Whewell is not yet satisfied, any other subject will serve equally well to test his doctrine. He will hardly say that there is no contradiction between the emission theory and the undulatory theory of light; or that there can be both one and two electricities; or that the hypothesis of the production of the higher organic forms by development from the lower, and the supposition of separate and successive acts of creation, are quite reconcilable; or that the theory that volcanoes are fed from a central fire, and the doctrines which ascribe them to chemical action at a comparatively small depth below the earth's surface, are consistent with one another, and all true as far as they go.

If different explanations of the same fact can not both be true, still less, surely, can different predictions. Dr. Whewell quarrels (on what ground it is not necessary here to consider) with the example I had chosen on this point, and thinks an objection to an illustration a sufficient answer to a theory. Examples not liable to his objection are easily found, if the proposition that conflicting predictions can not both be true, can be made clearer by many examples. Suppose the phenomenon to be a newly-discovered comet, and that one astronomer predicts its return once in every 300 years — another once in every 400: can they both be right? When Columbus predicted that by sailing constantly westward he should in time return to the point from which he set out, while others asserted that he could never do so except by turning back, were both he and his opponents true prophets? Were the predictions which foretold the wonders of railways and steamships, and those which averred that the Atlantic could never be crossed by steam navigation, nor a railway train propelled ten miles an hour, both (in Dr. Whewell's words) "true, and consistent with one another?"

Dr. Whewell sees no distinction between holding contradictory opinions on a question of fact, and merely employing different analogies to facilitate the

conception of the same fact. The case of different Inductions belongs to the former class, that of different Descriptions to the latter.

<sup>106</sup> *Phil. of Discov.*, p. 256.



# CHAPTER III.

## OF THE GROUND OF INDUCTION.

§ 1. Induction properly so called, as distinguished from those mental operations, sometimes, though improperly, designated by the name, which I have attempted in the preceding chapter to characterize, may, then, be summarily defined as Generalization from Experience. It consists in inferring from some individual instances in which a phenomenon is observed to occur, that it occurs in all instances of a certain class; namely, in all which *resemble* the former, in what are regarded as the material circumstances.

In what way the material circumstances are to be distinguished from those which are immaterial, or why some of the circumstances are material and others not so, we are not yet ready to point out. We must first observe, that there is a principle implied in the very statement of what Induction is; an assumption with regard to the course of nature and the order of the universe; namely, that there are such things in nature as parallel cases; that what happens once, will, under a sufficient degree of similarity of circumstances, happen again, and not only again, but as often as the same circumstances recur. This, I say, is an assumption, involved in every case of induction. And, if we consult the actual course of nature, we find that the assumption is warranted. The universe, so far as known to us, is so constituted, that whatever is true in any one case, is true in all cases of a certain description; the only difficulty is, to find what description.

This universal fact, which is our warrant for all inferences from experience, has been described by different philosophers in different forms of language: that the course of nature is uniform; that the universe is governed by general laws; and the like. One of the most usual of these modes of expression, but also one of the most inadequate, is that which has been brought into familiar use by the metaphysicians of the school of Reid and Stewart. The disposition of the human mind to generalize from experience — a propensity considered by these philosophers as an instinct of our nature — they usually describe under some such name as “our



intuitive conviction that the future will resemble the past.” Now it has been well pointed out by Mr. Bailey,<sup>107</sup> that (whether the tendency be or not an original and ultimate element of our nature), Time, in its modifications of past, present, and future, has no concern either with the belief itself, or with the grounds of it. We believe that fire will burn to-morrow, because it burned to-day and yesterday; but we believe, on precisely the same grounds, that it burned before we were born, and that it burns this very day in Cochin-China. It is not from the past to the future, as past and future, that we infer, but from the known to the unknown; from facts observed to facts unobserved; from what we have perceived, or been directly conscious of, to what has not come within our experience. In this last predicament is the whole region of the future; but also the vastly greater portion of the present and of the past.

Whatever be the most proper mode of expressing it, the proposition that the course of nature is uniform, is the fundamental principle, or general axiom of Induction. It would yet be a great error to offer this large generalization as any explanation of the inductive process. On the contrary, I hold it to be itself an instance of induction, and induction by no means of the most obvious kind. Far from being the first induction we make, it is one of the last, or at all events one of those which are latest in attaining strict philosophical accuracy. As a general maxim, indeed, it has scarcely entered into the minds of any but philosophers; nor even by them, as we shall have many opportunities of remarking, have its extent and limits been always very justly conceived. The truth is, that this great generalization is itself founded on prior generalizations. The obscurer laws of nature were discovered by means of it, but the more obvious ones must have been understood and assented to as general truths before it was ever heard of. We should never have thought of affirming that all phenomena take place according to general laws, if we had not first arrived, in the case of a great multitude of phenomena, at some knowledge of the laws themselves; which could be done no otherwise than by induction. In what sense, then, can a principle, which is so far from being our earliest induction, be regarded as our warrant for all the others? In the only sense, in which (as we have already seen) the general propositions which we place at the head of our reasonings when we throw them into syllogisms, ever really contribute to their validity. As Archbishop Whately remarks,

every induction is a syllogism with the major premise suppressed; or (as I prefer expressing it) every induction may be thrown into the form of a syllogism, by supplying a major premise. If this be actually done, the principle which we are now considering, that of the uniformity of the course of nature, will appear as the ultimate major premise of all inductions, and will, therefore, stand to all inductions in the relation in which, as has been shown at so much length, the major proposition of a syllogism always stands to the conclusion; not contributing at all to prove it, but being a necessary condition of its being proved; since no conclusion is proved, for which there can not be found a true major premise.<sup>108</sup>

The statement, that the uniformity of the course of nature is the ultimate major premise in all cases of induction, may be thought to require some explanation. The immediate major premise in every inductive argument, it certainly is not. Of that, Archbishop Whately's must be held to be the correct account. The induction, "John, Peter, etc., are mortal, therefore all mankind are mortal," may, as he justly says, be thrown into a syllogism by prefixing as a major premise (what is at any rate a necessary condition of the validity of the argument), namely, that what is true of John, Peter, etc., is true of all mankind. But how came we by this major premise? It is not self-evident; nay, in all cases of unwarranted generalization, it is not true. How, then, is it arrived at? Necessarily either by induction or ratiocination; and if by induction, the process, like all other inductive arguments, may be thrown into the form of a syllogism. This previous syllogism it is, therefore, necessary to construct. There is, in the long run, only one possible construction. The real proof that what is true of John, Peter, etc., is true of all mankind, can only be, that a different supposition would be inconsistent with the uniformity which we know to exist in the course of nature. Whether there would be this inconsistency or not, may be a matter of long and delicate inquiry; but unless there would, we have no sufficient ground for the major of the inductive syllogism. It hence appears, that if we throw the whole course of any inductive argument into a series of syllogisms, we shall arrive by more or fewer steps at an ultimate syllogism, which will have for its major premise the principle, or axiom, of the uniformity of the course of nature.<sup>109</sup>

It was not to be expected that in the case of this axiom, any more than of other axioms, there should be unanimity among thinkers with respect to the grounds on which it is to be received as true. I have already stated that I regard it as itself a generalization from experience. Others hold it to be a principle which, antecedently to any verification by experience, we are compelled by the constitution of our thinking faculty to assume as true. Having so recently, and at so much length, combated a similar doctrine as applied to the axioms of mathematics, by arguments which are in a great measure applicable to the present case, I shall defer the more particular discussion of this controverted point in regard to the fundamental axiom of induction, until a more advanced period of our inquiry.<sup>110</sup> At present it is of more importance to understand thoroughly the import of the axiom itself. For the proposition, that the course of nature is uniform, possesses rather the brevity suitable to popular, than the precision requisite in philosophical language: its terms require to be explained, and a stricter than their ordinary signification given to them, before the truth of the assertion can be admitted.

§ 2. Every person's consciousness assures him that he does not always expect uniformity in the course of events; he does not always believe that the unknown will be similar to the known, that the future will resemble the past. Nobody believes that the succession of rain and fine weather will be the same in every future year as in the present. Nobody expects to have the same dreams repeated every night. On the contrary, every body mentions it as something extraordinary, if the course of nature is constant, and resembles itself, in these particulars. To look for constancy where constancy is not to be expected, as for instance that a day which has once brought good fortune will always be a fortunate day, is justly accounted superstition.

The course of nature, in truth, is not only uniform, it is also infinitely various. Some phenomena are always seen to recur in the very same combinations in which we met with them at first; others seem altogether capricious; while some, which we had been accustomed to regard as bound down exclusively to a particular set of combinations, we unexpectedly find detached from some of the elements with which we had hitherto found them conjoined, and united to others of quite a contrary description. To an

inhabitant of Central Africa, fifty years ago, no fact probably appeared to rest on more uniform experience than this, that all human beings are black. To Europeans, not many years ago, the proposition, All swans are white, appeared an equally unequivocal instance of uniformity in the course of nature. Further experience has proved to both that they were mistaken; but they had to wait fifty centuries for this experience. During that long time, mankind believed in a uniformity of the course of nature where no such uniformity really existed.

According to the notion which the ancients entertained of induction, the foregoing were cases of as legitimate inference as any inductions whatever. In these two instances, in which, the conclusion being false, the ground of inference must have been insufficient, there was, nevertheless, as much ground for it as this conception of induction admitted of. The induction of the ancients has been well described by Bacon, under the name of “*Inductio per enumerationem simplicem, ubi non reperitur instantia contradictoria.*” It consists in ascribing the character of general truths to all propositions which are true in every instance that we happen to know of. This is the kind of induction which is natural to the mind when unaccustomed to scientific methods. The tendency, which some call an instinct, and which others account for by association, to infer the future from the past, the known from the unknown, is simply a habit of expecting that what has been found true once or several times, and never yet found false, will be found true again. Whether the instances are few or many, conclusive or inconclusive, does not much affect the matter: these are considerations which occur only on reflection; the unprompted tendency of the mind is to generalize its experience, provided this points all in one direction; provided no other experience of a conflicting character comes unsought. The notion of seeking it, of experimenting for it, of *interrogating* nature (to use Bacon’s expression) is of much later growth. The observation of nature, by uncultivated intellects, is purely passive: they accept the facts which present themselves, without taking the trouble of searching for more: it is a superior mind only which asks itself what facts are needed to enable it to come to a safe conclusion, and then looks out for these.

But though we have always a propensity to generalize from unvarying experience, we are not always warranted in doing so. Before we can be at liberty to conclude that something is universally true because we have never known an instance to the contrary, we must have reason to believe that if there were in nature any instances to the contrary, we should have known of them. This assurance, in the great majority of cases, we can not have, or can have only in a very moderate degree. The possibility of having it, is the foundation on which we shall see hereafter that induction by simple enumeration may in some remarkable cases amount practically to proof.<sup>111</sup> No such assurance, however, can be had, on any of the ordinary subjects of scientific inquiry. Popular notions are usually founded on induction by simple enumeration; in science it carries us but a little way. We are forced to begin with it; we must often rely on it provisionally, in the absence of means of more searching investigation. But, for the accurate study of nature, we require a surer and a more potent instrument.

It was, above all, by pointing out the insufficiency of this rude and loose conception of Induction, that Bacon merited the title so generally awarded to him, of Founder of the Inductive Philosophy. The value of his own contributions to a more philosophical theory of the subject has certainly been exaggerated. Although (along with some fundamental errors) his writings contain, more or less fully developed, several of the most important principles of the Inductive Method, physical investigation has now far outgrown the Baconian conception of Induction. Moral and political inquiry, indeed, are as yet far behind that conception. The current and approved modes of reasoning on these subjects are still of the same vicious description against which Bacon protested; the method almost exclusively employed by those professing to treat such matters inductively, is the very *inductio per enumerationem simplicem* which he condemns; and the experience which we hear so confidently appealed to by all sects, parties, and interests, is still, in his own emphatic words, *mera palpatio*.

§ 3. In order to a better understanding of the problem which the logician must solve if he would establish a scientific theory of Induction, let us compare a few cases of incorrect inductions with others which are acknowledged to be legitimate. Some, we know, which were believed for centuries to be correct, were nevertheless incorrect. That all swans are

white, can not have been a good induction, since the conclusion has turned out erroneous. The experience, however, on which the conclusion rested, was genuine. From the earliest records, the testimony of the inhabitants of the known world was unanimous on the point. The uniform experience, therefore, of the inhabitants of the known world, agreeing in a common result, without one known instance of deviation from that result, is not always sufficient to establish a general conclusion.

But let us now turn to an instance apparently not very dissimilar to this. Mankind were wrong, it seems, in concluding that all swans were white: are we also wrong, when we conclude that all men's heads grow above their shoulders, and never below, in spite of the conflicting testimony of the naturalist Pliny? As there were black swans, though civilized people had existed for three thousand years on the earth without meeting with them, may there not also be "men whose heads do grow beneath their shoulders," notwithstanding a rather less perfect unanimity of negative testimony from observers? Most persons would answer No; it was more credible that a bird should vary in its color, than that men should vary in the relative position of their principal organs. And there is no doubt that in so saying they would be right: but to say why they are right, would be impossible, without entering more deeply than is usually done, into the true theory of Induction.

Again, there are cases in which we reckon with the most unflinching confidence upon uniformity, and other cases in which we do not count upon it at all. In some we feel complete assurance that the future will resemble the past, the unknown be precisely similar to the known. In others, however invariable may be the result obtained from the instances which have been observed, we draw from them no more than a very feeble presumption that the like result will hold in all other cases. That a straight line is the shortest distance between two points, we do not doubt to be true even in the region of the fixed stars.<sup>112</sup> When a chemist announces the existence and properties of a newly-discovered substance, if we confide in his accuracy, we feel assured that the conclusions he has arrived at will hold universally, though the induction be founded but on a single instance. We do not withhold our assent, waiting for a repetition of the experiment; or if we do, it is from a doubt whether the one experiment was properly

made, not whether if properly made it would be conclusive. Here, then, is a general law of nature, inferred without hesitation from a single instance; a universal proposition from a singular one. Now mark another case, and contrast it with this. Not all the instances which have been observed since the beginning of the world, in support of the general proposition that all crows are black, would be deemed a sufficient presumption of the truth of the proposition, to outweigh the testimony of one unexceptionable witness who should affirm that in some region of the earth not fully explored, he had caught and examined a crow, and had found it to be gray.

Why is a single instance, in some cases, sufficient for a complete induction, while in others, myriads of concurring instances, without a single exception known or presumed, go such a very little way toward establishing a universal proposition? Whoever can answer this question knows more of the philosophy of logic than the wisest of the ancients, and has solved the problem of induction.

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<sup>107</sup> *Essays on the Pursuit of Truth.*

<sup>108</sup> In the first edition a note was appended at this place, containing some criticism on Archbishop Whately's mode of conceiving the relation between Syllogism and Induction. In a subsequent issue of his *Logic*, the Archbishop made a reply to the criticism, which induced me to cancel part of the note, incorporating the remainder in the text. In a still later edition, the Archbishop observes in a tone of something like disapprobation, that the objections, "doubtless from their being fully answered and found untenable, were silently suppressed," and that hence he might appear to some of his readers to be combating a shadow. On this latter point, the Archbishop need give himself no uneasiness. His readers, I make bold to say, will fully credit his mere affirmation that the objections have actually been made.

But as he seems to think that what he terms the suppression of the objections ought not to have been made "silently," I now break that silence, and state exactly what it is that I suppressed, and why. I suppressed that alone which might be regarded as personal criticism on the Archbishop. I had imputed to him the having omitted to ask himself a particular question. I found that he had asked himself the question, and could give it an answer consistent with his own theory. I had also, within the compass of a parenthesis, hazarded some remarks on certain general characteristics of Archbishop Whately as a philosopher. These remarks, though their tone, I hope, was neither disrespectful nor arrogant, I felt, on reconsideration, that I was hardly entitled to make; least of all, when the instance which I had

regarded as an illustration of them, failed, as I now saw, to bear them out. The real matter at the bottom of the whole dispute, the different view we take of the function of the major premise, remains exactly where it was; and so far was I from thinking that my opinion had been fully "answered" and was "untenable," that in the same edition in which I canceled the note, I not only enforced the opinion by further arguments, but answered (though without naming him) those of the Archbishop.

For not having made this statement before, I do not think it needful to apologize. It would be attaching very great importance to one's smallest sayings, to think a formal retraction requisite every time that one falls into an error. Nor is Archbishop Whately's well-earned fame of so tender a quality as to require that in withdrawing a slight criticism on him I should have been bound to offer a public *amende* for having made it.

<sup>109</sup> But though it is a condition of the validity of every induction that there be uniformity in the course of nature, it is not a necessary condition that the uniformity should pervade all nature. It is enough that it pervades the particular class of phenomena to which the induction relates. An induction concerning the motions of the planets, or the properties of the magnet, would not be vitiated though we were to suppose that wind and weather are the sport of chance, provided it be assumed that astronomical and magnetic phenomena are under the dominion of general laws. Otherwise the early experience of mankind would have rested on a very weak foundation; for in the infancy of science it could not be known that *all* phenomena are regular in their course.

Neither would it be correct to say that every induction by which we infer any truth, implies the general fact of uniformity *as foreknown*, even in reference to the kind of phenomena concerned. It implies, *either* that this general fact is already known, *or* that we may now know it: as the conclusion, the Duke of Wellington is mortal, drawn from the instances A, B, and C, implies either that we have already concluded all men to be mortal, or that we are now entitled to do so from the same evidence. A vast amount of confusion and paralogism respecting the grounds of Induction would be dispelled by keeping in view these simple considerations.

<sup>110</sup> *Infra*, chap. xxi.

<sup>111</sup> *Infra*, chap. xxi., xxii.

<sup>112</sup> In strictness, wherever the present constitution of space exists; which we have ample reason to believe that it does in the region of the fixed stars.





# CHAPTER IV.

## OF LAWS OF NATURE.

§ 1. In the contemplation of that uniformity in the course of nature, which is assumed in every inference from experience, one of the first observations that present themselves is, that the uniformity in question is not properly uniformity, but uniformities. The general regularity results from the co-existence of partial regularities. The course of nature in general is constant, because the course of each of the various phenomena that compose it is so. A certain fact invariably occurs whenever certain circumstances are present, and does not occur when they are absent; the like is true of another fact; and so on. From these separate threads of connection between parts of the great whole which we term nature, a general tissue of connection unavoidably weaves itself, by which the whole is held together. If A is always accompanied by D, B by E, and C by F, it follows that A B is accompanied by D E, A C by D F, B C by E F, and finally A B C by D E F; and thus the general character of regularity is produced, which, along with and in the midst of infinite diversity, pervades all nature.

The first point, therefore, to be noted in regard to what is called the uniformity of the course of nature, is, that it is itself a complex fact, compounded of all the separate uniformities which exist in respect to single phenomena. These various uniformities, when ascertained by what is regarded as a sufficient induction, we call, in common parlance, Laws of Nature. Scientifically speaking, that title is employed in a more restricted sense, to designate the uniformities when reduced to their most simple expression. Thus in the illustration already employed, there were seven uniformities; all of which, if considered sufficiently certain, would, in the more lax application of the term, be called laws of nature. But of the seven, three alone are properly distinct and independent: these being presupposed, the others follow of course. The first three, therefore, according to the stricter acceptation, are called laws of nature; the remainder not; because they are in truth mere *cases* of the first three;

virtually included in them; said, therefore, to *result* from them: whoever affirms those three has already affirmed all the rest.

To substitute real examples for symbolical ones, the following are three uniformities, or call them laws of nature: the law that air has weight, the law that pressure on a fluid is propagated equally in all directions, and the law that pressure in one direction, not opposed by equal pressure in the contrary direction, produces motion, which does not cease until equilibrium is restored. From these three uniformities we should be able to predict another uniformity, namely, the rise of the mercury in the Torricellian tube. This, in the stricter use of the phrase, is not a law of nature. It is the result of laws of nature. It is a *case* of each and every one of the three laws: and is the only occurrence by which they could all be fulfilled. If the mercury were not sustained in the barometer, and sustained at such a height that the column of mercury were equal in weight to a column of the atmosphere of the same diameter; here would be a case, either of the air not pressing upon the surface of the mercury with the force which is called its weight, or of the downward pressure on the mercury not being propagated equally in an upward direction, or of a body pressed in one direction and not in the direction opposite, either not moving in the direction in which it is pressed, or stopping before it had attained equilibrium. If we knew, therefore, the three simple laws, but had never tried the Torricellian experiment, we might *deduce* its result from those laws. The known weight of the air, combined with the position of the apparatus, would bring the mercury within the first of the three inductions; the first induction would bring it within the second, and the second within the third, in the manner which we characterized in treating of Ratiocination. We should thus come to know the more complex uniformity, independently of specific experience, through our knowledge of the simpler ones from which it results; though, for reasons which will appear hereafter, *verification* by specific experience would still be desirable, and might possibly be indispensable.

Complex uniformities which, like this, are mere cases of simpler ones, and have, therefore, been virtually affirmed in affirming those, may with propriety be called *laws*, but can scarcely, in the strictness of scientific speech, be termed Laws of Nature. It is the custom in science, wherever

regularity of any kind can be traced, to call the general proposition which expresses the nature of that regularity, a law; as when, in mathematics, we speak of the law of decrease of the successive terms of a converging series. But the expression *law of nature* has generally been employed with a sort of tacit reference to the original sense of the word law, namely, the expression of the will of a superior. When, therefore, it appeared that any of the uniformities which were observed in nature, would result spontaneously from certain other uniformities, no separate act of creative will being supposed necessary for the production of the derivative uniformities, these have not usually been spoken of as laws of nature. According to one mode of expression, the question, What are the laws of nature? may be stated thus: What are the fewest and simplest assumptions, which being granted, the whole existing order of nature would result? Another mode of stating it would be thus: What are the fewest general propositions from which all the uniformities which exist in the universe might be deductively inferred?

Every great advance which marks an epoch in the progress of science, has consisted in a step made toward the solution of this problem. Even a simple colligation of inductions already made, without any fresh extension of the inductive inference, is already an advance in that direction. When Kepler expressed the regularity which exists in the observed motions of the heavenly bodies, by the three general propositions called his laws, he, in so doing, pointed out three simple suppositions which, instead of a much greater number, would suffice to construct the whole scheme of the heavenly motions, so far as it was known up to that time. A similar and still greater step was made when these laws, which at first did not seem to be included in any more general truths, were discovered to be cases of the three laws of motion, as obtaining among bodies which mutually tend toward one another with a certain force, and have had a certain instantaneous impulse originally impressed upon them. After this great discovery, Kepler's three propositions, though still called laws, would hardly, by any person accustomed to use language with precision, be termed laws of nature: that phrase would be reserved for the simpler and more general laws into which Newton is said to have resolved them.

According to this language, every well-grounded inductive generalization is either a law of nature, or a result of laws of nature, capable, if those laws are known, of being predicted from them. And the problem of Inductive Logic may be summed up in two questions: how to ascertain the laws of nature; and how, after having ascertained them, to follow them into their results. On the other hand, we must not suffer ourselves to imagine that this mode of statement amounts to a real analysis, or to any thing but a mere verbal transformation of the problem; for the expression, Laws of Nature, *means* nothing but the uniformities which exist among natural phenomena (or, in other words, the results of induction), when reduced to their simplest expression. It is, however, something to have advanced so far, as to see that the study of nature is the study of laws, not *a* law; of uniformities, in the plural number: that the different natural phenomena have their separate rules or modes of taking place, which, though much intermixed and entangled with one another, may, to a certain extent, be studied apart: that (to resume our former metaphor) the regularity which exists in nature is a web composed of distinct threads, and only to be understood by tracing each of the threads separately; for which purpose it is often necessary to unravel some portion of the web, and exhibit the fibres apart. The rules of experimental inquiry are the contrivances for unraveling the web.

§ 2. In thus attempting to ascertain the general order of nature by ascertaining the particular order of the occurrence of each one of the phenomena of nature, the most scientific proceeding can be no more than an improved form of that which was primitively pursued by the human understanding, while undirected by science. When mankind first formed the idea of studying phenomena according to a stricter and surer method than that which they had in the first instance spontaneously adopted, they did not, conformably to the well-meant but impracticable precept of Descartes, set out from the supposition that nothing had been already ascertained. Many of the uniformities existing among phenomena are so constant, and so open to observation, as to force themselves upon involuntary recognition. Some facts are so perpetually and familiarly accompanied by certain others, that mankind learned, as children learn, to expect the one where they found the other, long before they knew how to put their expectation into words by asserting, in a proposition, the

existence of a connection between those phenomena. No science was needed to teach that food nourishes, that water drowns, or quenches thirst, that the sun gives light and heat, that bodies fall to the ground. The first scientific inquirers assumed these and the like as known truths, and set out from them to discover others which were unknown: nor were they wrong in so doing, subject, however, as they afterward began to see, to an ulterior revision of these spontaneous generalizations themselves, when the progress of knowledge pointed out limits to them, or showed their truth to be contingent on some circumstance not originally attended to. It will appear, I think, from the subsequent part of our inquiry, that there is no logical fallacy in this mode of proceeding; but we may see already that any other mode is rigorously impracticable: since it is impossible to frame any scientific method of induction, or test of the correctness of inductions, unless on the hypothesis that some inductions deserving of reliance have been already made.

Let us revert, for instance, to one of our former illustrations, and consider why it is that, with exactly the same amount of evidence, both negative and positive, we did not reject the assertion that there are black swans, while we should refuse credence to any testimony which asserted that there were men wearing their heads underneath their shoulders. The first assertion was more credible than the latter. But why more credible? So long as neither phenomenon had been actually witnessed, what reason was there for finding the one harder to be believed than the other? Apparently because there is less constancy in the colors of animals, than in the general structure of their anatomy. But how do we know this? Doubtless, from experience. It appears, then, that we need experience to inform us, in what degree, and in what cases, or sorts of cases, experience is to be relied on. Experience must be consulted in order to learn from it under what circumstances arguments from it will be valid. We have no ulterior test to which we subject experience in general; but we make experience its own test. Experience testifies, that among the uniformities which it exhibits or seems to exhibit, some are more to be relied on than others; and uniformity, therefore, may be presumed, from any given number of instances, with a greater degree of assurance, in proportion as the case belongs to a class in which the uniformities have hitherto been found more uniform.

This mode of correcting one generalization by means of another, a narrower generalization by a wider, which common sense suggests and adopts in practice, is the real type of scientific Induction. All that art can do is but to give accuracy and precision to this process, and adapt it to all varieties of cases, without any essential alteration in its principle.

There are of course no means of applying such a test as that above described, unless we already possess a general knowledge of the prevalent character of the uniformities existing throughout nature. The indispensable foundation, therefore, of a scientific formula of induction, must be a survey of the inductions to which mankind have been conducted in unscientific practice; with the special purpose of ascertaining what kinds of uniformities have been found perfectly invariable, pervading all nature, and what are those which have been found to vary with difference of time, place, or other changeable circumstances.

§ 3. The necessity of such a survey is confirmed by the consideration, that the stronger inductions are the touch-stone to which we always endeavor to bring the weaker. If we find any means of deducing one of the less strong inductions from stronger ones, it acquires, at once, all the strength of those from which it is deduced; and even adds to that strength; since the independent experience on which the weaker induction previously rested, becomes additional evidence of the truth of the better established law in which it is now found to be included. We may have inferred, from historical evidence, that the uncontrolled power of a monarch, of an aristocracy, or of the majority, will often be abused: but we are entitled to rely on this generalization with much greater assurance when it is shown to be a corollary from still better established facts; the very low degree of elevation of character ever yet attained by the average of mankind, and the little efficacy, for the most part, of the modes of education hitherto practiced, in maintaining the predominance of reason and conscience over the selfish propensities. It is at the same time obvious that even these more general facts derive an accession of evidence from the testimony which history bears to the effects of despotism. The strong induction becomes still stronger when a weaker one has been bound up with it.

On the other hand, if an induction conflicts with stronger inductions, or with conclusions capable of being correctly deduced from them, then, unless on reconsideration it should appear that some of the stronger inductions have been expressed with greater universality than their evidence warrants, the weaker one must give way. The opinion so long prevalent that a comet, or any other unusual appearance in the heavenly regions, was the precursor of calamities to mankind, or to those at least who witnessed it; the belief in the veracity of the oracles of Delphi or Dodona; the reliance on astrology, or on the weather-prophecies in almanacs, were doubtless inductions supposed to be grounded on experience:<sup>113</sup> and faith in such delusions seems quite capable of holding out against a great multitude of failures, provided it be nourished by a reasonable number of casual coincidences between the prediction and the event. What has really put an end to these insufficient inductions, is their inconsistency with the stronger inductions subsequently obtained by scientific inquiry, respecting the causes on which terrestrial events really depend; and where those scientific truths have not yet penetrated, the same or similar delusions still prevail.

It may be affirmed as a general principle, that all inductions, whether strong or weak, which can be connected by ratiocination, are confirmatory of one another; while any which lead deductively to consequences that are incompatible, become mutually each other's test, showing that one or other must be given up, or at least more guardedly expressed. In the case of inductions which confirm each other, the one which becomes a conclusion from ratiocination rises to at least the level of certainty of the weakest of those from which it is deduced; while in general all are more or less increased in certainty. Thus the Torricellian experiment, though a mere case of three more general laws, not only strengthened greatly the evidence on which those laws rested, but converted one of them (the weight of the atmosphere) from a still doubtful generalization into a completely established doctrine.

If, then, a survey of the uniformities which have been ascertained to exist in nature, should point out some which, as far as any human purpose requires certainty, may be considered quite certain and quite universal; then by means of these uniformities we may be able to raise multitudes of



other inductions to the same point in the scale. For if we can show, with respect to any inductive inference, that either it must be true, or one of these certain and universal inductions must admit of an exception; the former generalization will attain the same certainty, and indefeasibleness within the bounds assigned to it, which are the attributes of the latter. It will be proved to be a law; and if not a result of other and simpler laws, it will be a law of nature.

There are such certain and universal inductions; and it is because there are such, that a Logic of Induction is possible.

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<sup>113</sup> Dr. Whewell (*Phil. of Discov.*, p. 246) will not allow these and similar erroneous judgments to be called inductions; inasmuch as such superstitious fancies "were not collected from the facts by seeking a law of their occurrence, but were suggested by an imagination of the anger of superior powers, shown by such deviations from the ordinary course of nature." I conceive the question to be, not in what manner these notions were at first suggested, but by what evidence they have, from time to time, been supposed to be substantiated. If the believers in these erroneous opinions had been put on their defense, they would have referred to experience: to the comet which preceded the assassination of Julius Cæsar, or to oracles and other prophecies known to have been fulfilled. It is by such appeals to facts that all analogous superstitions, even in our day, attempt to justify themselves; the supposed evidence of experience is necessary to their hold on the mind. I quite admit that the influence of such coincidences would not be what it is, if strength were not lent to it by an antecedent presumption; but this is not peculiar to such cases; preconceived notions of probability form part of the explanation of many other cases of belief on insufficient evidence. The *a priori* prejudice does not prevent the erroneous opinion from being sincerely regarded as a legitimate conclusion from experience; though it improperly predisposes the mind to that interpretation of experience.

Thus much in defense of the sort of examples objected to. But it would be easy to produce instances, equally adapted to the purpose, and in which no antecedent prejudice is at all concerned. "For many ages," says Archbishop Whately, "all farmers and gardeners were firmly convinced — and convinced of their knowing it by experience — that the crops would never turn out good unless the seed were sown during the increase of the moon." This was induction, but bad induction; just as a vicious syllogism is reasoning, but bad reasoning.



# CHAPTER V.

## OF THE LAW OF UNIVERSAL CAUSATION.

§ 1. The phenomena of nature exist in two distinct relations to one another; that of simultaneity, and that of succession. Every phenomenon is related, in a uniform manner, to some phenomena that co-exist with it, and to some that have preceded and will follow it.

Of the uniformities which exist among synchronous phenomena, the most important, on every account, are the laws of number; and next to them those of space, or, in other words, of extension and figure. The laws of number are common to synchronous and successive phenomena. That two and two make four, is equally true whether the second two follow the first two or accompany them. It is as true of days and years as of feet and inches. The laws of extension and figure (in other words, the theorems of geometry, from its lowest to its highest branches) are, on the contrary, laws of simultaneous phenomena only. The various parts of space, and of the objects which are said to fill space, co-exist; and the unvarying laws which are the subject of the science of geometry, are an expression of the mode of their co-existence.

This is a class of laws, or in other words, of uniformities, for the comprehension and proof of which it is not necessary to suppose any lapse of time, any variety of facts or events succeeding one another. The propositions of geometry are independent of the succession of events. All things which possess extension, or, in other words, which fill space, are subject to geometrical laws. Possessing extension, they possess figure; possessing figure, they must possess some figure in particular, and have all the properties which geometry assigns to that figure. If one body be a sphere and another a cylinder, of equal height and diameter, the one will be exactly two-thirds of the other, let the nature and quality of the material be what it will. Again, each body, and each point of a body, must occupy some place or position among other bodies; and the position of two bodies relatively to each other, of whatever nature the bodies be, may be

unerringly inferred from the position of each of them relatively to any third body.

In the laws of number, then, and in those of space, we recognize in the most unqualified manner, the rigorous universality of which we are in quest. Those laws have been in all ages the type of certainty, the standard of comparison for all inferior degrees of evidence. Their invariability is so perfect, that it renders us unable even to conceive any exception to them; and philosophers have been led, though (as I have endeavored to show) erroneously, to consider their evidence as lying not in experience, but in the original constitution of the intellect. If, therefore, from the laws of space and number, we were able to deduce uniformities of any other description, this would be conclusive evidence to us that those other uniformities possessed the same rigorous certainty. But this we can not do. From laws of space and number alone, nothing can be deduced but laws of space and number.

Of all truths relating to phenomena, the most valuable to us are those which relate to the order of their succession. On a knowledge of these is founded every reasonable anticipation of future facts, and whatever power we possess of influencing those facts to our advantage. Even the laws of geometry are chiefly of practical importance to us as being a portion of the premises from which the order of the succession of phenomena may be inferred. Inasmuch as the motion of bodies, the action of forces, and the propagation of influences of all sorts, take place in certain lines and over definite spaces, the properties of those lines and spaces are an important part of the laws to which those phenomena are themselves subject. Again, motions, forces, or other influences, and times, are numerable quantities; and the properties of number are applicable to them as to all other things. But though the laws of number and space are important elements in the ascertainment of uniformities of succession, they can do nothing toward it when taken by themselves. They can only be made instrumental to that purpose when we combine with them additional premises, expressive of uniformities of succession already known. By taking, for instance, as premises these propositions, that bodies acted upon by an instantaneous force move with uniform velocity in straight lines; that bodies acted upon by a continuous force move with accelerated velocity in straight lines; and

that bodies acted upon by two forces in different directions move in the diagonal of a parallelogram, whose sides represent the direction and quantity of those forces; we may by combining these truths with propositions relating to the properties of straight lines and of parallelograms (as that a triangle is half a parallelogram of the same base and altitude), deduce another important uniformity of succession, viz., that a body moving round a centre of force describes areas proportional to the times. But unless there had been laws of succession in our premises, there could have been no truths of succession in our conclusions. A similar remark might be extended to every other class of phenomena really peculiar; and, had it been attended to, would have prevented many chimerical attempts at demonstrations of the indemonstrable, and explanations which do not explain.

It is not, therefore, enough for us that the laws of space, which are only laws of simultaneous phenomenon, and the laws of number, which though true of successive phenomena do not relate to their succession, possess the rigorous certainty and universality of which we are in search. We must endeavor to find some law of succession which has those same attributes, and is therefore fit to be made the foundation of processes for discovering, and of a test for verifying, all other uniformities of succession. This fundamental law must resemble the truths of geometry in their most remarkable peculiarity, that of never being, in any instance whatever, defeated or suspended by any change of circumstances.

Now among all those uniformities in the succession of phenomena, which common observation is sufficient to bring to light, there are very few which have any, even apparent, pretension to this rigorous indefeasibility: and of those few, one only has been found capable of completely sustaining it. In that one, however, we recognize a law which is universal also in another sense; it is co-extensive with the entire field of successive phenomena, all instances whatever of succession being examples of it. This law is the Law of Causation. The truth that every fact which has a beginning has a cause, is co-extensive with human experience.

This generalization may appear to some minds not to amount to much, since after all it asserts only this: "it is a law, that every event depends on some law:" "it is a law, that there is a law for every thing." We

must not, however, conclude that the generality of the principle is merely verbal; it will be found on inspection to be no vague or unmeaning assertion, but a most important and really fundamental truth.

§ 2. The notion of Cause being the root of the whole theory of Induction, it is indispensable that this idea should, at the very outset of our inquiry, be, with the utmost practicable degree of precision, fixed and determined. If, indeed, it were necessary for the purpose of inductive logic that the strife should be quelled, which has so long raged among the different schools of metaphysicians, respecting the origin and analysis of our idea of causation; the promulgation, or at least the general reception, of a true theory of induction, might be considered desperate for a long time to come. But the science of the Investigation of Truth by means of Evidence, is happily independent of many of the controversies which perplex the science of the ultimate constitution of the human mind, and is under no necessity of pushing the analysis of mental phenomenon to that extreme limit which alone ought to satisfy a metaphysician.

I premise, then, that when in the course of this inquiry I speak of the cause of any phenomenon, I do not mean a cause which is not itself a phenomenon; I make no research into the ultimate or ontological cause of any thing. To adopt a distinction familiar in the writings of the Scotch metaphysicians, and especially of Reid, the causes with which I concern myself are not *efficient*, but *physical* causes. They are causes in that sense alone, in which one physical fact is said to be the cause of another. Of the efficient causes of phenomena, or whether any such causes exist at all, I am not called upon to give an opinion. The notion of causation is deemed, by the schools of metaphysics most in vogue at the present moment, to imply a mysterious and most powerful tie, such as can not, or at least does not, exist between any physical fact and that other physical fact on which it is invariably consequent, and which is popularly termed its cause: and thence is deduced the supposed necessity of ascending higher, into the essences and inherent constitution of things, to find the true cause, the cause which is not only followed by, but actually produces, the effect. No such necessity exists for the purposes of the present inquiry, nor will any such doctrine be found in the following pages. The only notion of a cause, which the theory of induction requires, is such a notion as can be gained

from experience. The Law of Causation, the recognition of which is the main pillar of inductive science, is but the familiar truth, that invariability of succession is found by observation to obtain between every fact in nature and some other fact which has preceded it; independently of all considerations respecting the ultimate mode of production of phenomena, and of every other question regarding the nature of "Things in themselves."

Between the phenomena, then, which exist at any instant, and the phenomena which exist at the succeeding instant, there is an invariable order of succession; and, as we said in speaking of the general uniformity of the course of nature, this web is composed of separate fibres; this collective order is made up of particular sequences, obtaining invariably among the separate parts. To certain facts, certain facts always do, and, as we believe, will continue to, succeed. The invariable antecedent is termed the cause; the invariable consequent, the effect. And the universality of the law of causation consists in this, that every consequent is connected in this manner with some particular antecedent, or set of antecedents. Let the fact be what it may, if it has begun to exist, it was preceded by some fact or facts, with which it is invariably connected. For every event there exists some combination of objects or events, some given concurrence of circumstances, positive and negative, the occurrence of which is always followed by that phenomenon. We may not have found out what this concurrence of circumstances may be; but we never doubt that there is such a one, and that it never occurs without having the phenomenon in question as its effect or consequence. On the universality of this truth depends the possibility of reducing the inductive process to rules. The undoubted assurance we have that there is a law to be found if we only knew how to find it, will be seen presently to be the source from which the canons of the Inductive Logic derive their validity.

§ 3. It is seldom, if ever, between a consequent and a single antecedent, that this invariable sequence subsists. It is usually between a consequent and the sum of several antecedents; the concurrence of all of them being requisite to produce, that is, to be certain of being followed by, the consequent. In such cases it is very common to single out one only of the antecedents under the denomination of Cause, calling the others

merely Conditions. Thus, if a person eats of a particular dish, and dies in consequence, that is, would not have died if he had not eaten of it, people would be apt to say that eating of that dish was the cause of his death. There needs not, however, be any invariable connection between eating of the dish and death; but there certainly is, among the circumstances which took place, some combination or other on which death is invariably consequent: as, for instance, the act of eating of the dish, combined with a particular bodily constitution, a particular state of present health, and perhaps even a certain state of the atmosphere; the whole of which circumstances perhaps constituted in this particular case the *conditions* of the phenomenon, or, in other words, the set of antecedents which determined it, and but for which it would not have happened. The real Cause, is the whole of these antecedents; and we have, philosophically speaking, no right to give the name of cause to one of them, exclusively of the others. What, in the case we have supposed, disguises the incorrectness of the expression, is this: that the various conditions, except the single one of eating the food, were not *events* (that is, instantaneous changes, or successions of instantaneous changes) but *states*, possessing more or less of permanency; and might therefore have preceded the effect by an indefinite length of duration, for want of the event which was requisite to complete the required concurrence of conditions: while as soon as that event, eating the food, occurs, no other cause is waited for, but the effect begins immediately to take place: and hence the appearance is presented of a more immediate and close connection between the effect and that one antecedent, than between the effect and the remaining conditions. But though we may think proper to give the name of cause to that one condition, the fulfillment of which completes the tale, and brings about the effect without further delay; this condition has really no closer relation to the effect than any of the other conditions has. All the conditions were equally indispensable to the production of the consequent; and the statement of the cause is incomplete, unless in some shape or other we introduce them all. A man takes mercury, goes out-of-doors, and catches cold. We say, perhaps, that the cause of his taking cold was exposure to the air. It is clear, however, that his having taken mercury may have been a necessary condition of his catching cold; and though it might consist with usage to say that the cause of his attack was exposure to



the air, to be accurate we ought to say that the cause was exposure to the air while under the effect of mercury.

If we do not, when aiming at accuracy, enumerate all the conditions, it is only because some of them will in most cases be understood without being expressed, or because for the purpose in view they may without detriment be overlooked. For example, when we say, the cause of a man's death was that his foot slipped in climbing a ladder, we omit as a thing unnecessary to be stated the circumstance of his weight, though quite as indispensable a condition of the effect which took place. When we say that the assent of the crown to a bill makes it law, we mean that the assent, being never given until all the other conditions are fulfilled, makes up the sum of the conditions, though no one now regards it as the principal one. When the decision of a legislative assembly has been determined by the casting vote of the chairman, we sometimes say that this one person was the cause of all the effects which resulted from the enactment. Yet we do not really suppose that his single vote contributed more to the result than that of any other person who voted in the affirmative; but, for the purpose we have in view, which is to insist on his individual responsibility, the part which any other person had in the transaction is not material.

In all these instances the fact which was dignified with the name of cause, was the one condition which came last into existence. But it must not be supposed that in the employment of the term this or any other rule is always adhered to. Nothing can better show the absence of any scientific ground for the distinction between the cause of a phenomenon and its conditions, than the capricious manner in which we select from among the conditions that which we choose to denominate the cause. However numerous the conditions may be, there is hardly any of them which may not, according to the purpose of our immediate discourse, obtain that nominal pre-eminence. This will be seen by analyzing the conditions of some one familiar phenomenon. For example, a stone thrown into water falls to the bottom. What are the conditions of this event? In the first place there must be a stone, and water, and the stone must be thrown into the water; but these suppositions forming part of the enunciation of the phenomenon itself, to include them also among the conditions would be a vicious tautology; and this class of conditions, therefore, have never

received the name of cause from any but the Aristotelians, by whom they were called the *material cause*, *causa materialis*. The next condition is, there must be an earth: and accordingly it is often said, that the fall of a stone is caused by the earth; or by a power or property of the earth, or a force exerted by the earth, all of which are merely roundabout ways of saying that it is caused by the earth; or, lastly, the earth's attraction; which also is only a technical mode of saying that the earth causes the motion, with the additional particularity that the motion is toward the earth, which is not a character of the cause, but of the effect. Let us now pass to another condition. It is not enough that the earth should exist; the body must be within that distance from it, in which the earth's attraction preponderates over that of any other body. Accordingly we may say, and the expression would be confessedly correct, that the cause of the stone's falling is its being *within the sphere* of the earth's attraction. We proceed to a further condition. The stone is immersed in water: it is therefore a condition of its reaching the ground, that its specific gravity exceed that of the surrounding fluid, or in other words that it surpass in weight an equal volume of water. Accordingly any one would be acknowledged to speak correctly who said, that the cause of the stone's going to the bottom is its exceeding in specific gravity the fluid in which it is immersed.

Thus we see that each and every condition of the phenomenon may be taken in its turn, and, with equal propriety in common parlance, but with equal impropriety in scientific discourse, may be spoken of as if it were the entire cause. And in practice, that particular condition is usually styled the cause, whose share in the matter is superficially the most conspicuous, or whose requisiteness to the production of the effect we happen to be insisting on at the moment. So great is the force of this last consideration, that it sometimes induces us to give the name of cause even to one of the negative conditions. We say, for example, The army was surprised because the sentinel was off his post. But since the sentinel's absence was not what created the enemy, or put the soldiers asleep, how did it cause them to be surprised? All that is really meant is, that the event would not have happened if he had been at his duty. His being off his post was no producing cause, but the mere absence of a preventing cause: it was simply equivalent to his non-existence. From nothing, from a mere negation, no consequences can proceed. All effects are connected, by the law of

causation, with some set of *positive* conditions; negative ones, it is true, being almost always required in addition. In other words, every fact or phenomenon which has a beginning, invariably arises when some certain combination of positive facts exists, provided certain other positive facts do not exist.

There is, no doubt, a tendency (which our first example, that of death from taking a particular food, sufficiently illustrates) to associate the idea of causation with the proximate antecedent *event*, rather than with any of the antecedent *states*, or permanent facts, which may happen also to be conditions of the phenomenon; the reason being that the event not only exists, but begins to exist immediately previous; while the other conditions may have pre-existed for an indefinite time. And this tendency shows itself very visibly in the different logical fictions which are resorted to, even by men of science, to avoid the necessity of giving the name of cause to any thing which had existed for an indeterminate length of time before the effect. Thus, rather than say that the earth causes the fall of bodies, they ascribe it to a *force* exerted by the earth, or an *attraction* by the earth, abstractions which they can represent to themselves as exhausted by each effort, and therefore constituting at each successive instant a fresh fact, simultaneous with, or only immediately preceding, the effect. Inasmuch as the coming of the circumstance which completes the assemblage of conditions, is a change or event, it thence happens that an event is always the antecedent in closest apparent proximity to the consequent: and this may account for the illusion which disposes us to look upon the proximate event as standing more peculiarly in the position of a cause than any of the antecedent states. But even this peculiarity, of being in closer proximity to the effect than any other of its conditions, is, as we have already seen, far from being necessary to the common notion of a cause; with which notion, on the contrary, any one of the conditions, either positive or negative, is found, on occasion, completely to accord.<sup>114</sup>

The cause, then, philosophically speaking, is the sum total of the conditions, positive and negative taken together; the whole of the contingencies of every description, which being realized, the consequent invariably follows. The negative conditions, however, of any phenomenon, a special enumeration of which would generally be very prolix, may be all

summed up under one head, namely, the absence of preventing or counteracting causes. The convenience of this mode of expression is mainly grounded on the fact, that the effects of any cause in counteracting another cause may in most cases be, with strict scientific exactness, regarded as a mere extension of its own proper and separate effects. If gravity retards the upward motion of a projectile, and deflects it into a parabolic trajectory, it produces, in so doing, the very same kind of effect, and even (as mathematicians know) the same quantity of effect, as it does in its ordinary operation of causing the fall of bodies when simply deprived of their support. If an alkaline solution mixed with an acid destroys its sourness, and prevents it from reddening vegetable blues, it is because the specific effect of the alkali is to combine with the acid, and form a compound with totally different qualities. This property, which causes of all descriptions possess, of preventing the effects of other causes by virtue (for the most part) of the same laws according to which they produce their own,<sup>115</sup> enables us, by establishing the general axiom that all causes are liable to be counteracted in their effects by one another, to dispense with the consideration of negative conditions entirely, and limit the notion of cause to the assemblage of the positive conditions of the phenomenon: one negative condition invariably understood, and the same in all instances (namely, the absence of counteracting causes) being sufficient, along with the sum of the positive conditions, to make up the whole set of circumstances on which the phenomenon is dependent.

§ 4. Among the positive conditions, as we have seen that there are some to which, in common parlance, the term cause is more readily and frequently awarded, so there are others to which it is, in ordinary circumstances, refused. In most cases of causation a distinction is commonly drawn between something which acts, and some other thing which is acted upon; between an *agent* and a *patient*. Both of these, it would be universally allowed, are conditions of the phenomenon; but it would be thought absurd to call the latter the cause, that title being reserved for the former. The distinction, however, vanishes on examination, or rather is found to be only verbal; arising from an incident of mere expression, namely, that the object said to be acted upon, and which is considered as the scene in which the effect takes place, is commonly included in the phrase by which the effect is spoken of, so that

if it were also reckoned as part of the cause, the seeming incongruity would arise of its being supposed to cause itself. In the instance which we have already had, of falling bodies, the question was thus put: What is the cause which makes a stone fall? and if the answer had been "the stone itself," the expression would have been in apparent contradiction to the meaning of the word cause. The stone, therefore, is conceived as the patient, and the earth (or, according to the common and most unphilosophical practice, an occult quality of the earth) is represented as the agent or cause. But that there is nothing fundamental in the distinction may be seen from this, that it is quite possible to conceive the stone as causing its own fall, provided the language employed be such as to save the mere verbal incongruity. We might say that the stone moves toward the earth by the properties of the matter composing it; and according to this mode of presenting the phenomenon, the stone itself might without impropriety be called the agent; though, to save the established doctrine of the inactivity of matter, men usually prefer here also to ascribe the effect to an occult quality, and say that the cause is not the stone itself, but the *weight* or *gravitation* of the stone.

Those who have contended for a radical distinction between agent and patient, have generally conceived the agent as that which causes some state of, or some change in the state of, another object which is called the patient. But a little reflection will show that the license we assume of speaking of phenomena as *states* of the various objects which take part in them (an artifice of which so much use has been made by some philosophers, Brown in particular, for the apparent explanation of phenomena), is simply a sort of logical fiction, useful sometimes as one among several modes of expression, but which should never be supposed to be the enunciation of a scientific truth. Even those attributes of an object which might seem with greatest propriety to be called states of the object itself, its sensible qualities, its color, hardness, shape, and the like, are in reality (as no one has pointed out more clearly than Brown himself) phenomena of causation, in which the substance is distinctly the agent, or producing cause, the patient being our own organs, and those of other sentient beings. What we call states of objects, are always sequences into which the objects enter, generally as antecedents or causes; and things are never more active than in the production of those phenomena in which

they are said to be acted upon. Thus, in the example of a stone falling to the earth, according to the theory of gravitation the stone is as much an agent as the earth, which not only attracts, but is itself attracted by, the stone. In the case of a sensation produced in our organs, the laws of our organization, and even those of our minds, are as directly operative in determining the effect produced, as the laws of the outward object. Though we call prussic acid the agent of a person's death, the whole of the vital and organic properties of the patient are as actively instrumental as the poison, in the chain of effects which so rapidly terminates his sentient existence. In the process of education, we may call the teacher the agent, and the scholar only the material acted upon; yet in truth all the facts which pre-existed in the scholar's mind exert either co-operating or counteracting agencies in relation to the teacher's efforts. It is not light alone which is the agent in vision, but light coupled with the active properties of the eye and brain, and with those of the visible object. The distinction between agent and patient is merely verbal: patients are always agents; in a great proportion, indeed, of all natural phenomena, they are so to such a degree as to react forcibly on the causes which acted upon them: and even when this is not the case, they contribute, in the same manner as any of the other conditions, to the production of the effect of which they are vulgarly treated as the mere theatre. All the positive conditions of a phenomenon are alike agents, alike active; and in any expression of the cause which professes to be complete, none of them can with reason be excluded, except such as have already been implied in the words used for describing the effect; nor by including even these would there be incurred any but a merely verbal impropriety.

§ 5. There is a case of causation which calls for separate notice, as it possesses a peculiar feature, and presents a greater degree of complexity than the common case. It often happens that the effect, or one of the effects, of a cause, is, not to produce of itself a certain phenomenon, but to fit something else for producing it. In other words, there is a case of causation in which the effect is to invest an object with a certain property. When sulphur, charcoal, and nitre are put together in certain proportions and in a certain manner, the effect is, not an explosion, but that the mixture acquires a property by which, in given circumstances, it will explode. The various causes, natural and artificial, which educate the

human body or the human mind, have for their principal effect, not to make the body or mind immediately do any thing, but to endow it with certain properties — in other words, to give assurance that in given circumstances certain results will take place in it, or as consequences of it. Physiological agencies often have for the chief part of their operation to *predispose* the constitution to some mode of action. To take a simpler instance than all these: putting a coat of white paint upon a wall does not merely produce in those who see it done, the sensation of white; it confers on the wall the permanent property of giving that kind of sensation. Regarded in reference to the sensation, the putting on of the paint is a condition of a condition; it is a condition of the wall's causing that particular fact. The wall may have been painted years ago, but it has acquired a property which has lasted till now, and will last longer; the antecedent condition necessary to enable the wall to become in its turn a condition, has been fulfilled once for all. In a case like this, where the immediate consequent in the sequence is a property produced in an object, no one now supposes the property to be a substantive entity "inherent" in the object. What has been produced is what, in other language, may be called a state of preparation in an object for producing an effect. The ingredients of the gunpowder have been brought into a state of preparation for exploding as soon as the other conditions of an explosion shall have occurred. In the case of the gunpowder, this state of preparation consists in a certain collocation of its particles relatively to one another. In the example of the wall, it consists in a new collocation of two things relatively to each other — the wall and the paint. In the example of the molding influences on the human mind, its being a collocation at all is only conjectural; for, even on the materialistic hypothesis, it would remain to be proved that the increased facility with which the brain sums up a column of figures when it has been long trained to calculation, is the result of a permanent new arrangement of some of its material particles. We must, therefore, content ourselves with what we know, and must include among the effects of causes, the capacities given to objects of being causes of other effects. This capacity is not a real thing existing in the objects; it is but a name for our conviction that they will act in a particular manner when certain new circumstances arise. We may invest this assurance of future events with a fictitious objective existence, by calling it a state of the

object. But unless the state consists, as in the case of the gunpowder it does, in a collocation of particles, it expresses no present fact; it is but the contingent future fact brought back under another name.

It may be thought that this form of causation requires us to admit an exception to the doctrine that the conditions of a phenomenon — the antecedents required for calling it into existence — must all be found among the facts immediately, not remotely, preceding its commencement. But what we have arrived at is not a correction, it is only an explanation, of that doctrine. In the enumeration of the conditions required for the occurrence of any phenomenon, it always has to be included that objects must be present, possessed of given properties. It is a condition of the phenomenon explosion that an object should be present, of one or other of certain kinds, which for that reason are called explosive. The presence of one of these objects is a condition immediately precedent to the explosion. The condition which is not immediately precedent is the cause which produced, not the explosion, but the explosive property. The conditions of the explosion itself were all present immediately before it took place, and the general law, therefore, remains intact.

§ 6. It now remains to advert to a distinction which is of first-rate importance both for clearing up the notion of cause, and for obviating a very specious objection often made against the view which we have taken of the subject.

When we define the cause of any thing (in the only sense in which the present inquiry has any concern with causes) to be “the antecedent which it invariably follows,” we do not use this phrase as exactly synonymous with “the antecedent which it invariably *has* followed in our past experience.” Such a mode of conceiving causation would be liable to the objection very plausibly urged by Dr. Reid, namely, that according to this doctrine night must be the cause of day, and day the cause of night; since these phenomena have invariably succeeded one another from the beginning of the world. But it is necessary to our using the word cause, that we should believe not only that the antecedent always *has* been followed by the consequent, but that, as long as the present constitution of things<sup>116</sup> endures, it always *will* be so. And this would not be true of day and night. We do not believe that night will be followed by day under all



imaginable circumstances, but only that it will be so *provided* the sun rises above the horizon. If the sun ceased to rise, which, for aught we know, may be perfectly compatible with the general laws of matter, night would be, or might be, eternal. On the other hand, if the sun is above the horizon, his light not extinct, and no opaque body between us and him, we believe firmly that unless a change takes place in the properties of matter, this combination of antecedents will be followed by the consequent, day; that if the combination of antecedents could be indefinitely prolonged, it would be always day; and that if the same combination had always existed, it would always have been day, quite independently of night as a previous condition. Therefore is it that we do not call night the cause, nor even a condition, of day. The existence of the sun (or some such luminous body), and there being no opaque medium in a straight line<sup>117</sup> between that body and the part of the earth where we are situated, are the sole conditions; and the union of these, without the addition of any superfluous circumstance, constitutes the cause. This is what writers mean when they say that the notion of cause involves the idea of necessity. If there be any meaning which confessedly belongs to the term necessity, it is *unconditionality*. That which is necessary, that which *must* be, means that which will be, whatever supposition we may make in regard to all other things. The succession of day and night evidently is not necessary in this sense. It is conditional on the occurrence of other antecedents. That which will be followed by a given consequent when, and only when, some third circumstance also exists, is not the cause, even though no case should ever have occurred in which the phenomenon took place without it.

Invariable sequence, therefore, is not synonymous with causation, unless the sequence, besides being invariable, is unconditional. There are sequences, as uniform in past experience as any others whatever, which yet we do not regard as cases of causation, but as conjunctions in some sort accidental. Such, to an accurate thinker, is that of day and night. The one might have existed for any length of time, and the other not have followed the sooner for its existence; it follows only if certain other antecedents exist; and where those antecedents existed, it would follow in any case. No one, probably, ever called night the cause of day; mankind must so soon have arrived at the very obvious generalization, that the state

of general illumination which we call day would follow from the presence of a sufficiently luminous body, whether darkness had preceded or not.

We may define, therefore, the cause of a phenomenon, to be the antecedent, or the concurrence of antecedents, on which it is invariably and *unconditionally* consequent. Or if we adopt the convenient modification of the meaning of the word cause, which confines it to the assemblage of positive conditions without the negative, then instead of “unconditionally,” we must say, “subject to no other than negative conditions.”

To some it may appear, that the sequence between night and day being invariable in our experience, we have as much ground in this case as experience can give in any case, for recognizing the two phenomena as cause and effect; and that to say that more is necessary — to require a belief that the succession is unconditional, or, in other words, that it would be invariable under all changes of circumstances, is to acknowledge in causation an element of belief not derived from experience. The answer to this is, that it is experience itself which teaches us that one uniformity of sequence is conditional and another unconditional. When we judge that the succession of night and day is a derivative sequence, depending on something else, we proceed on grounds of experience. It is the evidence of experience which convinces us that day could equally exist without being followed by night, and that night could equally exist without being followed by day. To say that these beliefs are “not generated by our mere observation of sequence,”<sup>118</sup> is to forget that twice in every twenty-four hours, when the sky is clear, we have an *experimentum crucis* that the cause of day is the sun. We have an experimental knowledge of the sun which justifies us on experimental grounds in concluding, that if the sun were always above the horizon there would be day, though there had been no night, and that if the sun were always below the horizon there would be night, though there had been no day. We thus know from experience that the succession of night and day is not unconditional. Let me add, that the antecedent which is only conditionally invariable, is not the invariable antecedent. Though a fact may, in experience, have always been followed by another fact, yet if the remainder of our experience teaches us that it might not always be so followed, or if the experience itself is such as leaves

room for a possibility that the known cases may not correctly represent all possible cases, the hitherto invariable antecedent is not accounted the cause; but why? Because we are not sure that it is the invariable antecedent.

Such cases of sequence as that of day and night not only do not contradict the doctrine which resolves causation into invariable sequence, but are necessarily implied in that doctrine. It is evident, that from a limited number of unconditional sequences, there will result a much greater number of conditional ones. Certain causes being given, that is, certain antecedents which are unconditionally followed by certain consequents; the mere co-existence of these causes will give rise to an unlimited number of additional uniformities. If two causes exist together, the effects of both will exist together; and if many causes co-exist, these causes (by what we shall term hereafter the intermixture of their laws) will give rise to new effects, accompanying or succeeding one another in some particular order, which order will be invariable while the causes continue to co-exist, but no longer. The motion of the earth in a given orbit round the sun, is a series of changes which follow one another as antecedents and consequents, and will continue to do so while the sun's attraction, and the force with which the earth tends to advance in a direct line through space, continue to co-exist in the same quantities as at present. But vary either of these causes, and this particular succession of motions would cease to take place. The series of the earth's motions, therefore, though a case of sequence invariable within the limits of human experience, is not a case of causation. It is not unconditional.

This distinction between the relations of succession which, so far as we know, are unconditional, and those relations, whether of succession or of co-existence, which, like the earth's motions, or the succession of day and night, depend on the existence or on the co-existence of other antecedent facts — corresponds to the great division which Dr. Whewell and other writers have made of the field of science, into the investigation of what they term the Laws of Phenomena, and the investigation of causes; a phraseology, as I conceive, not philosophically sustainable, inasmuch as the ascertainment of causes, such causes as the human faculties can ascertain, namely, causes which are themselves phenomena, is, therefore,

merely the ascertainment of other and more universal Laws of Phenomena. And let me here observe, that Dr. Whewell, and in some degree even Sir John Herschel, seem to have misunderstood the meaning of those writers who, like M. Comté, limit the sphere of scientific investigation to Laws of Phenomena, and speak of the inquiry into causes as vain and futile. The causes which M. Comté designates as inaccessible, are efficient causes. The investigation of physical, as opposed to efficient, causes (including the study of all the active forces in Nature, considered as facts of observation) is as important a part of M. Comté's conception of science as of Dr. Whewell's. His objection to the *word* cause is a mere matter of nomenclature, in which, as a matter of nomenclature, I consider him to be entirely wrong. "Those," it is justly remarked by Mr. Bailey,<sup>119</sup> "who, like M. Comté, object to designate *events* as causes, are objecting without any real ground to a mere but extremely convenient generalization, to a very useful common name, the employment of which involves, or needs involve, no particular theory." To which it may be added, that by rejecting this form of expression, M. Comté leaves himself without any term for marking a distinction which, however incorrectly expressed, is not only real, but is one of the fundamental distinctions in science; indeed it is on this alone, as we shall hereafter find, that the possibility rests of framing a rigorous Canon of Induction. And as things left without a name are apt to be forgotten, a Canon of that description is not one of the many benefits which the philosophy of Induction has received from M. Comté's great powers.

§ 7. Does a cause always stand with its effect in the relation of antecedent and consequent? Do we not often say of two simultaneous facts that they are cause and effect — as when we say that fire is the cause of warmth, the sun and moisture the cause of vegetation, and the like? Since a cause does not necessarily perish because its effect has been produced, the two things do very generally co-exist; and there are some appearances, and some common expressions, seeming to imply not only that causes may, but that they must, be contemporaneous with their effects. *Cessante causâ cessat et effectus*, has been a dogma of the schools: the necessity for the continued existence of the cause in order to the continuance of the effect, seems to have been once a generally received doctrine. Kepler's numerous attempts to account for the motions of the heavenly bodies on

mechanical principles, were rendered abortive by his always supposing that the agency which set those bodies in motion must continue to operate in order to keep up the motion which it at first produced. Yet there were at all times many familiar instances of the continuance of effects, long after their causes had ceased. A *coup de soleil* gives a person brain-fever: will the fever go off as soon as he is moved out of the sunshine? A sword is run through his body: must the sword remain in his body in order that he may continue dead? A plowshare once made, remains a plowshare, without any continuance of heating and hammering, and even after the man who heated and hammered it has been gathered to his fathers. On the other hand, the pressure which forces up the mercury in an exhausted tube must be continued in order to sustain it in the tube. This (it may be replied) is because another force is acting without intermission, the force of gravity, which would restore it to its level, unless counterpoised by a force equally constant. But again: a tight bandage causes pain, which pain will sometimes go off as soon as the bandage is removed. The illumination which the sun diffuses over the earth ceases when the sun goes down.

There is, therefore, a distinction to be drawn. The conditions which are necessary for the first production of a phenomenon, are occasionally also necessary for its continuance; though more commonly its continuance requires no condition except negative ones. Most things, once produced, continue as they are, until something changes or destroys them; but some require the permanent presence of the agencies which produced them at first. These may, if we please, be considered as instantaneous phenomena, requiring to be renewed at each instant by the cause by which they were at first generated. Accordingly, the illumination of any given point of space has always been looked upon as an instantaneous fact, which perishes and is perpetually renewed as long as the necessary conditions subsist. If we adopt this language we avoid the necessity of admitting that the continuance of the cause is ever required to maintain the effect. We may say, it is not required to maintain, but to reproduce, the effect, or else to counteract some force tending to destroy it. And this may be a convenient phraseology. But it is only a phraseology. The fact remains, that in some cases (though those are a minority) the continuance of the conditions which produced an effect is necessary to the continuance of the effect.

As to the ulterior question, whether it is strictly necessary that the cause, or assemblage of conditions, should precede, by ever so short an instant, the production of the effect (a question raised and argued with much ingenuity by Sir John Herschel in an Essay already quoted),<sup>120</sup> the inquiry is of no consequence for our present purpose. There certainly are cases in which the effect follows without any interval perceptible by our faculties; and when there is an interval, we can not tell by how many intermediate links imperceptible to us that interval may really be filled up. But even granting that an effect may commence simultaneously with its cause, the view I have taken of causation is in no way practically affected. Whether the cause and its effect be necessarily successive or not, the beginning of a phenomenon is what implies a cause, and causation is the law of the succession of phenomena. If these axioms be granted, we can afford, though I see no necessity for doing so, to drop the words antecedent and consequent as applied to cause and effect. I have no objection to define a cause, the assemblage of phenomena, which occurring, some other phenomenon invariably commences, or has its origin. Whether the effect coincides in point of time with, or immediately follows, the hindmost of its conditions, is immaterial. At all events, it does not precede it; and when we are in doubt, between two co-existent phenomena, which is cause and which effect, we rightly deem the question solved if we can ascertain which of them preceded the other.

§ 8. It continually happens that several different phenomena, which are not in the slightest degree dependent or conditional on one another, are found all to depend, as the phrase is, on one and the same agent; in other words, one and the same phenomenon is seen to be followed by several sorts of effects quite heterogeneous, but which go on simultaneously one with another; provided, of course, that all other conditions requisite for each of them also exist. Thus, the sun produces the celestial motions; it produces daylight, and it produces heat. The earth causes the fall of heavy bodies, and it also, in its capacity of a great magnet, causes the phenomena of the magnetic needle. A crystal of galena causes the sensations of hardness, of weight, of cubical form, of gray color, and many others between which we can trace no interdependence. The purpose to which the phraseology of Properties and Powers is specially adapted, is the expression of this sort of cases. When the same

phenomenon is followed (either subject or not to the presence of other conditions) by effects of different and dissimilar orders, it is usual to say that each different sort of effect is produced by a different property of the cause. Thus we distinguish the attractive or gravitative property of the earth, and its magnetic property: the gravitative, luminiferous, and calorific properties of the sun: the color, shape, weight, and hardness of a crystal. These are mere phrases, which explain nothing, and add nothing to our knowledge of the subject; but, considered as abstract names denoting the connection between the different effects produced and the object which produces them, they are a very powerful instrument of abridgment, and of that acceleration of the process of thought which abridgment accomplishes.

This class of considerations leads to a conception which we shall find to be of great importance, that of a Permanent Cause, or original natural agent. There exist in nature a number of permanent causes, which have subsisted ever since the human race has been in existence, and for an indefinite and probably an enormous length of time previous. The sun, the earth, and planets, with their various constituents, air, water, and other distinguishable substances, whether simple or compound, of which nature is made up, are such Permanent Causes. These have existed, and the effects or consequences which they were fitted to produce have taken place (as often as the other conditions of the production met), from the very beginning of our experience. But we can give no account of the origin of the Permanent Causes themselves. Why these particular natural agents existed originally and no others, or why they are commingled in such and such proportions, and distributed in such and such a manner throughout space, is a question we can not answer. More than this: we can discover nothing regular in the distribution itself; we can reduce it to no uniformity, to no law. There are no means by which, from the distribution of these causes or agents in one part of space, we could conjecture whether a similar distribution prevails in another. The co-existence, therefore, of Primeval Causes ranks, to us, among merely casual concurrences: and all those sequences or co-existences among the effects of several such causes, which, though invariable while those causes co-exist, would, if the co-existence terminated, terminate along with it, we do not class as cases of causation, or laws of nature: we can only calculate on finding these

sequences or co-existences where we know by direct evidence, that the natural agents on the properties of which they ultimately depend, are distributed in the requisite manner. These Permanent Causes are not always objects; they are sometimes events, that is to say, periodical cycles of events, that being the only mode in which events can possess the property of permanence. Not only, for instance, is the earth itself a permanent cause, or primitive natural agent, but the earth's rotation is so too: it is a cause which has produced, from the earliest period (by the aid of other necessary conditions), the succession of day and night, the ebb and flow of the sea, and many other effects, while, as we can assign no cause (except conjecturally) for the rotation itself, it is entitled to be ranked as a primeval cause. It is, however, only the *origin* of the rotation which is mysterious to us: once begun, its continuance is accounted for by the first law of motion (that of the permanence of rectilinear motion once impressed) combined with the gravitation of the parts of the earth toward one another.

All phenomena without exception which begin to exist, that is, all except the primeval causes, are effects either immediate or remote of those primitive facts, or of some combination of them. There is no Thing produced, no event happening, in the known universe, which is not connected by a uniformity, or invariable sequence, with some one or more of the phenomena which preceded it; insomuch that it will happen again as often as those phenomena occur again, and as no other phenomenon having the character of a counteracting cause shall co-exist. These antecedent phenomena, again, were connected in a similar manner with some that preceded them; and so on, until we reach, as the ultimate step attainable by us, either the properties of some one primeval cause, or the conjunction of several. The whole of the phenomena of nature were therefore the necessary, or, in other words, the unconditional, consequences of some former collocation of the Permanent Causes.

The state of the whole universe at any instant, we believe to be the consequence of its state at the previous instant; insomuch that one who knew all the agents which exist at the present moment, their collocation in space, and all their properties, in other words, the laws of their agency, could predict the whole subsequent history of the universe, at least unless



some new volition of a power capable of controlling the universe should supervene.<sup>121</sup> And if any particular state of the entire universe could ever recur a second time, all subsequent states would return too, and history would, like a circulating decimal of many figures, periodically repeat itself:

Jam redit et virgo, redeunt Saturnia regna. . . .  
Alter erit tum Tiphys, et altera quæ vehat Argo  
Delectos heroas; erunt quoque altera bella,  
Atque iterum ad Trojam magnus mittetur Achilles.

And though things do not really revolve in this eternal round, the whole series of events in the history of the universe, past and future, is not the less capable, in its own nature, of being constructed *a priori* by any one whom we can suppose acquainted with the original distribution of all natural agents, and with the whole of their properties, that is, the laws of succession existing between them and their effects: saving the far more than human powers of combination and calculation which would be required, even in one possessing the data, for the actual performance of the task.

§ 9. Since every thing which occurs is determined by laws of causation and collocations of the original causes, it follows that the co-existences which are observable among effects can not be themselves the subject of any similar set of laws, distinct from laws of causation. Uniformities there are, as well of co-existence as of succession, among effects; but these must in all cases be a mere result either of the identity or of the co-existence of their causes: if the causes did not co-exist, neither could the effects. And these causes being also effects of prior causes, and these of others, until we reach the primeval causes, it follows that (except in the case of effects which can be traced immediately or remotely to one and the same cause) the co-existences of phenomena can in no case be universal, unless the co-existences of the primeval causes to which the effects are ultimately traceable can be reduced to a universal law: but we have seen that they can not. There are, accordingly, no original and independent, in other words no unconditional, uniformities of co-existence, between effects of different causes; if they co-exist, it is only because the causes have casually co-existed. The only independent and unconditional co-existences which are sufficiently invariable to have any claim to the character of laws, are

between different and mutually independent effects of the same cause; in other words, between different properties of the same natural agent. This portion of the Laws of Nature will be treated of in the latter part of the present Book, under the name of the Specific Properties of Kinds.

§ 10. Since the first publication of the present treatise, the sciences of physical nature have made a great advance in generalization, through the doctrine known as the Conservation or Persistence of Force. This imposing edifice of theory, the building and laying out of which has for some time been the principal occupation of the most systematic minds among physical inquirers, consists of two stages: one, of ascertained fact, the other containing a large element of hypothesis.

To begin with the first. It is proved by numerous facts, both natural and of artificial production, that agencies which had been regarded as distinct and independent sources of force — heat, electricity, chemical action, nervous and muscular action, momentum of moving bodies — are interchangeable, in definite and fixed quantities, with one another. It had long been known that these dissimilar phenomena had the power, under certain conditions, of producing one another: what is new in the theory is a more accurate estimation of what this production consists in. What happens is, that the whole or part of the one kind of phenomena disappears, and is replaced by phenomena of one of the other descriptions, and that there is an equivalence in quantity between the phenomena that have disappeared and those which have been produced, insomuch that if the process be reversed, the very same quantity which had disappeared will re-appear, without increase or diminution. Thus the amount of heat which will raise the temperature of a pound of water one degree of the thermometer, will, if expended, say in the expansion of steam, lift a weight of 772 pounds one foot, or a weight of one pound 772 feet: and the same exact quantity of heat can, by certain means, be recovered, through the expenditure of exactly that amount of mechanical motion.

The establishment of this comprehensive law has led to a change in the language in which the scientific world had been accustomed to speak of what are called the Forces of nature. Before this correlation between phenomena most unlike one another had been ascertained, their unlikeness had caused them to be referred to so many distinct forces. Now

that they are known to be convertible into one another without loss, they are spoken of as all of them results of one and the same force, manifesting itself in different modes. This force (it is said) can only produce a limited and definite quantity of effect, but always does produce that definite quantity; and produces it, according to circumstances, in one or another of the forms, or divides it among several, but so as (according to a scale of numerical equivalents established by experiment) always to make up the same sum; and no one of the manifestations can be produced, save by the disappearance of the equivalent quantity of another, which in its turn, in appropriate circumstances, will re-appear undiminished. This mutual interchangeability of the forces of nature, according to fixed numerical equivalents, is the part of the new doctrine which rests on irrefragable fact.

To make the statement true, however, it is necessary to add, that an indefinite and perhaps immense interval of time may elapse between the disappearance of the force in one form and its re-appearance in another. A stone thrown up into the air with a given force, and falling back immediately, will, by the time it reaches the earth, recover the exact amount of mechanical momentum which was expended in throwing it up, deduction being made of a small portion of motion which has been communicated to the air. But if the stone has lodged on a height, it may not fall back for years, or perhaps ages, and until it does, the force expended in raising it is temporarily lost, being represented only by what, in the language of the new theory, is called potential energy. The coal imbedded in the earth is considered by the theory as a vast reservoir of force, which has remained dormant for many geological periods, and will so remain until, by being burned, it gives out the stored-up force in the form of heat. Yet it is not supposed that this force is a material thing which can be confined by bounds, as used to be thought of latent heat when that important phenomenon was first discovered. What is meant is that when the coal does at last, by combustion, generate a quantity of heat (transformable like all other heat into mechanical momentum, and the other forms of force), this extrication of heat is the re-appearance of a force derived from the sun's rays, expended myriads of ages ago in the vegetation of the organic substances which were the material of the coal.

Let us now pass to the higher stage of the theory of Conservation of Force; the part which is no longer a generalization of proved fact, but a combination of fact and hypothesis. Stated in few words, it is as follows: That the Conservation of Force is really the Conservation of Motion; that in the various interchanges between the forms of force, it is always motion that is transformed into motion. To establish this, it is necessary to assume motions which are hypothetical. The supposition is, that there are motions which manifest themselves to our senses only as heat, electricity, etc., being molecular motions; oscillations, invisible to us, among the minute particles of bodies; and that these molecular motions are transmutable into molar motions (motions of masses), and molar motions into molecular. Now there is a real basis of fact for this supposition: we have positive evidence of the existence of molecular motion in these manifestations of force. In the case of chemical action, for instance, the particles separate and form new combinations, often with a great visible disturbance of the mass. In the case of heat, the evidence is equally conclusive, since heat expands bodies (that is, causes their particles to move *from* one another); and if of sufficient amount, changes their mode of aggregation from solid to liquid, or from liquid to gaseous. Again, the mechanical actions which produce heat — friction, and the collision of bodies — must from the nature of the case produce a shock, that is, an internal motion of particles, which indeed, we find, is often so violent as to break them permanently asunder. Such facts are thought to warrant the inference, that it is not, as was supposed, heat that causes the motion of particles, but the motion of particles that causes heat; the original cause of both being the previous motion (whether molar or molecular — collision of bodies or combustion of fuel) which formed the heating agency. This inference already contains hypothesis; but at least the supposed cause, the intestine motion of molecules, is a *vera causa*. But in order to reduce the Conservation of Force to Conservation of Motion, it was necessary to attribute to motion the heat propagated, through apparently empty space, from the sun. This required the supposition (already made for the explanation of the laws of light) of a subtle ether pervading space, which, though impalpable to us, must have the property which constitutes matter, that of resistance, since waves are propagated through it by an impulse from a given point. The ether must be supposed (a supposition not

required by the theory of light) to penetrate into the minute interstices of all bodies. The vibratory motion supposed to be taking place in the heated mass of the sun, is considered as imparted from that mass to the particles of the surrounding ether, and through them to the particles of the same ether in the interstices of terrestrial bodies; and this, too, with a sufficient mechanical force to throw the particles of those bodies into a state of similar vibration, producing the expansion of their mass, and the sensation of heat in sentient creatures. All this is hypothesis, though, of its legitimacy as hypothesis, I do not mean to express any doubt. It would seem to follow as a consequence from this theory, that Force may and should be defined, matter in motion. This definition, however, will not stand, for, as has already been seen, the matter needs not be in *actual* motion. It is not necessary to suppose that the motion afterward manifested, is actually taking place among the molecules of the coal during its sojourn in the earth;<sup>122</sup>..... certainly not in the stone which is at rest on the eminence to which it has been raised. The true definition of Force must be, not motion, but Potentiality of Motion; and what the doctrine, if established, amounts to, is, not that there is at all times the same quantity of actual motion in the universe; but that the possibilities of motion are limited to a definite quantity, which can not be added to, but which can not be exhausted; and that all actual motion which takes place in Nature is a draft upon this limited stock. It needs not all of it have ever existed as actual motion. There is a vast amount of potential motion in the universe in the form of gravitation, which it would be a great abuse of hypothesis to suppose to have been stored up by the expenditure of an equal amount of actual motion in some former state of the universe. Nor does the motion produced by gravity take place, so far as we know, at the expense of any other motion, either molar or molecular.

It is proper to consider whether the adoption of this theory as a scientific truth, involving as it does a change in the conception hitherto entertained of the most general physical agencies, requires any modification in the view I have taken of Causation as a law of nature. As it appears to me, none whatever. The manifestations which the theory regards as modes of motion, are as much distinct and separate phenomena when referred to a single force, as when attributed to several. Whether the phenomenon is called a transformation of force or the generation of one, it

has its own set or sets of antecedents, with which it is connected by invariable and unconditional sequence; and that set, or those sets, of antecedents are its cause. The relation of the Conservation theory to the principle of Causation is discussed in much detail, and very instructively, by Professor Bain, in the second volume of his *Logic*. The chief practical conclusion drawn by him, bearing on Causation, is, that we must distinguish in the assemblage of conditions which constitutes the Cause of a phenomenon, two elements: one, the presence of a force; the other, the collocation or position of objects which is required in order that the force may undergo the particular transmutation which constitutes the phenomenon. Now, it might always have been said with acknowledged correctness, that a force and a collocation were both of them necessary to produce any phenomenon. The law of causation is, that change can only be produced by change. Along with any number of stationary antecedents, which are collocations, there must be at least one changing antecedent, which is a force. To produce a bonfire, there must not only be fuel, and air, and a spark, which are collocations, but chemical action between the air and the materials, which is a force. To grind corn, there must be a certain collocation of the parts composing a mill, relatively to one another and to the corn; but there must also be the gravitation of water, or the motion of wind, to supply a force. But as the force in these cases was regarded as a property of the objects in which it is embodied, it seemed tautology to say that there must be the collocation *and* the force. As the collocation must be a collocation of objects possessing the force-giving property, the collocation, so understood, included the force.

How, then, shall we have to express these facts, if the theory be finally substantiated that all Force is reducible to a previous Motion? We shall have to say, that one of the conditions of every phenomenon is an antecedent Motion. But it will have to be explained that this needs not be *actual* motion. The coal which supplies the force exerted in combustion is not shown to have been exerting that force in the form of molecular motion in the pit; it was not even exerting pressure. The stone on the eminence *is* exerting a pressure, but only equivalent to its weight, not to the additional momentum it would acquire by falling. The antecedent, therefore, is not a force in action; and we can still only call it a property of the objects, by which they would exert a force on the occurrence of a fresh

collocation. The collocation, therefore, still includes the force. The force said to be stored up, is simply a particular property which the object has acquired. The cause we are in search of, is a collocation of objects possessing that particular property. When, indeed, we inquire further into the cause from which they derive that property, the new conception introduced by the Conservation theory comes in: the property is itself an effect, and its cause, according to the theory, is a former motion of exactly equivalent amount, which has been impressed on the particles of the body, perhaps at some very distant period. But the case is simply one of those we have already considered, in which the efficacy of a cause consists in its investing an object with a property. The force said to be laid up, and merely potential, is no more a really existing thing than any other properties of objects are really existing things. The expression is a mere artifice of language, convenient for describing the phenomena: it is unnecessary to suppose that any thing has been in continuous existence except an abstract potentiality. A force suspended in its operation, neither manifesting itself by motion nor by pressure, is not an existing fact, but a name for our conviction that in appropriate circumstances a fact would take place. We know that a pound weight, were it to fall from the earth into the sun, would acquire in falling a momentum equal to millions of pounds; but we do not credit the pound weight with more of actually existing force than is equal to the pressure it is now exerting on the earth, and that is exactly a pound. We might as well say that a force of millions of pounds exists in a pound, as that the force which will manifest itself when the coal is burned is a real thing existing in the coal. What is fixed in the coal is only a certain property: it has become fit to be the antecedent of an effect called combustion, which partly consists in giving out, under certain conditions, a given definite quantity of heat.

We thus see that no new general conception of Causation is introduced by the Conservation theory. The indestructibility of Force no more interferes with the theory of Causation than the indestructibility of Matter, meaning by matter the element of resistance in the sensible world. It only enables us to understand better than before the nature and laws of some of the sequences.

This better understanding, however, enables us, with Mr. Bain, to admit, as one of the tests for distinguishing causation from mere concomitance, the expenditure or transfer of energy. If the effect, or any part of the effect, to be accounted for, consists in putting matter in motion, then any of the objects present which has lost motion has contributed to the effect; and this is the true meaning of the proposition that the cause is that one of the antecedents which exerts active force.

§ 11. It is proper in this place to advert to a rather ancient doctrine respecting causation, which has been revived during the last few years in many quarters, and at present gives more signs of life than any other theory of causation at variance with that set forth in the preceding pages.

According to the theory in question, Mind, or to speak more precisely, Will, is the only cause of phenomena. The type of Causation, as well as the exclusive source from which we derive the idea, is our own voluntary agency. Here, and here only (it is said), we have direct evidence of causation. We know that we can move our bodies. Respecting the phenomena of inanimate nature, we have no other direct knowledge than that of antecedence and sequence. But in the case of our voluntary actions, it is affirmed that we are conscious of power before we have experience of results. An act of volition, whether followed by an effect or not, is accompanied by a consciousness of effort, "of force exerted, of power in action, which is necessarily causal, or causative." This feeling of energy or force, inherent in an act of will, is knowledge *a priori*; assurance, prior to experience, that we have the power of causing effects. Volition, therefore, it is asserted, is something more than an unconditional antecedent; it is a cause, in a different sense from that in which physical phenomena are said to cause one another: it is an Efficient Cause. From this the transition is easy to the further doctrine, that Volition is the *sole* Efficient Cause of all phenomena. "It is inconceivable that dead force could continue unsupported for a moment beyond its creation. We can not even conceive of change or phenomena without the energy of a mind." "The word *action*" itself, says another writer of the same school, "has no real significance except when applied to the doings of an intelligent agent. Let any one conceive, if he can, of any power, energy, or force inherent in a lump of matter." Phenomena may have the semblance of being produced by



physical causes, but they are in reality produced, say these writers, by the immediate agency of mind. All things which do not proceed from a human (or, I suppose, an animal) will proceed, they say, directly from divine will. The earth is not moved by the combination of a centripetal and a projectile force; this is but a mode of speaking, which serves to facilitate our conceptions. It is moved by the direct volition of an omnipotent Being, in a path coinciding with that which we deduce from the hypothesis of these two forces.

As I have so often observed, the general question of the existence of Efficient Causes does not fall within the limits of our subject; but a theory which represents them as capable of being subjects of human knowledge, and which passes off as efficient causes what are only physical or phenomenal causes, belongs as much to Logic as to metaphysics, and is a fit subject for discussion here.

To my apprehension, a volition is not an efficient, but simply a physical cause. Our will causes our bodily actions in the same sense, and in no other, in which cold causes ice, or a spark causes an explosion of gunpowder. The volition, a state of our mind, is the antecedent; the motion of our limbs in conformity to the volition, is the consequent. This sequence I conceive to be not a subject of direct consciousness, in the sense intended by the theory. The antecedent, indeed, and the consequent, are subjects of consciousness. But the connection between them is a subject of experience. I can not admit that our consciousness of the volition contains in itself any *a priori* knowledge that the muscular motion will follow. If our nerves of motion were paralyzed, or our muscles stiff and inflexible, and had been so all our lives, I do not see the slightest ground for supposing that we should ever (unless by information from other people) have known any thing of volition as a physical power, or been conscious of any tendency in feelings of our mind to produce motions of our body, or of other bodies. I will not undertake to say whether we should in that case have had the physical feeling which I suppose is meant when these writers speak of "consciousness of effort:" I see no reason why we should not; since that physical feeling is probably a state of nervous sensation beginning and ending in the brain, without involving the motory apparatus: but we certainly should not have designated it by any term

equivalent to effort, since effort implies consciously aiming at an end, which we should not only in that case have had no reason to do, but could not even have had the idea of doing. If conscious at all of this peculiar sensation, we should have been conscious of it, I conceive, only as a kind of uneasiness, accompanying our feelings of desire.

It is well argued by Sir William Hamilton against the theory in question, that it “is refuted by the consideration that between the overt fact of corporeal movement of which we are cognizant, and the internal act of mental determination of which we are also cognizant, there intervenes a numerous series of intermediate agencies of which we have no knowledge; and, consequently, that we can have no consciousness of any causal connection between the extreme links of this chain, the volition to move and the limb moving, as this hypothesis asserts. No one is immediately conscious, for example, of moving his arm through his volition. Previously to this ultimate movement, muscles, nerves, a multitude of solid and fluid parts, must be set in motion by the will, but of this motion we know, from consciousness, absolutely nothing. A person struck with paralysis is conscious of no inability in his limb to fulfill the determinations of his will; and it is only after having willed, and finding that his limbs do not obey his volition, that he learns by this experience, that the external movement does not follow the internal act. But as the paralytic learns after the volition that his limbs do not obey his mind; so it is only after volition that the man in health learns, that his limbs do obey the mandates of his will.”<sup>123</sup>  
.....

Those against whom I am contending have never produced, and do not pretend to produce, any positive evidence<sup>124</sup> that the power of our will to move our bodies would be known to us independently of experience. What they have to say on the subject is, that the production of physical events by a will seems to carry its own explanation with it, while the action of matter upon matter seems to require something else to explain it; and is even, according to them, “inconceivable” on any other supposition than that some will intervenes between the apparent cause and its apparent effect. They thus rest their case on an appeal to the inherent laws of our conceptive faculty; mistaking, as I apprehend, for the laws of that faculty its acquired habits, grounded on the spontaneous tendencies of its

uncultured state. The succession between the will to move a limb and the actual motion is one of the most direct and instantaneous of all sequences which come under our observation, and is familiar to every moment's experience from our earliest infancy; more familiar than any succession of events exterior to our bodies, and especially more so than any other case of the apparent origination (as distinguished from the mere communication) of motion. Now, it is the natural tendency of the mind to be always attempting to facilitate its conception of unfamiliar facts by assimilating them to others which are familiar. Accordingly, our voluntary acts, being the most familiar to us of all cases of causation, are, in the infancy and early youth of the human race, spontaneously taken as the type of causation in general, and all phenomena are supposed to be directly produced by the will of some sentient being. This original Fetichism I shall not characterize in the words of Hume, or of any follower of Hume, but in those of a religious metaphysician, Dr. Reid, in order more effectually to show the unanimity which exists on the subject among all competent thinkers.

“When we turn our attention to external objects, and begin to exercise our rational faculties about them, we find that there are some motions and changes in them which we have power to produce, and that there are many which must have some other cause. Either the objects must have life and active power, as we have, or they must be moved or changed by something that has life and active power, as external objects are moved by us.

“Our first thoughts seem to be, that the objects in which we perceive such motion have understanding and active power as we have. ‘Savages,’ says the Abbé Raynal, ‘wherever they see motion which they can not account for, there they suppose a soul.’ All men may be considered as savages in this respect, until they are capable of instruction, and of using their faculties in a more perfect manner than savages do.

“The Abbé Raynal's observation is sufficiently confirmed, both from fact, and from the structure of all languages.

“Rude nations do really believe sun, moon, and stars, earth, sea, and air, fountains, and lakes, to have understanding and active power. To pay homage to them, and implore their favor, is a kind of idolatry natural to savages.

“All languages carry in their structure the marks of their being formed when this belief prevailed. The distinction of verbs and participles into active and passive, which is found in all languages, must have been originally intended to distinguish what is really active from what is merely passive; and in all languages, we find active verbs applied to those objects, in which, according to the Abbé Raynal’s observation, savages suppose a soul.

“Thus we say the sun rises and sets, and comes to the meridian, the moon changes, the sea ebbs and flows, the winds blow. Languages were formed by men who believed these objects to have life and active power in themselves. It was therefore proper and natural to express their motions and changes by active verbs.

“There is no surer way of tracing the sentiments of nations before they have records, than by the structure of their language, which, notwithstanding the changes produced in it by time, will always retain some signatures of the thoughts of those by whom it was invented. When we find the same sentiments indicated in the structure of all languages, those sentiments must have been common to the human species when languages were invented.

“When a few, of superior intellectual abilities, find leisure for speculation, they begin to philosophize, and soon discover, that many of those objects which at first they believed to be intelligent and active are really lifeless and passive. This is a very important discovery. It elevates the mind, emancipates from many vulgar superstitions, and invites to further discoveries of the same kind.

“As philosophy advances, life and activity in natural objects retires, and leaves them dead and inactive. Instead of moving voluntarily, we find them to be moved necessarily; instead of acting, we find them to be acted upon; and Nature appears as one great machine, where one wheel is turned by another, that by a third; and how far this necessary succession may reach, the philosopher does not know.”<sup>125</sup>  
.....

There is, then, a spontaneous tendency of the intellect to account to itself for all cases of causation by assimilating them to the intentional acts of voluntary agents like itself. This is the instinctive philosophy of the human mind in its earliest stage, before it has become familiar with any

other invariable sequences than those between its own volitions or those of other human beings and their voluntary acts. As the notion of fixed laws of succession among external phenomena gradually establishes itself, the propensity to refer all phenomena to voluntary agency slowly gives way before it. The suggestions, however, of daily life continuing to be more powerful than those of scientific thought, the original instinctive philosophy maintains its ground in the mind, underneath the growths obtained by cultivation, and keeps up a constant resistance to their throwing their roots deep into the soil. The theory against which I am contending derives its nourishment from that substratum. Its strength does not lie in argument, but in its affinity to an obstinate tendency of the infancy of the human mind.

That this tendency, however, is not the result of an inherent mental law, is proved by superabundant evidence. The history of science, from its earliest dawn, shows that mankind have not been unanimous in thinking either that the action of matter upon matter was not conceivable, or that the action of mind upon matter was. To some thinkers, and some schools of thinkers, both in ancient and in modern times, this last has appeared much more inconceivable than the former. Sequences entirely physical and material, as soon as they had become sufficiently familiar to the human mind, came to be thought perfectly natural, and were regarded not only as needing no explanation themselves, but as being capable of affording it to others, and even of serving as the ultimate explanation of things in general.

One of the ablest recent supporters of the Volitional theory has furnished an explanation, at once historically true and philosophically acute, of the failure of the Greek philosophers in physical inquiry, in which, as I conceive, he unconsciously depicts his own state of mind. "Their stumbling-block was one as to the nature of the evidence they had to expect for their conviction. . . . They had not seized the idea that they must not expect to understand the processes of outward causes, but only their results; and consequently, the whole physical philosophy of the Greeks was an attempt to identify mentally the effect with its cause, to feel after some not only necessary but natural connection, where they meant by natural that which would *per se* carry some presumption to their own

mind. . . . They wanted to see some *reason* why the physical antecedent should produce this particular consequent, and their only attempts were in directions where they could find such reasons."<sup>126</sup> In other words, they were not content merely to know that one phenomenon was always followed by another; they thought that they had not attained the true aim of science, unless they could perceive something in the nature of the one phenomenon from which it might have been known or presumed *previous to trial* that it would be followed by the other: just what the writer, who has so clearly pointed out their error, thinks that he perceives in the nature of the phenomenon Volition. And to complete the statement of the case, he should have added that these early speculators not only made this their aim, but were quite satisfied with their success in it; not only sought for causes which should carry in their mere statement evidence of their efficiency, but fully believed that they had found such causes. The reviewer can see plainly that this was an error, because *he* does not believe that there exist any relations between material phenomena which can account for their producing one another; but the very fact of the persistency of the Greeks in this error, shows that their minds were in a very different state: they were able to derive from the assimilation of physical facts to other physical facts, the kind of mental satisfaction which we connect with the word explanation, and which the reviewer would have us think can only be found in referring phenomena to a will. When Thales and Hippo held that moisture was the universal cause, and external element, of which all other things were but the infinitely various sensible manifestations; when Anaximenes predicated the same thing of air, Pythagoras of numbers, and the like, they all thought that they had found a real explanation; and were content to rest in this explanation as ultimate. The ordinary sequences of the external universe appeared to them, no less than to their critic, to be inconceivable without the supposition of some universal agency to connect the antecedents with the consequents; but they did not think that Volition, exerted by minds, was the only agency which fulfilled this requirement. Moisture, or air, or numbers, carried to their minds a precisely similar impression of making intelligible what was otherwise inconceivable, and gave the same full satisfaction to the demands of their conceptive faculty.

It was not the Greeks alone, who "wanted to see some reason why the physical antecedent should produce this particular consequent," some

connection “which would *per se* carry some presumption to their own mind.” Among modern philosophers, Leibnitz laid it down as a self-evident principle that all physical causes without exception must contain in their own nature something which makes it intelligible that they should be able to produce the effects which they do produce. Far from admitting Volition as the only kind of cause which carried internal evidence of its own power, and as the real bond of connection between physical antecedents and their consequents, he demanded some naturally and *per se* efficient physical antecedent as the bond of connection between Volition itself and its effects. He distinctly refused to admit the will of God as a sufficient explanation of any thing except miracles; and insisted upon finding something that would account *better* for the phenomena of nature than a mere reference to divine volition.<sup>127</sup>.....

Again, and conversely, the action of mind upon matter (which, we are now told, not only needs no explanation itself, but is the explanation of all other effects), has appeared to some thinkers to be itself the grand inconceivability. It was to get over this very difficulty that the Cartesians invented the system of Occasional Causes. They could not conceive that thoughts in a mind could produce movements in a body, or that bodily movements could produce thoughts. They could see no necessary connection, no relation *a priori*, between a motion and a thought. And as the Cartesians, more than any other school of philosophical speculation before or since, made their own minds the measure of all things, and refused, on principle, to believe that Nature had done what they were unable to see any reason why she must do, they affirmed it to be impossible that a material and a mental fact could be causes one of another. They regarded them as mere Occasions on which the real agent, God, thought fit to exert his power as a Cause. When a man wills to move his foot, it is not his will that moves it, but God (they said) moves it on the occasion of his will. God, according to this system, is the only efficient cause, not *quâ* mind, or *quâ* endowed with volition, but *quâ* omnipotent. This hypothesis was, as I said, originally suggested by the supposed inconceivability of any real mutual action between Mind and Matter; but it was afterward extended to the action of Matter upon Matter, for on a nicer examination they found this inconceivable too, and therefore, according to their logic, impossible. The *deus ex machinâ* was ultimately called in to

produce a spark on the occasion of a flint and steel coming together, or to break an egg on the occasion of its falling on the ground.

All this, undoubtedly, shows that it is the disposition of mankind in general, not to be satisfied with knowing that one fact is invariably antecedent and another consequent, but to look out for something which may seem to explain their being so. But we also see that this demand may be completely satisfied by an agency purely physical, provided it be much more familiar than that which it is invoked to explain. To Thales and Anaximenes, it appeared inconceivable that the antecedents which we see in nature should produce the consequents; but perfectly natural that water, or air, should produce them. The writers whom I oppose declare this inconceivable, but can conceive that mind, or volition, is *per se* an efficient cause: while the Cartesians could not conceive even that, but peremptorily declared that no mode of production of any fact whatever was conceivable, except the direct agency of an omnipotent being; thus giving additional proof of what finds new confirmation in every stage of the history of science: that both what persons can, and what they can not, conceive, is very much an affair of accident, and depends altogether on their experience, and their habits of thought; that by cultivating the requisite associations of ideas, people may make themselves unable to conceive any given thing; and may make themselves able to conceive most things, however inconceivable these may at first appear; and the same facts in each person's mental history which determine what is or is not conceivable to him, determine also which among the various sequences in nature will appear to him so natural and plausible, as to need no other proof of their existence; to be evident by their own light, independent equally of experience and of explanation.

By what rule is any one to decide between one theory of this description and another? The theorists do not direct us to any external evidence; they appeal each to his own subjective feelings. One says, the succession C B appears to me more natural, conceivable, and credible *per se*, than the succession A B; you are therefore mistaken in thinking that B depends upon A; I am certain, though I can give no other evidence of it, that C comes in between A and B, and is the real and only cause of B. The other answers, the successions C B and A B appear to me equally natural



and conceivable, or the latter more so than the former: A is quite capable of producing B without any other intervention. A third agrees with the first in being unable to conceive that A can produce B, but finds the sequence D B still more natural than C B, or of nearer kin to the subject-matter, and prefers his D theory to the C theory. It is plain that there is no universal law operating here, except the law that each person's conceptions are governed and limited by his individual experiences and habits of thought. We are warranted in saying of all three, what each of them already believes of the other two, namely, that they exalt into an original law of the human intellect and of outward nature one particular sequence of phenomena, which appears to them more natural and more conceivable than other sequences, only because it is more familiar. And from this judgment I am unable to except the theory, that Volition is an Efficient Cause.

I am unwilling to leave the subject without adverting to the additional fallacy contained in the corollary from this theory; in the inference that because Volition is an efficient cause, therefore it is the only cause, and the direct agent in producing even what is apparently produced by something else. Volitions are not known to produce any thing directly except nervous action, for the will influences even the muscles only through the nerves. Though it were granted, then, that every phenomenon has an efficient, and not merely a phenomenal cause, and that volition, in the case of the peculiar phenomena which are known to be produced by it, is that efficient cause; are we therefore to say, with these writers, that since we know of no other efficient cause, and ought not to assume one without evidence, there is no other, and volition is the direct cause of all phenomena? A more outrageous stretch of inference could hardly be made. Because among the infinite variety of the phenomena of nature there is one, namely, a particular mode of action of certain nerves, which has for its cause, and as we are now supposing for its efficient cause, a state of our mind; and because this is the only efficient cause of which we are conscious, being the only one of which in the nature of the case we *can* be conscious, since it is the only one which exists within ourselves; does this justify us in concluding that all other phenomena must have the same kind of efficient cause with that one eminently special, narrow, and peculiarly human or animal, phenomenon? The nearest parallel to this specimen of generalization is suggested by the recently revived controversy on the old

subject of Plurality of Worlds, in which the contending parties have been so conspicuously successful in overthrowing one another. Here also we have experience only of a single case, that of the world in which we live, but that this is inhabited we know absolutely, and without possibility of doubt. Now if on this evidence any one were to infer that every heavenly body without exception, sun, planet, satellite, comet, fixed star or nebula, is inhabited, and must be so from the inherent constitution of things, his inference would exactly resemble that of the writers who conclude that because volition is the efficient cause of our own bodily motions, it must be the efficient cause of every thing else in the universe. It is true there are cases in which, with acknowledged propriety, we generalize from a single instance to a multitude of instances. But they must be instances which resemble the one known instance, and not such as have no circumstance in common with it except that of being instances. I have, for example, no direct evidence that any creature is alive except myself, yet I attribute, with full assurance, life and sensation to other human beings and animals. But I do not conclude that all other things are alive merely because I am. I ascribe to certain other creatures a life like my own, because they manifest it by the same sort of indications by which mine is manifested. I find that their phenomena and mine conform to the same laws, and it is for this reason that I believe both to arise from a similar cause. Accordingly I do not extend the conclusion beyond the grounds for it. Earth, fire, mountains, trees, are remarkable agencies, but their phenomena do not conform to the same laws as my actions do, and I therefore do not believe earth or fire, mountains or trees, to possess animal life. But the supporters of the Volition Theory ask us to infer that volition causes every thing, for no reason except that it causes one particular thing; although that one phenomenon, far from being a type of all natural phenomena, is eminently peculiar; its laws bearing scarcely any resemblance to those of any other phenomenon, whether of inorganic or of organic nature.

#### NOTE SUPPLEMENTARY TO THE PRECEDING CHAPTER.

The author of the Second Burnett Prize Essay (Dr. Tulloch), who has employed a considerable number of pages in controverting the doctrines of the preceding chapter, has somewhat surprised me by denying a fact, which I imagined too well known to require proof — that there have been philosophers who found in physical explanations of phenomena the same

complete mental satisfaction which we are told is only given by volitional explanation, and others who denied the Volitional Theory on the same ground of inconceivability on which it is defended. The assertion of the Essayist is countersigned still more positively by an able reviewer of the Essay:<sup>128</sup> “Two illustrations,” says the reviewer, “are advanced by Mr. Mill: the case of Thales and Anaximenes, stated by him to have maintained, the one Moisture and the other Air to be the origin of all things; and that of Descartes and Leibnitz, whom he asserts to have found the action of Mind upon Matter the grand inconceivability. In counter-statement as to the first of these cases the author shows — what we believe now hardly admits of doubt — that the Greek philosophers distinctly recognized as beyond and above their primal material source, the *νοῦς*, or Divine Intelligence, as the efficient and originating Source of all; and as to the second, by proof that it was the *mode*, not the *fact*, of that action on matter, which was represented as inconceivable.”

A greater quantity of historical error has seldom been comprised in a single sentence. With regard to Thales, the assertion that he considered water as a mere material in the hands of *νοῦς* rests on a passage of Cicero *de Naturâ Deorum*; and whoever will refer to any of the accurate historians of philosophy, will find that they treat this as a mere fancy of Cicero, resting on no authority, opposed to all the evidence; and make surmises as to the manner in which Cicero may have been led into the error. (See Rutter, vol. i., p. 211, 2d ed.; Brandis, vol. i., pp. 118–9, 1st ed.; Preller, *Historia Philosophiæ Græco-Romanæ*, p. 10. “Schiefe Ansicht, durchaus zu verwerfen;” “augenscheinlich folgernd statt zu berichten;” “quibus vera sententia Thaletis plane detorquetur,” are the expressions of these writers.) As for Anaximenes, he even according to Cicero, maintained, not that air was the material out of which God made the world, but that the air was a god: “Anaximenes aëra deum statuit;” or, according to St. Augustine, that it was the material out of which the gods were made; “non tamen ab ipsis [Diis] aërem factum, sed ipsos ex aëre ortos credidit.” Those who are not familiar with the metaphysical terminology of antiquity, must not be misled by finding it stated that Anaximenes attributed *ψυχή* (translated *soul*, or *life*) to his universal element, the air. The Greek philosophers acknowledged several kinds of *ψυχή*, the nutritive, the sensitive, and the intellective.<sup>129</sup> Even the moderns, with admitted correctness, attribute life to plants. As far as we can make out the meaning of Anaximenes, he made choice of Air as the universal agent, on the ground that it is perpetually in motion, without any apparent cause external to itself: so that he conceived it as exercising spontaneous force, and as the principle of life and activity in all things,

men and gods inclusive. If this be not representing it as the Efficient Cause the dispute altogether has no meaning.

If either Anaximenes, or Thales, or any of their contemporaries, had held the doctrine that νοῦς was the Efficient Cause, that doctrine could not have been reputed, as it was throughout antiquity, to have originated with Anaxagoras. The testimony of Aristotle, in the first book of his *Metaphysics*, is perfectly decisive with respect to these early speculations. After enumerating four kinds of causes, or rather four different meanings of the word Cause, viz., the Essence of a thing, the Matter of it, the Origin of Motion (Efficient Cause), and the End or Final Cause, he proceeds to say, that most of the early philosophers recognized only the second kind of Cause, the Matter of a thing, τὰς ἐν ὕλης εἶδει μόνας ᾗθησαν ἀρχαὶς εἶναι πάντων. As his first example he specifies Thales, whom he describes as taking the lead in this view of the subject, ὁ τῆς τοιαύτης ἀρχηγὸς φιλοσοφίας, and goes on to Hippon, Anaximenes, Diogenes (of Apollonia), Hippasus of Metapontum, Heraclitus, and Empedocles. Anaxagoras, however (he proceeds to say), taught a different doctrine, as we know, and it is *alleged* that Hermotimus of Clazomenæ taught it before him. Anaxagoras represented, that even if these various theories of the universal material were true, there would be need of some other cause to account for the transformations of the materials, since the material can not originate its own changes: οὐ γὰρ δηῶ τὸ γε ὑποκείμενον αὐτὸ ποιεῖ μεταβάλλειν ἑαυτοῦ; λέγω δ' οἶον οὔτε τὸ ξύλον οὔτε ὁ χαλκὸς αἴτιος τοῦ μεταβάλλειν ἐκάτερον αὐτῶν, οὔδε ποιεῖ τὸ μεν ξύλον κλίνην ὁ δὲ χαλκὸς ἀνδριάντα, ἀλλ' ἑτερόν τι τῆς μεταβολῆς αἴτιον, viz., the other kind of cause, ὅθεν ἡ ἀρχὴ τῆς κινήσεως — an Efficient Cause. Aristotle expresses great approbation of this doctrine (which he says made its author appear the only sober man among persons raving, οἶον νήφων ἐφάνη παρ' εἰκῆ λέγοντας τοῦς πρότερον); but while describing the influence which it exercised over subsequent speculation, he remarks that the philosophers against whom this, as he thinks, insuperable difficulty was urged, had not felt it to be any difficulty: οὐδὲν ἐδυσχεράναν ἐν ἑαυτοῖς. It is surely unnecessary to say more in proof of the matter of fact which Dr. Tulloch and his reviewer disbelieve.

Having pointed out what he thinks the error of these early speculators in not recognizing the need of an efficient cause, Aristotle goes on to mention two other efficient causes to which they might have had recourse, instead of intelligence: τύχη, chance, and τὸ αὐτομάτον, spontaneity. He indeed puts these aside as not sufficiently worthy causes for the order in the universe, οὐδ' αὐ τῶν αὐτομάτῳ καὶ τῇ τύχῃ τοσοῦτον ἐπιτρέψαι πρᾶγμα

καλῶς εἶχεν; but he does not reject them as incapable of producing *any* effect, but only as incapable of producing *that* effect. He himself recognizes τύχη and τοῦ αὐτομάτου as co-ordinate agents with Mind in producing the phenomena of the universe; the department allotted to them being composed of all the classes of phenomena which are not supposed to follow any uniform law. By thus including Chance among efficient causes, Aristotle fell into an error which philosophy has now outgrown, but which is by no means so alien to the spirit even of modern speculation as it may at first sight appear. Up to quite a recent period philosophers went on ascribing, and many of them have not yet ceased to ascribe, a real existence to the results of abstraction. Chance could make out as good a title to that dignity as many other of the mind's abstract creations: it had had a name given to it, and why should it not be a reality? As for τοῦ αὐτομάτου, it is recognized even yet as one of the modes of origination of phenomena by all those thinkers who maintain what is called the Freedom of the Will. The same self-determining power which that doctrine attributes to volitions, was supposed by the ancients to be possessed also by some other natural phenomena: a circumstance which throws considerable light on more than one of the supposed invincible necessities of belief. I have introduced it here, because this belief of Aristotle, or rather of the Greek philosophers generally, is as fatal as the doctrines of Thales and the Ionic school to the theory that the human mind is compelled by its constitution to conceive volition as the origin of all force, and the efficient cause of all phenomena.<sup>130</sup>

With regard to the modern philosophers (Leibnitz and the Cartesians) whom I had cited as having maintained that the action of mind upon matter, so far from being the only conceivable origin of material phenomena, is itself inconceivable; the attempt to rebut this argument by asserting that the mode, not the fact, of the action of mind on matter was represented as inconceivable, is an abuse of the privilege of writing confidently about authors without reading them; for any knowledge whatever of Leibnitz would have taught those who thus speak of him, that the inconceivability of the mode, and the impossibility of the thing, were in his mind convertible expressions. What was his famous Principle of the Sufficient Reason, the very corner-stone of his Philosophy, from which the Pre-established Harmony, the doctrine of Monads, and all the opinions most characteristic of Leibnitz, were corollaries? It was, that nothing exists, the existence of which is not capable of being proved and explained *a priori*; the proof and explanation in the case of contingent facts being derived from the nature of their causes; which could not be the causes unless there was something in their nature showing them to be capable of

producing those particular effects. And this “something” which accounts for the production of physical effects, he was able to find in many physical causes, but could not find it in any finite minds, which therefore he unhesitatingly asserted to be incapable of producing any physical effects whatever. “On ne saurait concevoir,” he says, “une action réciproque de la matière et de l’intelligence l’une sur l’autre,” and there is therefore (he contends) no choice but between the Occasional Causes of the Cartesians and his own Pre-established Harmony, according to which there is no more connection between our volitions and our muscular actions than there is between two clocks which are wound up to strike at the same instant. But he felt no similar difficulty as to physical causes; and throughout his speculations, as in the passage I have already cited respecting gravitation, he distinctly refuses to consider as part of the order of nature any fact which is not explicable from the nature of its physical cause.

With regard to the Cartesians (not Descartes; I did not make that mistake, though the reviewer of Dr. Tulloch’s Essay attributes it to me) I take a passage almost at random from Malebranche, who is the best known of the Cartesians, and, though not the inventor of the system of Occasional Causes, is its principal expositor. In Part II., chap. iii., of his Sixth Book, having first said that matter can not have the power of moving itself, he proceeds to argue that neither can mind have the power of moving it. “Quand on examine l’idée que l’on a de tous les esprits finis, on ne voit point de liaison nécessaire entre leur volonté et le mouvement de quelque corps que ce soit, on voit au contraire qu’il n’y en a point, et qu’il n’y en peut avoir” (there is nothing in the idea of finite mind which can account for its causing the motion of a body); “on doit aussi conclure, si on veut raisonner selon ses lumières, qu’il n’y a aucun esprit créé qui puisse remuer quelque corps que ce soit comme cause véritable ou principale, de même que l’on a dit qu’aucun corps ne se pouvait remuer soi-même:” thus the idea of Mind is according to him as incompatible as the idea of Matter with the exercise of active force. But when, he continues, we consider not a created but a Divine Mind, the case is altered; for the idea of a Divine Mind includes omnipotence; and the idea of omnipotence does contain the idea of being able to move bodies. Thus it is the nature of omnipotence which renders the motion of bodies even by the Divine Mind credible or conceivable, while, so far as depended on the mere nature of mind, it would have been inconceivable and incredible. If Malebranche had not believed in an omnipotent Being, he would have held all action of mind on body to be a demonstrated impossibility.<sup>131</sup>

A doctrine more precisely the reverse of the Volitional theory of causation can not well be imagined. The Volitional theory is, that we know by intuition or by direct experience the action of our own mental volitions on matter; that we may hence infer all other action upon matter to be that of volition, and might thus know, without any other evidence, that matter is under the government of a Divine Mind. Leibnitz and the Cartesians, on the contrary, maintain that our volitions do not and can not act upon matter, and that it is only the existence of an all-governing Being, and that Being omnipotent, which can account for the sequence between our volitions and our bodily actions. When we consider that each of these two theories, which, as theories of causation, stand at the opposite extremes of possible divergence from one another, invokes not only as its evidence, but as its sole evidence, the absolute inconceivability of any theory but itself, we are enabled to measure the worth of this kind of evidence: and when we find the Volitional theory entirely built upon the assertion that by our mental constitution we are compelled to recognize our volitions as efficient causes, and then find other thinkers maintaining that we know that they are not and can not be such causes, and can not conceive them to be so, I think we have a right to say that this supposed law of our mental constitution does not exist.

Dr. Tulloch (pp. 45–47) thinks it a sufficient answer to this, that Leibnitz and the Cartesians were Theists, and believed the will of God to be an efficient cause. Doubtless they did, and the Cartesians even believed (though Leibnitz did not) that it is the only such cause. Dr. Tulloch mistakes the nature of the question. I was not writing on Theism, as Dr. Tulloch is, but against a particular theory of causation, which, if it be unfounded, can give no effective support to Theism or to any thing else. I found it asserted that volition is the only efficient cause, on the ground that no other efficient cause is conceivable. To this assertion I oppose the instances of Leibnitz and of the Cartesians, who affirmed with equal positiveness that volition as an efficient cause is itself not conceivable, and that omnipotence, which renders all things conceivable, can alone take away the impossibility. This I thought, and think, a conclusive answer to the argument on which this theory of causation avowedly depends. But I certainly did not imagine that Theism was bound up with that theory; nor expected to be charged with denying Leibnitz and the Cartesians to be Theists because I denied that they held the theory.

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<sup>114</sup> The assertion, that any and every one of the conditions of a phenomenon may be and is, on some occasions and for some purposes,

spoken of as the cause, has been disputed by an intelligent reviewer of this work in the *Prospective Review* (the predecessor of the justly esteemed *National Review*), who maintains that "we always apply the word cause rather to that element in the antecedents which exercises *force*, and which would *tend* at all times to produce the same or a similar effect to that which, under certain conditions, it would actually produce." And he says, that "every one would feel" the expression, that the cause of a surprise was the sentinel's being off his post, to be incorrect; but that the "allurement or force which *drew* him off his post, might be so called, because in doing so it removed a resisting power which would have prevented the surprise." I can not think that it would be wrong to say, that the event took place because the sentinel was absent, and yet right to say that it took place because he was bribed to be absent. Since the only direct effect of the bribe was his absence, the bribe could be called the remote cause of the surprise, only on the supposition that the absence was the proximate cause; nor does it seem to me that any one (who had not a theory to support) would use the one expression and reject the other.

The reviewer observes, that when a person dies of poison, his possession of bodily organs is a necessary condition, but that no one would ever speak of it as the cause. I admit the fact; but I believe the reason to be, that the occasion could never arise for so speaking of it; for when in the inaccuracy of common discourse we are led to speak of some one condition of a phenomenon as its cause, the condition so spoken of is always one which it is at least possible that the hearer may require to be informed of. The possession of bodily organs is a known condition, and to give that as the answer, when asked the cause of a person's death, would not supply the information sought. Once conceive that a doubt could exist as to his having bodily organs, or that he were to be compared with some being who had them not, and cases may be imagined in which it might be said that his possession of them was the cause of his death. If Faust and Mephistopheles together took poison, it might be said that Faust died because he was a human being, and had a body, while Mephistopheles survived because he was a spirit.

It is for the same reason that no one (as the reviewer remarks) "calls the cause of a leap, the muscles or sinews of the body, though they are necessary conditions; nor the cause of a self-sacrifice, the knowledge which was necessary for it; nor the cause of writing a book, that a man has time for it, which is a necessary condition." These conditions (besides that they are antecedent *states*, and not proximate antecedent *events*, and are therefore never the conditions in closest apparent proximity to the effect) are all of them so obviously implied, that it is hardly possible there should exist that necessity for insisting on them, which alone gives occasion for speaking of a single condition as if it were the cause. Wherever this necessity exists in regard to some one condition, and does not exist in regard to any other, I conceive that it is consistent with usage, when



scientific accuracy is not aimed at, to apply the name cause to that one condition. If the only condition which can be supposed to be unknown is a negative condition, the negative condition may be spoken of as the cause. It might be said that a person died for want of medical advice: though this would not be likely to be said, unless the person was already understood to be ill, and in order to indicate that this negative circumstance was what made the illness fatal, and not the weakness of his constitution, or the original virulence of the disease. It might be said that a person was drowned because he could not swim; the positive condition, namely, that he fell into the water, being already implied in the word drowned. And here let me remark, that his falling into the water is in this case the only positive condition: all the conditions not expressly or virtually included in this (as that he could not swim, that nobody helped him, and so forth) are negative. Yet, if it were simply said that the cause of a man's death was falling into the water, there would be quite as great a sense of impropriety in the expression, as there would be if it were said that the cause was his inability to swim; because, though the one condition is positive and the other negative, it would be felt that neither of them was sufficient, without the other, to produce death.

With regard to the assertion that nothing is termed the cause, except the element which exerts active force; I waive the question as to the meaning of active force, and accepting the phrase in its popular sense, I revert to a former example, and I ask, would it be more agreeable to custom to say that a man fell because his foot slipped in climbing a ladder, or that he fell because of his weight? for his weight, and not the motion of his foot, was the active force which determined his fall. If a person walking out in a frosty day, stumbled and fell, it might be said that he stumbled because the ground was slippery, or because he was not sufficiently careful: but few people, I suppose, would say, that he stumbled because he walked. Yet the only active force concerned was that which he exerted in walking: the others were mere negative conditions; but they happened to be the only ones which there could be any necessity to state; for he walked, most likely, in exactly his usual manner, and the negative conditions made all the difference. Again, if a person were asked why the army of Xerxes defeated that of Leonidas, he would probably say, because they were a thousand times the number; but I do not think he would say, it was because they fought, though that was the element of active force. To borrow another example, used by Mr. Grove and by Mr. Baden Powell, the opening of flood-gates is said to be the cause of the flow of water; yet the active force is exerted by the water itself, and opening the flood-gates merely supplies a negative condition. The reviewer adds, "There are some conditions absolutely passive, and yet absolutely necessary to physical phenomena, viz., the relations of space and time; and to these no one ever applies the word cause without being immediately arrested by those who hear him." Even from this statement I am compelled to dissent. Few persons would feel it incongruous to say (for example) that a secret became known because it

was spoken of when A. B. was within hearing; which is a condition of space: or that the cause why one of two particular trees is taller than the other, is that it has been longer planted; which is a condition of time.

<sup>115</sup> There are a few exceptions; for there are some properties of objects which seem to be purely preventive; as the property of opaque bodies, by which they intercept the passage of light. This, as far as we are able to understand it, appears an instance not of one cause counteracting another by the same law whereby it produces its own effects, but of an agency which manifests itself in no other way than in defeating the effects of another agency. If we knew on what other relations to light, or on what peculiarities of structure, opacity depends, we might find that this is only an apparent, not a real, exception to the general proposition in the text. In any case it needs not affect the practical application. The formula which includes all the negative conditions of an effect in the single one of the absence of counteracting causes, is not violated by such cases as this; though, if all counteracting agencies were of this description, there would be no purpose served by employing the formula.

<sup>116</sup> I mean by this expression, the ultimate laws of nature (whatever they may be) as distinguished from the derivative laws and from the collocations. The diurnal revolution of the earth (for example) is not a part of the constitution of things, because nothing can be so called which might possibly be terminated or altered by natural causes.

<sup>117</sup> I use the words "straight line" for brevity and simplicity. In reality the line in question is not exactly straight, for, from the effect of refraction, we actually see the sun for a short interval during which the opaque mass of the earth is interposed in a direct line between the sun and our eyes; thus realizing, though but to a limited extent, the coveted desideratum of seeing round a corner.

<sup>118</sup> *Second Burnett Prize Essay*, by Principal Tulloch, p. 25.

<sup>119</sup> *Letters on the Philosophy of the Human Mind*, First Series, p. 219.

<sup>120</sup> *Essays*, pp. 206–208.

<sup>121</sup> To the universality which mankind are agreed in ascribing to the Law of Causation, there is one claim of exception, one disputed case, that of the Human Will; the determinations of which, a large class of metaphysicians are not willing to regard as following the causes called motives, according to as strict laws as those which they suppose to exist in the world of mere matter. This controverted point will undergo a special examination when we come to treat particularly of the Logic of the Moral Sciences (Book vi., chap. 2). In the mean time, I may remark that these metaphysicians, who, it must be observed, ground the main part of their objection on the supposed

repugnance of the doctrine in question to our consciousness, seem to me to mistake the fact which consciousness testifies against. What is really in contradiction to consciousness, they would, I think, on strict self-examination, find to be, the application to human actions and volitions of the ideas involved in the common use of the term Necessity; which I agree with them in objecting to. But if they would consider that by saying that a person's actions *necessarily* follow from his character, all that is really meant (for no more is meant in any case whatever of causation) is that he invariably *does* act in conformity to his character, and that any one who thoroughly knew his character could certainly predict how he would act in any supposable case; they probably would not find this doctrine either contrary to their experience or revolting to their feelings. And no more than this is contended for by any one but an Asiatic fatalist.

<sup>122</sup> I believe, however, the accredited authorities do suppose that molecular motion, equivalent in amount to that which will be manifested in the combustion of the coal, is actually taking place during the whole of the long interval, if not in the coal, yet in the oxygen which will then combine with it. But how purely hypothetical this supposition is, need hardly be remarked; I venture to say, unnecessarily and extravagantly hypothetical.

<sup>123</sup> *Lectures on Metaphysics*, vol. ii., Lect. xxxix., pp. 391-2.

I regret that I can not invoke the authority of Sir William Hamilton in favor of my own opinions on Causation, as I can against the particular theory which I am now combating. But that acute thinker has a theory of Causation peculiar to himself, which has never yet, as far as I know, been analytically examined, but which, I venture to think, admits of as complete refutation as any one of the false or insufficient psychological theories which strew the ground in such numbers under his potent metaphysical scythe. (Since examined and controverted in the sixteenth chapter of *An Examination of Sir William Hamilton's Philosophy*.)

<sup>124</sup> Unless we are to consider as such the following statement, by one of the writers quoted in the text: "In the case of mental exertion, the result to be accomplished is *preconsidered* or meditated, and is therefore known *a priori*, or before experience." — (Bowen's *Lowell Lectures on the Application of Metaphysical and Ethical Science to the Evidence of Religion*. Boston, 1849.) This is merely saying that when we will a thing we have an idea of it. But to have an idea of what we wish to happen, does not imply a prophetic knowledge that it will happen. Perhaps it will be said that the *first time* we exerted our will, when we had of course no experience of any of the powers residing in us, we nevertheless must already have known that we possessed them, since we can not will that which we do not believe to be in our power. But the impossibility is perhaps in the words only, and not in the facts; for we may *desire* what we do not know to be in our power; and finding by

experience that our bodies move according to our *desire*, we may then, and only then, pass into the more complicated mental state which is termed will.

After all, even if we had an instinctive knowledge that our actions would follow our will, this, as Brown remarks, would prove nothing as to the nature of Causation. Our knowing, previous to experience, that an antecedent will be followed by a certain consequent, would not prove the relation between them to be any thing more than antecedence and consequence.

<sup>125</sup> Reid's *Essays on the Active Powers*, Essay iv., chap. 3.

<sup>126</sup> *Prospective Review* for February, 1850.

<sup>127</sup> Vide supra, p. 178, note.

<sup>128</sup> *Westminster Review* for October, 1855.

<sup>129</sup> See the whole doctrine in Aristotle *de Ánimâ*, where the θρεπτικὴ ψυχὴ is treated as exactly equivalent to θρεπτικὴ δύναμις.

<sup>130</sup> It deserves notice that the parts of nature which Aristotle regards as representing evidence of design, are the Uniformities: the phenomena in so far as reducible to law. Τύχη and τὸ αὐτομάτον satisfy him as explanations of the variable element in phenomena, but their occurring according to a fixed rule can only, to his conceptions, be accounted for by an Intelligent Will. The common, or what may be called the instinctive, religious interpretation of nature, is the reverse of this. The events in which men spontaneously see the hand of a supernatural being, are those which can not, as they think, be reduced to a physical law. What they can distinctly connect with physical causes, and especially what they can predict, though of course ascribed to an Author of Nature, if they already recognize such an author, might be conceived, they think, to arise from a blind fatality, and in any case do not appear to them to bear so obviously the mark of a divine will. And this distinction has been countenanced by eminent writers on Natural Theology, in particular by Dr. Chalmers, who thinks that though design is present everywhere, the irresistible evidence of it is to be found not in the *laws* of nature but in the collocations, *i.e.*, in the part of nature in which it is impossible to trace any law. A few properties of dead matter might, he thinks, conceivably account for the regular and invariable succession of effects and causes; but that the different kinds of matter have been so placed as to promote beneficent ends, is what he regards as the proof of a Divine Providence. Mr. Baden Powell, in his Essay entitled "Philosophy of Creation," has returned to the point of view of Aristotle and the ancients, and vigorously re-asserts the doctrine that the indication of design in the universe is not special adaptations, but Uniformity and Law, these being the evidences of mind, and not what appears to us to be a provision for our uses. While I decline to express any opinion here on this

*vexata quæstio*, I ought not to mention Mr. Powell's volume without the acknowledgment due to the philosophic spirit which pervades generally the three Essays composing it, forming in the case of one of them (the "Unity of Worlds") an honorable contrast with the other dissertations, so far as they have come under my notice, which have appeared on either side of that controversy.

<sup>131</sup> In the words of Fontenelle, another celebrated Cartesian, "les philosophes aussi bien que le peuple avaient cru que l'âme et le corps agissaient réellement et physiquement l'un sur l'autre. Descartes vint, qui prouva que leur nature ne permettait point cette sorte de communication véritable, et qu'ils n'en pouvaient avoir qu'une apparente, dont Dieu était le Médiateur." — (*Œuvres de Fontenelle*, ed. 1767, tom. v., p. 534.)



# CHAPTER VI.

## ON THE COMPOSITION OF CAUSES.

§ 1. To complete the general notion of causation on which the rules of experimental inquiry into the laws of nature must be founded, one distinction still remains to be pointed out: a distinction so radical, and of so much importance, as to require a chapter to itself.

The preceding discussions have rendered us familiar with the case in which several agents, or causes, concur as conditions to the production of an effect; a case, in truth, almost universal, there being very few effects to the production of which no more than one agent contributes. Suppose, then, that two different agents, operating jointly, are followed, under a certain set of collateral conditions, by a given effect. If either of these agents, instead of being joined with the other, had operated alone, under the same set of conditions in all other respects, some effect would probably have followed, which would have been different from the joint effect of the two, and more or less dissimilar to it. Now, if we happen to know what would be the effect of each cause when acting separately from the other, we are often able to arrive deductively, or *a priori*, at a correct prediction of what will arise from their conjunct agency. To render this possible, it is only necessary that the same law which expresses the effect of each cause acting by itself, shall also correctly express the part due to that cause of the effect which follows from the two together. This condition is realized in the extensive and important class of phenomena commonly called mechanical, namely the phenomena of the communication of motion (or of pressure, which is tendency to motion) from one body to another. In this important class of cases of causation, one cause never, properly speaking, defeats or frustrates another; both have their full effect. If a body is propelled in two directions by two forces, one tending to drive it to the north and the other to the east, it is caused to move in a given time exactly as far in both directions as the two forces would separately have carried it; and is left precisely where it would have arrived if it had been acted upon first by one of the two forces, and afterward by the other. This law of nature is called,

in dynamics, the principle of the Composition of Forces; and in imitation of that well-chosen expression, I shall give the name of the Composition of Causes to the principle which is exemplified in all cases in which the joint effect of several causes is identical with the sum of their separate effects.

This principle, however, by no means prevails in all departments of the field of nature. The chemical combination of two substances produces, as is well known, a third substance, with properties different from those of either of the two substances separately, or of both of them taken together. Not a trace of the properties of hydrogen or of oxygen is observable in those of their compound, water. The taste of sugar of lead is not the sum of the tastes of its component elements, acetic acid and lead or its oxide; nor is the color of blue vitriol a mixture of the colors of sulphuric acid and copper. This explains why mechanics is a deductive or demonstrative science, and chemistry not. In the one, we can compute the effects of combinations of causes, whether real or hypothetical, from the laws which we know to govern those causes when acting separately, because they continue to observe the same laws when in combination which they observe when separate: whatever would have happened in consequence of each cause taken by itself, happens when they are together, and we have only to cast up the results. Not so in the phenomena which are the peculiar subject of the science of chemistry. There most of the uniformities to which the causes conform when separate, cease altogether when they are conjoined; and we are not, at least in the present state of our knowledge, able to foresee what result will follow from any new combination until we have tried the specific experiment.

If this be true of chemical combinations, it is still more true of those far more complex combinations of elements which constitute organized bodies; and in which those extraordinary new uniformities arise which are called the laws of life. All organized bodies are composed of parts similar to those composing inorganic nature, and which have even themselves existed in an inorganic state; but the phenomena of life, which result from the juxtaposition of those parts in a certain manner, bear no analogy to any of the effects which would be produced by the action of the component substances considered as mere physical agents. To whatever degree we might imagine our knowledge of the properties of the several ingredients

of a living body to be extended and perfected, it is certain that no mere summing up of the separate actions of those elements will ever amount to the action of the living body itself. The tongue, for instance, is, like all other parts of the animal frame, composed of gelatine, fibrine, and other products of the chemistry of digestion; but from no knowledge of the properties of those substances could we ever predict that it could taste, unless gelatine or fibrine could themselves taste; for no elementary fact can be in the conclusion which was not in the premises.

There are thus two different modes of the conjunct action of causes; from which arise two modes of conflict, or mutual interference, between laws of nature. Suppose, at a given point of time and space, two or more causes, which, if they acted separately, would produce effects contrary, or at least conflicting with each other; one of them tending to undo, wholly or partially, what the other tends to do. Thus the expansive force of the gases generated by the ignition of gunpowder tends to project a bullet toward the sky, while its gravity tends to make it fall to the ground. A stream running into a reservoir at one end tends to fill it higher and higher, while a drain at the other extremity tends to empty it. Now, in such cases as these, even if the two causes which are in joint action exactly annul one another, still the laws of both are fulfilled; the effect is the same as if the drain had been open for half an hour first,<sup>132</sup> and the stream had flowed in for as long afterward. Each agent produces the same amount of effect as if it had acted separately, though the contrary effect which was taking place during the same time obliterated it as fast as it was produced. Here, then, are two causes, producing by their joint operations an effect which at first seems quite dissimilar to those which they produce separately, but which on examination proves to be really the sum of those separate effects. It will be noticed that we here enlarge the idea of the sum of two effects, so as to include what is commonly called their difference, but which is in reality the result of the addition of opposites; a conception to which mankind are indebted for that admirable extension of the algebraical calculus, which has so vastly increased its powers as an instrument of discovery, by introducing into its reasonings (with the sign of subtraction prefixed, and under the name of Negative Quantities) every description whatever of positive phenomena, provided they are of such a quality in reference to



those previously introduced, that to add the one is equivalent to subtracting an equal quantity of the other.

There is, then, one mode of the mutual interference of laws of nature, in which, even when the concurrent causes annihilate each other's effects, each exerts its full efficacy according to its own law — its law as a separate agent. But in the other description of cases, the agencies which are brought together cease entirely, and a totally different set of phenomena arise: as in the experiment of two liquids which, when mixed in certain proportions, instantly become, not a larger amount of liquid, but a solid mass.

§ 2. This difference between the case in which the joint effect of causes is the sum of their separate effects, and the case in which it is heterogeneous to them — between laws which work together without alteration, and laws which, when called upon to work together, cease and give place to others — is one of the fundamental distinctions in nature. The former case, that of the Composition of Causes, is the general one; the other is always special and exceptional. There are no objects which do not, as to some of their phenomena, obey the principle of the Composition of Causes; none that have not some laws which are rigidly fulfilled in every combination into which the objects enter. The weight of a body, for instance, is a property which it retains in all the combinations in which it is placed. The weight of a chemical compound, or of an organized body, is equal to the sum of the weights of the elements which compose it. The weight either of the elements or of the compound will vary, if they be carried farther from their centre of attraction, or brought nearer to it; but whatever effects the one effects the other. They always remain precisely equal. So, again, the component parts of a vegetable or animal substance do not lose their mechanical and chemical properties as separate agents, when, by a peculiar mode of juxtaposition, they, as an aggregate whole, acquire physiological or vital properties in addition. Those bodies continue, as before, to obey mechanical and chemical laws, in so far as the operation of those laws is not counteracted by the new laws which govern them as organized beings; when, in short, a concurrence of causes takes place which calls into action new laws bearing no analogy to any that we can trace in the separate operation of the causes, the new laws, while they supersede one portion of the previous laws, may co-exist with another

portion, and may even compound the effect of those previous laws with their own.

Again, laws which were themselves generated in the second mode, may generate others in the first. Though there are laws which, like those of chemistry and physiology, owe their existence to a breach of the principle of Composition of Causes, it does not follow that these peculiar, or, as they might be termed, *heteropathic* laws, are not capable of composition with one another. The causes which by one combination have had their laws altered, may carry their new laws with them unaltered into their ulterior combinations. And hence there is no reason to despair of ultimately raising chemistry and physiology to the condition of deductive sciences; for though it is impossible to deduce all chemical and physiological truths from the laws or properties of simple substances or elementary agents, they may possibly be deducible from laws which commence when these elementary agents are brought together into some moderate number of not very complex combinations. The Laws of Life will never be deducible from the mere laws of the ingredients, but the prodigiously complex Facts of Life may all be deducible from comparatively simple laws of life; which laws (depending indeed on combinations, but on comparatively simple combinations, of antecedents) may, in more complex circumstances, be strictly compounded with one another, and with the physical and chemical laws of the ingredients. The details of the vital phenomena, even now, afford innumerable exemplifications of the Composition of Causes; and in proportion as these phenomena are more accurately studied, there appears more reason to believe that the same laws which operate in the simpler combinations of circumstances do, in fact, continue to be observed in the more complex. This will be found equally true in the phenomena of mind; and even in social and political phenomena, the results of the laws of mind. It is in the case of chemical phenomena that the least progress has yet been made in bringing the special laws under general ones from which they may be deduced; but there are even in chemistry many circumstances to encourage the hope that such general laws will hereafter be discovered. The different actions of a chemical compound will never, undoubtedly, be found to be the sums of the actions of its separate elements; but there may exist, between the properties of the compound and those of its elements, some constant relation, which, if discoverable by a sufficient induction,

would enable us to foresee the sort of compound which will result from a new combination before we have actually tried it, and to judge of what sort of elements some new substance is compounded before we have analyzed it. The law of definite proportions, first discovered in its full generality by Dalton, is a complete solution of this problem in one, though but a secondary aspect, that of quantity; and in respect to quality, we have already some partial generalizations, sufficient to indicate the possibility of ultimately proceeding farther. We can predicate some common properties of the kind of compounds which result from the combination, in each of the small number of possible proportions, of any acid whatever with any base. We have also the curious law, discovered by Berthollet, that two soluble salts mutually decompose one another whenever the new combinations which result produce an insoluble compound, or one less soluble than the two former. Another uniformity is that called the law of isomorphism; the identity of the crystalline forms of substances which possess in common certain peculiarities of chemical composition.<sup>133</sup> Thus it appears that even heteropathic laws, such laws of combined agency as are not compounded of the laws of the separate agencies, are yet, at least in some cases, derived from them according to a fixed principle. There may, therefore, be laws of the generation of laws from others dissimilar to them; and in chemistry, these undiscovered laws of the dependence of the properties of the compound on the properties of its elements, may, together with the laws of the elements themselves, furnish the premises by which the science is perhaps destined one day to be rendered deductive.

It would seem, therefore, that there is no class of phenomena in which the Composition of Causes does not obtain: that as a general rule, causes in combination produce exactly the same effects as when acting singly: but that this rule, though general, is not universal: that in some instances, at some particular points in the transition from separate to united action, the laws change, and an entirely new set of effects are either added to, or take the place of, those which arise from the separate agency of the same causes: the laws of these new effects being again susceptible of composition, to an indefinite extent, like the laws which they superseded.

§ 3. That effects are proportional to their causes is laid down by some writers as an axiom in the theory of causation; and great use is sometimes

made of this principle in reasonings respecting the laws of nature, though it is encumbered with many difficulties and apparent exceptions, which much ingenuity has been expended in showing not to be real ones. This proposition, in so far as it is true, enters as a particular case into the general principle of the Composition of Causes; the causes compounded being, in this instance, homogeneous; in which case, if in any, their joint effect might be expected to be identical with the sum of their separate effects. If a force equal to one hundred weight will raise a certain body along an inclined plane, a force equal to two hundred weight will raise two bodies exactly similar, and thus the effect is proportional to the cause. But does not a force equal to two hundred weight actually contain in itself two forces each equal to one hundred weight, which, if employed apart, would separately raise the two bodies in question? The fact, therefore, that when exerted jointly they raise both bodies at once, results from the Composition of Causes, and is a mere instance of the general fact that mechanical forces are subject to the law of Composition. And so in every other case which can be supposed. For the doctrine of the proportionality of effects to their causes can not of course be applicable to cases in which the augmentation of the cause alters the kind of effect; that is, in which the surplus quantity superadded to the cause does not become compounded with it, but the two together generate an altogether new phenomenon. Suppose that the application of a certain quantity of heat to a body merely increases its bulk, that a double quantity melts it, and a triple quantity decomposes it: these three effects being heterogeneous, no ratio, whether corresponding or not to that of the quantities of heat applied, can be established between them. Thus the supposed axiom of the proportionality of effects to their causes fails at the precise point where the principle of the Composition of Causes also fails; viz., where the concurrence of causes is such as to determine a change in the properties of the body generally, and render it subject to new laws, more or less dissimilar to those to which it conformed in its previous state. The recognition, therefore, of any such law of proportionality is superseded by the more comprehensive principle, in which as much of it as is true is implicitly asserted.<sup>134</sup>.....

The general remarks on causation, which seemed necessary as an introduction to the theory of the inductive process, may here terminate. That process is essentially an inquiry into cases of causation. All the

uniformities which exist in the succession of phenomena, and most of the uniformities in their co-existence, are either, as we have seen, themselves laws of causation, or consequences resulting from, and corollaries capable of being deduced from, such laws. If we could determine what causes are correctly assigned to what effects, and what effects to what causes, we should be virtually acquainted with the whole course of nature. All those uniformities which are mere results of causation might then be explained and accounted for; and every individual fact or event might be predicted, provided we had the requisite data, that is, the requisite knowledge of the circumstances which, in the particular instance, preceded it.

To ascertain, therefore, what are the laws of causation which exist in nature; to determine the effect of every cause, and the causes of all effects, is the main business of Induction; and to point out how this is done is the chief object of Inductive Logic.

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<sup>132</sup> I omit, for simplicity, to take into account the effect, in this latter case, of the diminution of pressure, in diminishing the flow of water through the drain; which evidently in no way affects the truth or applicability of the principle, since when the two causes act simultaneously the conditions of that diminution of pressure do not arise.

<sup>133</sup> Professor Bain adds several other well-established chemical generalizations: "The laws that simple substances exhibit the strongest affinities; that compounds are more fusible than their elements; that combination tends to a lower state of matter from gas down to solid;" and some general propositions concerning the circumstances which facilitate or resist chemical combination. (Logic, ii., 254.)

<sup>134</sup> Professor Bain (Logic, ii., 39) points out a class of cases, other than that spoken of in the text, which he thinks must be regarded as an exception to the Composition of Causes. "Causes that merely make good the collocation for bringing a prime mover into action, or that release a potential force, do not follow any such rule. One man may direct a gun upon a fort as well as three: two sparks are not more effectual than one in exploding a barrel of gunpowder. In medicine there is a certain dose that answers the end; and adding to it does no more good."

I am not sure that these cases are really exceptions. The law of Composition of Causes, I think, is really fulfilled, and the appearance to the contrary is produced by attending to the remote instead of the immediate effect of the causes. In the cases mentioned, the immediate effect of the causes in action is a collocation, and the duplication of the cause does double the quantity of

collocation. Two men could raise the gun to the required angle twice as quickly as one, though one is enough. Two sparks put two sets of particles of the gunpowder into the state of intestine motion which makes them explode, though one is sufficient. It is the collocation itself that does not, by being doubled, always double the effect; because in many cases a certain collocation, once obtained, is all that is required for the production of the whole amount of effect which can be produced at all at the given time and place. Doubling the collocation with difference of time and place, as by pointing two guns, or exploding a second barrel after the first, does double the effect. This remark applies still more to Mr. Bain's third example, that of a double dose of medicine; for a double dose of an aperient does purge more violently, and a double dose of laudanum does produce longer and sounder sleep. But a double purging, or a double amount of narcotism, may have remote effects different in kind from the effect of the smaller amount, reducing the case to that of heteropathic laws, discussed in the text.



# CHAPTER VII.

## ON OBSERVATION AND EXPERIMENT.

§ 1. It results from the preceding exposition, that the process of ascertaining what consequents, in nature, are invariably connected with what antecedents, or in other words what phenomena are related to each other as causes and effects, is in some sort a process of analysis. That every fact which begins to exist has a cause, and that this cause must be found in some fact or concurrence of facts which immediately preceded the occurrence, may be taken for certain. The whole of the present facts are the infallible result of all past facts, and more immediately of all the facts which existed at the moment previous. Here, then, is a great sequence, which we know to be uniform. If the whole prior state of the entire universe could again recur, it would again be followed by the present state. The question is, how to resolve this complex uniformity into the simpler uniformities which compose it, and assign to each portion of the vast antecedent the portion of the consequent which is attendant on it.

This operation, which we have called analytical, inasmuch as it is the resolution of a complex whole into the component elements, is more than a merely mental analysis. No mere contemplation of the phenomena, and partition of them by the intellect alone, will of itself accomplish the end we have now in view. Nevertheless, such a mental partition is an indispensable first step. The order of nature, as perceived at a first glance, presents at every instant a chaos followed by another chaos. We must decompose each chaos into single facts. We must learn to see in the chaotic antecedent a multitude of distinct antecedents, in the chaotic consequent a multitude of distinct consequents. This, supposing it done, will not of itself tell us on which of the antecedents each consequent is invariably attendant. To determine that point, we must endeavor to effect a separation of the facts from one another, not in our minds only, but in nature. The mental analysis, however, must take place first. And every one knows that in the mode of performing it, one intellect differs immensely from another. It is the essence of the act of observing; for the observer is

not he who merely sees the thing which is before his eyes, but he who sees what parts that thing is composed of. To do this well is a rare talent. One person, from inattention, or attending only in the wrong place, overlooks half of what he sees; another sets down much more than he sees, confounding it with what he imagines, or with what he infers; another takes note of the *kind* of all the circumstances, but being inexpert in estimating their degree, leaves the quantity of each vague and uncertain; another sees indeed the whole, but makes such an awkward division of it into parts, throwing things into one mass which require to be separated, and separating others which might more conveniently be considered as one, that the result is much the same, sometimes even worse, than if no analysis had been attempted at all. It would be possible to point out what qualities of mind, and modes of mental culture, fit a person for being a good observer: that, however, is a question not of Logic, but of the Theory of Education, in the most enlarged sense of the term. There is not properly an Art of Observing. There may be rules for observing. But these, like rules for inventing, are properly instructions for the preparation of one's own mind; for putting it into the state in which it will be most fitted to observe, or most likely to invent. They are, therefore, essentially rules of self-education, which is a different thing from Logic. They do not teach how to do the thing, but how to make ourselves capable of doing it. They are an art of strengthening the limbs, not an art of using them.

The extent and minuteness of observation which may be requisite, and the degree of decomposition to which it may be necessary to carry the mental analysis, depend on the particular purpose in view. To ascertain the state of the whole universe at any particular moment is impossible, but would also be useless. In making chemical experiments, we do not think it necessary to note the position of the planets; because experience has shown, as a very superficial experience is sufficient to show, that in such cases that circumstance is not material to the result: and accordingly, in the ages when men believed in the occult influences of the heavenly bodies, it might have been unphilosophical to omit ascertaining the precise condition of those bodies at the moment of the experiment. As to the degree of minuteness of the mental subdivision, if we were obliged to break down what we observe into its very simplest elements, that is, literally into single facts, it would be difficult to say where we should find



them; we can hardly ever affirm that our divisions of any kind have reached the ultimate unit. But this, too, is fortunately unnecessary. The only object of the mental separation is to suggest the requisite physical separation, so that we may either accomplish it ourselves, or seek for it in nature; and we have done enough when we have carried the subdivision as far as the point at which we are able to see what observations or experiments we require. It is only essential, at whatever point our mental decomposition of facts may for the present have stopped, that we should hold ourselves ready and able to carry it further as occasion requires, and should not allow the freedom of our discriminating faculty to be imprisoned by the swathes and bands of ordinary classification; as was the case with all early speculative inquirers, not excepting the Greeks, to whom it seldom occurred that what was called by one abstract name might, in reality, be several phenomena, or that there was a possibility of decomposing the facts of the universe into any elements but those which ordinary language already recognized.

§ 2. The different antecedents and consequents being, then, supposed to be, so far as the case requires, ascertained and discriminated from one another, we are to inquire which is connected with which. In every instance which comes under our observation, there are many antecedents and many consequents. If those antecedents could not be severed from one another except in thought, or if those consequents never were found apart, it would be impossible for us to distinguish (*a posteriori* at least) the real laws, or to assign to any cause its effect, or to any effect its cause. To do so, we must be able to meet with some of the antecedents apart from the rest, and observe what follows from them; or some of the consequents, and observe by what they are preceded. We must, in short, follow the Baconian rule of *varying the circumstances*. This is, indeed, only the first rule of physical inquiry, and not, as some have thought, the sole rule; but it is the foundation of all the rest.

For the purpose of varying the circumstances, we may have recourse (according to a distinction commonly made) either to observation or to experiment; we may either *find* an instance in nature suited to our purposes, or, by an artificial arrangement of circumstances, *make* one. The value of the instance depends on what it is in itself, not on the mode in

which it is obtained: its employment for the purposes of induction depends on the same principles in the one case and in the other; as the uses of money are the same whether it is inherited or acquired. There is, in short, no difference in kind, no real logical distinction, between the two processes of investigation. There are, however, practical distinctions to which it is of considerable importance to advert.

§ 3. The first and most obvious distinction between Observation and Experiment is, that the latter is an immense extension of the former. It not only enables us to produce a much greater number of variations in the circumstances than nature spontaneously offers, but also, in thousands of cases, to produce the precise *sort* of variation which we are in want of for discovering the law of the phenomenon; a service which nature, being constructed on a quite different scheme from that of facilitating our studies, is seldom so friendly as to bestow upon us. For example, in order to ascertain what principle in the atmosphere enables it to sustain life, the variation we require is that a living animal should be immersed in each component element of the atmosphere separately. But nature does not supply either oxygen or azote in a separate state. We are indebted to artificial experiment for our knowledge that it is the former, and not the latter, which supports respiration; and for our knowledge of the very existence of the two ingredients.

Thus far the advantage of experimentation over simple observation is universally recognized: all are aware that it enables us to obtain innumerable combinations of circumstances which are not to be found in nature, and so add to nature's experiments a multitude of experiments of our own. But there is another superiority (or, as Bacon would have expressed it, another prerogative) of instances artificially obtained over spontaneous instances — of our own experiments over even the same experiments when made by nature — which is not of less importance, and which is far from being felt and acknowledged in the same degree.

When we can produce a phenomenon artificially, we can take it, as it were, home with us, and observe it in the midst of circumstances with which in all other respects we are accurately acquainted. If we desire to know what are the effects of the cause A, and are able to produce A by means at our disposal, we can generally determine at our own discretion,

so far as is compatible with the nature of the phenomenon A, the whole of the circumstances which shall be present along with it: and thus, knowing exactly the simultaneous state of every thing else which is within the reach of A's influence, we have only to observe what alteration is made in that state by the presence of A.

For example, by the electric machine we can produce, in the midst of known circumstances, the phenomena which nature exhibits on a grander scale in the form of lightning and thunder. Now let any one consider what amount of knowledge of the effects and laws of electric agency mankind could have obtained from the mere observation of thunder-storms, and compare it with that which they have gained, and may expect to gain, from electrical and galvanic experiments. This example is the more striking, now that we have reason to believe that electric action is of all natural phenomena (except heat) the most pervading and universal, which, therefore, it might antecedently have been supposed could stand least in need of artificial means of production to enable it to be studied; while the fact is so much the contrary, that without the electric machine, the Leyden jar, and the voltaic battery, we probably should never have suspected the existence of electricity as one of the great agents in nature; the few electric phenomena we should have known of would have continued to be regarded either as supernatural, or as a sort of anomalies and eccentricities in the order of the universe.

When we have succeeded in insulating the phenomenon which is the subject of inquiry, by placing it among known circumstances, we may produce further variations of circumstances to any extent, and of such kinds as we think best calculated to bring the laws of the phenomenon into a clear light. By introducing one well-defined circumstance after another into the experiment, we obtain assurance of the manner in which the phenomenon behaves under an indefinite variety of possible circumstances. Thus, chemists, after having obtained some newly-discovered substance in a pure state (that is, having made sure that there is nothing present which can interfere with and modify its agency), introduce various other substances, one by one, to ascertain whether it will combine with them, or decompose them, and with what result; and also

apply heat, or electricity, or pressure, to discover what will happen to the substance under each of these circumstances.

But if, on the other hand, it is out of our power to produce the phenomenon, and we have to seek for instances in which nature produces it, the task before us is very different.

Instead of being able to choose what the concomitant circumstances shall be, we now have to discover what they are; which, when we go beyond the simplest and most accessible cases, it is next to impossible to do with any precision and completeness. Let us take, as an exemplification of a phenomenon which we have no means of fabricating artificially, a human mind. Nature produces many; but the consequence of our not being able to produce them by art is, that in every instance in which we see a human mind developing itself, or acting upon other things, we see it surrounded and obscured by an indefinite multitude of unascertainable circumstances, rendering the use of the common experimental methods almost delusive. We may conceive to what extent this is true, if we consider, among other things, that whenever Nature produces a human mind, she produces, in close connection with it, a body; that is, a vast complication of physical facts, in no two cases perhaps exactly similar, and most of which (except the mere structure, which we can examine in a sort of coarse way after it has ceased to act), are radically out of the reach of our means of exploration. If, instead of a human mind, we suppose the subject of investigation to be a human society or State, all the same difficulties recur in a greatly augmented degree.

We have thus already come within sight of a conclusion, which the progress of the inquiry will, I think, bring before us with the clearest evidence: namely, that in the sciences which deal with phenomena in which artificial experiments are impossible (as in the case of astronomy), or in which they have a very limited range (as in mental philosophy, social science, and even physiology), induction from direct experience is practiced at a disadvantage in most cases equivalent to impracticability; from which it follows that the methods of those sciences, in order to accomplish any thing worthy of attainment, must be to a great extent, if not principally, deductive. This is already known to be the case with the first of the sciences we have mentioned, astronomy; that it is not generally

recognized as true of the others, is probably one of the reasons why they are not in a more advanced state.

§ 4. If what is called pure observation is at so great a disadvantage, compared with artificial experimentation, in one department of the direct exploration of phenomena, there is another branch in which the advantage is all on the side of the former.

Inductive inquiry having for its object to ascertain what causes are connected with what effects, we may begin this search at either end of the road which leads from the one point to the other: we may either inquire into the effects of a given cause or into the causes of a given effect. The fact that light blackens chloride of silver might have been discovered either by experiments on light, trying what effect it would produce on various substances, or by observing that portions of the chloride had repeatedly become black, and inquiring into the circumstances. The effect of the urali poison might have become known either by administering it to animals, or by examining how it happened that the wounds which the Indians of Guiana inflict with their arrows prove so uniformly mortal. Now it is manifest from the mere statement of the examples, without any theoretical discussion, that artificial experimentation is applicable only to the former of these modes of investigation. We can take a cause, and try what it will produce; but we can not take an effect, and try what it will be produced by. We can only watch till we see it produced, or are enabled to produce it by accident.

This would be of little importance, if it always depended on our choice from which of the two ends of the sequence we would undertake our inquiries. But we have seldom any option. As we can only travel from the known to the unknown, we are obliged to commence at whichever end we are best acquainted with. If the agent is more familiar to us than its effects, we watch for, or contrive, instances of the agent, under such varieties of circumstances as are open to us, and observe the result. If, on the contrary, the conditions on which a phenomenon depends are obscure, but the phenomenon itself familiar, we must commence our inquiry from the effect. If we are struck with the fact that chloride of silver has been blackened, and have no suspicion of the cause, we have no resource but to compare instances in which the fact has chanced to occur, until by that

comparison we discover that in all those instances the substances had been exposed to light. If we knew nothing of the Indian arrows but their fatal effect, accident alone could turn our attention to experiments on the urali; in the regular course of investigation, we could only inquire, or try to observe, what had been done to the arrows in particular instances.

Wherever, having nothing to guide us to the cause, we are obliged to set out from the effect, and to apply the rule of varying the circumstances to the consequents, not the antecedents, we are necessarily destitute of the resource of artificial experimentation. We can not, at our choice, obtain consequents, as we can antecedents, under any set of circumstances compatible with their nature. There are no means of producing effects but through their causes, and by the supposition the causes of the effect in question are not known to us. We have, therefore, no expedient but to study it where it offers itself spontaneously. If nature happens to present us with instances sufficiently varied in their circumstances, and if we are able to discover, either among the proximate antecedents or among some other order of antecedents, something which is always found when the effect is found, however various the circumstances, and never found when it is not, we may discover, by mere observation without experiment, a real uniformity in nature.

But though this is certainly the most favorable case for sciences of pure observation, as contrasted with those in which artificial experiments are possible, there is in reality no case which more strikingly illustrates the inherent imperfection of direct induction when not founded on experimentation. Suppose that, by a comparison of cases of the effect, we have found an antecedent which appears to be, and perhaps is, invariably connected with it: we have not yet proved that antecedent to be the cause until we have reversed the process, and produced the effect by means of that antecedent. If we can produce the antecedent artificially, and if, when we do so, the effect follows, the induction is complete; that antecedent is the cause of that consequent.<sup>135</sup> But we have then added the evidence of experiment to that of simple observation. Until we had done so, we had only proved *invariable* antecedence within the limits of experience, but not *unconditional* antecedence, or causation. Until it had been shown by the actual production of the antecedent under known circumstances, and

the occurrence thereupon of the consequent, that the antecedent was really the condition on which it depended; the uniformity of succession which was proved to exist between them might, for aught we knew, be (like the succession of day and night) not a case of causation at all; both antecedent and consequent might be successive stages of the effect of an ulterior cause. Observation, in short, without experiment (supposing no aid from deduction) can ascertain sequences and co-existences, but can not prove causation.

In order to see these remarks verified by the actual state of the sciences, we have only to think of the condition of natural history. In zoology, for example, there is an immense number of uniformities ascertained, some of co-existence, others of succession, to many of which, notwithstanding considerable variations of the attendant circumstances, we know not any exception: but the antecedents, for the most part, are such as we can not artificially produce; or if we can, it is only by setting in motion the exact process by which nature produces them; and this being to us a mysterious process, of which the main circumstances are not only unknown but unobservable, we do not succeed in obtaining the antecedents under known circumstances. What is the result? That on this vast subject, which affords so much and such varied scope for observation, we have made most scanty progress in ascertaining any laws of causation. We know not with certainty, in the case of most of the phenomena that we find conjoined, which is the condition of the other; which is cause, and which effect, or whether either of them is so, or they are not rather conjunct effects of causes yet to be discovered, complex results of laws hitherto unknown.

Although some of the foregoing observations may be, in technical strictness of arrangement, premature in this place, it seemed that a few general remarks on the difference between sciences of mere observation and sciences of experimentation, and the extreme disadvantage under which directly inductive inquiry is necessarily carried on in the former, were the best preparation for discussing the methods of direct induction; a preparation rendering superfluous much that must otherwise have been introduced, with some inconvenience, into the heart of that discussion. To the consideration of these methods we now proceed.

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<sup>135</sup> Unless, indeed, the consequent was generated, not by the antecedent, but by the means employed to produce the antecedent. As, however, these means are under our power, there is so far a probability that they are also sufficiently within our knowledge to enable us to judge whether that could be the case or not.





# CHAPTER VIII.

## OF THE FOUR METHODS OF EXPERIMENTAL INQUIRY.

§ 1. The simplest and most obvious modes of singling out from among the circumstances which precede or follow a phenomenon, those with which it is really connected by an invariable law, are two in number. One is, by comparing together different instances in which the phenomenon occurs. The other is, by comparing instances in which the phenomenon does occur, with instances in other respects similar in which it does not. These two methods may be respectively denominated, the Method of Agreement, and the Method of Difference.

In illustrating these methods, it will be necessary to bear in mind the twofold character of inquiries into the laws of phenomena; which may be either inquiries into the cause of a given effect, or into the effects or properties of a given cause. We shall consider the methods in their application to either order of investigation, and shall draw our examples equally from both.

We shall denote antecedents by the large letters of the alphabet, and the consequents corresponding to them by the small. Let *A*, then, be an agent or cause, and let the object of our inquiry be to ascertain what are the effects of this cause. If we can either find, or produce, the agent *A* in such varieties of circumstances that the different cases have no circumstance in common except *A*; then whatever effect we find to be produced in all our trials, is indicated as the effect of *A*. Suppose, for example, that *A* is tried along with *B* and *C*, and that the effect is *a b c*; and suppose that *A* is next tried with *D* and *E*, but without *B* and *C*, and that the effect is *a d e*. Then we may reason thus: *b* and *c* are not effects of *A*, for they were not produced by it in the second experiment; nor are *d* and *e*, for they were not produced in the first. Whatever is really the effect of *A* must have been produced in both instances; now this condition is fulfilled by no circumstance except *a*. The phenomenon *a* can not have been the

effect of B or C, since it was produced where they were not; nor of D or E, since it was produced where they were not. Therefore it is the effect of A.

For example, let the antecedent A be the contact of an alkaline substance and an oil. This combination being tried under several varieties of circumstances, resembling each other in nothing else, the results agree in the production of a greasy and detergent or saponaceous substance: it is therefore concluded that the combination of an oil and an alkali causes the production of a soap. It is thus we inquire, by the Method of Agreement, into the effect of a given cause.

In a similar manner we may inquire into the cause of a given effect. Let *a* be the effect. Here, as shown in the last chapter, we have only the resource of observation without experiment: we can not take a phenomenon of which we know not the origin, and try to find its mode of production by producing it: if we succeeded in such a random trial it could only be by accident. But if we can observe *a* in two different combinations, *a b c* and *a d e*; and if we know, or can discover, that the antecedent circumstances in these cases respectively were A B C and A D E, we may conclude by a reasoning similar to that in the preceding example, that A is the antecedent connected with the consequent *a* by a law of causation. B and C, we may say, can not be causes of *a*, since on its second occurrence they were not present; nor are D and E, for they were not present on its first occurrence. A, alone of the five circumstances, was found among the antecedents of *a* in both instances.

For example, let the effect *a* be crystallization. We compare instances in which bodies are known to assume crystalline structure, but which have no other point of agreement; and we find them to have one, and as far as we can observe, only one, antecedent in common: the deposition of a solid matter from a liquid state, either a state of fusion or of solution. We conclude, therefore, that the solidification of a substance from a liquid state is an invariable antecedent of its crystallization.

In this example we may go further, and say, it is not only the invariable antecedent but the cause; or at least the proximate event which completes the cause. For in this case we are able, after detecting the antecedent A, to produce it artificially, and by finding that *a* follows it, verify the result of our induction. The importance of thus reversing the

proof was strikingly manifested when, by keeping a phial of water charged with siliceous particles undisturbed for years, a chemist (I believe Dr. Wollaston) succeeded in obtaining crystals of quartz; and in the equally interesting experiment in which Sir James Hall produced artificial marble by the cooling of its materials from fusion under immense pressure: two admirable examples of the light which may be thrown upon the most secret processes of Nature by well-contrived interrogation of her.

But if we can not artificially produce the phenomenon A, the conclusion that it is the cause of *a* remains subject to very considerable doubt. Though an invariable, it may not be the unconditional antecedent of *a*, but may precede it as day precedes night or night day. This uncertainty arises from the impossibility of assuring ourselves that A is the *only* immediate antecedent common to both the instances. If we could be certain of having ascertained all the invariable antecedents, we might be sure that the unconditional invariable antecedent, or cause, must be found somewhere among them. Unfortunately it is hardly ever possible to ascertain all the antecedents, unless the phenomenon is one which we can produce artificially. Even then, the difficulty is merely lightened, not removed: men knew how to raise water in pumps long before they adverted to what was really the operating circumstance in the means they employed, namely, the pressure of the atmosphere on the open surface of the water. It is, however, much easier to analyze completely a set of arrangements made by ourselves, than the whole complex mass of the agencies which nature happens to be exerting at the moment of the production of a given phenomenon. We may overlook some of the material circumstances in an experiment with an electrical machine; but we shall, at the worst, be better acquainted with them than with those of a thunder-storm.

The mode of discovering and proving laws of nature, which we have now examined, proceeds on the following axiom: Whatever circumstances can be excluded, without prejudice to the phenomenon, or can be absent notwithstanding its presence, is not connected with it in the way of causation. The casual circumstances being thus eliminated, if only one remains, that one is the cause which we are in search of: if more than one, they either are, or contain among them, the cause; and so, *mutatis*

*mutandis*, of the effect. As this method proceeds by comparing different instances to ascertain in what they agree, I have termed it the Method of Agreement; and we may adopt as its regulating principal the following canon:

FIRST CANON.

*If two or more instances of the phenomenon under investigation have only one circumstance in common, the circumstance in which alone all the instances agree, is the cause (or effect) of the given phenomenon.*

Quitting for the present the Method of Agreement, to which we shall almost immediately return, we proceed to a still more potent instrument of the investigation of nature, the Method of Difference.

§ 2. In the Method of Agreement, we endeavored to obtain instances which agreed in the given circumstance but differed in every other: in the present method we require, on the contrary, two instances resembling one another in every other respect, but differing in the presence or absence of the phenomenon we wish to study. If our object be to discover the effects of an agent A, we must procure A in some set of ascertained circumstances, as A B C, and having noted the effects produced, compare them with the effect of the remaining circumstances B C, when A is absent. If the effect of A B C is *a b c*, and the effect of B C *b c*, it is evident that the effect of A is *a*. So again, if we begin at the other end, and desire to investigate the cause of an effect *a*, we must select an instance, as *a b c*, in which the effect occurs, and in which the antecedents were A B C, and we must look out for another instance in which the remaining circumstances, *b c*, occur without *a*. If the antecedents, in that instance, are B C, we know that the cause of *a* must be A: either A alone, or A in conjunction with some of the other circumstances present.

It is scarcely necessary to give examples of a logical process to which we owe almost all the inductive conclusions we draw in daily life. When a man is shot through the heart, it is by this method we know that it was the gunshot which killed him: for he was in the fullness of life immediately before, all circumstances being the same, except the wound.

The axioms implied in this method are evidently the following. Whatever antecedent can not be excluded without preventing the phenomenon, is the cause, or a condition, of that phenomenon: whatever

consequent can be excluded, with no other difference in the antecedents than the absence of a particular one, is the effect of that one. Instead of comparing different instances of a phenomenon, to discover in what they agree, this method compares an instance of its occurrence with an instance of its non-occurrence, to discover in what they differ. The canon which is the regulating principle of the Method of Difference may be expressed as follows:

SECOND CANON.

*If an instance in which the phenomenon under investigation occurs, and an instance in which it does not occur, have every circumstance in common save one, that one occurring only in the former; the circumstance in which alone the two instances differ, is the effect, or the cause, or an indispensable part of the cause, of the phenomenon.*

§ 3. The two methods which we have now stated have many features of resemblance, but there are also many distinctions between them. Both are methods of *elimination*. This term (employed in the theory of equations to denote the process by which one after another of the elements of a question is excluded, and the solution made to depend on the relation between the remaining elements only) is well suited to express the operation, analogous to this, which has been understood since the time of Bacon to be the foundation of experimental inquiry: namely, the successive exclusion of the various circumstances which are found to accompany a phenomenon in a given instance, in order to ascertain what are those among them which can be absent consistently with the existence of the phenomenon. The Method of Agreement stands on the ground that whatever can be eliminated, is not connected with the phenomenon by any law. The Method of Difference has for its foundation, that whatever can not be eliminated, is connected with the phenomenon by a law.

Of these methods, that of Difference is more particularly a method of artificial experiment; while that of Agreement is more especially the resource employed where experimentation is impossible. A few reflections will prove the fact, and point out the reason of it.

It is inherent in the peculiar character of the Method of Difference, that the nature of the combinations which it requires is much more strictly defined than in the Method of Agreement. The two instances which are to

be compared with one another must be exactly similar, in all circumstances except the one which we are attempting to investigate: they must be in the relation of  $A B C$  and  $B C$ , or of  $a b c$  and  $b c$ . It is true that this similarity of circumstances needs not extend to such as are already known to be immaterial to the result. And in the case of most phenomena we learn at once, from the commonest experience, that most of the co-existent phenomena of the universe may be either present or absent without affecting the given phenomenon; or, if present, are present indifferently when the phenomenon does not happen and when it does. Still, even limiting the identity which is required between the two instances,  $A B C$  and  $B C$ , to such circumstances as are not already known to be indifferent, it is very seldom that nature affords two instances, of which we can be assured that they stand in this precise relation to one another. In the spontaneous operations of nature there is generally such complication and such obscurity, they are mostly either on so overwhelmingly large or on so inaccessibly minute a scale, we are so ignorant of a great part of the facts which really take place, and even those of which we are not ignorant are so multitudinous, and therefore so seldom exactly alike in any two cases, that a spontaneous experiment, of the kind required by the Method of Difference, is commonly not to be found. When, on the contrary, we obtain a phenomenon by an artificial experiment, a pair of instances such as the method requires is obtained almost as a matter of course, provided the process does not last a long time. A certain state of surrounding circumstances existed before we commenced the experiment; this is  $B C$ . We then introduce  $A$ ; say, for instance, by merely bringing an object from another part of the room, before there has been time for any change in the other elements. It is, in short (as M. Comté observes), the very nature of an experiment, to introduce into the pre-existing state of circumstances a change perfectly definite. We choose a previous state of things with which we are well acquainted, so that no unforeseen alteration in that state is likely to pass unobserved; and into this we introduce, as rapidly as possible, the phenomenon which we wish to study; so that in general we are entitled to feel complete assurance that the pre-existing state, and the state which we have produced, differ in nothing except the presence or absence of that phenomenon. If a bird is taken from a cage, and instantly plunged into

carbonic acid gas, the experimentalist may be fully assured (at all events after one or two repetitions) that no circumstance capable of causing suffocation had supervened in the interim, except the change from immersion in the atmosphere to immersion in carbonic acid gas. There is one doubt, indeed, which may remain in some cases of this description; the effect may have been produced not by the change, but by the means employed to produce the change. The possibility, however, of this last supposition generally admits of being conclusively tested by other experiments. It thus appears that in the study of the various kinds of phenomena which we can, by our voluntary agency, modify or control, we can in general satisfy the requisitions of the Method of Difference; but that by the spontaneous operations of nature those requisitions are seldom fulfilled.

The reverse of this is the case with the Method of Agreement. We do not here require instances of so special and determinate a kind. Any instances whatever, in which nature presents us with a phenomenon, may be examined for the purposes of this method; and if all such instances agree in any thing, a conclusion of considerable value is already attained. We can seldom, indeed, be sure that the one point of agreement is the only one; but this ignorance does not, as in the Method of Difference, vitiate the conclusion; the certainty of the result, as far as it goes, is not affected. We have ascertained one invariable antecedent or consequent, however many other invariable antecedents or consequents may still remain unascertained. If  $A B C$ ,  $A D E$ ,  $A F G$ , are all equally followed by  $a$ , then  $a$  is an invariable consequent of  $A$ . If  $a b c$ ,  $a d e$ ,  $a f g$ , all number  $A$  among their antecedents, then  $A$  is connected as an antecedent, by some invariable law, with  $a$ . But to determine whether this invariable antecedent is a cause, or this invariable consequent an effect, we must be able, in addition, to produce the one by means of the other; or, at least, to obtain that which alone constitutes our assurance of having produced any thing, namely, an instance in which the effect,  $a$ , has come into existence, with no other change in the pre-existing circumstances than the addition of  $A$ . And this, if we can do it, is an application of the Method of Difference, not of the Method of Agreement.

It thus appears to be by the Method of Difference alone that we can ever, in the way of direct experience, arrive with certainty at causes. The Method of Agreement leads only to laws of phenomena (as some writers call them, but improperly, since laws of causation are also laws of phenomena): that is, to uniformities, which either are not laws of causation, or in which the question of causation must for the present remain undecided. The Method of Agreement is chiefly to be resorted to, as a means of suggesting applications of the Method of Difference (as in the last example the comparison of A B C, A D E, A F G, suggested that A was the antecedent on which to try the experiment whether it could produce a); or as an inferior resource, in case the Method of Difference is impracticable; which, as we before showed, generally arises from the impossibility of artificially producing the phenomena. And hence it is that the Method of Agreement, though applicable in principle to either case, is more emphatically the method of investigation on those subjects where artificial experimentation is impossible; because on those it is, generally, our only resource of a directly inductive nature; while, in the phenomena which we can produce at pleasure, the Method of Difference generally affords a more efficacious process, which will ascertain causes as well as mere laws.

§ 4. There are, however, many cases in which, though our power of producing the phenomenon is complete, the Method of Difference either can not be made available at all, or not without a previous employment of the Method of Agreement. This occurs when the agency by which we can produce the phenomenon is not that of one single antecedent, but a combination of antecedents, which we have no power of separating from each other, and exhibiting apart. For instance, suppose the subject of inquiry to be the cause of the double refraction of light. We can produce this phenomenon at pleasure, by employing any one of the many substances which are known to refract light in that peculiar manner. But if, taking one of those substances, as Iceland spar, for example, we wish to determine on which of the properties of Iceland spar this remarkable phenomenon depends, we can make no use, for that purpose, of the Method of Difference; for we can not find another substance precisely resembling Iceland spar except in some one property. The only mode, therefore, of prosecuting this inquiry is that afforded by the Method of



Agreement; by which, in fact, through a comparison of all the known substances which have the property of doubly refracting light, it was ascertained that they agree in the circumstance of being crystalline substances; and though the converse does not hold, though all crystalline substances have not the property of double refraction, it was concluded, with reason, that there is a real connection between these two properties; that either crystalline structure, or the cause which gives rise to that structure, is one of the conditions of double refraction.

Out of this employment of the Method of Agreement arises a peculiar modification of that method, which is sometimes of great avail in the investigation of nature. In cases similar to the above, in which it is not possible to obtain the precise pair of instances which our second canon requires — instances agreeing in every antecedent except A, or in every consequent except *a*, we may yet be able, by a double employment of the Method of Agreement, to discover in what the instances which contain A or *a* differ from those which do not.

If we compare various instances in which *a* occurs, and find that they all have in common the circumstance A, and (as far as can be observed) no other circumstance, the Method of Agreement, so far, bears testimony to a connection between A and *a*. In order to convert this evidence of connection into proof of causation by the direct Method of Difference, we ought to be able, in some one of these instances, as for example, A B C, to leave out A, and observe whether by doing so, *a* is prevented. Now supposing (what is often the case) that we are not able to try this decisive experiment; yet, provided we can by any means discover what would be its result if we could try it, the advantage will be the same. Suppose, then, that as we previously examined a variety of instances in which *a* occurred, and found them to agree in containing A, so we now observe a variety of instances in which *a* does not occur, and find them agree in not containing A; which establishes, by the Method of Agreement, the same connection between the absence of A and the absence of *a*, which was before established between their presence. As, then, it had been shown that whenever A is present *a* is present, so, it being now shown that when A is taken away *a* is removed along with it, we have by the one proposition A B

C,  $a b c$ , by the other B C,  $b c$ , the positive and negative instances which the Method of Difference requires.

This method may be called the Indirect Method of Difference, or the Joint Method of Agreement and Difference; and consists in a double employment of the Method of Agreement, each proof being independent of the other, and corroborating it. But it is not equivalent to a proof by the direct Method of Difference. For the requisitions of the Method of Difference are not satisfied, unless we can be quite sure either that the instances affirmative of  $a$  agree in no antecedent whatever but A, or that the instances negative of  $a$  agree in nothing but the negation of A. Now, if it were possible, which it never is, to have this assurance, we should not need the joint method; for either of the two sets of instances separately would then be sufficient to prove causation. This indirect method, therefore, can only be regarded as a great extension and improvement of the Method of Agreement, but not as participating in the more cogent nature of the Method of Difference. The following may be stated as its canon:

#### THIRD CANON.

*If two or more instances in which the phenomenon occurs have only one circumstance in common, while two or more instances in which it does not occur have nothing in common save the absence of that circumstance, the circumstance in which alone the two sets of instances differ, is the effect, or the cause, or an indispensable part of the cause, of the phenomenon.*

We shall presently see that the Joint Method of Agreement and Difference constitutes, in another respect not yet adverted to, an improvement upon the common Method of Agreement, namely, in being unaffected by a characteristic imperfection of that method, the nature of which still remains to be pointed out. But as we can not enter into this exposition without introducing a new element of complexity into this long and intricate discussion, I shall postpone it to a subsequent chapter, and shall at once proceed to a statement of two other methods, which will complete the enumeration of the means which mankind possess for exploring the laws of nature by specific observation and experience.

§ 5. The first of these has been aptly denominated the Method of Residues. Its principle is very simple. Subducting from any given phenomenon all the portions which, by virtue of preceding inductions, can be assigned to known causes, the remainder will be the effect of the antecedents which had been overlooked, or of which the effect was as yet an unknown quantity.

Suppose, as before, that we have the antecedents A B C, followed by the consequents  $a b c$ , and that by previous inductions (founded, we will suppose, on the Method of Difference) we have ascertained the causes of some of these effects, or the effects of some of these causes; and are thence apprised that the effect of A is  $a$ , and that the effect of B is  $b$ . Subtracting the sum of these effects from the total phenomenon, there remains  $c$ , which now, without any fresh experiments, we may know to be the effect of C. This Method of Residues is in truth a peculiar modification of the Method of Difference. If the instance A B C,  $a b c$ , could have been compared with a single instance A B,  $a b$ , we should have proved C to be the cause of  $c$ , by the common process of the Method of Difference. In the present case, however, instead of a single instance A B, we have had to study separately the causes A and B, and to infer from the effects which they produce separately what effect they must produce in the case A B C, where they act together. Of the two instances, therefore, which the Method of Difference requires — the one positive, the other negative — the negative one, or that in which the given phenomenon is absent, is not the direct result of observation and experiment, but has been arrived at by deduction. As one of the forms of the Method of Difference, the Method of Residues partakes of its rigorous certainty, provided the previous inductions, those which gave the effects of A and B, were obtained by the same infallible method, and provided we are certain that C is the *only* antecedent to which the residual phenomenon  $c$  can be referred; the only agent of which we had not already calculated and subducted the effect. But as we can never be quite certain of this, the evidence derived from the Method of Residues is not complete unless we can obtain C artificially, and try it separately, or unless its agency, when once suggested, can be accounted for, and proved deductively from known laws.

Even with these reservations, the Method of Residues is one of the most important among our instruments of discovery. Of all the methods of investigating laws of nature, this is the most fertile in unexpected results: often informing us of sequences in which neither the cause nor the effect were sufficiently conspicuous to attract of themselves the attention of observers. The agent *C* may be an obscure circumstance, not likely to have been perceived unless sought for, nor likely to have been sought for until attention had been awakened by the insufficiency of the obvious causes to account for the whole of the effect. And *c* may be so disguised by its intermixture with *a* and *b*, that it would scarcely have presented itself spontaneously as a subject of separate study. Of these uses of the method, we shall presently cite some remarkable examples. The canon of the Method of Residues is as follows:

#### FOURTH CANON.

*Subduct from any phenomenon such part as is known by previous inductions to be the effect of certain antecedents, and the residue of the phenomenon is the effect of the remaining antecedents.*

§ 6. There remains a class of laws which it is impracticable to ascertain by any of the three methods which I have attempted to characterize: namely, the laws of those Permanent Causes, or indestructible natural agents, which it is impossible either to exclude or to isolate; which we can neither hinder from being present, nor contrive that they shall be present alone. It would appear at first sight that we could by no means separate the effects of these agents from the effects of those other phenomena with which they can not be prevented from co-existing. In respect, indeed, to most of the permanent causes, no such difficulty exists; since, though we can not eliminate them as co-existing facts, we can eliminate them as influencing agents, by simply trying our experiment in a local situation beyond the limits of their influence. The pendulum, for example, has its oscillations disturbed by the vicinity of a mountain: we remove the pendulum to a sufficient distance from the mountain, and the disturbance ceases: from these data we can determine by the Method of Difference, the amount of effect due to the mountain; and beyond a certain distance every thing goes on precisely as it would do if the mountain

exercised no influence whatever, which, accordingly, we, with sufficient reason, conclude to be the fact.

The difficulty, therefore, in applying the methods already treated of to determine the effects of Permanent Causes, is confined to the cases in which it is impossible for us to get out of the local limits of their influence. The pendulum can be removed from the influence of the mountain, but it can not be removed from the influence of the earth: we can not take away the earth from the pendulum, nor the pendulum from the earth, to ascertain whether it would continue to vibrate if the action which the earth exerts upon it were withdrawn. On what evidence, then, do we ascribe its vibrations to the earth's influence? Not on any sanctioned by the Method of Difference; for one of the two instances, the negative instance, is wanting. Nor by the Method of Agreement; for though all pendulums agree in this, that during their oscillations the earth is always present, why may we not as well ascribe the phenomenon to the sun, which is equally a co-existent fact in all the experiments? It is evident that to establish even so simple a fact of causation as this, there was required some method over and above those which we have yet examined.

As another example, let us take the phenomenon Heat. Independently of all hypothesis as to the real nature of the agency so called, this fact is certain, that we are unable to exhaust any body of the whole of its heat. It is equally certain that no one ever perceived heat not emanating from a body. Being unable, then, to separate Body and Heat, we can not effect such a variation of circumstances as the foregoing three methods require; we can not ascertain, by those methods, what portion of the phenomena exhibited by any body is due to the heat contained in it. If we could observe a body with its heat, and the same body entirely divested of heat, the Method of Difference would show the effect due to the heat, apart from that due to the body. If we could observe heat under circumstances agreeing in nothing but heat, and therefore not characterized also by the presence of a body, we could ascertain the effects of heat, from an instance of heat with a body and an instance of heat without a body, by the Method of Agreement; or we could determine by the Method of Difference what effect was due to the body, when the remainder which was due to the heat would be given by the Method of Residues. But we can do none of these

things; and without them the application of any of the three methods to the solution of this problem would be illusory. It would be idle, for instance, to attempt to ascertain the effect of heat by subtracting from the phenomena exhibited by a body all that is due to its other properties; for as we have never been able to observe any bodies without a portion of heat in them, effects due to that heat might form a part of the very results which we were affecting to subtract, in order that the effect of heat might be shown by the residue.

If, therefore, there were no other methods of experimental investigation than these three, we should be unable to determine the effects due to heat as a cause. But we have still a resource. Though we can not exclude an antecedent altogether, we may be able to produce, or nature may produce for us some modification in it. By a modification is here meant, a change in it not amounting to its total removal. If some modification in the antecedent *A* is always followed by a change in the consequent *a*, the other consequents *b* and *c* remaining the same; or *vice versa*, if every change in *a* is found to have been preceded by some modification in *A*, none being observable in any of the other antecedents, we may safely conclude that *a* is, wholly or in part, an effect traceable to *A*, or at least in some way connected with it through causation. For example, in the case of heat, though we can not expel it altogether from any body, we can modify it in quantity, we can increase or diminish it; and doing so, we find by the various methods of experimentation or observation already treated of, that such increase or diminution of heat is followed by expansion or contraction of the body. In this manner we arrive at the conclusion, otherwise unattainable by us, that one of the effects of heat is to enlarge the dimensions of bodies; or, what is the same thing in other words, to widen the distances between their particles.

A change in a thing, not amounting to its total removal, that is, a change which leaves it still the same thing it was, must be a change either in its quantity, or in some of its variable relations to other things, of which variable relations the principal is its position in space. In the previous example, the modification which was produced in the antecedent was an alteration in its quantity. Let us now suppose the question to be, what influence the moon exerts on the surface of the earth. We can not try an

experiment in the absence of the moon, so as to observe what terrestrial phenomena her annihilation would put an end to; but when we find that all the variations in the *position* of the moon are followed by corresponding variations in the time and place of high water, the place being always either the part of the earth which is nearest to, or that which is most remote from, the moon, we have ample evidence that the moon is, wholly or partially, the cause which determines the tides. It very commonly happens, as it does in this instance, that the variations of an effect are correspondent, or analogous, to those of its cause; as the moon moves farther toward the east, the high-water point does the same: but this is not an indispensable condition, as may be seen in the same example, for along with that high-water point there is at the same instant another high-water point diametrically opposite to it, and which, therefore, of necessity, moves toward the west, as the moon, followed by the nearer of the tide waves, advances toward the east: and yet both these motions are equally effects of the moon's motion.

That the oscillations of the pendulum are caused by the earth, is proved by similar evidence. Those oscillations take place between equidistant points on the two sides of a line, which, being perpendicular to the earth, varies with every variation in the earth's position, either in space or relatively to the object. Speaking accurately, we only know by the method now characterized, that all terrestrial bodies tend to the earth, and not to some unknown fixed point lying in the same direction. In every twenty-four hours, by the earth's rotation, the line drawn from the body at right angles to the earth coincides successively with all the radii of a circle, and in the course of six months the place of that circle varies by nearly two hundred millions of miles; yet in all these changes of the earth's position, the line in which bodies tend to fall continues to be directed toward it: which proves that terrestrial gravity is directed to the earth, and not, as was once fancied by some, to a fixed point of space.

The method by which these results were obtained may be termed the Method of Concomitant Variations; it is regulated by the following canon:

#### FIFTH CANON.

*Whatever phenomenon varies in any manner whenever another phenomenon varies in some particular manner, is either a cause or an*

*effect of that phenomenon, or is connected with it through some fact of causation.*

The last clause is subjoined, because it by no means follows when two phenomena accompany each other in their variations, that the one is cause and the other effect. The same thing may, and indeed must happen, supposing them to be two different effects of a common cause: and by this method alone it would never be possible to ascertain which of the suppositions is the true one. The only way to solve the doubt would be that which we have so often adverted to, viz., by endeavoring to ascertain whether we can produce the one set of variations by means of the other. In the case of heat, for example, by increasing the temperature of a body we increase its bulk, but by increasing its bulk we do not increase its temperature; on the contrary (as in the rarefaction of air under the receiver of an air-pump), we generally diminish it: therefore heat is not an effect, but a cause, of increase of bulk. If we can not ourselves produce the variations, we must endeavor, though it is an attempt which is seldom successful, to find them produced by nature in some case in which the pre-existing circumstances are perfectly known to us.

It is scarcely necessary to say, that in order to ascertain the uniform concomitance of variations in the effect with variations in the cause, the same precautions must be used as in any other case of the determination of an invariable sequence. We must endeavor to retain all the other antecedents unchanged, while that particular one is subjected to the requisite series of variations; or, in other words, that we may be warranted in inferring causation from concomitance of variations, the concomitance itself must be proved by the Method of Difference.

It might at first appear that the Method of Concomitant Variations assumes a new axiom, or law of causation in general, namely, that every modification of the cause is followed by a change in the effect. And it does usually happen that when a phenomenon A causes a phenomenon *a*, any variation in the quantity or in the various relations of A, is uniformly followed by a variation in the quantity or relations of *a*. To take a familiar instance, that of gravitation. The sun causes a certain tendency to motion in the earth; here we have cause and effect; but that tendency is *toward* the sun, and therefore varies in direction as the sun varies in the relation



of position; and, moreover, the tendency varies in intensity, in a certain numerical correspondence to the sun's distance from the earth, that is, according to another relation of the sun. Thus we see that there is not only an invariable connection between the sun and the earth's gravitation, but that two of the relations of the sun, its position with respect to the earth and its distance from the earth, are invariably connected as antecedents with the quantity and direction of the earth's gravitation. The cause of the earth's gravitating at all, is simply the sun; but the cause of its gravitating with a given intensity and in a given direction, is the existence of the sun in a given direction and at a given distance. It is not strange that a modified cause, which is in truth a different cause, should produce a different effect.

Although it is for the most part true that a modification of the cause is followed by a modification of the effect, the Method of Concomitant Variations does not, however, presuppose this as an axiom. It only requires the converse proposition: that any thing on whose modifications, modifications of an effect are invariably consequent, must be the cause (or connected with the cause) of that effect; a proposition, the truth of which is evident; for if the thing itself had no influence on the effect, neither could the modifications of the thing have any influence. If the stars have no power over the fortunes of mankind, it is implied in the very terms that the conjunctions or oppositions of different stars can have no such power.

Although the most striking applications of the Method of Concomitant Variations take place in the cases in which the Method of Difference, strictly so called, is impossible, its use is not confined to those cases; it may often usefully follow after the Method of Difference, to give additional precision to a solution which that has found. When by the Method of Difference it has first been ascertained that a certain object produces a certain effect, the Method of Concomitant Variations may be usefully called in, to determine according to what law the quantity or the different relations of the effect follow those of the cause.

§ 7. The case in which this method admits of the most extensive employment, is that in which the variations of the cause are variations of quantity. Of such variations we may in general affirm with safety, that they will be attended not only with variations, but with similar variations, of the effect: the proposition that more of the cause is followed by more of the

effect, being a corollary from the principle of the Composition of Causes, which, as we have seen, is the general rule of causation; cases of the opposite description, in which causes change their properties on being conjoined with one another, being, on the contrary, special and exceptional. Suppose, then, that when  $A$  changes in quantity,  $a$  also changes in quantity, and in such a manner that we can trace the numerical relation which the changes of the one bear to such changes of the other as take place within our limits of observation. We may then, with certain precautions, safely conclude that the same numerical relation will hold beyond those limits. If, for instance, we find that when  $A$  is double,  $a$  is double; that when  $A$  is treble or quadruple,  $a$  is treble or quadruple; we may conclude that if  $A$  were a half or a third,  $a$  would be a half or a third, and finally, that if  $A$  were annihilated,  $a$  would be annihilated; and that  $a$  is wholly the effect of  $A$ , or wholly the effect of the same cause with  $A$ . And so with any other numerical relation according to which  $A$  and  $a$  would vanish simultaneously; as, for instance, if  $a$  were proportional to the square of  $A$ . If, on the other hand,  $a$  is not wholly the effect of  $A$ , but yet varies when  $A$  varies, it is probably a mathematical function not of  $A$  alone, but of  $A$  and something else: its changes, for example, may be such as would occur if part of it remained constant, or varied on some other principle, and the remainder varied in some numerical relations to the variations of  $A$ . In that case, when  $A$  diminishes,  $a$  will be seen to approach not toward zero, but toward some other limit; and when the series of variations is such as to indicate what that limit is, if constant, or the law of its variation, if variable, the limit will exactly measure how much of  $a$  is the effect of some other and independent cause, and the remainder will be the effect of  $A$  (or of the cause of  $A$ ).

These conclusions, however, must not be drawn without certain precautions. In the first place, the possibility of drawing them at all, manifestly supposes that we are acquainted not only with the variations, but with the absolute quantities both of  $A$  and  $a$ . If we do not know the total quantities, we can not, of course, determine the real numerical relation according to which those quantities vary. It is, therefore, an error to conclude, as some have concluded, that because increase of heat expands bodies, that is, increases the distance between their particles, therefore the distance is wholly the effect of heat, and that if we could

entirely exhaust the body of its heat, the particles would be in complete contact. This is no more than a guess, and of the most hazardous sort, not a legitimate induction: for since we neither know how much heat there is in any body, nor what is the real distance between any two of its particles, we can not judge whether the contraction of the distance does or does not follow the diminution of the quantity of heat according to such a numerical relation that the two quantities would vanish simultaneously.

In contrast with this, let us consider a case in which the absolute quantities are known; the case contemplated in the first law of motion: viz., that all bodies in motion continue to move in a straight line with uniform velocity until acted upon by some new force. This assertion is in open opposition to first appearances; all terrestrial objects, when in motion, gradually abate their velocity, and at last stop; which accordingly the ancients, with their *inductio per enumerationem simplicem*, imagined to be the law. Every moving body, however, encounters various obstacles, as friction, the resistance of the atmosphere, etc., which we know by daily experience to be causes capable of destroying motion. It was suggested that the whole of the retardation might be owing to these causes. How was this inquired into? If the obstacles could have been entirely removed, the case would have been amenable to the Method of Difference. They could not be removed, they could only be diminished, and the case, therefore, admitted only of the Method of Concomitant Variations. This accordingly being employed, it was found that every diminution of the obstacles diminished the retardation of the motion: and inasmuch as in this case (unlike the case of heat) the total quantities both of the antecedent and of the consequent were known, it was practicable to estimate, with an approach to accuracy, both the amount of the retardation and the amount of the retarding causes, or resistances, and to judge how near they both were to being exhausted; and it appeared that the effect dwindled as rapidly, and at each step was as far on the road toward annihilation, as the cause was. The simple oscillation of a weight suspended from a fixed point, and moved a little out of the perpendicular, which in ordinary circumstances lasts but a few minutes, was prolonged in Borda's experiments to more than thirty hours, by diminishing as much as possible the friction at the point of suspension, and by making the body oscillate in a space exhausted as nearly as possible of its air. There could therefore be

no hesitation in assigning the whole of the retardation of motion to the influence of the obstacles; and since, after subducting this retardation from the total phenomenon, the remainder was a uniform velocity, the result was the proposition known as the first law of motion.

There is also another characteristic uncertainty affecting the inference that the law of variation which the quantities observe within our limits of observation, will hold beyond those limits. There is, of course, in the first instance, the possibility that beyond the limits, and in circumstances therefore of which we have no direct experience, some counteracting cause might develop itself; either a new agent or a new property of the agents concerned, which lies dormant in the circumstances we are able to observe. This is an element of uncertainty which enters largely into all our predictions of effects; but it is not peculiarly applicable to the Method of Concomitant Variations. The uncertainty, however, of which I am about to speak, is characteristic of that method; especially in the cases in which the extreme limits of our observation are very narrow, in comparison with the possible variations in the quantities of the phenomena. Any one who has the slightest acquaintance with mathematics, is aware that very different laws of variation may produce numerical results which differ but slightly from one another within narrow limits; and it is often only when the absolute amounts of variation are considerable, that the difference between the results given by one law and by another becomes appreciable. When, therefore, such variations in the quantity of the antecedents as we have the means of observing are small in comparison with the total quantities, there is much danger lest we should mistake the numerical law, and be led to miscalculate the variations which would take place beyond the limits; a miscalculation which would vitiate any conclusion respecting the dependence of the effect upon the cause, that could be founded on those variations. Examples are not wanting of such mistakes. "The formulæ," says Sir John Herschel,<sup>136</sup> "which have been empirically deduced for the elasticity of steam (till very recently), and those for the resistance of fluids, and other similar subjects," when relied on beyond the limits of the observations from which they were deduced, "have almost invariably failed to support the theoretical structures which have been erected on them."

In this uncertainty, the conclusion we may draw from the concomitant variations of  $a$  and  $A$ , to the existence of an invariable and exclusive connection between them, or to the permanency of the same numerical relation between their variations when the quantities are much greater or smaller than those which we have had the means of observing, can not be considered to rest on a complete induction. All that in such a case can be regarded as proved on the subject of causation is, that there is some connection between the two phenomena; that  $A$ , or something which can influence  $A$ , must be *one* of the causes which collectively determine  $a$ . We may, however, feel assured that the relation which we have observed to exist between the variations of  $A$  and  $a$ , will hold true in all cases which fall between the same extreme limits; that is, wherever the utmost increase or diminution in which the result has been found by observation to coincide with the law, is not exceeded.

The four methods which it has now been attempted to describe, are the only possible modes of experimental inquiry — of direct induction *a posteriori*, as distinguished from deduction: at least, I know not, nor am able to imagine any others. And even of these, the Method of Residues, as we have seen, is not independent of deduction; though, as it also requires specific experience, it may, without impropriety, be included among methods of direct observation and experiment.

These, then, with such assistance as can be obtained from Deduction, compose the available resources of the human mind for ascertaining the laws of the succession of phenomena. Before proceeding to point out certain circumstances by which the employment of these methods is subjected to an immense increase of complication and of difficulty, it is expedient to illustrate the use of the methods, by suitable examples drawn from actual physical investigations. These, accordingly, will form the subject of the succeeding chapter.

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<sup>136</sup> *Discourse on the Study of Natural Philosophy*, p. 179.



# CHAPTER IX.

## MISCELLANEOUS EXAMPLES OF THE FOUR METHODS.

§ 1. I shall select, as a first example, an interesting speculation of one of the most eminent of theoretical chemists, Baron Liebig. The object in view is to ascertain the immediate cause of the death produced by metallic poisons.

Arsenious acid, and the salts of lead, bismuth, copper, and mercury, if introduced into the animal organism, except in the smallest doses, destroy life. These facts have long been known, as insulated truths of the lowest order of generalization; but it was reserved for Liebig, by an apt employment of the first two of our methods of experimental inquiry, to connect these truths together by a higher induction, pointing out what property, common to all these deleterious substances, is the really operating cause of their fatal effect.

When solutions of these substances are placed in sufficiently close contact with many animal products, albumen, milk, muscular fibre, and animal membranes, the acid or salt leaves the water in which it was dissolved, and enters into combination with the animal substance, which substance, after being thus acted upon, is found to have lost its tendency to spontaneous decomposition, or putrefaction.

Observation also shows, in cases where death has been produced by these poisons, that the parts of the body with which the poisonous substances have been brought into contact, do not afterward putrefy.

And, finally, when the poison has been supplied in too small a quantity to destroy life, eschars are produced, that is, certain superficial portions of the tissues are destroyed, which are afterward thrown off by the reparative process taking place in the healthy parts.

These three sets of instances admit of being treated according to the Method of Agreement. In all of them the metallic compounds are brought into contact with the substances which compose the human or animal

body; and the instances do not seem to agree in any other circumstance. The remaining antecedents are as different, and even opposite, as they could possibly be made; for in some the animal substances exposed to the action of the poisons are in a state of life, in others only in a state of organization, in others not even in that. And what is the result which follows in all the cases? The conversion of the animal substance (by combination with the poison) into a chemical compound, held together by so powerful a force as to resist the subsequent action of the ordinary causes of decomposition. Now, organic life (the necessary condition of sensitive life) consisting in a continual state of decomposition and recomposition of the different organs and tissues, whatever incapacitates them for this decomposition destroys life. And thus the proximate cause of the death produced by this description of poisons is ascertained, as far as the Method of Agreement can ascertain it.

Let us now bring our conclusion to the test of the Method of Difference. Setting out from the cases already mentioned, in which the antecedent is the presence of substances forming with the tissues a compound incapable of putrefaction, (and *a fortiori* incapable of the chemical actions which constitute life), and the consequent is death, either of the whole organism, or of some portion of it; let us compare with these cases other cases, as much resembling them as possible, but in which that effect is not produced. And, first, "many insoluble basic salts of arsenious acid are known not to be poisonous. The substance called alkargen, discovered by Bunsen, which contains a very large quantity of arsenic, and approaches very closely in composition to the organic arsenious compounds found in the body, has not the slightest injurious action upon the organism." Now when these substances are brought into contact with the tissues in any way, they do not combine with them; they do not arrest their progress to decomposition. As far, therefore, as these instances go, it appears that when the effect is absent, it is by reason of the absence of that antecedent which we had already good ground for considering as the proximate cause.

But the rigorous conditions of the Method of Difference are not yet satisfied; for we can not be sure that these unpoisonous bodies agree with the poisonous substances in every property, except the particular one of

entering into a difficultly decomposable compound with the animal tissues. To render the method strictly applicable, we need an instance, not of a different substance, but of one of the very same substances, in circumstances which would prevent it from forming, with the tissues, the sort of compound in question; and then, if death does not follow, our case is made out. Now such instances are afforded by the antidotes to these poisons. For example, in case of poisoning by arsenious acid, if hydrated peroxide of iron is administered, the destructive agency is instantly checked. Now this peroxide is known to combine with the acid, and form a compound, which, being insoluble, can not act at all on animal tissues. So, again, sugar is a well-known antidote to poisoning by salts of copper; and sugar reduces those salts either into metallic copper, or into the red suboxide, neither of which enters into combination with animal matter. The disease called painter's colic, so common in manufactories of white-lead, is unknown where the workmen are accustomed to take, as a preservative, sulphuric acid lemonade (a solution of sugar rendered acid by sulphuric acid). Now diluted sulphuric acid has the property of decomposing all compounds of lead with organic matter, or of preventing them from being formed.

There is another class of instances, of the nature required by the Method of Difference, which seem at first sight to conflict with the theory. Soluble salts of silver, such for instance as the nitrate, have the same stiffening antiseptic effect on decomposing animal substances as corrosive sublimate and the most deadly metallic poisons; and when applied to the external parts of the body, the nitrate is a powerful caustic, depriving those parts of all active vitality, and causing them to be thrown off by the neighboring living structures, in the form of an eschar. The nitrate and the other salts of silver ought, then, it would seem, if the theory be correct, to be poisonous; yet they may be administered internally with perfect impunity. From this apparent exception arises the strongest confirmation which the theory has yet received. Nitrate of silver, in spite of its chemical properties, does not poison when introduced into the stomach; but in the stomach, as in all animal liquids, there is common salt; and in the stomach there is also free muriatic acid. These substances operate as natural antidotes, combining with the nitrate, and if its quantity is not too great, immediately converting it into chloride of silver, a substance very slightly



soluble, and therefore incapable of combining with the tissues, although to the extent of its solubility it has a medicinal influence, though an entirely different class of organic actions.

The preceding instances have afforded an induction of a high order of conclusiveness, illustrative of the two simplest of our four methods; though not rising to the maximum of certainty which the Method of Difference, in its most perfect exemplification, is capable of affording. For (let us not forget) the positive instance and the negative one which the rigor of that method requires, ought to differ only in the presence or absence of one single circumstance. Now, in the preceding argument, they differ in the presence or absence not of a single *circumstance*, but of a single *substance*: and as every substance has innumerable properties, there is no knowing what number of real differences are involved in what is nominally and apparently only one difference. It is conceivable that the antidote, the peroxide of iron for example, may counteract the poison through some other of its properties than that of forming an insoluble compound with it; and if so, the theory would fall to the ground, so far as it is supported by that instance. This source of uncertainty, which is a serious hinderance to all extensive generalizations in chemistry, is, however, reduced in the present case to almost the lowest degree possible, when we find that not only one substance, but many substances, possess the capacity of acting as antidotes to metallic poisons, and that all these agree in the property of forming insoluble compounds with the poisons, while they can not be ascertained to agree in any other property whatsoever. We have thus, in favor of the theory, all the evidence which can be obtained by what we termed the Indirect Method of Difference, or the Joint Method of Agreement and Difference; the evidence of which, though it never can amount to that of the Method of Difference properly so called, may approach indefinitely near to it.

§ 2. Let the object be<sup>137</sup> to ascertain the law of what is termed *induced* electricity; to find under what conditions any electrified body, whether positively or negatively electrified, gives rise to a contrary electric state in some other body adjacent to it.

The most familiar exemplification of the phenomenon to be investigated is the following. Around the prime conductors of an electrical

machine the atmosphere to some distance, or any conducting surface suspended in that atmosphere, is found to be in an electric condition opposite to that of the prime conductor itself. Near and around the positive prime conductor there is negative electricity, and near and around the negative prime conductor there is positive electricity. When pith balls are brought near to either of the conductors, they become electrified with the opposite electricity to it; either receiving a share from the already electrified atmosphere by conduction, or acted upon by the direct inductive influence of the conductor itself: they are then attracted by the conductor to which they are in opposition; or, if withdrawn in their electrified state, they will be attracted by any other oppositely charged body. In like manner the hand, if brought near enough to the conductor, receives or gives an electric discharge; now we have no evidence that a charged conductor can be suddenly discharged unless by the approach of a body oppositely electrified. In the case, therefore, of the electric machine, it appears that the accumulation of electricity in an insulated conductor is always accompanied by the excitement of the contrary electricity in the surrounding atmosphere, and in every conductor placed near the former conductor. It does not seem possible, in this case, to produce one electricity by itself.

Let us now examine all the other instances which we can obtain, resembling this instance in the given consequent, namely, the evolution of an opposite electricity in the neighborhood of an electrified body. As one remarkable instance we have the Leyden jar; and after the splendid experiments of Faraday in complete and final establishment of the substantial identity of magnetism and electricity, we may cite the magnet, both the natural and the electro-magnet, in neither of which it is possible to produce one kind of electricity by itself, or to charge one pole without charging an opposite pole with the contrary electricity at the same time. We can not have a magnet with one pole: if we break a natural loadstone into a thousand pieces, each piece will have its two oppositely electrified poles complete within itself. In the voltaic circuit, again, we can not have one current without its opposite. In the ordinary electric machine, the glass cylinder or plate, and the rubber, acquire opposite electricities.

From all these instances, treated by the Method of Agreement, a general law appears to result. The instances embrace all the known modes in which a body can become charged with electricity; and in all of them there is found, as a concomitant or consequent, the excitement of the opposite electric state in some other body or bodies. It seems to follow that the two facts are invariably connected, and that the excitement of electricity in any body has for one of its necessary conditions the possibility of a simultaneous excitement of the opposite electricity in some neighboring body.

As the two contrary electricities can only be produced together, so they can only cease together. This may be shown by an application of the Method of Difference to the example of the Leyden jar. It needs scarcely be here remarked that in the Leyden jar, electricity can be accumulated and retained in considerable quantity, by the contrivance of having two conducting surfaces of equal extent, and parallel to each other through the whole of that extent, with a non-conducting substance such as glass between them. When one side of the jar is charged positively, the other is charged negatively, and it was by virtue of this fact that the Leyden jar served just now as an instance in our employment of the Method of Agreement. Now it is impossible to discharge one of the coatings unless the other can be discharged at the same time. A conductor held to the positive side can not convey away any electricity unless an equal quantity be allowed to pass from the negative side: if one coating be perfectly insulated, the charge is safe. The dissipation of one must proceed *pari passu* with that of the other.

The law thus strongly indicated admits of corroboration by the Method of Concomitant Variations. The Leyden jar is capable of receiving a much higher charge than can ordinarily be given to the conductor of an electrical machine. Now in the case of the Leyden jar, the metallic surface which receives the induced electricity is a conductor exactly similar to that which receives the primary charge, and is therefore as susceptible of receiving and retaining the one electricity, as the opposite surface of receiving and retaining the other; but in the machine, the neighboring body which is to be oppositely electrified is the surrounding atmosphere, or any body casually brought near to the conductor; and as these are

generally much inferior in their capacity of becoming electrified, to the conductor itself, their limited power imposes a corresponding limit to the capacity of the conductor for being charged. As the capacity of the neighboring body for supporting the opposition increases, a higher charge becomes possible: and to this appears to be owing the great superiority of the Leyden jar.

A further and most decisive confirmation by the Method of Difference, is to be found in one of Faraday's experiments in the course of his researches on the subject of Induced Electricity.

Since common or machine electricity, and voltaic electricity, may be considered for the present purpose to be identical, Faraday wished to know whether, as the prime conductor develops opposite electricity upon a conductor in its vicinity, so a voltaic current running along a wire would induce an opposite current upon another wire laid parallel to it at a short distance. Now this case is similar to the cases previously examined, in every circumstance except the one to which we have ascribed the effect. We found in the former instances that whenever electricity of one kind was excited in one body, electricity of the opposite kind must be excited in a neighboring body. But in Faraday's experiment this indispensable opposition exists within the wire itself. From the nature of a voltaic charge, the two opposite currents necessary to the existence of each other are both accommodated in one wire; and there is no need of another wire placed beside it to contain one of them, in the same way as the Leyden jar must have a positive and a negative surface. The exciting cause can and does produce all the effect which its laws require, independently of any electric excitement of a neighboring body. Now the result of the experiment with the second wire was, that no opposite current was produced. There was an instantaneous effect at the closing and breaking of the voltaic circuit; electric inductions appeared when the two wires were moved to and from one another; but these are phenomena of a different class. There was no induced electricity in the sense in which this is predicated of the Leyden jar; there was no sustained current running up the one wire while an opposite current ran down the neighboring wire; and this alone would have been a true parallel case to the other.

It thus appears by the combined evidence of the Method of Agreement, the Method of Concomitant Variations, and the most rigorous form of the Method of Difference, that neither of the two kinds of electricity can be excited without an equal excitement of the other and opposite kind: that both are effects of the same cause; that the possibility of the one is a condition of the possibility of the other, and the quantity of the one an impassable limit to the quantity of the other. A scientific result of considerable interest in itself, and illustrating those three methods in a manner both characteristic and easily intelligible.<sup>138</sup>

§ 3. Our third example shall be extracted from Sir John Herschel's *Discourse course on the Study of Natural Philosophy*, a work replete with happily-selected exemplifications of inductive processes from almost every department of physical science, and in which alone, of all books which I have met with, the four methods of induction are distinctly recognized, though not so clearly characterized and defined, nor their correlation so fully shown, as has appeared to me desirable. The present example is described by Sir John Herschel as "one of the most beautiful specimens" which can be cited "of inductive experimental inquiry lying within a moderate compass;" the theory of dew, first promulgated by the late Dr. Wells, and now universally adopted by scientific authorities. The passages in inverted commas are extracted verbatim from the *Discourse*.<sup>139</sup>

"Suppose *dew* were the phenomenon proposed, whose cause we would know. In the first place" we must determine precisely what we mean by dew: what the fact really is whose cause we desire to investigate. "We must separate dew from rain, and the moisture of fogs, and limit the application of the term to what is really meant, which is the spontaneous appearance of moisture on substances exposed in the open air when no rain or *visible* wet is falling." This answers to a preliminary operation which will be characterized in the ensuing book, treating of operations subsidiary to induction.<sup>140</sup>

"Now, here we have analogous phenomena in the moisture which bedews a cold metal or stone when we breathe upon it; that which appears on a glass of water fresh from the well in hot weather; that which appears on the inside of windows when sudden rain or hail chills the external air; that which runs down our walls when, after a long frost, a warm, moist

thaw comes on.” Comparing these cases, we find that they all contain the phenomenon which was proposed as the subject of investigation. Now “all these instances agree in one point, the coldness of the object dewed, in comparison with the air in contact with it.” But there still remains the most important case of all, that of nocturnal dew: does the same circumstance exist in this case? “Is it a fact that the object dewed *is* colder than the air? Certainly not, one would at first be inclined to say; for what is to *make* it so? But . . . the experiment is easy: we have only to lay a thermometer in contact with the dewed substance, and hang one at a little distance above it, out of reach of its influence. The experiment has been therefore made, the question has been asked, and the answer has been invariably in the affirmative. Whenever an object contracts dew, it *is* colder than the air.”

Here, then, is a complete application of the Method of Agreement, establishing the fact of an invariable connection between the deposition of dew on a surface, and the coldness of that surface compared with the external air. But which of these is cause, and which effect? or are they both effects of something else? On this subject the Method of Agreement can afford us no light: we must call in a more potent method. “We must collect more facts, or, which comes to the same thing, vary the circumstances; since every instance in which the circumstances differ is a fresh fact: and especially, we must note the contrary or negative cases, *i.e.*, where no dew is produced:” a comparison between instances of dew and instances of no dew, being the condition necessary to bring the Method of Difference into play.

“Now, first, no dew is produced on the surface of polished metals, but it is very copiously on glass, both exposed with their faces upward, and in some cases the under side of a horizontal plate of glass is also dewed.” Here is an instance in which the effect is produced, and another instance in which it is not produced; but we can not yet pronounce, as the canon of the Method of Difference requires, that the latter instance agrees with the former in all its circumstances except one; for the differences between glass and polished metals are manifold, and the only thing we can as yet be sure of is, that the cause of dew will be found among the circumstances by which the former substance is distinguished from the latter. But if we

could be sure that glass, and the various other substances on which dew is deposited, have only one quality in common, and that polished metals and the other substances on which dew is not deposited, have also nothing in common but the one circumstance of not having the one quality which the others have; the requisitions of the Method of Difference would be completely satisfied, and we should recognize, in that quality of the substances, the cause of dew. This, accordingly, is the path of inquiry which is next to be pursued.

“In the cases of polished metal and polished glass, the contrast shows evidently that the *substance* has much to do with the phenomenon; therefore let the substance *alone* be diversified as much as possible, by exposing polished surfaces of various kinds. This done, a *scale of intensity* becomes obvious. Those polished substances are found to be most strongly dewed which conduct heat worst; while those which conduct heat well, resist dew most effectually.” The complication increases; here is the Method of Concomitant Variations called to our assistance; and no other method was practicable on this occasion; for the quality of conducting heat could not be excluded, since all substances conduct heat in some degree. The conclusion obtained is, that *cæteris paribus* the deposition of dew is in some proportion to the power which the body possesses of resisting the passage of heat; and that this, therefore (or something connected with this), must be at least one of the causes which assist in producing the deposition of dew on the surface.

“But if we expose rough surfaces instead of polished, we sometimes find this law interfered with. Thus, roughened iron, especially if painted over or blackened, becomes dewed sooner than varnished paper; the kind of *surface*, therefore, has a great influence. Expose, then, the *same* material in very diversified states, as to surface” (that is, employ the Method of Difference to ascertain concomitance of variations), “and another scale of intensity becomes at once apparent; those *surfaces* which *part with their heat* most readily by radiation are found to contract dew most copiously.” Here, therefore, are the requisites for a second employment of the Method of Concomitant Variations; which in this case also is the only method available, since all substances radiate heat in some degree or other. The conclusion obtained by this new application of the

method is, that *cæteris paribus* the deposition of dew is also in some proportion to the power of radiating heat; and that the quality of doing this abundantly (or some cause on which that quality depends) is another of the causes which promote the deposition of dew on the substance.

“Again, the influence ascertained to exist of *substance* and *surface* leads us to consider that of *texture*: and here, again, we are presented on trial with remarkable differences, and with a third scale of intensity, pointing out substances of a close, firm texture, such as stones, metals, etc., as unfavorable, but those of a loose one, as cloth, velvet, wool, eider-down, cotton, etc., as eminently favorable to the contraction of dew.” The Method of Concomitant Variations is here, for the third time, had recourse to; and, as before, from necessity, since the texture of no substance is absolutely firm or absolutely loose. Looseness of texture, therefore, or something which is the cause of that quality, is another circumstance which promotes the deposition of dew; but this third course resolves itself into the first, viz., the quality of resisting the passage of heat: for substances of loose texture “are precisely those which are best adapted for clothing, or for impeding the free passage of heat from the skin into the air, so as to allow their outer surfaces to be very cold, while they remain warm within;” and this last is, therefore, an induction (from fresh instances) simply *corroborative* of a former induction.

It thus appears that the instances in which much dew is deposited, which are very various, agree in this, and, so far as we are able to observe, in this only, that they either radiate heat rapidly or conduct it slowly: qualities between which there is no other circumstance of agreement than that by virtue of either, the body tends to lose heat from the surface more rapidly than it can be restored from within. The instances, on the contrary, in which no dew, or but a small quantity of it, is formed, and which are also extremely various, agree (as far as we can observe) in nothing except in *not* having this same property. We seem, therefore, to have detected the characteristic difference between the substances on which dew is produced and those on which it is not produced. And thus have been realized the requisitions of what we have termed the Indirect Method of Difference, or the Joint Method of Agreement and Difference. The example afforded of this indirect method, and of the manner in which the data are prepared for



it by the Methods of Agreement and of Concomitant Variations, is the most important of all the illustrations of induction afforded by this interesting speculation.

We might now consider the question, on what the deposition of dew depends, to be completely solved, if we could be quite sure that the substances on which dew is produced differ from those on which it is not, in *nothing* but in the property of losing heat from the surface faster than the loss can be repaired from within. And though we never can have that complete certainty, this is not of so much importance as might at first be supposed; for we have, at all events, ascertained that even if there be any other quality hitherto unobserved which is present in all the substances which contract dew, and absent in those which do not, this other property must be one which, in all that great number of substances, is present or absent exactly where the property of being a better radiator than conductor is present or absent; an extent of coincidence which affords a strong presumption of a community of cause, and a consequent invariable co-existence between the two properties; so that the property of being a better radiator than conductor, if not itself the cause, almost certainly always accompanies the cause, and for purposes of prediction, no error is likely to be committed by treating it as if it were really such.

Reverting now to an earlier stage of the inquiry, let us remember that we had ascertained that, in every instance where dew is formed, there is actual coldness of the surface below the temperature of the surrounding air; but we were not sure whether this coldness was the cause of dew, or its effect. This doubt we are now able to resolve. We have found that, in every such instance, the substance is one which, by its own properties or laws, would, if exposed in the night, become colder than the surrounding air. The coldness, therefore, being accounted for independently of the dew, while it is proved that there is a connection between the two, it must be the dew which depends on the coldness; or, in other words, the coldness is the cause of the dew.

This law of causation, already so amply established, admits, however, of efficient additional corroboration in no less than three ways. First, by deduction from the known laws of aqueous vapor when diffused through air or any other gas; and though we have not yet come to the Deductive

Method, we will not omit what is necessary to render this speculation complete. It is known by direct experiment that only a limited quantity of water can remain suspended in the state of vapor at each degree of temperature, and that this maximum grows less and less as the temperature diminishes. From this it follows, deductively, that if there is already as much vapor suspended as the air will contain at its existing temperature, any lowering of that temperature will cause a portion of the vapor to be condensed, and become water. But again, we know deductively, from the laws of heat, that the contact of the air with a body colder than itself will necessarily lower the temperature of the stratum of air immediately applied to its surface; and will, therefore, cause it to part with a portion of its water, which accordingly will, by the ordinary laws of gravitation or cohesion, attach itself to the surface of the body, thereby constituting dew. This deductive proof, it will have been seen, has the advantage of at once proving causation as well as co-existence; and it has the additional advantage that it also accounts for the exceptions to the occurrence of the phenomenon, the cases in which, although the body is colder than the air, yet no dew is deposited; by showing that this will necessarily be the case when the air is so under-supplied with aqueous vapor, comparatively to its temperature, that even when somewhat cooled by the contact of the colder body it can still continue to hold in suspension all the vapor which was previously suspended in it: thus in a very dry summer there are no dews, in a very dry winter no hoar-frost. Here, therefore, is an additional condition of the production of dew, which the methods we previously made use of failed to detect, and which might have remained still undetected, if recourse had not been had to the plan of deducing the effect from the ascertained properties of the agents known to be present.

The second corroboration of the theory is by direct experiment, according to the canon of the Method of Difference. We can, by cooling the surface of any body, find in all cases some temperature (more or less inferior to that of the surrounding air, according to its hygrometric condition) at which dew will begin to be deposited. Here, too, therefore, the causation is directly proved. We can, it is true, accomplish this only on a small scale, but we have ample reason to conclude that the same

operation, if conducted in nature's great laboratory, would equally produce the effect.

And, finally, even on that great scale we are able to verify the result. The case is one of those rare cases, as we have shown them to be, in which nature works the experiment for us in the same manner in which we ourselves perform it; introducing into the previous state of things a single and perfectly definite new circumstance, and manifesting the effect so rapidly that there is not time for any other material change in the pre-existing circumstances. "It is observed that dew is never copiously deposited in situations much screened from the open sky, and not at all in a cloudy night; but *if the clouds withdraw even for a few minutes, and leave a clear opening, a deposition of dew presently begins*, and goes on increasing . . . Dew formed in clear intervals will often even evaporate again when the sky becomes thickly overcast." The proof, therefore, is complete, that the presence or absence of an uninterrupted communication with the sky causes the deposition or non-deposition of dew. Now, since a clear sky is nothing but the absence of clouds, and it is a known property of clouds, as of all other bodies between which and any given object nothing intervenes but an elastic fluid, that they tend to raise or keep up the superficial temperature of the object by radiating heat to it, we see at once that the disappearance of clouds will cause the surface to cool; so that nature, in this case, produces a change in the antecedent by definite and known means, and the consequent follows accordingly: a natural experiment which satisfies the requisitions of the Method of Difference.<sup>141</sup>

The accumulated proof of which the Theory of Dew has been found susceptible, is a striking instance of the fullness of assurance which the inductive evidence of laws of causation may attain, in cases in which the invariable sequence is by no means obvious to a superficial view.

§ 4. The admirable physiological investigations of Dr. Brown-Séguard afford brilliant examples of the application of the Inductive Methods to a class of inquiries in which, for reasons which will presently be given, direct induction takes place under peculiar difficulties and disadvantages. As one of the most apt instances, I select his speculation (in the proceedings of the

Royal Society for May 16, 1861) on the relations between muscular irritability, cadaveric rigidity, and putrefaction.

The law which Dr. Brown-Séguard's investigation tends to establish, is the following: "The greater the degree of muscular irritability at the time of death, the later the cadaveric rigidity sets in, and the longer it lasts, and the later also putrefaction appears, and the slower it progresses." One would say at first sight that the method here required must be that of Concomitant Variations. But this is a delusive appearance, arising from the circumstance that the conclusion to be tested is itself a fact of concomitant variations. For the establishment of that fact any of the Methods may be put in requisition, and it will be found that the fourth Method, though really employed, has only a subordinate place in this particular investigation.

The evidences by which Dr. Brown-Séguard establishes the law may be enumerated as follows:

1st. Paralyzed muscles have greater irritability than healthy muscles. Now, paralyzed muscles are later in assuming the cadaveric rigidity than healthy muscles, the rigidity lasts longer, and putrefaction sets in later, and proceeds more slowly.

Both these propositions had to be proved by experiment; and for the experiments which prove them, science is also indebted to Dr. Brown-Séguard. The former of the two — that paralyzed muscles have greater irritability than healthy muscles — he ascertained in various ways, but most decisively by "comparing the duration of irritability in a paralyzed muscle and in the corresponding healthy one of the opposite side, while they are both submitted to the same excitation." He "often found, in experimenting in that way, that the paralyzed muscle remained irritable twice, three times, or even four times as long as the healthy one." This is a case of induction by the Method of Difference. The two limbs, being those of the same animal, were presumed to differ in no circumstance material to the case except the paralysis, to the presence and absence of which, therefore, the difference in the muscular irritability was to be attributed. This assumption of complete resemblance in all material circumstances save one, evidently could not be safely made in any one pair of experiments, because the two legs of any given animal might be

accidentally in very different pathological conditions; but if, besides taking pains to avoid any such difference, the experiment was repeated sufficiently often in different animals to exclude the supposition that any abnormal circumstance could be present in them all, the conditions of the Method of Difference were adequately secured.

In the same manner in which Dr. Brown-Séquard proved that paralyzed muscles have greater irritability, he also proved the correlative proposition respecting cadaveric rigidity and putrefaction. Having, by section of the roots of the sciatic nerve, and again of a lateral half of the spinal cord, produced paralysis in one hind leg of an animal while the other remained healthy, he found that not only did muscular irritability last much longer in the paralyzed limb, but rigidity set in later and ended later, and putrefaction began later and was less rapid than on the healthy side. This is a common case of the Method of Difference, requiring no comment. A further and very important corroboration was obtained by the same method. When the animal was killed, not shortly after the section of the nerve, but a month later, the effect was reversed; rigidity set in sooner, and lasted a shorter time, than in the healthy muscles. But after this lapse of time, the paralyzed muscles, having been kept by the paralysis in a state of rest, had lost a great part of their irritability, and instead of more, had become less irritable than those on the healthy side. This gives the A B C, *a b c*, and B C, *b c*, of the Method of Difference. One antecedent, increased irritability, being changed, and the other circumstances being the same, the consequence did not follow; and, moreover, when a new antecedent, contrary to the first, was supplied, it was followed by a contrary consequent. This instance is attended with the special advantage of proving that the retardation and prolongation of the rigidity do not depend directly on the paralysis, since that was the same in both the instances; but specifically on one effect of the paralysis, namely, the increased irritability; since they ceased when it ceased, and were reversed when it was reversed.

2d. Diminution of the temperature of muscles before death increases their irritability. But diminution of their temperature also retards cadaveric rigidity and putrefaction.

Both these truths were first made known by Dr. Brown-Séquard himself, through experiments which conclude according to the Method of

Difference. There is nothing in the nature of the process requiring specific analysis.

3d. Muscular exercise, prolonged to exhaustion, diminishes the muscular irritability. This is a well-known truth, dependent on the most general laws of muscular action, and proved by experiments under the Method of Difference, constantly repeated. Now, it has been shown by observation that overdriven cattle, if killed before recovery from their fatigue, become rigid and putrefy in a surprisingly short time. A similar fact has been observed in the case of animals hunted to death; cocks killed during or shortly after a fight; and soldiers slain in the field of battle. These various cases agree in no circumstance, directly connected with the muscles, except that these have just been subjected to exhausting exercise. Under the canon, therefore, of the Method of Agreement, it may be inferred that there is a connection between the two facts. The Method of Agreement, indeed, as has been shown, is not competent to prove causation. The present case, however, is already known to be a case of causation, it being certain that the state of the body after death must somehow depend upon its state at the time of death. We are, therefore, warranted in concluding that the single circumstance in which all the instances agree, is the part of the antecedent which is the cause of that particular consequent.

4th. In proportion as the nutrition of muscles is in a good state, their irritability is high. This fact also rests on the general evidence of the laws of physiology, grounded on many familiar applications of the Method of Difference. Now, in the case of those who die from accident or violence, with their muscles in a good state of nutrition, the muscular irritability continues long after death, rigidity sets in late, and persists long without the putrefactive change. On the contrary, in cases of disease in which nutrition has been diminished for a long time before death, all these effects are reversed. These are the conditions of the Joint Method of Agreement and Difference. The cases of retarded and long continued rigidity here in question agree only in being preceded by a high state of nutrition of the muscles; the cases of rapid and brief rigidity agree only in being preceded by a low state of muscular nutrition; a connection is,

therefore, inductively proved between the degree of the nutrition, and the slowness and prolongation of the rigidity.

5th. Convulsions, like exhausting exercise, but in a still greater degree, diminish the muscular irritability. Now, when death follows violent and prolonged convulsions, as in tetanus, hydrophobia, some cases of cholera, and certain poisons, rigidity sets in very rapidly, and after a very brief duration, gives place to putrefaction. This is another example of the Method of Agreement, of the same character with No. 3.

6th. The series of instances which we shall take last, is of a more complex character, and requires a more minute analysis.

It has long been observed that in some cases of death by lightning, cadaveric rigidity either does not take place at all, or is of such extremely brief duration as to escape notice, and that in these cases putrefaction is very rapid. In other cases, however, the usual cadaveric rigidity appears. There must be some difference in the cause, to account for this difference in the effect. Now, "death by lightning may be the result of, 1st, a syncope by fright, or in consequence of a direct or reflex influence of lightning on the par vagum; 2d, hemorrhage in or around the brain, or in the lungs, the pericardium, etc.; 3d, concussion, or some other alteration in the brain;" none of which phenomena have any known property capable of accounting for the suppression, or almost suppression, of the cadaveric rigidity. But the cause of death may also be that the lightning produces "a violent convulsion of every muscle in the body," of which, if of sufficient intensity, the known effect would be that "muscular irritability ceases almost at once." If Dr. Brown-Séguard's generalization is a true law, these will be the very cases in which rigidity is so much abridged as to escape notice; and the cases in which, on the contrary, rigidity takes place as usual, will be those in which the stroke of lightning operates in some of the other modes which have been enumerated. How, then, is this brought to the test? By experiments, not on lightning, which can not be commanded at pleasure, but on the same natural agency in a manageable form, that of artificial galvanism. Dr. Brown-Séguard galvanized the entire bodies of animals immediately after death. Galvanism can not operate in any of the modes in which the stroke of lightning may have operated, except the single one of producing muscular convulsions. If, therefore, after the bodies have been

galvanized, the duration of rigidity is much shortened and putrefaction much accelerated, it is reasonable to ascribe the same effects when produced by lightning to the property which galvanism shares with lightning, and not to those which it does not. Now this Dr. Brown-Séguard found to be the fact. The galvanic experiment was tried with charges of very various degrees of strength; and the more powerful the charge, the shorter was found to be the duration of rigidity, and the more speedy and rapid the putrefaction. In the experiment in which the charge was strongest, and the muscular irritability most promptly destroyed, the rigidity only lasted fifteen minutes. On the principle, therefore, of the Method of Concomitant Variations, it may be inferred that the duration of the rigidity depends on the degree of the irritability; and that if the charge had been as much stronger than Dr. Brown-Séguard's strongest, as a stroke of lightning must be stronger than any electric shock which we can produce artificially, the rigidity would have been shortened in a corresponding ratio, and might have disappeared altogether. This conclusion having been arrived at, the case of an electric shock, whether natural or artificial, becomes an instance, in addition to all those already ascertained, of correspondence between the irritability of the muscle and the duration of rigidity.

All these instances are summed up in the following statement: "That when the degree of muscular irritability at the time of death is considerable, either in consequence of a good state of nutrition, as in persons who die in full health from an accidental cause, or in consequence of rest, as in cases of paralysis, or on account of the influence of cold, cadaveric rigidity in all these cases sets in late and lasts long, and putrefaction appears late, and progresses slowly;" but "that when the degree of muscular irritability at the time of death is slight, either in consequence of a bad state of nutrition, or of exhaustion from overexertion, or from convulsions caused by disease or poison, cadaveric rigidity sets in and ceases soon, and putrefaction appears and progresses quickly." These facts present, in all their completeness, the conditions of the Joint Method of Agreement and Difference. Early and brief rigidity takes place in cases which agree only in the circumstance of a low state of muscular irritability. Rigidity begins late and lasts long in cases which agree only in the contrary circumstance, of a muscular irritability high and



unusually prolonged. It follows that there is a connection through causation between the degree of muscular irritability after death, and the tardiness and prolongation of the cadaveric rigidity.

This investigation places in a strong light the value and efficacy of the Joint Method. For, as we have already seen, the defect of that Method is, that like the Method of Agreement, of which it is only an improved form, it can not prove causation. But in the present case (as in one of the steps in the argument which led up to it) causation is already proved; since there could never be any doubt that the rigidity altogether, and the putrefaction which follows it, are caused by the fact of death: the observations and experiments on which this rests are too familiar to need analysis, and fall under the Method of Difference. It being, therefore, beyond doubt that the aggregate antecedent, the death, is the actual cause of the whole train of consequents, whatever of the circumstances attending the death can be shown to be followed in all its variations by variations in the effect under investigation, must be the particular feature of the fact of death on which that effect depends. The degree of muscular irritability at the time of death fulfills this condition. The only point that could be brought into question, would be whether the effect depended on the irritability itself, or on something which always accompanied the irritability: and this doubt is set at rest by establishing, as the instances do, that by whatever cause the high or low irritability is produced, the effect equally follows; and can not, therefore, depend upon the causes of irritability, nor upon the other effects of those causes, which are as various as the causes themselves, but upon the irritability, solely.

§ 5. The last two examples will have conveyed to any one by whom they have been duly followed, so clear a conception of the use and practical management of three of the four methods of experimental inquiry, as to supersede the necessity of any further exemplification of them. The remaining method, that of Residues, not having found a place in any of the preceding investigations, I shall quote from Sir John Herschel some examples of that method, with the remarks by which they are introduced.

“It is by this process, in fact, that science, in its present advanced state, is chiefly promoted. Most of the phenomena which Nature presents are very complicated; and when the effects of all known causes are

estimated with exactness, and subducted, the residual facts are constantly appearing in the form of phenomena altogether new, and leading to the most important conclusions.

“For example: the return of the comet predicted by Professor Eucke a great many times in succession, and the general good agreement of its calculated with its observed place during any one of its periods of visibility, would lead us to say that its gravitation toward the sun and planets is the sole and sufficient cause of all the phenomena of its orbital motion; but when the effect of this cause is strictly calculated and subducted from the observed motion, there is found to remain behind a *residual phenomenon*, which would never have been otherwise ascertained to exist, which is a small anticipation of the time of its re-appearance, or a diminution of its periodic time, which can not be accounted for by gravity, and whose cause is therefore to be inquired into. Such an anticipation would be caused by the resistance of a medium disseminated through the celestial regions; and as there are other good reasons for believing this to be a *vera causa*” (an actually existing antecedent), “it has therefore been ascribed to such a resistance.”<sup>142</sup>

“M. Arago, having suspended a magnetic needle by a silk thread, and set it in vibration, observed, that it came much sooner to a state of rest when suspended over a plate of copper, than when no such plate was beneath it. Now, in both cases there were two *veræ causæ*” (antecedents known to exist) “why it *should* come at length to rest, viz., the resistance of the air, which opposes, and at length destroys, all motions performed in it; and the want of perfect mobility in the silk thread. But the effect of these causes being exactly known by the observation made in the absence of the copper, and being thus allowed for and subducted, a residual phenomenon appeared, in the fact that a retarding influence was exerted by the copper itself; and this fact, once ascertained, speedily led to the knowledge of an entirely new and unexpected class of relations.” This example belongs, however, not to the Method of Residues but to the Method of Difference, the law being ascertained by a direct comparison of the results of two experiments, which differed in nothing but the presence or absence of the plate of copper. To have made it exemplify the Method of Residues, the effect of the resistance of the air and that of the rigidity of the silk should

have been calculated *a priori*, from the laws obtained by separate and foregone experiments.

“Unexpected and peculiarly striking confirmations of inductive laws frequently occur in the form of residual phenomena, in the course of investigations of a widely different nature from those which gave rise to the inductions themselves. A very elegant example may be cited in the unexpected confirmation of the law of the development of heat in elastic fluids by compression, which is afforded by the phenomena of sound. The inquiry into the cause of sound had led to conclusions respecting its mode of propagation, from which its velocity in the air could be precisely calculated. The calculations were performed; but, when compared with fact, though the agreement was quite sufficient to show the general correctness of the cause and mode of propagation assigned, yet the *whole* velocity could not be shown to arise from this theory. There was still a residual velocity to be accounted for, which placed dynamical philosophers for a long time in great dilemma. At length Laplace struck on the happy idea, that this might arise from the *heat* developed in the act of that condensation which necessarily takes place at every vibration by which sound is conveyed. The matter was subjected to exact calculation, and the result was at once the complete explanation of the residual phenomenon, and a striking confirmation of the general law of the development of heat by compression, under circumstances beyond artificial imitation.”

“Many of the new elements of chemistry have been detected in the investigation of residual phenomena. Thus Arfwedson discovered lithia by perceiving an excess of weight in the sulphate produced from a small portion of what he considered as magnesia present in a mineral he had analyzed. It is on this principle, too, that the small concentrated residues of great operations in the arts are almost sure to be the lurking-places of new chemical ingredients: witness iodine, brome, selenium, and the new metals accompanying platina in the experiments of Wollaston and Tennant. It was a happy thought of Glauber to examine what every body else threw away.”<sup>143</sup>

“Almost all the greatest discoveries in Astronomy,” says the same author,<sup>144</sup> “have resulted from the consideration of residual phenomena of a quantitative or numerical kind. . . . It was thus that the grand discovery

of the precession of the equinoxes resulted as a residual phenomenon, from the imperfect explanation of the return of the seasons by the return of the sun to the same apparent place among the fixed stars. Thus, also, aberration and nutation resulted as residual phenomena from that portion of the changes of the apparent places of the fixed stars which was left unaccounted for by precession. And thus again the apparent proper motions of the stars are the observed residues of their apparent movements outstanding and unaccounted for by strict calculation of the effects of precession, nutation, and aberration. The nearest approach which human theories can make to perfection is to diminish this residue, this *caput mortuum* of observation, as it may be considered, as much as practicable, and, if possible, to reduce it to nothing, either by showing that something has been neglected in our estimation of known causes, or by reasoning upon it as a new fact, and on the principle of the inductive philosophy ascending from the effect to its cause or causes.”

The disturbing effects mutually produced by the earth and planets upon each other's motions were first brought to light as residual phenomena, by the difference which appeared between the observed places of those bodies, and the places calculated on a consideration solely of their gravitation toward the sun. It was this which determined astronomers to consider the law of gravitation as obtaining between all bodies whatever, and therefore between all particles of matter; their first tendency having been to regard it as a force acting only between each planet or satellite and the central body to whose system it belonged. Again, the catastrophists, in geology, be their opinion right or wrong, support it on the plea, that after the effect of all causes now in operation has been allowed for, there remains in the existing constitution of the earth a large residue of facts, proving the existence at former periods either of other forces, or of the same forces in a much greater degree of intensity. To add one more example: those who assert, what no one has shown any real ground for believing, that there is in one human individual, one sex, or one race of mankind over another, an inherent and inexplicable superiority in mental faculties, could only substantiate their proposition by subtracting from the differences of intellect which we in fact see, all that can be traced by known laws either to the ascertained differences of physical organization, or to the differences which have existed in the outward

circumstances in which the subjects of the comparison have hitherto been placed. What these causes might fail to account for would constitute a residual phenomenon, which and which alone would be evidence of an ulterior original distinction, and the measure of its amount. But the asserters of such supposed differences have not provided themselves with these necessary logical conditions of the establishment of their doctrine.

The spirit of the Method of Residues being, it is hoped, sufficiently intelligible from these examples, and the other three methods having already been so fully exemplified, we may here close our exposition of the four methods, considered as employed in the investigation of the simpler and more elementary order of the combinations of phenomena.

§ 6. Dr. Whewell has expressed a very unfavorable opinion of the utility of the Four Methods, as well as of the aptness of the examples by which I have attempted to illustrate them. His words are these:<sup>145</sup>  
.....

“Upon these methods, the obvious thing to remark is, that they take for granted the very thing which is most difficult to discover, the reduction of the phenomena to formulæ such as are here presented to us. When we have any set of complex facts offered to us; for instance, those which were offered in the cases of discovery which I have mentioned — the facts of the planetary paths, of falling bodies, of refracted rays, of cosmical motions, of chemical analysis; and when, in any of these cases, we would discover the law of nature which governs them, or, if any one chooses so to term it, the feature in which all the cases agree, where are we to look for our A, B, C, and *a, b, c*? Nature does not present to us the cases in this form; and how are we to reduce them to this form? You say *when* we find the combination of A B C with *a b c* and A B D with *a b d*, then we may draw our inference. Granted; but when and where are we to find such combinations? Even now that the discoveries are made, who will point out to us what are the A, B, C, and *a, b, c*, elements of the cases which have just been enumerated? Who will tell us which of the methods of inquiry those historically real and successful inquiries exemplify? Who will carry these formulæ through the history of the sciences, as they have really grown up, and show us that these four methods have been operative in their formation; or that any light is thrown upon the steps of their progress by reference to these formulæ?”

He adds that, in this work, the methods have not been applied “to a large body of conspicuous and undoubted examples of discovery, extending along the whole history of science;” which ought to have been done in order that the methods might be shown to possess the “advantage” (which he claims as belonging to his own) of being those “by which all great discoveries in science have really been made.” — (P. 277.)

There is a striking similarity between the objections here made against Canons of Induction, and what was alleged, in the last century, by as able men as Dr. Whewell, against the acknowledged Canon of Ratiocination. Those who protested against the Aristotelian Logic said of the Syllogism, what Dr. Whewell says of the Inductive Methods, that it “takes for granted the very thing which is most difficult to discover, the reduction of the argument to formulæ such as are here presented to us.” The grand difficulty, they said, is to obtain your syllogism, not to judge of its correctness when obtained. On the matter of fact, both they and Dr. Whewell are right. The greatest difficulty in both cases is, first, that of obtaining the evidence, and next, of reducing it to the form which tests its conclusiveness. But if we try to reduce it without knowing what it is to be reduced to, we are not likely to make much progress. It is a more difficult thing to solve a geometrical problem, than to judge whether a proposed solution is correct: but if people were not able to judge of the solution when found, they would have little chance of finding it. And it can not be pretended that to judge of an induction when found is perfectly easy, is a thing for which aids and instruments are superfluous; for erroneous inductions, false inferences from experience, are quite as common, on some subjects much commoner than true ones. The business of Inductive Logic is to provide rules and models (such as the Syllogism and its rules are for ratiocination) to which if inductive arguments conform, those arguments are conclusive, and not otherwise. This is what the Four Methods profess to be, and what I believe they are universally considered to be by experimental philosophers, who had practiced all of them long before any one sought to reduce the practice to theory.

The assailants of the Syllogism had also anticipated Dr. Whewell in the other branch of his argument. They said that no discoveries were ever made by syllogism; and Dr. Whewell says, or seems to say, that none were

ever made by the Four Methods of Induction. To the former objectors, Archbishop Whately very pertinently answered, that their argument, if good at all, was good against the reasoning process altogether; for whatever can not be reduced to syllogism, is not reasoning. And Dr. Whewell's argument, if good at all, is good against all inferences from experience. In saying that no discoveries were ever made by the Four Methods, he affirms that none were ever made by observation and experiment; for assuredly if any were, it was by processes reducible to one or other of those methods.

This difference between us accounts for the dissatisfaction which my examples give him; for I did not select them with a view to satisfy any one who required to be convinced that observation and experiment are modes of acquiring knowledge: I confess that in the choice of them I thought only of illustration, and of facilitating the *conception* of the Methods by concrete instances. If it had been my object to justify the processes themselves as means of investigation, there would have been no need to look far off, or make use of recondite or complicated instances. As a specimen of a truth ascertained by the Method of Agreement, I might have chosen the proposition, "Dogs bark." This dog, and that dog, and the other dog, answer to A B C, A D E, A F G. The circumstance of being a dog answers to A. Barking answers to *a*. As a truth made known by the Method of Difference, "Fire burns" might have sufficed. Before I touch the fire I am not burned; this is B C: I touch it, and am burned; this is A B C, *a* B C.

Such familiar experimental processes are not regarded as inductions by Dr. Whewell; but they are perfectly homogeneous with those by which, even on his own showing, the pyramid of science is supplied with its base. In vain he attempts to escape from this conclusion by laying the most arbitrary restrictions on the choice of examples admissible as instances of Induction: they must neither be such as are still matter of discussion (p. 265), nor must any of them be drawn from mental and social subjects (p. 269), nor from ordinary observation and practical life (pp. 241–247). They must be taken exclusively from the generalizations by which scientific thinkers have ascended to great and comprehensive laws of natural phenomena. Now it is seldom possible, in these complicated inquiries, to go much beyond the initial steps, without calling in the instrument of

Deduction, and the temporary aid of hypothesis; as I myself, in common with Dr. Whewell, have maintained against the purely empirical school. Since, therefore, such cases could not conveniently be selected to illustrate the principles of mere observation and experiment, Dr. Whewell is misled by their absence into representing the Experimental Methods as serving no purpose in scientific investigation; forgetting that if those methods had not supplied the first generalizations, there would have been no materials for his own conception of Induction to work upon.

His challenge, however, to point out which of the four methods are exemplified in certain important cases of scientific inquiry, is easily answered. "The planetary paths," as far as they are a case of induction at all,<sup>146</sup> fall under the Method of Agreement. The law of "falling bodies," namely, that they describe spaces proportional to the squares of the times, was historically a deduction from the first law of motion; but the experiments by which it was verified, and by which it might have been discovered, were examples of the Method of Agreement; and the apparent variation from the true law, caused by the resistance of the air, was cleared up by experiments *in vacuo*, constituting an application of the Method of Difference. The law of "refracted rays" (the constancy of the ratio between the sines of incidence and of refraction for each refracting substance) was ascertained by direct measurement, and therefore by the Method of Agreement. The "cosmical motions" were determined by highly complex processes of thought, in which Deduction was predominant, but the Methods of Agreement and of Concomitant Variations had a large part in establishing the empirical laws. Every case without exception of "chemical analysis" constitutes a well-marked example of the Method of Difference. To any one acquainted with the subjects — to Dr. Whewell himself, there would not be the smallest difficulty in setting out "the A B C and *a b c* elements" of these cases.

If discoveries are ever made by observation and experiment without Deduction, the four methods are methods of discovery: but even if they were not methods of discovery, it would not be the less true that they are the sole methods of Proof; and in that character, even the results of deduction are amenable to them. The great generalizations which begin as Hypotheses, must end by being proved, and are in reality (as will be shown



hereafter) proved, by the Four Methods. Now it is with Proof, as such, that Logic is principally concerned. This distinction has indeed no chance of finding favor with Dr. Whewell; for it is the peculiarity of his system, not to recognize, in cases of Induction, any necessity for proof. If, after assuming an hypothesis and carefully collating it with facts, nothing is brought to light inconsistent with it, that is, if experience does not *disprove* it, he is content: at least until a simpler hypothesis, equally consistent with experience, presents itself. If this be Induction, doubtless there is no necessity for the four methods. But to suppose that it is so, appears to me a radical misconception of the nature of the evidence of physical truths.

So real and practical is the need of a test for induction, similar to the syllogistic test of ratiocination, that inferences which bid defiance to the most elementary notions of inductive logic are put forth without misgiving by persons eminent in physical science, as soon as they are off the ground on which they are conversant with the facts, and not reduced to judge only by the arguments; and as for educated persons in general, it may be doubted if they are better judges of a good or a bad induction than they were before Bacon wrote. The improvement in the results of thinking has seldom extended to the processes; or has reached, if any process, that of investigation only, not that of proof. A knowledge of many laws of nature has doubtless been arrived at, by framing hypotheses and finding that the facts corresponded to them; and many errors have been got rid of by coming to a knowledge of facts which were inconsistent with them, but not by discovering that the mode of thought which led to the errors was itself faulty, and might have been known to be such independently of the facts which disproved the specific conclusion. Hence it is, that while the thoughts of mankind have on many subjects worked themselves practically right, the thinking power remains as weak as ever: and on all subjects on which the facts which would check the result are not accessible, as in what relates to the invisible world, and even, as has been seen lately, to the visible world of the planetary regions, men of the greatest scientific acquirements argue as pitifully as the merest ignoramus. For though they have made many sound inductions, they have not learned from them (and Dr. Whewell thinks there is no necessity that they should learn) the principles of inductive *evidence*.

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<sup>137</sup> For this speculation, as for many other of my scientific illustrations, I am indebted to Professor Bain, whose subsequent treatise on Logic abounds with apt illustrations of all the inductive methods.

<sup>138</sup> This view of the necessary co-existence of opposite excitements involves a great extension of the original doctrine of two electricities. The early theorists assumed that, when amber was rubbed, the amber was made positive and the rubber negative to the same degree; but it never occurred to them to suppose that the existence of the amber charge was dependent on an opposite charge in the bodies with which the amber was contiguous, while the existence of the negative charge on the rubber was equally dependent on a contrary state of the surfaces that might accidentally be confronted with it; that, in fact, in a case of electrical excitement by friction, four charges were the minimum that could exist. But this double electrical action is essentially implied in the explanation now universally adopted in regard to the phenomena of the common electric machine.

<sup>139</sup> Pp. 110, 111.

<sup>140</sup> *Infra*, book iv., chap. ii., On Abstraction.

<sup>141</sup> I must, however, remark, that this example, which seems to militate against the assertion we made of the comparative inapplicability of the Method of Difference to cases of pure observation, is really one of those exceptions which, according to a proverbial expression, prove the general rule. For in this case, in which Nature, in her experiment, seems to have imitated the type of the experiments made by man, she has only succeeded in producing the likeness of man's most imperfect experiments; namely, those in which, though he succeeds in producing the phenomenon, he does so by employing complex means, which he is unable perfectly to analyze, and can form, therefore, no sufficient judgment what portion of the effects may be due, not to the supposed cause, but to some unknown agency of the means by which that cause was produced. In the natural experiment which we are speaking of, the means used was the clearing off a canopy of clouds; and we certainly do not know sufficiently in what this process consists, or on what it depends, to be certain *a priori* that it might not operate upon the deposition of dew independently of any thermometric effect at the earth's surface. Even, therefore, in a case so favorable as this to Nature's experimental talents, her experiment is of little value except in corroboration of a conclusion already attained through other means.

<sup>142</sup> In his subsequent work, *Outlines of Astronomy* (§ 570), Sir John Herschel suggests another possible explanation of the acceleration of the revolution of a comet.

<sup>143</sup> *Discourse*, pp. 156–8, and 171.

<sup>144</sup> *Outlines of Astronomy*, § 856.

<sup>145</sup> *Philosophy of Discovery*, pp. 263, 264.

<sup>146</sup> See, on this point, the second chapter of the present book.



# CHAPTER X.

## OF PLURALITY OF CAUSES, AND OF THE INTERMIXTURE OF EFFECTS.

§ 1. In the preceding exposition of the four methods of observation and experiment, by which we contrive to distinguish among a mass of co-existent phenomena the particular effect due to a given cause, or the particular cause which gave birth to a given effect, it has been necessary to suppose, in the first instance, for the sake of simplification, that this analytical operation is encumbered by no other difficulties than what are essentially inherent in its nature; and to represent to ourselves, therefore, every effect, on the one hand as connected exclusively with a single cause, and on the other hand as incapable of being mixed and confounded with any other co-existent effect. We have regarded *a b c d e*, the aggregate of the phenomena existing at any moment, as consisting of dissimilar facts, *a, b, c, d,* and *e*, for each of which one, and only one, cause needs be sought; the difficulty being only that of singling out this one cause from the multitude of antecedent circumstances, *A, B, C, D,* and *E*. The cause indeed may not be simple; it may consist of an assemblage of conditions; but we have supposed that there was only one possible assemblage of conditions from which the given effect could result.

If such were the fact, it would be comparatively an easy task to investigate the laws of nature. But the supposition does not hold in either of its parts. In the first place, it is not true that the same phenomenon is always produced by the same cause: the effect *a* may sometimes arise from *A*, sometimes from *B*. And, secondly, the effects of different causes are often not dissimilar, but homogeneous, and marked out by no assignable boundaries from one another: *A* and *B* may produce not *a* and *b*, but different portions of an effect *a*. The obscurity and difficulty of the investigation of the laws of phenomena is singularly increased by the necessity of adverting to these two circumstances: Intermixture of Effects, and Plurality of Causes. To the latter, being the simpler of the two considerations, we shall first direct our attention.

It is not true, then, that one effect must be connected with only one cause, or assemblage of conditions; that each phenomenon can be produced only in one way. There are often several independent modes in which the same phenomenon could have originated. One fact may be the consequent in several invariable sequences; it may follow, with equal uniformity, any one of several antecedents, or collections of antecedents. Many causes may produce mechanical motion; many causes may produce some kinds of sensation; many causes may produce death. A given effect may really be produced by a certain cause, and yet be perfectly capable of being produced without it.

§ 2. One of the principal consequences of this fact of Plurality of Causes is, to render the first of the inductive methods, that of Agreement, uncertain. To illustrate that method, we supposed two instances, A B C followed by *a b c*, and A D E followed by *a d e*. From these instances it might apparently be concluded that A is an invariable antecedent of *a*, and even that it is the unconditional invariable antecedent, or cause, if we could be sure that there is no other antecedent common to the two cases. That this difficulty may not stand in the way, let us suppose the two cases positively ascertained to have no antecedent in common except A. The moment, however, that we let in the possibility of a plurality of causes, the conclusion fails. For it involves a tacit supposition, that *a* must have been produced in both instances by the same cause. If there can possibly have been two causes, those two may, for example, be C and E: the one may have been the cause of *a* in the former of the instances, the other in the latter, A having no influence in either case.

Suppose, for example, that two great artists or great philosophers, that two extremely selfish or extremely generous characters, were compared together as to the circumstances of their education and history, and the two cases were found to agree only in one circumstance: would it follow that this one circumstance was the cause of the quality which characterized both those individuals? Not at all; for the causes which may produce any type of character are very numerous; and the two persons might equally have agreed in their character, though there had been no manner of resemblance in their previous history.

This, therefore, is a characteristic imperfection of the Method of Agreement, from which imperfection the Method of Difference is free. For if we have two instances, A B C and B C, of which B C gives  $b c$ , and A being added converts it into  $a b c$ , it is certain that in this instance at least, A was either the cause of  $a$ , or an indispensable portion of its cause, even though the cause which produces it in other instances may be altogether different. Plurality of Causes, therefore, not only does not diminish the reliance due to the Method of Difference, but does not even render a greater number of observations or experiments necessary: two instances, the one positive and the other negative, are still sufficient for the most complete and rigorous induction. Not so, however, with the Method of Agreement. The conclusions which that yields, when the number of instances compared is small, are of no real value, except as, in the character of suggestions, they may lead either to experiments bringing them to the test of the Method of Difference, or to reasonings which may explain and verify them deductively.

It is only when the instances, being indefinitely multiplied and varied, continue to suggest the same result, that this result acquires any high degree of independent value. If there are but two instances, A B C and A D E, though these instances have no antecedent in common except A, yet as the effect may possibly have been produced in the two cases by different causes, the result is at most only a slight probability in favor of A; there may be causation, but it is almost equally probable that there was only a coincidence. But the oftener we repeat the observation, varying the circumstances, the more we advance toward a solution of this doubt. For if we try A F G, A H K, etc., all unlike one another except in containing the circumstance A, and if we find the effect  $a$  entering into the result in all these cases, we must suppose one of two things, either that it is caused by A, or that it has as many different causes as there are instances. With each addition, therefore, to the number of instances, the presumption is strengthened in favor of A. The inquirer, of course, will not neglect, if an opportunity present itself, to exclude A from some one of these combinations, from A H K for instance, and by trying H K separately, appeal to the Method of Difference in aid of the Method of Agreement. By the Method of Difference alone can it be ascertained that A is the cause of  $a$ ; but that it is either the cause, or another effect of the same cause, may

be placed beyond any reasonable doubt by the Method of Agreement, provided the instances are very numerous as well as sufficiently various.

After how great a multiplication, then, of varied instances, all agreeing in no other antecedent except A, is the supposition of a plurality of causes sufficiently rebutted, and the conclusion that *a* is connected with A divested of the characteristic imperfection, and reduced to a virtual certainty? This is a question which we can not be exempted from answering; but the consideration of it belongs to what is called the Theory of Probability, which will form the subject of a chapter hereafter. It is seen, however, at once, that the conclusion does amount to a practical certainty after a sufficient number of instances, and that the method, therefore, is not radically vitiated by the characteristic imperfection. The result of these considerations is only, in the first place, to point out a new source of inferiority in the Method of Agreement as compared with other modes of investigation, and new reasons for never resting contented with the results obtained by it, without attempting to confirm them either by the Method of Difference, or by connecting them deductively with some law or laws already ascertained by that superior method. And, in the second place, we learn from this the true theory of the value of mere *number* of instances in inductive inquiry. The Plurality of Causes is the only reason why mere number is of any importance. The tendency of unscientific inquirers is to rely too much on number, without analyzing the instances; without looking closely enough into their nature to ascertain what circumstances are or are not eliminated by means of them. Most people hold their conclusions with a degree of assurance proportioned to the mere *mass* of the experience on which they appear to rest; not considering that by the addition of instances to instances, all of the same kind, that is, differing from one another only in points already recognized as immaterial, nothing whatever is added to the evidence of the conclusion. A single instance eliminating some antecedent which existed in all the other cases, is of more value than the greatest multitude of instances which are reckoned by their number alone. It is necessary, no doubt, to assure ourselves, by repetition of the observation or experiment, that no error has been committed concerning the individual facts observed; and until we have assured ourselves of this, instead of varying the circumstances, we can not too scrupulously repeat the same experiment or observation without any

change. But when once this assurance has been obtained, the multiplication of instances which do not exclude any more circumstances is entirely useless, provided there have been already enough to exclude the supposition of Plurality of Causes.

It is of importance to remark, that the peculiar modification of the Method of Agreement, which, as partaking in some degree of the nature of the Method of Difference, I have called the Joint Method of Agreement and Difference, is not affected by the characteristic imperfection now pointed out. For, in the joint method, it is supposed not only that the instances in which *a* is, agree only in containing A, but also that the instances in which *a* is not, agree only in not containing A. Now, if this be so, A must be not only the cause of *a*, but the only possible cause: for if there were another, as for example B, then in the instances in which *a* is not, B must have been absent as well as A, and it would not be true that these instances agree *only* in not containing A. This, therefore, constitutes an immense advantage of the joint method over the simple Method of Agreement. It may seem, indeed, that the advantage does not belong so much to the joint method, as to one of its two premises (if they may be so called), the negative premise. The Method of Agreement, when applied to negative instances, or those in which a phenomenon does *not* take place, is certainly free from the characteristic imperfection which affects it in the affirmative case. The negative premise, it might therefore be supposed, could be worked as a simple case of the Method of Agreement, without requiring an affirmative premise to be joined with it. But though this is true in principle, it is generally altogether impossible to work the Method of Agreement by negative instances without positive ones; it is so much more difficult to exhaust the field of negation than that of affirmation. For instance, let the question be what is the cause of the transparency of bodies; with what prospect of success could we set ourselves to inquire directly in what the multifarious substances which are *not* transparent agree? But we might hope much sooner to seize some point of resemblance among the comparatively few and definite species of objects which *are* transparent; and this being attained, we should quite naturally be put upon examining whether the *absence* of this one circumstance be not precisely the point in which all opaque substances will be found to resemble.



The Joint Method of Agreement and Difference, therefore, or as I have otherwise called it, the Indirect Method of Difference (because, like the Method of Difference properly so-called, it proceeds by ascertaining how and in what the cases where the phenomenon is present differ from those in which it is absent) is, after the Direct Method of Difference, the most powerful of the remaining instruments of inductive investigation; and in the sciences which depend on pure observation, with little or no aid from experiment, this method, so well exemplified in the speculation on the cause of dew, is the primary resource, so far as direct appeals to experience are concerned.

§ 3. We have thus far treated Plurality of Causes only as a possible supposition, which, until removed, renders our inductions uncertain; and have only considered by what means, where the plurality does not really exist, we may be enabled to disprove it. But we must also consider it as a case actually occurring in nature, and which, as often as it does occur, our methods of induction ought to be capable of ascertaining and establishing. For this, however, there is required no peculiar method. When an effect is really producible by two or more causes, the process for detecting them is in no way different from that by which we discover single causes. They may (first) be discovered as separate sequences, by separate sets of instances. One set of observations or experiments shows that the sun is a cause of heat, another that friction is a source of it, another that percussion, another that electricity, another that chemical action is such a source. Or (secondly) the plurality may come to light in the course of collating a number of instances, when we attempt to find some circumstance in which they all agree, and fail in doing so. We find it impossible to trace, in all the cases in which the effect is met with, any common circumstance. We find that we can eliminate *all* the antecedents; that no one of them is present in all the instances, no one of them indispensable to the effect. On closer scrutiny, however, it appears that though no one is always present, one or other of several always is. If, on further analysis, we can detect in these any common element, we may be able to ascend from them to some one cause which is the really operative circumstance in them all. Thus it is now thought that in the production of heat by friction, percussion, chemical action, etc., the ultimate source is one and the same. But if (as continually happens) we can not take this

ulterior step, the different antecedents must be set down provisionally as distinct causes, each sufficient of itself to produce the effect.

We here close our remarks on the Plurality of Causes, and proceed to the still more peculiar and more complex case of the Intermixture of Effects, and the interference of causes with one another: a case constituting the principal part of the complication and difficulty of the study of nature; and with which the four only possible methods of directly inductive investigation by observation and experiment, are, for the most part, as will appear presently, quite unequal to cope. The instrument of Deduction alone is adequate to unravel the complexities proceeding from this source; and the four methods have little more in their power than to supply premises for, and a verification of, our deductions.

§ 4. A concurrence of two or more causes, not separately producing each its own effect, but interfering with or modifying the effects of one another, takes place, as has already been explained in two different ways. In the one, which is exemplified by the joint operation of different forces in mechanics, the separate effects of all the causes continue to be produced, but are compounded with one another, and disappear in one total. In the other, illustrated by the case of chemical action, the separate effects cease entirely, and are succeeded by phenomena altogether different, and governed by different laws.

Of these cases the former is by far the more frequent, and this case it is which, for the most part, eludes the grasp of our experimental methods. The other and exceptional case is essentially amenable to them. When the laws of the original agents cease entirely, and a phenomenon makes its appearance, which, with reference to those laws, is quite heterogeneous; when, for example, two gaseous substances, hydrogen and oxygen, on being brought together, throw off their peculiar properties, and produce the substance called water; in such cases the new fact may be subjected to experimental inquiry, like any other phenomenon; and the elements which are said to compose it may be considered as the mere agents of its production — the conditions on which it depends, the facts which make up its cause.

The *effects* of the new phenomenon, the *properties* of water, for instance, are as easily found by experiment as the effects of any other

cause. But to discover the *cause* of it, that is, the particular conjunction of agents from which it results, is often difficult enough. In the first place, the origin and actual production of the phenomenon are most frequently inaccessible to our observation. If we could not have learned the composition of water until we found instances in which it was actually produced from oxygen and hydrogen, we should have been forced to wait until the casual thought struck some one of passing an electric spark through a mixture of the two gases, or inserting a lighted taper into it, merely to try what would happen. Besides, many substances, though they can be analyzed, can not by any known artificial means be recomposed. Further, even if we could have ascertained, by the Method of Agreement, that oxygen and hydrogen were both present when water is produced, no experimentation on oxygen and hydrogen separately, no knowledge of their laws, could have enabled us deductively to infer that they would produce water. We require a specific experiment on the two combined.

Under these difficulties, we should generally have been indebted for our knowledge of the causes of this class of effects, not to any inquiry directed specifically toward that end, but either to accident, or to the gradual progress of experimentation on the different combinations of which the producing agents are susceptible; if it were not for a peculiarity belonging to effects of this description, that they often, under some particular combination of circumstances, reproduce their causes. If water results from the juxtaposition of hydrogen and oxygen whenever this can be made sufficiently close and intimate, so, on the other hand, if water itself be placed in certain situations, hydrogen and oxygen are reproduced from it: an abrupt termination is put to the new laws, and the agents re-appear separately with their own properties as at first. What is called chemical analysis is the process of searching for the causes of a phenomenon among its effects, or rather among the effects produced by the action of some other causes upon it.

Lavoisier, by heating mercury to a high temperature in a close vessel containing air, found that the mercury increased in weight, and became what was then called red precipitate, while the air, on being examined after the experiment, proved to have lost weight, and to have become incapable of supporting life or combustion. When red precipitate was

exposed to a still greater heat, it became mercury again, and gave off a gas which did support life and flame. Thus the agents which by their combination produced red precipitate, namely, the mercury and the gas, reappear as effects resulting from that precipitate when acted upon by heat. So, if we decompose water by means of iron filings, we produce two effects, rust and hydrogen. Now rust is already known, by experiments upon the component substances, to be an effect of the union of iron and oxygen: the iron we ourselves supplied, but the oxygen must have been produced from the water. The result, therefore, is that water has disappeared, and hydrogen and oxygen have appeared in its stead; or, in other words, the original laws of these gaseous agents, which had been suspended by the superinduction of the new laws called the properties of water, have again started into existence, and the causes of water are found among its effects.

Where two phenomena, between the laws or properties of which, considered in themselves, no connection can be traced, are thus reciprocally cause and effect, each capable in its turn of being produced from the other, and each, when it produces the other, ceasing itself to exist (as water is produced from oxygen and hydrogen, and oxygen and hydrogen are reproduced from water); this causation of the two phenomena by one another, each being generated by the other's destruction, is properly transformation. The idea of chemical composition is an idea of transformation, but of a transformation which is incomplete; since we consider the oxygen and hydrogen to be present in the water *as* oxygen and hydrogen, and capable of being discovered in it if our senses were sufficiently keen: a supposition (for it is no more) grounded solely on the fact that the weight of the water is the sum of the separate weights of the two ingredients. If there had not been this exception to the entire disappearance, in the compound, of the laws of the separate ingredients; if the combined agents had not, in this one particular of weight, preserved their own laws, and produced a joint result equal to the sum of their separate results; we should never, probably, have had the notion now implied by the words chemical composition; and, in the facts of water produced from hydrogen and oxygen, and hydrogen and oxygen produced from water, as the transformation would have been complete, we should have seen only a transformation.

In these cases, where the heteropathic effect (as we called it in a former chapter)<sup>147</sup> is but a transformation of its cause, or in other words, where the effect and its cause are reciprocally such, and mutually convertible into each other; the problem of finding the cause resolves itself into the far easier one of finding an effect, which is the kind of inquiry that admits of being prosecuted by direct experiment. But there are other cases of heteropathic effects to which this mode of investigation is not applicable. Take, for instance, the heteropathic laws of mind; that portion of the phenomena of our mental nature which are analogous to chemical rather than to dynamical phenomena; as when a complex passion is formed by the coalition of several elementary impulses, or a complex emotion by several simple pleasures or pains, of which it is the result without being the aggregate, or in any respect homogeneous with them. The product, in these cases, is generated by its various factors; but the factors can not be reproduced from the product; just as a youth can grow into an old man, but an old man can not grow into a youth. We can not ascertain from what simple feelings any of our complex states of mind are generated, as we ascertain the ingredients of a chemical compound, by making it, in its turn, generate them. We can only, therefore, discover these laws by the slow process of studying the simple feelings themselves, and ascertaining synthetically, by experimenting on the various combinations of which they are susceptible, what they, by their mutual action upon one another, are capable of generating.

§ 5. It might have been supposed that the other, and apparently simpler variety of the mutual interference of causes, where each cause continues to produce its own proper effect according to the same laws to which it conforms in its separate state, would have presented fewer difficulties to the inductive inquirer than that of which we have just finished the consideration. It presents, however, so far as direct induction apart from deduction is concerned, infinitely greater difficulties. When a concurrence of causes gives rise to a new effect, bearing no relation to the separate effects of those causes, the resulting phenomenon stands forth undisguised, inviting attention to its peculiarity, and presenting no obstacle to our recognizing its presence or absence among any number of surrounding phenomena. It admits, therefore, of being easily brought under the canons of Induction, provided instances can be obtained such as

those canons require; and the non-occurrence of such instances, or the want of means to produce them artificially, is the real and only difficulty in such investigations; a difficulty not logical but in some sort physical. It is otherwise with cases of what, in a preceding chapter, has been denominated the Composition of Causes. There, the effects of the separate causes do not terminate and give place to others, thereby ceasing to form any part of the phenomenon to be investigated; on the contrary, they still take place, but are intermingled with, and disguised by, the homogeneous and closely allied effects of other causes. They are no longer  $a, b, c, d, e$ , existing side by side, and continuing to be separately discernible; they are  $+a, -a, \frac{1}{2}b, -b, 2b$ , etc.; some of which cancel one another, while many others do not appear distinguishably, but merge in one sum; forming altogether a result, between which and the causes whereby it was produced there is often an insurmountable difficulty in tracing by observation any fixed relation whatever.

The general idea of the Composition of Causes has been seen to be, that though two or more laws interfere with one another, and apparently frustrate or modify one another's operation, yet in reality all are fulfilled, the collective effect being the exact sum of the effects of the causes taken separately. A familiar instance is that of a body kept in equilibrium by two equal and contrary forces. One of the forces if acting alone would carry the body in a given time a certain distance to the west, the other if acting alone would carry it exactly as far toward the east; and the result is the same as if it had been first carried to the west as far as the one force would carry it, and then back toward the east as far as the other would carry it — that is, precisely the same distance; being ultimately left where it was found at first.

All laws of causation are liable to be in this manner counteracted, and seemingly frustrated, by coming into conflict with other laws, the separate result of which is opposite to theirs, or more or less inconsistent with it. And hence, with almost every law, many instances in which it really is entirely fulfilled, do not, at first sight, appear to be cases of its operation at all. It is so in the example just adduced: a force in mechanics means neither more nor less than a cause of motion, yet the sum of the effects of two causes of motion may be rest. Again, a body solicited by two forces in

directions making an angle with one another, moves in the diagonal; and it seems a paradox to say that motion in the diagonal is the sum of two motions in two other lines. Motion, however, is but change of place, and at every instant the body is in the exact place it would have been in if the forces had acted during alternate instants instead of acting in the same instant (saving that if we suppose two forces to act successively which are in truth simultaneous we must of course allow them double the time). It is evident, therefore, that each force has had, during each instant, all the effect which belonged to it; and that the modifying influence which one of two concurrent causes is said to exercise with respect to the other may be considered as exerted not over the action of the cause itself, but over the effect after it is completed. For all purposes of predicting, calculating, or explaining their joint result, causes which compound their effects may be treated as if they produced simultaneously each of them its own effect, and all these effects co-existed visibly.

Since the laws of causes are as really fulfilled when the causes are said to be counteracted by opposing causes, as when they are left to their own undisturbed action, we must be cautious not to express the laws in such terms as would render the assertion of their being fulfilled in those cases a contradiction. If, for instance, it were stated as a law of nature that a body to which a force is applied moves in the direction of the force, with a velocity proportioned to the force directly, and to its own mass inversely; when in point of fact some bodies to which a force is applied do not move at all, and those which do move (at least in the region of our earth) are, from the very first, retarded by the action of gravity and other resisting forces, and at last stopped altogether; it is clear that the general proposition, though it would be true under a certain hypothesis, would not express the facts as they actually occur. To accommodate the expression of the law to the real phenomena, we must say, not that the object moves, but that it *tends* to move, in the direction and with the velocity specified. We might, indeed, guard our expression in a different mode, by saying that the body moves in that manner unless prevented, or except in so far as prevented, by some counteracting cause. But the body does not only move in that manner unless counteracted; it *tends* to move in that manner even when counteracted; it still exerts, in the original direction, the same energy of movement as if its first impulse had been undisturbed, and produces, by

that energy, an exactly equivalent quantity of effect. This is true even when the force leaves the body as it found it, in a state of absolute rest; as when we attempt to raise a body of three tons' weight with a force equal to one ton. For if, while we are applying this force, wind or water or any other agent supplies an additional force just exceeding two tons, the body will be raised; thus proving that the force we applied exerted its full effect, by neutralizing an equivalent portion of the weight which it was insufficient altogether to overcome. And if, while we are exerting this force of one ton upon the object in a direction contrary to that of gravity, it be put into a scale and weighed, it will be found to have lost a ton of its weight, or, in other words, to press downward with a force only equal to the difference of the two forces.

These facts are correctly indicated by the expression *tendency*. All laws of causation, in consequence of their liability to be counteracted, require to be stated in words affirmative of tendencies only, and not of actual results. In those sciences of causation which have an accurate nomenclature, there are special words which signify a tendency to the particular effect with which the science is conversant; thus *pressure*, in mechanics, is synonymous with tendency to motion, and forces are not reasoned on as causing actual motion, but as exerting pressure. A similar improvement in terminology would be very salutary in many other branches of science.

The habit of neglecting this necessary element in the precise expression of the laws of nature, has given birth to the popular prejudice that all general truths have exceptions; and much unmerited distrust has thence accrued to the conclusions of science, when they have been submitted to the judgment of minds insufficiently disciplined and cultivated. The rough generalizations suggested by common observation usually have exceptions; but principles of science, or, in other words, laws of causation, have not. "What is thought to be an exception to a principle" (to quote words used on a different occasion), "is always some other and distinct principle cutting into the former; some other force which impinges<sup>148</sup> against the first force, and deflects it from its direction. There are not a law and an exception to that law, the law acting in ninety-nine cases, and the exception in one. There are two laws, each possibly acting in



the whole hundred cases, and bringing about a common effect by their conjunct operation. If the force which, being the less conspicuous of the two, is called the *disturbing* force, prevails sufficiently over the other force in some one case, to constitute that case what is commonly called an exception, the same disturbing force probably acts as a modifying cause in many other cases which no one will call exceptions.

“Thus if it were stated to be a law of nature that all heavy bodies fall to the ground, it would probably be said that the resistance of the atmosphere, which prevents a balloon from falling, constitutes the balloon an exception to that pretended law of nature. But the real law is, that all heavy bodies *tend* to fall; and to this there is no exception, not even the sun and moon; for even they, as every astronomer knows, tend toward the earth, with a force exactly equal to that with which the earth tends toward them. The resistance of the atmosphere might, in the particular case of the balloon, from a misapprehension of what the law of gravitation is, be said to *prevail over* the law; but its disturbing effect is quite as real in every other case, since though it does not prevent, it retards the fall of all bodies whatever. The rule, and the so-called exception, do not divide the cases between them; each of them is a comprehensive rule extending to all cases. To call one of these concurrent principles an exception to the other, is superficial, and contrary to the correct principles of nomenclature and arrangement. An effect of precisely the same kind, and arising from the same cause, ought not to be placed in two different categories, merely as there does or does not exist another cause preponderating over it.”<sup>149</sup> .....

§ 6. We have now to consider according to what method these complex effects, compounded of the effects of many causes, are to be studied; how we are enabled to trace each effect to the concurrence of causes in which it originated, and ascertain the conditions of its recurrence — the circumstances in which it may be expected again to occur. The conditions of a phenomenon which arises from a composition of causes, may be investigated either deductively or experimentally.

The case, it is evident, is naturally susceptible of the deductive mode of investigation. The law of an effect of this description is a result of the laws of the separate causes on the combination of which it depends, and is, therefore, in itself capable of being deduced from these laws. This is called

the method *a priori*. The other, or a *posteriori* method, professes to proceed according to the canons of experimental inquiry. Considering the whole assemblage of concurrent causes which produced the phenomenon, as one single cause, it attempts to ascertain the cause in the ordinary manner, by a comparison of instances. This second method subdivides itself into two different varieties. If it merely collates instances of the effect, it is a method of pure observation. If it operates upon the causes, and tries different combinations of them, in hopes of ultimately hitting the precise combination which will produce the given total effect, it is a method of experiment.

In order more completely to clear up the nature of each of these three methods, and determine which of them deserves the preference, it will be expedient (conformably to a favorite maxim of Lord Chancellor Eldon, to which, though it has often incurred philosophical ridicule, a deeper philosophy will not refuse its sanction) to “clothe them in circumstances.” We shall select for this purpose a case which as yet furnishes no very brilliant example of the success of any of the three methods, but which is all the more suited to illustrate the difficulties inherent in them. Let the subject of inquiry be, the conditions of health and disease in the human body; or (for greater simplicity) the conditions of recovery from a given disease; and in order to narrow the question still more, let it be limited, in the first instance, to this one inquiry: Is, or is not, some particular medicament (mercury, for instance) a remedy for the given disease.

Now, the deductive method would set out from known properties of mercury, and known laws of the human body, and by reasoning from these, would attempt to discover whether mercury will act upon the body when in the morbid condition supposed, in such a manner as would tend to restore health. The experimental method would simply administer mercury in as many cases as possible, noting the age, sex, temperament, and other peculiarities of bodily constitution, the particular form or variety of the disease, the particular stage of its progress, etc., remarking in which of these cases it was attended with a salutary effect, and with what circumstances it was on those occasions combined. The method of simple observation would compare instances of recovery, to find whether they agreed in having been preceded by the administration of mercury; or

would compare instances of recovery with instances of failure, to find cases which, agreeing in all other respects, differed only in the fact that mercury had been administered, or that it had not.

§ 7. That the last of these three modes of investigation is applicable to the case, no one has ever seriously contended. No conclusions of value on a subject of such intricacy ever were obtained in that way. The utmost that could result would be a vague general impression for or against the efficacy of mercury, of no avail for guidance unless confirmed by one of the other two methods. Not that the results, which this method strives to obtain, would not be of the utmost possible value if they could be obtained. If all the cases of recovery which presented themselves, in an examination extending to a great number of instances, were cases in which mercury had been administered, we might generalize with confidence from this experience, and should have obtained a conclusion of real value. But no such basis for generalization can we, in a case of this description, hope to obtain. The reason is that which we have spoken of as constituting the characteristic imperfection of the Method of Agreement, Plurality of Causes. Supposing even that mercury does tend to cure the disease, so many other causes, both natural and artificial, also tend to cure it, that there are sure to be abundant instances of recovery in which mercury has not been administered, unless, indeed, the practice be to administer it in all cases; on which supposition it will equally be found in the cases of failure.

When an effect results from the union of many causes, the share which each has in the determination of the effect can not in general be great, and the effect is not likely, even in its presence or absence, still less in its variations, to follow, even approximately, any one of the causes. Recovery from a disease is an event to which, in every case, many influences must concur. Mercury may be one such influence; but from the very fact that there are many other such, it will necessarily happen that although mercury is administered, the patient, for want of other concurring influences, will often not recover, and that he often will recover when it is not administered, the other favorable influences being sufficiently powerful without it. Neither, therefore, will the instances of recovery agree in the administration of mercury, nor will the instances of

failure agree in its non-administration. It is much if, by multiplied and accurate returns from hospitals and the like, we can collect that there are rather more recoveries and rather fewer failures when mercury is administered than when it is not; a result of very secondary value even as a guide to practice, and almost worthless as a contribution to the theory of the subject.<sup>150</sup>

§ 8. The inapplicability of the method of simple observation to ascertain the conditions of effects dependent on many concurring causes, being thus recognized, we shall next inquire whether any greater benefit can be expected from the other branch of the *a posteriori* method, that which proceeds by directly trying different combinations of causes, either artificially produced or found in nature, and taking notice what is their effect; as, for example, by actually trying the effect of mercury in as many different circumstances as possible. This method differs from the one which we have just examined in turning our attention directly to the causes or agents, instead of turning it to the effect, recovery from the disease. And since, as a general rule, the effects of causes are far more accessible to our study than the causes of effects, it is natural to think that this method has a much better chance of proving successful than the former.

The method now under consideration is called the Empirical Method; and in order to estimate it fairly, we must suppose it to be completely, not incompletely, empirical. We must exclude from it every thing which partakes of the nature not of an experimental but of a deductive operation. If, for instance, we try experiments with mercury upon a person in health, in order to ascertain the general laws of its action upon the human body, and then reason from these laws to determine how it will act upon persons affected with a particular disease, this may be a really effectual method; but this is deduction. The experimental method does not derive the law of a complex case from the simpler laws which conspire to produce it, but makes its experiments directly upon the complex case. We must make entire abstraction of all knowledge of the simpler tendencies, the *modi operandi* of mercury in detail. Our experimentation must aim at obtaining a direct answer to the specific question, Does or does not mercury tend to cure the particular disease?

Let us see, therefore, how far the case admits of the observance of those rules of experimentation which it is found necessary to observe in other cases. When we devise an experiment to ascertain the effect of a given agent, there are certain precautions which we never, if we can help it, omit. In the first place, we introduce the agent into the midst of a set of circumstances which we have exactly ascertained. It needs hardly be remarked how far this condition is from being realized in any case connected with the phenomena of life; how far we are from knowing what are all the circumstances which pre-exist in any instance in which mercury is administered to a living being. This difficulty, however, though insuperable in most cases, may not be so in all; there are sometimes concurrences of many causes, in which we yet know accurately what the causes are. Moreover, the difficulty may be attenuated by sufficient multiplication of experiments, in circumstances rendering it improbable that any of the unknown causes should exist in them all. But when we have got clear of this obstacle, we encounter another still more serious. In other cases, when we intend to try an experiment, we do not reckon it enough that there be no circumstance in the case the presence of which is unknown to us. We require, also, that none of the circumstances which we do know shall have effects susceptible of being confounded with those of the agents whose properties we wish to study. We take the utmost pains to exclude all causes capable of composition with the given cause; or, if forced to let in any such causes, we take care to make them such that we can compute and allow for their influence, so that the effect of the given cause may, after the subduction of those other effects, be apparent as a residual phenomenon.

These precautions are inapplicable to such cases as we are now considering. The mercury of our experiment being tried with an unknown multitude (or even let it be a known multitude) of other influencing circumstances, the mere fact of their being influencing circumstances implies that they disguise the effect of the mercury, and preclude us from knowing whether it has any effect or not. Unless we already knew what and how much is owing to every other circumstance (that is, unless we suppose the very problem solved which we are considering the means of solving), we can not tell that those other circumstances may not have produced the whole of the effect, independently or even in spite of the

mercury. The Method of Difference, in the ordinary mode of its use, namely, by comparing the state of things following the experiment with the state which preceded it, is thus, in the case of intermixture of effects, entirely unavailing; because other causes than that whose effect we are seeking to determine have been operating during the transition. As for the other mode of employing the Method of Difference, namely, by comparing, not the same case at two different periods, but different cases, this in the present instance is quite chimerical. In phenomena so complicated it is questionable if two cases, similar in all respects but one, ever occurred; and were they to occur, we could not possibly know that they were so exactly similar.

Any thing like a scientific use of the method of experiment, in these complicated cases, is therefore out of the question. We can generally, even in the most favorable cases, only discover by a succession of trials, that a certain cause is *very often* followed by a certain effect. For, in one of these conjunct effects, the portion which is determined by any one of the influencing agents, is usually, as we before remarked, but small; and it must be a more potent cause than most, if even the tendency which it really exerts is not thwarted by other tendencies in nearly as many cases as it is fulfilled. Some causes indeed there are which are more potent than any counteracting causes to which they are commonly exposed; and accordingly there are some truths in medicine which are sufficiently proved by direct experiment. Of these the most familiar are those that relate to the efficacy of the substances known as Specifics for particular diseases, “quinine, colchicum, lime-juice, cod-liver oil,”<sup>151</sup> and a few others. Even these are not invariably followed by success; but they succeed in so large a proportion of cases, and against such powerful obstacles, that their *tendency* to restore health in the disorders for which they are prescribed may be regarded as an experimental truth.<sup>152</sup>

If so little can be done by the experimental method to determine the conditions of an effect of many combined causes, in the case of medical science; still less is this method applicable to a class of phenomena more complicated than even those of physiology, the phenomena of politics and history. There, Plurality of Causes exists in almost boundless excess, and effects are, for the most part, inextricably interwoven with one another. To

add to the embarrassment, most of the inquiries in political science relate to the production of effects of a most comprehensive description, such as the public wealth, public security, public morality, and the like: results liable to be affected directly or indirectly either in *plus* or in *minus* by nearly every fact which exists, or event which occurs, in human society. The vulgar notion, that the safe methods on political subjects are those of Baconian induction — that the true guide is not general reasoning, but specific experience — will one day be quoted as among the most unequivocal marks of a low state of the speculative faculties in any age in which it is accredited. Nothing can be more ludicrous than the sort of parodies on experimental reasoning which one is accustomed to meet with, not in popular discussion only, but in grave treatises, when the affairs of nations are the theme. “How,” it is asked, “can an institution be bad, when the country has prospered under it?” “How can such or such causes have contributed to the prosperity of one country, when another has prospered without them?” Whoever makes use of an argument of this kind, not intending to deceive, should be sent back to learn the elements of some one of the more easy physical sciences. Such reasoners ignore the fact of Plurality of Causes in the very case which affords the most signal example of it. So little could be concluded, in such a case, from any possible collation of individual instances, that even the impossibility, in social phenomena, of making artificial experiments, a circumstance otherwise so prejudicial to directly inductive inquiry, hardly affords, in this case, additional reason of regret. For even if we could try experiments upon a nation or upon the human race, with as little scruple as M. Magendie tried them on dogs and rabbits, we should never succeed in making two instances identical in every respect except the presence or absence of some one definite circumstance. The nearest approach to an experiment in the philosophical sense, which takes place in politics, is the introduction of a new operative element into national affairs by some special and assignable measure of government, such as the enactment or repeal of a particular law. But where there are so many influences at work, it requires some time for the influence of any new cause upon national phenomena to become apparent; and as the causes operating in so extensive a sphere are not only infinitely numerous, but in a state of perpetual alteration, it is always certain that before the effect of the new

cause becomes conspicuous enough to be a subject of induction, so many of the other influencing circumstances will have changed as to vitiate the experiment.<sup>153</sup>

Two, therefore, of the three possible methods for the study of phenomena resulting from the composition of many causes, being, from the very nature of the case, inefficient and illusory, there remains only the third — that which considers the causes separately, and infers the effect from the balance of the different tendencies which produce it: in short, the deductive, or *a priori* method. The more particular consideration of this intellectual process requires a chapter to itself.

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<sup>147</sup> Ante, chap. vii., § 1.

<sup>148</sup> It seems hardly necessary to say that the word *impinge*, as a general term to express collision of forces, is here used by a figure of speech, and not as expressive of any theory respecting the nature of force.

<sup>149</sup> *Essays on some Unsettled Questions of Political Economy*, Essay V.

<sup>150</sup> It is justly remarked by Professor Bain, that though the Methods of Agreement and Difference are not applicable to these cases, they are not wholly inaccessible to the Method of Concomitant Variations. "If a cause happens to vary alone, the effect will also vary alone: a cause and effect may be thus singled out under the greatest complications. Thus, when the appetite for food increases with the cold, we have a strong evidence of connection between these two facts, although other circumstances may operate in the same direction. The assigning of the respective parts of the sun and moon in the action of the tides may be effected, to a certain degree of exactness, by the variations of the amount according to the positions of the two attractive bodies. By a series of experiments of Concomitant Variations, directed to ascertain the elimination of nitrogen from the human body under varieties of muscular exercise, Dr. Parkes obtained the remarkable conclusion, that a muscle grows during exercise, and loses bulk during the subsequent rest." (*Logic*, ii., 83.)

It is, no doubt, often possible to single out the influencing causes from among a great number of mere concomitants, by noting what are the antecedents, a variation in which is followed by a variation in the effect. But when there are many influencing causes, no one of them greatly predominating over the rest, and especially when some of these are continually changing, it is scarcely ever possible to trace such a relation between the variations of the effect and those of any one cause as would



enable us to assign to that cause its real share in the production of the effect.

<sup>151</sup> Bain's *Logic*, ii., 360.

<sup>152</sup> What is said in the text on the applicability of the experimental methods to resolve particular questions of medical treatment, does not detract from their efficacy in ascertaining the general laws of the animal or human system. The functions, for example, of the different classes of nerves have been discovered, and probably could only have been discovered, by experiments on living animals. Observation and experiment are the ultimate basis of all knowledge: from them we obtain the elementary laws of life, as we obtain all other elementary truths. It is in dealing with the complex combinations that the experimental methods are for the most part illusory, and the deductive mode of investigation must be invoked to disentangle the complexity.

<sup>153</sup> Professor Bain, though concurring generally in the views expressed in this chapter, seems to estimate more highly than I do the scope for specific experimental evidence in politics. (*Logic*, ii., 333–337.) There are, it is true, as he remarks (p. 336), some cases "when an agent suddenly introduced is almost instantaneously followed by some other changes, as when the announcement of a diplomatic rupture between two nations is followed the same day by a derangement of the money-market." But this experiment would be quite inconclusive merely as an experiment. It can only serve, as any experiment may, to verify the conclusion of a deduction. Unless we already knew by our knowledge of the motives which act on business men, that the prospect of war *tends* to derange the money-market, we should never have been able to prove a connection between the two facts, unless after having ascertained historically that the one followed the other in too great a number of instances to be consistent with their having been recorded with due precautions. Whoever has carefully examined any of the attempts continually made to prove economic doctrines by such a recital of instances, knows well how futile they are. It always turns out that the circumstances of scarcely any of the cases have been fully stated; and that cases, in equal or greater numbers, have been omitted which would have tended to an opposite conclusion.



# CHAPTER XI.

## OF THE DEDUCTIVE METHOD.

§ 1. The mode of investigation which, from the proved inapplicability of direct methods of observation and experiment, remains to us as the main source of the knowledge we possess or can acquire respecting the conditions and laws of recurrence, of the more complex phenomena, is called, in its most general expression, the Deductive Method; and consists of three operations: the first, one of direct induction; the second, of ratiocination; the third, of verification.

I call the first step in the process an inductive operation, because there must be a direct induction as the basis of the whole; though in many particular investigations the place of the induction may be supplied by a prior deduction; but the premises of this prior deduction must have been derived from induction.

The problem of the Deductive Method is, to find the law of an effect, from the laws of the different tendencies of which it is the joint result. The first requisite, therefore, is to know the laws of those tendencies; the law of each of the concurrent causes: and this supposes a previous process of observation or experiment upon each cause separately; or else a previous deduction, which also must depend for its ultimate premises on observation or experiment. Thus, if the subject be social or historical phenomena, the premises of the Deductive Method must be the laws of the causes which determine that class of phenomena; and those causes are human actions, together with the general outward circumstances under the influence of which mankind are placed, and which constitute man's position on the earth. The Deductive Method, applied to social phenomena, must begin, therefore, by investigating, or must suppose to have been already investigated, the laws of human action, and those properties of outward things by which the actions of human beings in society are determined. Some of these general truths will naturally be obtained by observation and experiment, others by deduction: the more complex laws of human action, for example, may be deduced from the

simpler ones; but the simple or elementary laws will always, and necessarily, have been obtained by a directly inductive process.

To ascertain, then, the laws of each separate cause which takes a share in producing the effect, is the first desideratum of the Deductive Method. To know what the causes are which must be subjected to this process of study, may or may not be difficult. In the case last mentioned, this first condition is of easy fulfillment. That social phenomena depend on the acts and mental impressions of human beings, never could have been a matter of any doubt, however imperfectly it may have been known either by what laws those impressions and actions are governed, or to what social consequences their laws naturally lead. Neither, again, after physical science had attained a certain development, could there be any real doubt where to look for the laws on which the phenomena of life depend, since they must be the mechanical and chemical laws of the solid and fluid substances composing the organized body and the medium in which it subsists, together with the peculiar vital laws of the different tissues constituting the organic structure. In other cases, really far more simple than these, it was much less obvious in what quarter the causes were to be looked for: as in the case of the celestial phenomena. Until, by combining the laws of certain causes, it was found that those laws explained all the facts which experience had proved concerning the heavenly motions, and led to predictions which it always verified, mankind never knew that those *were* the causes. But whether we are able to put the question before, or not until after, we have become capable of answering it, in either case it must be answered; the laws of the different causes must be ascertained, before we can proceed to deduce from them the conditions of the effect.

The mode of ascertaining those laws neither is, nor can be any other than the fourfold method of experimental inquiry, already discussed. A few remarks on the application of that method to cases of the Composition of Causes are all that is requisite.

It is obvious that we can not expect to find the law of a tendency by an induction from cases in which the tendency is counteracted. The laws of motion could never have been brought to light from the observation of bodies kept at rest by the equilibrium of opposing forces. Even where the tendency is not, in the ordinary sense of the word, counteracted, but only

modified, by having its effects compounded with the effects arising from some other tendency or tendencies, we are still in an unfavorable position for tracing, by means of such cases, the law of the tendency itself. It would have been scarcely possible to discover the law that every body in motion tends to continue moving in a straight line, by an induction from instances in which the motion is deflected into a curve, by being compounded with the effect of an accelerating force. Notwithstanding the resources afforded in this description of cases by the Method of Concomitant Variations, the principles of a judicious experimentation prescribe that the law of each of the tendencies should be studied, if possible, in cases in which that tendency operates alone, or in combination with no agencies but those of which the effect can, from previous knowledge, be calculated and allowed for.

Accordingly, in the cases, unfortunately very numerous and important, in which the causes do not suffer themselves to be separated and observed apart, there is much difficulty in laying down with due certainty the inductive foundation necessary to support the deductive method. This difficulty is most of all conspicuous in the case of physiological phenomena; it being seldom possible to separate the different agencies which collectively compose an organized body, without destroying the very phenomena which it is our object to investigate:

— following life, in creatures we dissect,  
We lose it, in the moment we detect.

And for this reason I am inclined to the opinion that physiology (greatly and rapidly progressive as it now is) is embarrassed by greater natural difficulties, and is probably susceptible of a less degree of ultimate perfection, than even the social science; inasmuch as it is possible to study the laws and operations of one human mind apart from other minds, much less imperfectly than we can study the laws of one organ or tissue of the human body apart from the other organs or tissues.

It has been judiciously remarked that pathological facts, or, to speak in common language, diseases in their different forms and degrees afford in the case of physiological investigation the most valuable equivalent to experimentation properly so called; inasmuch as they often exhibit to us a

definite disturbance in some one organ or organic function, the remaining organs and functions being, in the first instance at least, unaffected. It is true that from the perpetual actions and reactions which are going on among all parts of the organic economy, there can be no prolonged disturbance in any one function without ultimately involving many of the others; and when once it has done so, the experiment for the most part loses its scientific value. All depends on observing the early stages of the derangement; which, unfortunately, are of necessity the least marked. If, however, the organs and functions not disturbed in the first instance become affected in a fixed order of succession, some light is thereby thrown upon the action which one organ exercises over another: and we occasionally obtain a series of effects which we can refer with some confidence to the original local derangement; but for this it is necessary that we should know that the original derangement *was* local. If it was what is termed constitutional; that is, if we do not know in what part of the animal economy it took its rise, or the precise nature of the disturbance which took place in that part, we are unable to determine which of the various derangements was cause and which effect; which of them were produced by one another, and which by the direct, though perhaps tardy, action of the original cause.

Besides natural pathological facts, we can produce pathological facts artificially: we can try experiments, even in the popular sense of the term, by subjecting the living being to some external agent, such as the mercury of our former example, or the section of a nerve to ascertain the functions of different parts of the nervous system. As this experimentation is not intended to obtain a direct solution of any practical question, but to discover general laws, from which afterward the conditions of any particular effect may be obtained by deduction, the best cases to select are those of which the circumstances can be best ascertained: and such are generally not those in which there is any practical object in view. The experiments are best tried, not in a state of disease, which is essentially a changeable state, but in the condition of health, comparatively a fixed state. In the one, unusual agencies are at work, the results of which we have no means of predicting: in the other, the course of the accustomed physiological phenomena would, it may generally be presumed, remain undisturbed, were it not for the disturbing cause which we introduce.

Such, with the occasional aid of the Method of Concomitant Variations (the latter not less encumbered than the more elementary methods by the peculiar difficulties of the subject), are our inductive resources for ascertaining the laws of the causes considered separately, when we have it not in our power to make trial of them in a state of actual separation. The insufficiency of these resources is so glaring, that no one can be surprised at the backward state of the science of physiology; in which indeed our knowledge of causes is so imperfect, that we can neither explain, nor could without specific experience have predicted, many of the facts which are certified to us by the most ordinary observation. Fortunately, we are much better informed as to the empirical laws of the phenomena, that is, the uniformities respecting which we can not yet decide whether they are cases of causation, or mere results of it. Not only has the order in which the facts of organization and life successively manifest themselves, from the first germ of existence to death, been found to be uniform, and very accurately ascertainable; but, by a great application of the Method of Concomitant Variations to the entire facts of comparative anatomy and physiology, the characteristic organic structure corresponding to each class of functions has been determined with considerable precision. Whether these organic conditions are the whole of the conditions, and in many cases whether they are conditions at all, or mere collateral effects of some common cause, we are quite ignorant; nor are we ever likely to know, unless we could construct an organized body and try whether it would live.

Under such disadvantages do we, in cases of this description, attempt the initial, or inductive step, in the application of the Deductive Method to complex phenomena. But such, fortunately, is not the common case. In general, the laws of the causes on which the effect depends may be obtained by an induction from comparatively simple instances, or, at the worst, by deduction from the laws of simpler causes, so obtained. By simple instances are meant, of course, those in which the action of each cause was not intermixed or interfered with, or not to any great extent, by other causes whose laws were unknown. And only when the induction which furnished the premises to the Deductive method rested on such instances has the application of such a method to the ascertainment of the laws of a complex effect, been attended with brilliant results.

§ 2. When the laws of the causes have been ascertained, and the first stage of the great logical operation now under discussion satisfactorily accomplished, the second part follows; that of determining from the laws of the causes what effect any given combination of those causes will produce. This is a process of calculation, in the wider sense of the term; and very often involves processes of calculation in the narrowest sense. It is a ratiocination; and when our knowledge of the causes is so perfect as to extend to the exact numerical laws which they observe in producing their effects, the ratiocination may reckon among its premises the theorems of the science of number, in the whole immense extent of that science. Not only are the most advanced truths of mathematics often required to enable us to compute an effect, the numerical law of which we already know; but, even by the aid of those most advanced truths, we can go but a little way. In so simple a case as the common problem of three bodies gravitating toward one another, with a force directly as their mass and inversely as the square of the distance, all the resources of the calculus have not hitherto sufficed to obtain any general solution, but an approximate one. In a case a little more complex, but still one of the simplest which arise in practice, that of the motion of a projectile, the causes which affect the velocity and range (for example) of a cannon-ball may be all known and estimated: the force of the gunpowder, the angle of elevation, the density of the air, the strength and direction of the wind; but it is one of the most difficult of mathematical problems to combine all these, so as to determine the effect resulting from their collective action.

Besides the theorems of number, those of geometry also come in as premises, where the effects take place in space, and involve motion and extension, as in mechanics, optics, acoustics, astronomy. But when the complication increases, and the effects are under the influence of so many and such shifting causes as to give no room either for fixed numbers, or for straight lines and regular curves (as in the case of physiological, to say nothing of mental and social phenomena), the laws of number and extension are applicable, if at all, only on that large scale on which precision of details becomes unimportant. Although these laws play a conspicuous part in the most striking examples of the investigation of nature by the Deductive Method, as for example in the Newtonian theory of the celestial motions, they are by no means an indispensable part of

every such process. All that is essential in it is reasoning from a general law to a particular case, that is, determining by means of the particular circumstances of that case, what result is required in that instance to fulfill the law. Thus in the Torricellian experiment, if the fact that air has weight had been previously known, it would have been easy, without any numerical data, to deduce from the general law of equilibrium, that the mercury would stand in the tube at such a height that the column of mercury would exactly balance a column of the atmosphere of equal diameter; because, otherwise, equilibrium would not exist.

By such ratiocinations from the separate laws of the causes, we may, to a certain extent, succeed in answering either of the following questions: Given a certain combination of causes, what effect will follow? and, What combination of causes, if it existed, would produce a given effect? In the one case, we determine the effect to be expected in any complex circumstances of which the different elements are known: in the other case we learn, according to what law — under what antecedent conditions — a given complex effect will occur.

§ 3. But (it may here be asked) are not the same arguments by which the methods of direct observation and experiment were set aside as illusory when applied to the laws of complex phenomena, applicable with equal force against the Method of Deduction? When in every single instance a multitude, often an unknown multitude, of agencies, are clashing and combining, what security have we that in our computation *a priori* we have taken all these into our reckoning? How many must we not generally be ignorant of? Among those which we know, how probable that some have been overlooked; and, even were all included, how vain the pretense of summing up the effects of many causes, unless we know accurately the numerical law of each — a condition in most cases not to be fulfilled; and even when it is fulfilled, to make the calculation transcends, in any but very simple cases, the utmost power of mathematical science with all its most modern improvements.

These objections have real weight, and would be altogether unanswerable, if there were no test by which, when we employ the Deductive Method, we might judge whether an error of any of the above descriptions had been committed or not. Such a test, however, there is:



and its application forms, under the name of Verification, the third essential component part of the Deductive Method; without which all the results it can give have little other value than that of conjecture. To warrant reliance on the general conclusions arrived at by deduction, these conclusions must be found, on careful comparison, to accord with the results of direct observation wherever it can be had. If, when we have experience to compare with them, this experience confirms them, we may safely trust to them in other cases of which our specific experience is yet to come. But if our deductions have led to the conclusion that from a particular combination of causes a given effect would result, then in all known cases where that combination can be shown to have existed, and where the effect has not followed, we must be able to show (or at least to make a probable surmise) what frustrated it: if we can not, the theory is imperfect, and not yet to be relied upon. Nor is the verification complete, unless some of the cases in which the theory is borne out by the observed result are of at least equal complexity with any other cases in which its application could be called for.

If direct observation and collation of instances have furnished us with any empirical laws of the effect (whether true in all observed cases, or only true for the most part), the most effectual verification of which the theory could be susceptible, would be, that it led deductively to those empirical laws; that the uniformities, whether complete or incomplete, which were observed to exist among the phenomena, were accounted for by the laws of the causes — were such as could not but exist if those be really the causes by which the phenomena are produced. Thus it was very reasonably deemed an essential requisite of any true theory of the causes of the celestial motions, that it should lead by deduction to Kepler's laws; which, accordingly, the Newtonian theory did.

In order, therefore, to facilitate the verification of theories obtained by deduction, it is important that as many as possible of the empirical laws of the phenomena should be ascertained, by a comparison of instances, conformably to the Method of Agreement: as well as (it must be added) that the phenomena themselves should be described, in the most comprehensive as well as accurate manner possible; by collecting from the observation of parts, the simplest possible correct expressions for the

corresponding wholes: as when the series of the observed places of a planet was first expressed by a circle, then by a system of epicycles, and subsequently by an ellipse.

It is worth remarking, that complex instances which would have been of no use for the discovery of the simple laws into which we ultimately analyze their phenomena, nevertheless, when they have served to verify the analysis, become additional evidence of the laws themselves. Although we could not have got at the law from complex cases, still when the law, got at otherwise, is found to be in accordance with the result of a complex case, that case becomes a new experiment on the law, and helps to confirm what it did not assist to discover. It is a new trial of the principle in a different set of circumstances; and occasionally serves to eliminate some circumstance not previously excluded, and the exclusion of which might require an experiment impossible to be executed. This was strikingly conspicuous in the example formerly quoted, in which the difference between the observed and the calculated velocity of sound was ascertained to result from the heat extricated by the condensation which takes place in each sonorous vibration. This was a trial, in new circumstances, of the law of the development of heat by compression; and it added materially to the proof of the universality of that law. Accordingly, any law of nature is deemed to have gained in point of certainty, by being found to explain some complex case which had not previously been thought of in connection with it; and this indeed is a consideration to which it is the habit of scientific inquirers to attach rather too much value than too little.

To the Deductive Method, thus characterized in its three constituent parts, Induction, Ratiocination, and Verification, the human mind is indebted for its most conspicuous triumphs in the investigation of nature. To it we owe all the theories by which vast and complicated phenomena are embraced under a few simple laws, which, considered as the laws of those great phenomena, could never have been detected by their direct study. We may form some conception of what the method has done for us from the case of the celestial motions: one of the simplest among the greater instances of the Composition of Causes, since (except in a few cases not of primary importance) each of the heavenly bodies may be considered, without material inaccuracy, to be never at one time

influenced by the attraction of more than two bodies, the sun and one other planet or satellite; making, with the reaction of the body itself, and the force generated by the body's own motion and acting in the direction of the tangent, only four different agents on the concurrence of which the motions of that body depend; a much smaller number, no doubt, than that by which any other of the great phenomena of nature is determined or modified. Yet how could we ever have ascertained the combination of forces on which the motions of the earth and planets are dependent, by merely comparing the orbits or velocities of different planets, or the different velocities or positions of the same planet? Notwithstanding the regularity which manifests itself in those motions, in a degree so rare among the effects of concurrence of causes; and although the periodical recurrence of exactly the same effect, affords positive proof that all the combinations of causes which occur at all, recur periodically; we should not have known what the causes were, if the existence of agencies precisely similar on our own earth had not, fortunately, brought the causes themselves within the reach of experimentation under simple circumstances. As we shall have occasion to analyze, further on, this great example of the Method of Deduction, we shall not occupy any time with it here, but shall proceed to that secondary application of the Deductive Method, the result of which is not to prove laws of phenomena, but to explain them.



# CHAPTER XII.

## OF THE EXPLANATION OF LAWS OF NATURE.

§ 1. The deductive operation by which we derive the law of an effect from the laws of the causes, the concurrence of which gives rise to it, may be undertaken either for the purpose of discovering the law, or of explaining a law already discovered. The word *explanation* occurs so continually, and holds so important a place in philosophy, that a little time spent in fixing the meaning of it will be profitably employed.

An individual fact is said to be explained, by pointing out its cause, that is, by stating the law or laws of causation, of which its production is an instance. Thus, a conflagration is explained, when it is proved to have arisen from a spark falling into the midst of a heap of combustibles. And in a similar manner, a law or uniformity in nature is said to be explained, when another law or laws are pointed out, of which that law itself is but a case, and from which it could be deduced.

§ 2. There are three distinguishable sets of circumstances in which a law of causation may be explained from, or, as it also is often expressed, resolved into, other laws.

The first is the case already so fully considered; an intermixture of laws, producing a joint effect equal to the sum of the effects of the causes taken separately. The law of the complex effect is explained, by being resolved into the separate laws of the causes which contribute to it. Thus, the law of the motion of a planet is resolved into the law of the acquired force, which tends to produce a uniform motion in the tangent, and the law of the centripetal force, which tends to produce an accelerating motion toward the sun; the real motion being a compound of the two.

It is necessary here to remark, that in this resolution of the law of a complex effect, the laws of which it is compounded are not the only elements. It is resolved into the laws of the separate causes, together with the fact of their co-existence. The one is as essential an ingredient as the other; whether the object be to discover the law of the effect, or only to

explain it. To deduce the laws of the heavenly motions, we require not only to know the law of a rectilinear and that of a gravitative force, but the existence of both these forces in the celestial regions, and even their relative amount. The complex laws of causation are thus resolved into two distinct kinds of elements: the one, simpler laws of causation, the other (in the aptly selected expression of Dr. Chalmers) collocations; the collocations consisting in the existence of certain agents or powers, in certain circumstances of place and time. We shall hereafter have occasion to return to this distinction, and to dwell on it at such length as dispenses with the necessity of further insisting on it here. The first mode, then, of the explanation of Laws of Causation, is when the law of an effect is resolved into the various tendencies of which it is the result, together with the laws of those tendencies.

§ 3. A second case is when, between what seemed the cause and what was supposed to be its effect, further observation detects an intermediate link; a fact caused by the antecedent, and in its turn causing the consequent; so that the cause at first assigned is but the remote cause, operating through the intermediate phenomenon. A seemed the cause of C, but it subsequently appeared that A was only the cause of B, and that it is B which was the cause of C. For example: mankind were aware that the act of touching an outward object caused a sensation. It was subsequently discovered that after we have touched the object, and before we experience the sensation, some change takes place in a kind of thread called a nerve, which extends from our outward organs to the brain. Touching the object, therefore, is only the remote cause of our sensation; that is, not the cause, properly speaking, but the cause of the cause; the real cause of the sensation is the change in the state of the nerve. Future experience may not only give us more knowledge than we now have of the particular nature of this change, but may also interpolate another link: between the contact (for example) of the object with our outward organs, and the production of the change of state in the nerve, there may take place some electric phenomenon, or some phenomenon of a nature not resembling the effects of any known agency. Hitherto, however, no such intermediate link has been discovered; and the touch of the object must be considered, provisionally, as the proximate cause of the affection of the nerve. The sequence, therefore, of a sensation of touch on contact with an object is

ascertained not to be an ultimate law; it is resolved, as the phrase is, into two other laws — the law that contact with an object produces an affection of the nerve, and the law that an affection of the nerve produces sensation.

To take another example: the more powerful acids corrode or blacken organic compounds. This is a case of causation, but of remote causation; and is said to be explained when it is shown that there is an intermediate link, namely, the separation of some of the chemical elements of the organic structure from the rest, and their entering into combination with the acid. The acid causes this separation of the elements, and the separation of the elements causes the disorganization, and often the charring of the structure. So, again, chlorine extracts coloring matters (whence its efficacy in bleaching) and purifies the air from infection. This law is resolved into the two following laws: Chlorine has a powerful affinity for bases of all kinds, particularly metallic bases and hydrogen: such bases are essential elements of coloring matters and contagious compounds, which substances, therefore, are decomposed and destroyed by chlorine.

§ 4. It is of importance to remark, that when a sequence of phenomena is thus resolved into other laws, they are always laws more general than itself. The law that A is followed by C, is less general than either of the laws which connect B with C and A with B. This will appear from very simple considerations.

All laws of causation are liable to be counteracted or frustrated, by the non-fulfillment of some negative condition; the tendency, therefore, of B to produce C may be defeated. Now the law that A produces B, is equally fulfilled whether B is followed by C or not; but the law that A produces C by means of B, is of course only fulfilled when B is really followed by C, and is, therefore, less general than the law that A produces B. It is also less general than the law that B produces C. For B may have other causes besides A; and as A produces C only by means of B, while B produces C, whether it has itself been produced by A or by any thing else, the second law embraces a greater number of instances, covers as it were a greater space of ground, than the first.

Thus, in our former example, the law that the contact of an object causes a change in the state of the nerve, is more general than the law that contact with an object causes sensation, since, for aught we know, the

change in the nerve may equally take place when, from a counteracting cause, as, for instance, strong mental excitement, the sensation does not follow; as in a battle, where wounds are sometimes received without any consciousness of receiving them. And again, the law that change in the state of a nerve produces sensation, is more general than the law that contact with an object produces sensation; since the sensation equally follows the change in the nerve when not produced by contact with an object, but by some other cause; as in the well-known case, when a person who has lost a limb feels the same sensation which he has been accustomed to call a pain in the limb.

Not only are the laws of more immediate sequence into which the law of a remote sequence is resolved, laws of greater generality than that law is, but (as a consequence of, or rather as implied in, their greater generality) they are more to be relied on; there are fewer chances of their being ultimately found not to be universally true. From the moment when the sequence of A and C is shown not to be immediate, but to depend on an intervening phenomenon, then, however constant and invariable the sequence of A and C has hitherto been found, possibilities arise of its failure, exceeding those which can effect either of the more immediate sequences, A, B, and B, C. The tendency of A to produce C may be defeated by whatever is capable of defeating either the tendency of A to produce B, or the tendency of B to produce C; it is, therefore, twice as liable to failure as either of those more elementary tendencies; and the generalization that A is always followed by C, is twice as likely to be found erroneous. And so of the converse generalization, that C is always preceded and caused by A; which will be erroneous not only if there should happen to be a second immediate mode of production of C itself, but moreover if there be a second mode of production of B, the immediate antecedent of C in the sequence.

The resolution of the one generalization into the other two, not only shows that there are possible limitations of the former, from which its two elements are exempt, but shows also where these are to be looked for. As soon as we know that B intervenes between A and C, we also know that if there be cases in which the sequence of A and C does not hold, these are

most likely to be found by studying the effects or the conditions of the phenomenon B.

It appears, then, that in the second of the three modes in which a law may be resolved into other laws, the latter are more general, that is, extend to more cases, and are also less likely to require limitation from subsequent experience, than the law which they serve to explain. They are more nearly unconditional; they are defeated by fewer contingencies; they are a nearer approach to the universal truth of nature. The same observations are still more evidently true with regard to the first of the three modes of resolution. When the law of an effect of combined forces is resolved into the separate laws of the causes, the nature of the case implies that the law of the effect is less general than the law of any of the causes, since it only holds when they are combined; while the law of any one of the causes holds good both then, and also when that cause acts apart from the rest.

It is also manifest that the complex law is liable to be oftener unfulfilled than any one of the simpler laws of which it is the result, since every contingency which defeats any of the laws prevents so much of the effect as depends on it, and thereby defeats the complex law. The mere rusting, for example, of some small part of a great machine, often suffices entirely to prevent the effect which ought to result from the joint action of all the parts. The law of the effect of a combination of causes is always subject to the whole of the negative conditions which attach to the action of all the causes severally.

There is another and an equally strong reason why the law of a complex effect must be less general than the laws of the causes which conspire to produce it. The same causes, acting according to the same laws, and differing only in the proportions in which they are combined, often produce effects which differ not merely in quantity, but in kind. The combination of a centripetal with a projectile force, in the proportions which obtain in all the planets and satellites of our solar system, gives rise to an elliptical motion; but if the ratio of the two forces to each other were slightly altered, it is demonstrated that the motion produced would be in a circle, or a parabola, or an hyperbola; and it is thought that in the case of some comets one of these is probably the fact. Yet the law of the parabolic



motion would be resolvable into the very same simple laws into which that of the elliptical motion is resolved, namely, the law of the permanence of rectilinear motion, and the law of gravitation. If, therefore, in the course of ages, some circumstance were to manifest itself which, without defeating the law of either of those forces, should merely alter their proportion to one another (such as the shock of some solid body, or even the accumulating effect of the resistance of the medium in which astronomers have been led to surmise that the motions of the heavenly bodies take place), the elliptical motion might be changed into a motion in some other conic section; and the complex law, that the planetary motions take place in ellipses, would be deprived of its universality, though the discovery would not at all detract from the universality of the simpler laws into which that complex law is resolved. The law, in short, of each of the concurrent causes remains the same, however their collocations may vary; but the law of their joint effect varies with every difference in the collocations. There needs no more to show how much more general the elementary laws must be than any of the complex laws which are derived from them.

§ 5. Besides the two modes which have been treated of, there is a third mode in which laws are resolved into one another; and in this it is self-evident that they are resolved into laws more general than themselves. This third mode is the *subsumption* (as it has been called) of one law under another; or (what comes to the same thing) the gathering up of several laws into one more general law which includes them all. The most splendid example of this operation was when terrestrial gravity and the central force of the solar system were brought together under the general law of gravitation. It had been proved antecedently that the earth and the other planets tend to the sun; and it had been known from the earliest times that terrestrial bodies tend toward the earth. These were similar phenomena; and to enable them both to be subsumed under one law, it was only necessary to prove that, as the effects were similar in quality so also they, as to quantity, conform to the same rules. This was first shown to be true of the moon, which agreed with terrestrial objects not only in tending to a centre, but in the fact that this centre was the earth. The tendency of the moon toward the earth being ascertained to vary as the inverse square of the distance, it was deduced from this, by direct

calculation, that if the moon were as near to the earth as terrestrial objects are, and the acquired force in the direction of the tangent were suspended, the moon would fall toward the earth through exactly as many feet in a second as those objects do by virtue of their weight. Hence the inference was irresistible, that the moon also tends to the earth by virtue of its weight: and that the two phenomena, the tendency of the moon to the earth and the tendency of terrestrial objects to the earth, being not only similar in quality, but, when in the same circumstances, identical in quantity, are cases of one and the same law of causation. But the tendency of the moon to the earth, and the tendency of the earth and planets to the sun, were already known to be cases of the same law of causation; and thus the law of all these tendencies, and the law of terrestrial gravity, were recognized as identical, and were subsumed under one general law, that of gravitation.

In a similar manner, the laws of magnetic phenomena have more recently been subsumed under known laws of electricity. It is thus that the most general laws of nature are usually arrived at: we mount to them by successive steps. For, to arrive by correct induction at laws which hold under such an immense variety of circumstances, laws so general as to be independent of any varieties of space or time which we are able to observe, requires for the most part many distinct sets of experiments or observations, conducted at different times and by different people. One part of the law is first ascertained, afterward another part: one set of observations teaches us that the law holds good under some conditions, another that it holds good under other conditions, by combining which observations we find that it holds good under conditions much more general, or even universally. The general law, in this case, is literally the sum of all the partial ones; it is a recognition of the same sequence in different sets of instances; and may, in fact, be regarded as merely one step in the process of elimination. The tendency of bodies toward one another, which we now call gravity, had at first been observed only on the earth's surface, where it manifested itself only as a tendency of all bodies toward the earth, and might, therefore, be ascribed to a peculiar property of the earth itself: one of the circumstances, namely, the proximity of the earth, had not been eliminated. To eliminate this circumstance required a fresh set of instances in other parts of the universe: these we could not ourselves

create; and though nature had created them for us, we were placed in very unfavorable circumstances for observing them. To make these observations, fell naturally to the lot of a different set of persons from those who studied terrestrial phenomena; and had, indeed, been a matter of great interest at a time when the idea of explaining celestial facts by terrestrial laws was looked upon as the confounding of an indefeasible distinction. When, however, the celestial motions were accurately ascertained, and the deductive processes performed, from which it appeared that their laws and those of terrestrial gravity corresponded, those celestial observations became a set of instances which exactly eliminated the circumstance of proximity to the earth; and proved that in the original case, that of terrestrial objects, it was not the earth, as such, that caused the motion or the pressure, but the circumstance common to that case with the celestial instances, namely, the presence of some great body within certain limits of distance.

§ 6. There are, then, three modes of explaining laws of causation, or, which is the same thing, resolving them into other laws. First, when the law of an effect of combined causes is resolved into the separate laws of the causes, together with the fact of their combination. Secondly, when the law which connects any two links, not proximate, in a chain of causation, is resolved into the laws which connect each with the intermediate links. Both of these are cases of resolving one law into two or more; in the third, two or more are resolved into one: when, after the law has been shown to hold good in several different classes of cases, we decide that what is true in each of these classes of cases, is true under some more general supposition, consisting of what all those classes of cases have in common. We may here remark that this last operation involves none of the uncertainties attendant on induction by the Method of Agreement, since we need not suppose the result to be extended by way of inference to any new class of cases different from those by the comparison of which it was engendered.

In all these three processes, laws are, as we have seen, resolved into laws more general than themselves; laws extending to all the cases which the former extended to, and others besides. In the first two modes they are also resolved into laws more certain, in other words, more universally true

than themselves; they are, in fact, proved not to be themselves laws of nature, the character of which is to be universally true, but *results* of laws of nature, which may be only true conditionally, and for the most part. No difference of this sort exists in the third case; since here the partial laws are, in fact, the very same law as the general one, and any exception to them would be an exception to it too.

By all the three processes, the range of deductive science is extended; since the laws, thus resolved, may be thenceforth deduced demonstratively from the laws into which they are resolved. As already remarked, the same deductive process which proves a law or fact of causation if unknown, serves to explain it when known.

The word explanation is here used in its philosophical sense. What is called explaining one law of nature by another, is but substituting one mystery for another; and does nothing to render the general course of nature other than mysterious: we can no more assign a *why* for the more extensive laws than for the partial ones. The explanation may substitute a mystery which has become familiar, and has grown to *seem* not mysterious, for one which is still strange. And this is the meaning of explanation, in common parlance. But the process with which we are here concerned often does the very contrary: it resolves a phenomenon with which we are familiar into one of which we previously knew little or nothing; as when the common fact of the fall of heavy bodies was resolved into the tendency of all particles of matter toward one another. It must be kept constantly in view, therefore, that in science, those who speak of explaining any phenomenon mean (or should mean) pointing out not some more familiar, but merely some more general, phenomenon, of which it is a partial exemplification; or some laws of causation which produce it by their joint or successive action, and from which, therefore, its conditions may be determined deductively. Every such operation brings us a step nearer toward answering the question which was stated in a previous chapter as comprehending the whole problem of the investigation of nature, viz.: what are the fewest assumptions, which being granted, the order of nature as it exists would be the result? What are the fewest, general propositions from which all the uniformities existing in nature could be deduced?

The laws, thus explained or resolved, are sometimes said to be *accounted for*; but the expression is incorrect, if taken to mean any thing more than what has been already stated. In minds not habituated to accurate thinking, there is often a confused notion that the general laws are the *causes* of the partial ones; that the law of general gravitation, for example, causes the phenomenon of the fall of bodies to the earth. But to assert this would be a misuse of the word cause: terrestrial gravity is not an effect of general gravitation, but a *case* of it; that is, one kind of the particular instances in which that general law obtains. To account for a law of nature means, and can mean, nothing more than to assign other laws more general, together with collocations, which laws and collocations being supposed, the partial law follows without any additional supposition.



# CHAPTER XIII.

## MISCELLANEOUS EXAMPLES OF THE EXPLANATION OF LAWS OF NATURE.

§ 1. The most striking example which the history of science presents, of the explanation of laws of causation and other uniformities of sequence among special phenomena, by resolving them into laws of greater simplicity and generality, is the great Newtonian generalization; respecting which typical instance, so much having already been said, it is sufficient to call attention to the great number and variety of the special observed uniformities, which are in this case accounted for, either as particular cases, or as consequences, of one very simple law of universal nature. The simple fact of a tendency of every particle of matter toward every other particle, varying inversely as the square of the distance, explains the fall of bodies to the earth, the revolutions of the planets and satellites, the motions (so far as known) of comets, and all the various regularities which have been observed in these special phenomena; such as the elliptical orbits, and the variations from exact ellipses; the relation between the solar distances of the planets and the duration of their revolutions; the precession of the equinoxes; the tides, and a vast number of minor astronomical truths.

Mention has also been made in the preceding chapter of the explanation of the phenomena of magnetism from laws of electricity; the special laws of magnetic agency having been affiliated by deduction to observed laws of electric action, in which they have ever since been considered to be included as special cases. An example not so complete in itself, but even more fertile in consequences, having been the starting-point of the really scientific study of physiology, is the affiliation, commenced by Bichat, and carried on by subsequent biologists, of the properties of the bodily organs, to the elementary properties of the tissues into which they are anatomically decomposed.

Another striking instance is afforded by Dalton's generalization, commonly known as the atomic theory. It had been known from the very commencement of accurate chemical observation, that any two bodies

combine chemically with one another in only a certain number of proportions; but those proportions were in each case expressed by a percentage — so many parts (by weight) of each ingredient, in 100 of the compound (say 35 and a fraction of one element, 64 and a fraction of the other); in which mode of statement no relation was perceived between the proportion in which a given element combines with one substance, and that in which it combines with others. The great step made by Dalton consisted in perceiving that a unit of weight might be established for each substance, such that by supposing the substance to enter into all its combinations in the ratio either of that unit, or of some low multiple of that unit, all the different proportions, previously expressed by percentages, were found to result. Thus 1 being assumed as the unit of hydrogen, if 8 were then taken as that of oxygen, the combination of one unit of hydrogen with one unit of oxygen would produce the exact proportion of weight between the two substances which is known to exist in water; the combination of one unit of hydrogen with two units of oxygen would produce the proportion which exists in the other compound of the same two elements, called peroxide of hydrogen; and the combinations of hydrogen and of oxygen with all other substances, would correspond with the supposition that those elements enter into combination by single units, or twos, or threes, of the numbers assigned to them, 1 and 8, and the other substances by ones or twos or threes of other determinate numbers proper to each. The result is that a table of the equivalent numbers, or, as they are called, atomic weights, of all the elementary substances, comprises in itself, and scientifically explains, all the proportions in which any substance, elementary or compound, is found capable of entering into chemical combination with any other substance whatever.

§ 2. Some interesting cases of the explanation of old uniformities by newly ascertained laws are afforded by the researches of Professor Graham. That eminent chemist was the first who drew attention to the distinction which may be made of all substances into two classes, termed by him crystalloids and colloids; or rather, of all states of matter into the crystalloid and the colloidal states, for many substances are capable of existing in either. When in the colloidal state, their sensible properties are very different from those of the same substance when crystallized, or when in a state easily susceptible of crystallization. Colloid substances pass with

extreme difficulty and slowness into the crystalline state, and are extremely inert in all the ordinary chemical relations. Substances in the colloid state are almost always, when combined with water, more or less viscous or gelatinous. The most prominent examples of the state are certain animal and vegetable substances, particularly gelatine, albumen, starch, the gums, caramel, tannin, and some others. Among substances not of organic origin, the most notable instances are hydrated silicic acid, and hydrated alumina, with other metallic peroxides of the aluminous class.

Now it is found, that while colloidal substances are easily penetrated by water, and by the solutions of crystalloid substances, they are very little penetrable by one another: which enabled Professor Graham to introduce a highly effective process (termed dialysis) for separating the crystalloid substances contained in any liquid mixture, by passing them through a thin septum of colloidal matter, which does not suffer any thing colloidal to pass, or suffers it only in very minute quantity. This property of colloids enabled Mr. Graham to account for a number of special results of observation, not previously explained.

For instance, “while soluble crystalloids are always highly sapid, soluble colloids are singularly insipid,” as might be expected; for, as the sentient extremities of the nerves of the palate “are probably protected by a colloidal membrane,” impermeable to other colloids, a colloid, when tasted, probably never reaches those nerves. Again, “it has been observed that vegetable gum is not digested in the stomach; the coats of that organ dialyse the soluble food, absorbing crystalloids, and rejecting all colloids.” One of the mysterious processes accompanying digestion, the secretion of free muriatic acid by the coats of the stomach, obtains a probable hypothetical explanation through the same law. Finally, much light is thrown upon the observed phenomena of osmose (the passage of fluids outward and inward through animal membranes) by the fact that the membranes are colloidal. In consequence, the water and saline solutions contained in the animal body pass easily and rapidly through the membranes, while the substances directly applicable to nutrition, which are mostly colloidal, are detained by them.<sup>154</sup>

The property which salt possesses of preserving animal substances from putrefaction is resolved by Liebig into two more general laws, the



strong attraction of salt for water, and the necessity of the presence of water as a condition of putrefaction. The intermediate phenomenon which is interpolated between the remote cause and the effect, can here be not merely inferred but seen; for it is a familiar fact, that flesh upon which salt has been thrown is speedily found swimming in brine.

The second of the two factors (as they may be termed) into which the preceding law has been resolved, the necessity of water to putrefaction, itself affords an additional example of the Resolution of Laws. The law itself is proved by the Method of Difference, since flesh completely dried and kept in a dry atmosphere does not putrefy; as we see in the case of dried provisions and human bodies in very dry climates. A deductive explanation of this same law results from Liebig's speculations. The putrefaction of animal and other azotized bodies is a chemical process, by which they are gradually dissipated in a gaseous form, chiefly in that of carbonic acid and ammonia; now to convert the carbon of the animal substance into carbonic acid requires oxygen, and to convert the azote into ammonia requires hydrogen, which are the elements of water. The extreme rapidity of the putrefaction of azotized substances, compared with the gradual decay of non-azotized bodies (such as wood and the like) by the action of oxygen alone, he explains from the general law that substances are much more easily decomposed by the action of two different affinities upon two of their elements than by the action of only one.

§ 3. Among the many important properties of the nervous system which have either been first discovered or strikingly illustrated by Dr. Brown-Séguard, I select the reflex influence of the nervous system on nutrition and secretion. By reflex nervous action is meant, action which one part of the nervous system exerts over another part, without any intermediate action on the brain, and consequently without consciousness; or which, if it does pass through the brain, at least produces its effects independently of the will. There are many experiments which prove that irritation of a nerve in one part of the body may in this manner excite powerful action in another part; for example, food injected into the stomach through a divided œsophagus, nevertheless produces secretion of saliva; warm water injected into the bowels, and various other irritations

of the lower intestines, have been found to excite secretion of the gastric juice, and so forth. The reality of the power being thus proved, its agency explains a great variety of apparently anomalous phenomena; of which I select the following from Dr. Brown-Séquard's *Lectures on the Nervous System*:

The production of tears by irritation of the eye, or of the mucous membrane of the nose;

The secretions of the eye and nose increased by exposure of other parts of the body to cold;

Inflammation of the eye, especially when of traumatic origin, very frequently excites a similar affection in the other eye, which may be cured by section of the intervening nerve;

Loss of sight sometimes produced by neuralgia, and has been known to be at once cured by the extirpation (for instance) of a carious tooth;

Even cataract has been produced in a healthy eye by cataract in the other eye, or by neuralgia, or by a wound of the frontal nerve;

The well-known phenomenon of a sudden stoppage of the heart's action, and consequent death, produced by irritation of some of the nervous extremities; *e.g.*, by drinking very cold water, or by a blow on the abdomen, or other sudden excitation of the abdominal sympathetic nerve, though this nerve may be irritated to any extent without stopping the heart's action, if a section be made of the communicating nerves;

The extraordinary effects produced on the internal organs by an extensive burn on the surface of the body, consisting in violent inflammation of the tissues of the abdomen, chest, or head, which, when death ensues from this kind of injury, is one of the most frequent causes of it;

Paralysis and anæsthesia of one part of the body from neuralgia in another part; and muscular atrophy from neuralgia, even when there is no paralysis;

Tetanus produced by the lesion of a nerve. Dr. Brown-Séquard thinks it highly probable that hydrophobia is a phenomenon of a similar nature;

Morbid changes in the nutrition of the brain and spinal cord, manifesting themselves by epilepsy, chorea, hysteria, and other diseases,

occasioned by lesion of some of the nervous extremities in remote places, as by worms, calculi, tumors, carious bones, and in some cases even by very slight irritations of the skin.

§ 4. From the foregoing and similar instances, we may see the importance, when a law of nature previously unknown has been brought to light, or when new light has been thrown upon a known law by experiment, of examining all cases which present the conditions necessary for bringing that law into action; a process fertile in demonstrations of special laws previously unsuspected, and explanations of others already empirically known.

For instance, Faraday discovered by experiment, that voltaic electricity could be evolved from a natural magnet, provided a conducting body were set in motion at right angles to the direction of the magnet; and this he found to hold not only of small magnets, but of that great magnet, the earth. The law being thus established experimentally, that electricity is evolved, by a magnet, and a conductor moving at right angles to the direction of its poles, we may now look out for fresh instances in which these conditions meet. Wherever a conductor moves or revolves at right angles to the direction of the earth's magnetic poles, there we may expect an evolution of electricity. In the northern regions, where the polar direction is nearly perpendicular to the horizon, all horizontal motions of conductors will produce electricity; horizontal wheels, for example, made of metal; likewise all running streams will evolve a current of electricity, which will circulate round them; and the air thus charged with electricity may be one of the causes of the Aurora Borealis. In the equatorial regions, on the contrary, upright wheels placed parallel to the equator will originate a voltaic circuit, and water-falls will naturally become electric.

For a second example, it has been proved, chiefly by the researches of Professor Graham, that gases have a strong tendency to permeate animal membranes, and diffuse themselves through the spaces which such membranes inclose, notwithstanding the presence of other gases in those spaces. Proceeding from this general law, and reviewing a variety of cases in which gases lie contiguous to membranes, we are enabled to demonstrate or to explain the following more special laws: 1st. The human or animal body, when surrounded with any gas not already contained

within the body, absorbs it rapidly; such, for instance, as the gases of putrefying matters: which helps to explain malaria. 2d. The carbonic acid gas of effervescing drinks, evolved in the stomach, permeates its membranes, and rapidly spreads through the system. 3d. Alcohol taken into the stomach passes into vapor, and spreads through the system with great rapidity (which, combined with the high combustibility of alcohol, or in other words its ready combination with oxygen, may perhaps help to explain the bodily warmth immediately consequent on drinking spirituous liquors). 4th. In any state of the body in which peculiar gases are formed within it, these will rapidly exhale through all parts of the body; and hence the rapidity with which, in certain states of disease, the surrounding atmosphere becomes tainted. 5th. The putrefaction of the interior parts of a carcass will proceed as rapidly as that of the exterior, from the ready passage outward of the gaseous products. 6th. The exchange of oxygen and carbonic acid in the lungs is not prevented, but rather promoted, by the intervention of the membrane of the lungs and the coats of the blood-vessels between the blood and the air. It is necessary, however, that there should be a substance in the blood with which the oxygen of the air may immediately combine; otherwise, instead of passing into the blood, it would permeate the whole organism: and it is necessary that the carbonic acid, as it is formed in the capillaries, should also find a substance in the blood with which it can combine; otherwise it would leave the body at all points, instead of being discharged through the lungs.

§ 5. The following is a deduction which confirms, by explaining, the empirical generalization, that soda powders weaken the human system. These powders, consisting of a mixture of tartaric acid with bicarbonate of soda, from which the carbonic acid is set free, must pass into the stomach as tartrate of soda. Now, neutral tartrates, citrates, and acetates of the alkalis are found, in their passage through the system, to be changed into carbonates; and to convert a tartrate into a carbonate requires an additional quantity of oxygen, the abstraction of which must lessen the oxygen destined for assimilation with the blood, on the quantity of which the vigorous action of the human system partly depends.

The instances of new theories agreeing with and explaining old empiricisms, are innumerable. All the just remarks made by experienced

persons on human character and conduct, are so many special laws, which the general laws of the human mind explain and resolve. The empirical generalizations on which the operations of the arts have usually been founded, are continually justified and confirmed on the one hand, or corrected and improved on the other, by the discovery of the simpler scientific laws on which the efficacy of those operations depends. The effects of the rotation of crops, of the various manures, and other processes of improved agriculture, have been for the first time resolved in our own day into known laws of chemical and organic action, by Davy, Liebig, and others. The processes of the medical art are even now mostly empirical: their efficacy is concluded, in each instance, from a special and most precarious experimental generalization: but as science advances in discovering the simple laws of chemistry and physiology, progress is made in ascertaining the intermediate links in the series of phenomena, and the more general laws on which they depend; and thus, while the old processes are either exploded, or their efficacy, in so far as real, explained, better processes, founded on the knowledge of proximate causes, are continually suggested and brought into use.<sup>155</sup> Many even of the truths of geometry were generalizations from experience before they were deduced from first principles. The quadrature of the cycloid is said to have been first effected by measurement, or rather by weighing a cycloidal card, and comparing its weight with that of a piece of similar card of known dimensions.

§ 6. To the foregoing examples from physical science, let us add another from mental. The following is one of the simple laws of mind: Ideas of a pleasurable or painful character form associations more easily and strongly than other ideas, that is, they become associated after fewer repetitions, and the association is more durable. This is an experimental law, grounded on the Method of Difference. By deduction from this law, many of the more special laws which experience shows to exist among particular mental phenomena may be demonstrated and explained: the ease and rapidity, for instance, with which thoughts connected with our passions or our more cherished interests are excited, and the firm hold which the facts relating to them have on our memory; the vivid recollection we retain of minute circumstances which accompanied any object or event that deeply interested us, and of the times and places in which we have been very happy or very miserable; the horror with which

we view the accidental instrument of any occurrence which shocked us, or the locality where it took place and the pleasure we derive from any memorial of past enjoyment; all these effects being proportional to the sensibility of the individual mind, and to the consequent intensity of the pain or pleasure from which the association originated. It has been suggested by the able writer of a biographical sketch of Dr. Priestley in a monthly periodical,<sup>156</sup> that the same elementary law of our mental constitution, suitably followed out, would explain a variety of mental phenomena previously inexplicable, and in particular some of the fundamental diversities of human character and genius. Associations being of two sorts, either between synchronous, or between successive impressions; and the influence of the law which renders associations stronger in proportion to the pleasurable or painful character of the impressions, being felt with peculiar force in the synchronous class of associations; it is remarked by the writer referred to, that in minds of strong organic sensibility synchronous associations will be likely to predominate, producing a tendency to conceive things in pictures and in the concrete, richly clothed in attributes and circumstances, a mental habit which is commonly called Imagination, and is one of the peculiarities of the painter and the poet; while persons of more moderate susceptibility to pleasure and pain will have a tendency to associate facts chiefly in the order of their succession, and such persons, if they possess mental superiority, will addict themselves to history or science rather than to creative art. This interesting speculation the author of the present work has endeavored, on another occasion, to pursue further, and to examine how far it will avail toward explaining the peculiarities of the poetical temperament.<sup>157</sup> It is at least an example which may serve, instead of many others, to show the extensive scope which exists for deductive investigation in the important and hitherto so imperfect Science of Mind.

§ 7. The copiousness with which the discovery and explanation of special laws of phenomena by deduction from simpler and more general ones has here been exemplified, was prompted by a desire to characterize clearly, and place in its due position of importance, the Deductive Method; which, in the present state of knowledge, is destined henceforth irrevocably to predominate in the course of scientific investigation. A revolution is peaceably and progressively effecting itself in philosophy, the

reverse of that to which Bacon has attached his name. That great man changed the method of the sciences from deductive to experimental, and it is now rapidly reverting from experimental to deductive. But the deductions which Bacon abolished were from premises hastily snatched up, or arbitrarily assumed. The principles were neither established by legitimate canons of experimental inquiry, nor the results tested by that indispensable element of a rational Deductive Method, verification by specific experience. Between the primitive method of Deduction and that which I have attempted to characterize, there is all the difference which exists between the Aristotelian physics and the Newtonian theory of the heavens.

It would, however, be a mistake to expect that those great generalizations, from which the subordinate truths of the more backward sciences will probably at some future period be deduced by reasoning (as the truths of astronomy are deduced from the generalities of the Newtonian theory), will be found in all, or even in most cases, among truths now known and admitted. We may rest assured, that many of the most general laws of nature are as yet entirely unthought of; and that many others, destined hereafter to assume the same character, are known, if at all, only as laws or properties of some limited class of phenomena; just as electricity, now recognized as one of the most universal of natural agencies, was once known only as a curious property which certain substances acquired by friction, of first attracting and then repelling light bodies. If the theories of heat, cohesion, crystallization, and chemical action are destined, as there can be little doubt that they are, to become deductive, the truths which will then be regarded as the *principia* of those sciences would probably, if now announced, appear quite as novel<sup>158</sup> as the law of gravitation appeared to the contemporaries of Newton; possibly even more so, since Newton's law, after all, was but an extension of the law of weight — that is, of a generalization familiar from of old, and which already comprehended a not inconsiderable body of natural phenomena. The general laws of a similarly commanding character, which we still look forward to the discovery of, may not always find so much of their foundations already laid.

These general truths will doubtless make their first appearance in the character of hypotheses; not proved, nor even admitting of proof, in the first instance, but assumed as premises for the purpose of deducing from them the known laws of concrete phenomena. But this, though their initial, can not be their final state. To entitle an hypothesis to be received as one of the truths of nature, and not as a mere technical help to the human faculties, it must be capable of being tested by the canons of legitimate induction, and must actually have been submitted to that test. When this shall have been done, and done successfully, premises will have been obtained from which all the other propositions of the science will thenceforth be presented as conclusions, and the science will, by means of a new and unexpected Induction, be rendered Deductive.

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<sup>154</sup> Vide Memoir by Thomas Graham, F.R.S., Master of the Mint, "On Liquid Diffusion applied to Analysis," in the *Philosophical Transactions* for 1862, reprinted in the *Journal of the Chemical Society*, and also separately as a pamphlet.

<sup>155</sup> It was an old generalization in surgery, that tight bandaging had a tendency to prevent or dissipate local inflammation. This sequence, being, in the progress of physiological knowledge, resolved into more general laws, led to the important surgical invention made by Dr. Arnott, the treatment of local inflammation and tumors by means of an equable pressure, produced by a bladder partially filled with air. The pressure, by keeping back the blood from the part, prevents the inflammation, or the tumor, from being nourished: in the case of inflammation, it removes the stimulus, which the organ is unfit to receive; in the case of tumors, by keeping back the nutritive fluid, it causes the absorption of matter to exceed the supply, and the diseased mass is gradually absorbed and disappears.

<sup>156</sup> Since acknowledged and reprinted in Mr. Martineau's *Miscellanies*.

<sup>157</sup> *Dissertations and Discussions*, vol. i., fourth paper.

<sup>158</sup> Written before the rise of the new views respecting the relation of heat to mechanical force; but confirmed rather than contradicted by them.





# CHAPTER XIV.

## OF THE LIMITS TO THE EXPLANATION OF LAWS OF NATURE; AND OF HYPOTHESES.

§ 1. The preceding considerations have led us to recognize a distinction between two kinds of laws, or observed uniformities in nature: ultimate laws, and what may be termed derivative laws. Derivative laws are such as are deducible from, and may, in any of the modes which we have pointed out, be resolved into, other and more general ones. Ultimate laws are those which can not. We are not sure that any of the uniformities with which we are yet acquainted are ultimate laws; but we know that there must be ultimate laws; and that every resolution of a derivative law into more general laws brings us nearer to them.

Since we are continually discovering that uniformities, not previously known to be other than ultimate, are derivative, and resolvable into more general laws; since (in other words) we are continually discovering the explanation of some sequence which was previously known only as a fact; it becomes an interesting question whether there are any necessary limits to this philosophical operation, or whether it may proceed until all the uniform sequences in nature are resolved into some one universal law. For this seems, at first sight, to be the ultimatum toward which the progress of induction by the Deductive Method, resting on a basis of observation and experiment, is tending. Projects of this kind were universal in the infancy of philosophy; any speculations which held out a less brilliant prospect being in these early times deemed not worth pursuing. And the idea receives so much apparent countenance from the nature of the most remarkable achievements of modern science, that speculators are even now frequently appearing, who profess either to have solved the problem, or to suggest modes in which it may one day be solved. Even where pretensions of this magnitude are not made, the character of the solutions which are given or sought of particular classes of phenomena, often involves such conceptions of what constitutes explanation, as would

render the notion of explaining all phenomena whatever by means of some one cause or law, perfectly admissible.

§ 2. It is, therefore, useful to remark that the ultimate Laws of Nature can not possibly be less numerous than the distinguishable sensations or other feelings of our nature; those, I mean, which are distinguishable from one another in quality, and not merely in quantity or degree. For example: since there is a phenomenon *sui generis*, called color, which our consciousness testifies to be not a particular degree of some other phenomenon, as heat or odor or motion, but intrinsically unlike all others, it follows that there are ultimate laws of color; that though the facts of color may admit of explanation, they never can be explained from laws of heat or odor alone, or of motion alone, but that, however far the explanation may be carried, there will always remain in it a law of color. I do not mean that it might not possibly be shown that some other phenomenon, some chemical or mechanical action, for example, invariably precedes, and is the cause of, every phenomenon of color. But though this, if proved, would be an important extension of our knowledge of nature, it would not explain how or why a motion, or a chemical action, can produce a sensation of color; and, however diligent might be our scrutiny of the phenomena, whatever number of hidden links we might detect in the chain of causation terminating in the color, the last link would still be a law of color, not a law of motion, nor of any other phenomenon whatever. Nor does this observation apply only to color, as compared with any other of the great classes of sensations; it applies to every particular color, as compared with others. White color can in no manner be explained exclusively by the laws of the production of red color. In any attempt to explain it, we can not but introduce, as one element of the explanation, the proposition that some antecedent or other produces the sensation of white.

The ideal limit, therefore, of the explanation of natural phenomena (toward which as toward other ideal limits we are constantly tending, without the prospect of ever completely attaining it) would be to show that each distinguishable variety of our sensations, or other states of consciousness, has only one sort of cause; that, for example, whenever we perceive a white color, there is some one condition or set of conditions

which is always present, and the presence of which always produces in us that sensation. As long as there are several known modes of production of a phenomenon (several different substances, for instance, which have the property of whiteness, and between which we can not trace any other resemblance) so long it is not impossible that one of these modes of production may be resolved into another, or that all of them may be resolved into some more general mode of production not hitherto recognized. But when the modes of production are reduced to one, we can not, in point of simplification, go any further. This one may not, after all, be the ultimate mode; there may be other links to be discovered between the supposed cause and the effect; but we can only further resolve the known law, by introducing some other law hitherto unknown, which will not diminish the number of ultimate laws.

In what cases, accordingly, has science been most successful in explaining phenomena, by resolving their complex laws into laws of greater simplicity and generality? Hitherto chiefly in cases of the propagation of various phenomena through space; and, first and principally, the most extensive and important of all facts of that description, mechanical motion. Now this is exactly what might be expected from the principles here laid down. Not only is motion one of the most universal of all phenomena, it is also (as might be expected from that circumstance) one of those which, apparently at least, are produced in the greatest number of ways; but the phenomenon itself is always, to our sensations, the same in every respect but degree. Differences of duration or of velocity, are evidently differences in degree only; and differences of direction in space, which alone has any semblance of being a distinction in kind, entirely disappear (so far as our sensations are concerned) by a change in our own position; indeed, the very same motion appears to us, according to our position, to take place in every variety of direction, and motions in every different direction to take place in the same. And again, motion in a straight line and in a curve are no otherwise distinct than that the one is motion continuing in the same direction, the other is motion which at each instant changes its direction. There is, therefore, according to the principles I have stated, no absurdity in supposing that all motion may be produced in one and the same way, by the same kind of cause. Accordingly, the greatest achievements in physical science have consisted

in resolving one observed law of the production of motion into the laws of other known modes of production, or the laws of several such modes into one more general mode; as when the fall of bodies to the earth, and the motions of the planets, were brought under the one law of the mutual attraction of all particles of matter; when the motions said to be produced by magnetism were shown to be produced by electricity; when the motions of fluids in a lateral direction, or even contrary to the direction of gravity, were shown to be produced by gravity; and the like. There is an abundance of distinct causes of motion still unresolved into one another: gravitation, heat, electricity, chemical action, nervous action, and so forth; but whether the efforts of the present generation of savants to resolve all these different modes of production into one are ultimately successful or not, the attempt so to resolve them is perfectly legitimate. For, though these various causes produce, in other respects, sensations intrinsically different, and are not, therefore, capable of being resolved into one another, yet, in so far as they all produce motion, it is quite possible that the immediate antecedent of the motion may in all these different cases be the same; nor is it impossible that these various agencies themselves may, as the new doctrines assert, all of them have for their own immediate antecedent modes of molecular motion.

We need not extend our illustration to other cases, as, for instance, to the propagation of light, sound, heat, electricity, etc., through space, or any of the other phenomena which have been found susceptible of explanation by the resolution of their observed laws into more general laws. Enough has been said to display the difference between the kind of explanation and resolution of laws which is chimerical, and that of which the accomplishment is the great aim of science; and to show into what sort of elements the resolution must be effected, if at all.<sup>159</sup>

§ 3. As, however, there is scarcely any one of the principles of a true method of philosophizing which does not require to be guarded against errors on both sides, I must enter a caveat against another misapprehension, of a kind directly contrary to the preceding. M. Comte, among other occasions on which he has condemned, with some asperity, any attempt to explain phenomena which are “evidently primordial” (meaning, apparently, no more than that every peculiar phenomenon must

have at least one peculiar and therefore inexplicable law), has spoken of the attempt to furnish any explanation of the color belonging to each substance, “la couleur élémentaire propre à chaque substance,” as essentially illusory. “No one,” says he, “in our time attempts to explain the particular specific gravity of each substance or of each structure. Why should it be otherwise as to the specific color, the notion of which is undoubtedly no less primordial?”<sup>160</sup>.....

Now although, as he elsewhere observes, a color must always remain a different thing from a weight or a sound, varieties of color might nevertheless follow, or correspond to, given varieties of weight, or sound, or some other phenomenon as different as these are from color itself. It is one question what a thing is, and another what it depends on; and though to ascertain the conditions of an elementary phenomenon is not to obtain any new insight into the nature of the phenomenon itself, that is no reason against attempting to discover the conditions. The interdict against endeavoring to reduce distinctions of color to any common principle, would have held equally good against a like attempt on the subject of distinctions of sound; which nevertheless have been found to be immediately preceded and caused by distinguishable varieties in the vibrations of elastic bodies; though a sound, no doubt, is quite as different as a color is from any motion of particles, vibratory or otherwise. We might add, that, in the case of colors, there are strong positive indications that they are not ultimate properties of the different kinds of substances, but depend on conditions capable of being superinduced upon all substances; since there is no substance which can not, according to the kind of light thrown upon it, be made to assume almost any color; and since almost every change in the mode of aggregation of the particles of the same substance is attended with alterations in its color, and in its optical properties generally.

The really weak point in the attempts which have been made to account for colors by the vibrations of a fluid, is not that the attempt itself is unphilosophical, but that the existence of the fluid, and the fact of its vibratory motion, are not proved, but are assumed, on no other ground than the facility they are supposed to afford of explaining the phenomena. And this consideration leads to the important question of the proper use of

scientific hypotheses, the connection of which with the subject of the explanation of the phenomena of nature, and of the necessary limits to that explanation, need not be pointed out.

§ 4. An hypothesis is any supposition which we make (either without actual evidence, or on evidence avowedly insufficient) in order to endeavor to deduce from it conclusions in accordance with facts which are known to be real; under the idea that if the conclusions to which the hypothesis leads are known truths, the hypothesis itself either must be, or at least is likely to be, true. If the hypothesis relates to the cause or mode of production of a phenomenon, it will serve, if admitted, to explain such facts as are found capable of being deduced from it. And this explanation is the purpose of many, if not most hypotheses. Since explaining, in the scientific sense, means resolving a uniformity which is not a law of causation, into the laws of causation from which it results, or a complex law of causation into simpler and more general ones from which it is capable of being deductively inferred, if there do not exist any known laws which fulfill this requirement, we may feign or imagine some which would fulfill it; and this is making an hypothesis.

An hypothesis being a mere supposition, there are no other limits to hypotheses than those of the human imagination; we may, if we please, imagine, by way of accounting for an effect, some cause of a kind utterly unknown, and acting according to a law altogether fictitious. But as hypotheses of this sort would not have any of the plausibility belonging to those which ally themselves by analogy with known laws of nature, and besides would not supply the want which arbitrary hypotheses are generally invented to satisfy, by enabling the imagination to represent to itself an obscure phenomenon in a familiar light, there is probably no hypothesis in the history of science in which both the agent itself and the law of its operation were fictitious. Either the phenomenon assigned as the cause is real, but the law according to which it acts merely supposed; or the cause is fictitious, but is supposed to produce its effects according to laws similar to those of some known class of phenomena. An instance of the first kind is afforded by the different suppositions made respecting the law of the planetary central force, anterior to the discovery of the true law, that the force varies as the inverse square of the distance; which also

suggested itself to Newton, in the first instance, as an hypothesis, and was verified by proving that it led deductively to Kepler's laws. Hypotheses of the second kind are such as the vortices of Descartes, which were fictitious, but were supposed to obey the known laws of rotatory motion; or the two rival hypotheses respecting the nature of light, the one ascribing the phenomena to a fluid emitted from all luminous bodies, the other (now generally received) attributing them to vibratory motions among the particles of an ether pervading all space. Of the existence of either fluid there is no evidence, save the explanation they are calculated to afford of some of the phenomena; but they are supposed to produce their effects according to known laws: the ordinary laws of continued locomotion in the one case, and in the other those of the propagation of undulatory movements among the particles of an elastic fluid.

According to the foregoing remarks, hypotheses are invented to enable the Deductive Method to be earlier applied to phenomena. But<sup>161</sup> in order to discover the cause of any phenomenon by the Deductive Method, the process must consist of three parts: induction, ratiocination, and verification. Induction (the place of which, however, may be supplied by a prior deduction), to ascertain the laws of the causes; ratiocination, to compute from those laws how the causes will operate in the particular combination known to exist in the case in hand; verification, by comparing this calculated effect with the actual phenomenon. No one of these three parts of the process can be dispensed with. In the deduction which proves the identity of gravity with the central force of the solar system, all the three are found. First, it is proved from the moon's motions, that the earth attracts her with a force varying as the inverse square of the distance. This (though partly dependent on prior deductions) corresponds to the first, or purely inductive, step: the ascertainment of the law of the cause. Secondly, from this law, and from the knowledge previously obtained of the moon's mean distance from the earth, and of the actual amount of her deflection from the tangent, it is ascertained with what rapidity the earth's attraction would cause the moon to fall, if she were no further off, and no more acted upon by extraneous forces, than terrestrial bodies are: that is the second step, the ratiocination. Finally, this calculated velocity being compared with the observed velocity with which all heavy bodies fall, by mere gravity, toward the surface of the earth (sixteen feet in the first second,

forty-eight in the second, and so forth, in the ratio of the odd numbers, 1, 3, 5, etc.), the two quantities are found to agree. The order in which the steps are here presented was not that of their discovery; but it is their correct logical order, as portions of the proof that the same attraction of the earth which causes the moon's motion causes also the fall of heavy bodies to the earth: a proof which is thus complete in all its parts.

Now, the Hypothetical Method suppresses the first of the three steps, the induction to ascertain the law; and contents itself with the other two operations, ratiocination and verification; the law which is reasoned from being assumed instead of proved.

This process may evidently be legitimate on one supposition, namely, if the nature of the case be such that the final step, the verification, shall amount to, and fulfill the conditions of, a complete induction. We want to be assured that the law we have hypothetically assumed is a true one; and its leading deductively to true results will afford this assurance, provided the case be such that a false law can not lead to a true result; provided no law, except the very one which we have assumed, can lead deductively to the same conclusions which that leads to. And this proviso is often realized. For example, in the very complete specimen of deduction which we just cited, the original major premise of the ratiocination, the law of the attractive force, was ascertained in this mode; by this legitimate employment of the Hypothetical Method. Newton began by an assumption that the force which at each instant deflects a planet from its rectilinear course, and makes it describe a curve round the sun, is a force tending directly toward the sun. He then proved that if this be so, the planet will describe, as we know by Kepler's first law that it does describe, equal areas in equal times; and, lastly, he proved that if the force acted in any other direction whatever, the planet would not describe equal areas in equal times. It being thus shown that no other hypothesis would accord with the facts, the assumption was proved; the hypothesis became an inductive truth. Not only did Newton ascertain by this hypothetical process the direction of the deflecting force; he proceeded in exactly the same manner to ascertain the law of variation of the quantity of that force. He assumed that the force varied inversely as the square of the distance; showed that from this assumption the remaining two of Kepler's laws might be



deduced; and, finally, that any other law of variation would give results inconsistent with those laws, and inconsistent, therefore, with the real motions of the planets, of which Kepler's laws were known to be a correct expression.

I have said that in this case the verification fulfills the conditions of an induction; but an induction of what sort? On examination we find that it conforms to the canon of the Method of Difference. It affords the two instances, A B C, *a b c*, and B C, *b c*. A represents central force; A B C, the planets *plus* a central force; B C, the planets apart from a central force. The planets with a central force give *a*, areas proportional to the times; the planets without a central force give *b c* (a set of motions) without *a*, or with something else instead of *a*. This is the Method of Difference in all its strictness. It is true, the two instances which the method requires are obtained in this case, not by experiment, but by a prior deduction. But that is of no consequence. It is immaterial what is the nature of the evidence from which we derive the assurance that A B C will produce *a b c*, and B C only *b c*; it is enough that we have that assurance. In the present case, a process of reasoning furnished Newton with the very instances which, if the nature of the case had admitted of it, he would have sought by experiment.

It is thus perfectly possible, and indeed is a very common occurrence, that what was an hypothesis at the beginning of the inquiry becomes a proved law of nature before its close. But in order that this should happen, we must be able, either by deduction or experiment, to obtain *both* the instances which the Method of Difference requires. That we are able from the hypothesis to deduce the known facts, gives only the affirmative instance, A B C, *a b c*. It is equally necessary that we should be able to obtain, as Newton did, the negative instance B C, *b c*; by showing that no antecedent, except the one assumed in the hypothesis, would in conjunction with B C produce *a*.

Now it appears to me that this assurance can not be obtained, when the cause assumed in the hypothesis is an unknown cause imagined solely to account for *a*. When we are only seeking to determine the precise law of a cause already ascertained, or to distinguish the particular agent which is in fact the cause, among several agents of the same kind, one or other of

which it is already known to be, we may then obtain the negative instance. An inquiry which of the bodies of the solar system causes by its attraction some particular irregularity in the orbit or periodic time of some satellite or comet, would be a case of the second description. Newton's was a case of the first. If it had not been previously known that the planets were hindered from moving in straight lines by some force tending toward the interior of their orbit, though the exact direction was doubtful; or if it had not been known that the force increased in some proportion or other as the distance diminished, and diminished as it increased, Newton's argument would not have proved his conclusion. These facts, however, being already certain, the range of admissible suppositions was limited to the various possible directions of a line, and the various possible numerical relations between the variations of the distance, and the variations of the attractive force. Now among these it was easily shown that different suppositions could not lead to identical consequences.

Accordingly, Newton could not have performed his second great scientific operation: that of identifying terrestrial gravity with the central force of the solar system by the same hypothetical method. When the law of the moon's attraction had been proved from the data of the moon itself, then, on finding the same law to accord with the phenomena of terrestrial gravity, he was warranted in adopting it as the law of those phenomena likewise; but it would not have been allowable for him, without any lunar data, to assume that the moon was attracted toward the earth with a force as the inverse square of the distance, merely because that ratio would enable him to account for terrestrial gravity; for it would have been impossible for him to prove that the observed law of the fall of heavy bodies to the earth could not result from any force, save one extending to the moon, and proportional to the inverse square.

It appears, then, to be a condition of the most genuinely scientific hypothesis, that it be not destined always to remain an hypothesis, but be of such a nature as to be either proved or disproved by comparison with observed facts. This condition is fulfilled when the effect is already known to depend on the very cause supposed, and the hypothesis relates only to the precise mode of dependence; the law of the variation of the effect according to the variations in the quantity or in the relations of the cause.

With these may be classed the hypotheses which do not make any supposition with regard to causation, but only with regard to the law of correspondence between facts which accompany each other in their variations, though there may be no relation of cause and effect between them. Such were the different false hypotheses which Kepler made respecting the law of the refraction of light. It was known that the direction of the line of refraction varied with every variation in the direction of the line of incidence, but it was not known how; that is, what changes of the one corresponded to the different changes of the other. In this case any law different from the true one must have led to false results. And, lastly, we must add to these all hypothetical modes of merely representing or *describing* phenomena; such as the hypothesis of the ancient astronomers that the heavenly bodies moved in circles; the various hypotheses of eccentrics, deferents, and epicycles, which were added to that original hypothesis; the nineteen false hypotheses which Kepler made and abandoned respecting the form of the planetary orbits; and even the doctrine in which he finally rested, that those orbits are ellipses, which was but an hypothesis like the rest until verified by facts.

In all these cases, verification is proof; if the supposition accords with the phenomena there needs no other evidence of it. But in order that this may be the case, I conceive it to be necessary, when the hypothesis relates to causation, that the supposed cause should not only be a real phenomenon, something actually existing in nature, but should be already known to exercise, or at least to be capable of exercising, an influence of some sort over the effect. In any other case, it is no sufficient evidence of the truth of the hypothesis that we are able to deduce the real phenomena from it.

Is it, then, never allowable, in a scientific hypothesis, to assume a cause, but only to ascribe an assumed law to a known cause? I do not assert this. I only say, that in the latter case alone can the hypothesis be received as true merely because it explains the phenomena. In the former case it may be very useful by suggesting a line of investigation which may possibly terminate in obtaining real proof. But for this purpose, as is justly remarked by M. Comte, it is indispensable that the cause suggested by the hypothesis should be in its own nature susceptible of being proved by

other evidence. This seems to be the philosophical import of Newton's maxim, (so often cited with approbation by subsequent writers), that the cause assigned for any phenomenon must not only be such as if admitted would explain the phenomenon, but must also be a *vera causa*. What he meant by a *vera causa* Newton did not indeed very explicitly define; and Dr. Whewell, who dissents from the propriety of any such restriction upon the latitude of framing hypotheses, has had little difficulty in showing<sup>162</sup> that his conception of it was neither precise nor consistent with itself; accordingly his optical theory was a signal instance of the violation of his own rule. It is certainly not necessary that the cause assigned should be a cause already known; otherwise we should sacrifice our best opportunities of becoming acquainted with new causes. But what is true in the maxim is, that the cause, though not known previously, should be capable of being known thereafter; that its existence should be capable of being detected, and its connection with the effect ascribed to it should be susceptible of being proved, by independent evidence. The hypothesis, by suggesting observations and experiments, puts us on the road to that independent evidence, if it be really attainable; and till it be attained, the hypothesis ought only to count for a more or less plausible conjecture.

§ 5. This function, however, of hypotheses, is one which must be reckoned absolutely indispensable in science. When Newton said, "Hypotheses non fingo," he did not mean that he deprived himself of the facilities of investigation afforded by assuming in the first instance what he hoped ultimately to be able to prove. Without such assumptions, science could never have attained its present state; they are necessary steps in the progress to something more certain; and nearly every thing which is now theory was once hypothesis. Even in purely experimental science, some inducement is necessary for trying one experiment rather than another; and though it is abstractedly possible that all the experiments which have been tried, might have been produced by the mere desire to ascertain what would happen in certain circumstances, without any previous conjecture as to the result; yet, in point of fact, those unobvious, delicate, and often cumbrous and tedious processes of experiment, which have thrown most light upon the general constitution of nature, would hardly ever have been undertaken by the persons or at the time they were, unless it had seemed to depend on them whether some general doctrine or theory which had

been suggested, but not yet proved, should be admitted or not. If this be true even of merely experimental inquiry, the conversion of experimental into deductive truths could still less have been effected without large temporary assistance from hypotheses. The process of tracing regularity in any complicated, and at first sight confused, set of appearances, is necessarily tentative; we begin by making any supposition, even a false one, to see what consequences will follow from it; and by observing how these differ from the real phenomena, we learn what corrections to make in our assumption. The simplest supposition which accords with the more obvious facts is the best to begin with; because its consequences are the most easily traced. This rude hypothesis is then rudely corrected, and the operation repeated; and the comparison of the consequences deducible from the corrected hypothesis, with the observed facts, suggests still further correction, until the deductive results are at last made to tally with the phenomena. "Some fact is as yet little understood, or some law is unknown; we frame on the subject an hypothesis as accordant as possible with the whole of the data already possessed; and the science, being thus enabled to move forward freely, always ends by leading to new consequences capable of observation, which either confirm or refute, unequivocally, the first supposition." Neither induction nor deduction would enable us to understand even the simplest phenomena, "if we did not often commence by anticipating on the results; by making a provisional supposition, at first essentially conjectural, as to some of the very notions which constitute the final object of the inquiry."<sup>163</sup> Let any one watch the manner in which he himself unravels a complicated mass of evidence; let him observe how, for instance, he elicits the true history of any occurrence from the involved statements of one or of many witnesses; he will find that he does not take all the items of evidence into his mind at once, and attempt to weave them together; he extemporizes, from a few of the particulars, a first rude theory of the mode in which the facts took place, and then looks at the other statements one by one, to try whether they can be reconciled with that provisional theory, or what alterations or additions it requires to make it square with them. In this way, which has been justly compared to the Methods of Approximation of mathematicians, we arrive, by means of hypotheses, at conclusions not hypothetical.<sup>164</sup>

§ 6. It is perfectly consistent with the spirit of the method, to assume in this provisional manner not only an hypothesis respecting the law of what we already know to be the cause, but an hypothesis respecting the cause itself. It is allowable, useful, and often even necessary, to begin by asking ourselves what cause *may* have produced the effect, in order that we may know in what direction to look out for evidence to determine whether it actually *did*. The vortices of Descartes would have been a perfectly legitimate hypothesis, if it had been possible, by any mode of exploration which we could entertain the hope of ever possessing, to bring the reality of the vortices, as a fact in nature, conclusively to the test of observation. The vice of the hypothesis was that it could not lead to any course of investigation capable of converting it from an hypothesis into a proved fact. It might chance to be *disproved*, either by some want of correspondence with the phenomena it purported to explain, or (as actually happened) by some extraneous fact. "The free passage of comets through the spaces in which these vortices should have been, convinced men that these vortices did not exist."<sup>165</sup> But the hypothesis would have been false, though no such direct evidence of its falsity had been procurable. Direct evidence of its truth there could not be.

The prevailing hypothesis of a luminiferous ether, in other respects not without analogy to that of Descartes, is not in its own nature entirely cut off from the possibility of direct evidence in its favor. It is well known that the difference between the calculated and the observed times of the periodical return of Encke's comet, has led to a conjecture that a medium capable of opposing resistance to motion is diffused through space. If this surmise should be confirmed, in the course of ages, by the gradual accumulation of a similar variance in the case of the other bodies of the solar system, the luminiferous ether would have made a considerable advance toward the character of a *vera causa*, since the existence would have been ascertained of a great cosmical agent, possessing some of the attributes which the hypothesis assumes; though there would still remain many difficulties, and the identification of the ether with the resisting medium would even, I imagine, give rise to new ones. At present, however, this supposition can not be looked upon as more than a conjecture; the existence of the ether still rests on the possibility of deducing from its assumed laws a considerable number of actual phenomena; and this

evidence I can not regard as conclusive, because we can not have, in the case of such an hypothesis, the assurance that if the hypothesis be false it must lead to results at variance with the true facts.

Accordingly, most thinkers of any degree of sobriety allow that an hypothesis of this kind is not to be received as probably true because it accounts for all the known phenomena; since this is a condition sometimes fulfilled tolerably well by two conflicting hypotheses; while there are probably many others which are equally possible, but which, for want of any thing analogous in our experience, our minds are unfitted to conceive. But it seems to be thought that an hypothesis of the sort in question is entitled to a more favorable reception, if, besides accounting for all the facts previously known, it has led to the anticipation and prediction of others which experience afterward verified; as the undulatory theory of light led to the prediction, subsequently realized by experiment, that two luminous rays might meet each other in such a manner as to produce darkness. Such predictions and their fulfillment are, indeed, well calculated to impress the uninformed, whose faith in science rests solely on similar coincidences between its prophecies and what comes to pass. But it is strange that any considerable stress should be laid upon such a coincidence by persons of scientific attainments. If the laws of the propagation of light accord with those of the vibrations of an elastic fluid in as many respects as is necessary to make the hypothesis afford a correct expression of all or most of the phenomena known at the time, it is nothing strange that they should accord with each other in one respect more. Though twenty such coincidences should occur, they would not prove the reality of the undulatory ether; it would not follow that the phenomena of light were results of the laws of elastic fluids, but at most that they are governed by laws partially identical with these; which, we may observe, is already certain, from the fact that the hypothesis in question could be for a moment tenable.<sup>166</sup> Cases may be cited, even in our imperfect acquaintance with nature, where agencies that we have good reason to consider as radically distinct produce their effects, or some of their effects, according to laws which are identical. The law, for example, of the inverse square of the distance, is the measure of the intensity not only of gravitation, but (it is believed) of illumination, and of heat diffused

from a centre. Yet no one looks upon this identity as proving similarity in the mechanism by which the three kinds of phenomena are produced.

According to Dr. Whewell, the coincidence of results predicted from an hypothesis with facts afterward observed, amounts to a conclusive proof of the truth of the theory. "If I copy a long series of letters, of which the last half-dozen are concealed, and if I guess these aright, as is found to be the case when they are afterward uncovered, this must be because I have made out the import of the inscription. To say that because I have copied all that I could see, it is nothing strange that I should guess those which I can not see, would be absurd, without supposing such a ground for guessing."<sup>167</sup> If any one, from examining the greater part of a long inscription, can interpret the characters so that the inscription gives a rational meaning in a known language, there is a strong presumption that his interpretation is correct; but I do not think the presumption much increased by his being able to guess the few remaining letters without seeing them; for we should naturally expect (when the nature of the case excludes chance) that even an erroneous interpretation which accorded with all the visible parts of the inscription would accord also with the small remainder; as would be the case, for example, if the inscription had been designedly so contrived as to admit of a double sense. I assume that the uncovered characters afford an amount of coincidence too great to be merely casual; otherwise the illustration is not a fair one. No one supposes the agreement of the phenomena of light with the theory of undulations to be merely fortuitous. It must arise from the actual identity of some of the laws of undulations with some of those of light; and if there be that identity, it is reasonable to suppose that its consequences would not end with the phenomena which first suggested the identification, nor be even confined to such phenomena as were known at the time. But it does not follow, because some of the laws agree with those of undulations, that there are any actual undulations; no more than it followed because some (though not so many) of the same laws agreed with those of the projection of particles, that there was actual emission of particles. Even the undulatory hypothesis does not account for all the phenomena of light. The natural colors of objects, the compound nature of the solar ray, the absorption of light, and its chemical and vital action, the hypothesis leaves as mysterious as it found them; and some of these facts are, at least



apparently, more reconcilable with the emission theory than with that of Young and Fresnel. Who knows but that some third hypothesis, including all these phenomena, may in time leave the undulatory theory as far behind as that has left the theory of Newton and his successors?

To the statement, that the condition of accounting for all the known phenomena is often fulfilled equally well by two conflicting hypotheses, Dr. Whewell makes answer that he knows “of no such case in the history of science, where the phenomena are at all numerous and complicated.”<sup>168</sup> Such an affirmation, by a writer of Dr. Whewell’s minute acquaintance with the history of science, would carry great authority, if he had not, a few pages before, taken pains to refute it,<sup>169</sup> by maintaining that even the exploded scientific hypotheses might always, or almost always, have been so modified as to make them correct representations of the phenomena. The hypothesis of vortices, he tells us, was, by successive modifications, brought to coincide in its results with the Newtonian theory and with the facts. The vortices did not, indeed, explain all the phenomena which the Newtonian theory was ultimately found to account for, such as the precession of the equinoxes; but this phenomenon was not, at the time, in the contemplation of either party, as one of the facts to be accounted for. All the facts which they did contemplate, we may believe on Dr. Whewell’s authority to have accorded as accurately with the Cartesian hypothesis, in its finally improved state, as with Newton’s.

But it is not, I conceive, a valid reason for accepting any given hypothesis, that we are unable to imagine any other which will account for the facts. There is no necessity for supposing that the true explanation must be one which, with only our present experience, we could imagine. Among the natural agents with which we are acquainted, the vibrations of an elastic fluid may be the only one whose laws bear a close resemblance to those of light; but we can not tell that there does not exist an unknown cause, other than an elastic ether diffused through space, yet producing effects identical in some respects with those which would result from the undulations of such an ether. To assume that no such cause can exist, appears to me an extreme case of assumption without evidence. And at the risk of being charged with want of modesty, I can not help expressing astonishment that a philosopher of Dr. Whewell’s abilities and attainments

should have written an elaborate treatise on the philosophy of induction, in which he recognizes absolutely no mode of induction except that of trying hypothesis after hypothesis until one is found which fits the phenomena; which one, when found, is to be assumed as true, with no other reservation than that if, on re-examination, it should appear to assume more than is needful for explaining the phenomena, the superfluous part of the assumption should be cut off. And this without the slightest distinction between the cases in which it may be known beforehand that two different hypotheses can not lead to the same result, and those in which, for aught we can ever know, the range of suppositions, all equally consistent with the phenomena, may be infinite.<sup>170</sup>.....

Nevertheless, I do not agree with M. Comte in condemning those who employ themselves in working out into detail the application of these hypotheses to the explanation of ascertained facts, provided they bear in mind that the utmost they can prove is, not that the hypothesis is, but that it *may* be true. The ether hypothesis has a very strong claim to be so followed out, a claim greatly strengthened since it has been shown to afford a mechanism which would explain the mode of production, not of light only, but also of heat. Indeed, the speculation has a smaller element of hypothesis in its application to heat, than in the case for which it was originally framed. We have proof by our senses of the existence of molecular movement among the particles of all heated bodies; while we have no similar experience in the case of light. When, therefore, heat is communicated from the sun to the earth across apparently empty space, the chain of causation has molecular motion both at the beginning and end. The hypothesis only makes the motion continuous by extending it to the middle. Now, motion in a body is known to be capable of being imparted to another body contiguous to it; and the intervention of a hypothetical elastic fluid occupying the space between the sun and the earth, supplies the contiguity which is the only condition wanting, and which can be supplied by no supposition but that of an intervening medium. The supposition, notwithstanding, is at best a probable conjecture, not a proved truth. For there is no proof that contiguity is absolutely required for the communication of motion from one body to another. Contiguity does not always exist, to our senses at least, in the cases in which motion produces motion. The forces which go under the

name of attraction, especially the greatest of all, gravitation, are examples of motion producing motion without apparent contiguity. When a planet moves, its distant satellites accompany its motion. The sun carries the whole solar system along with it in the progress which it is ascertained to be executing through space. And even if we were to accept as conclusive the geometrical reasonings (strikingly similar to those by which the Cartesians defended their vortices) by which it has been attempted to show that the motions of the ether may account for gravitation itself, even then it would only have been proved that the supposed mode of production may be, but not that no other mode can be, the true one.

§ 7. It is necessary, before quitting the subject of hypotheses, to guard against the appearance of reflecting upon the scientific value of several branches of physical inquiry, which, though only in their infancy, I hold to be strictly inductive. There is a great difference between inventing agencies to account for classes of phenomena, and endeavoring, in conformity with known laws, to conjecture what former collocations of known agents may have given birth to individual facts still in existence. The latter is the legitimate operation of inferring from an observed effect the existence, in time past, of a cause similar to that by which we know it to be produced in all cases in which we have actual experience of its origin. This, for example, is the scope of the inquiries of geology; and they are no more illogical or visionary than judicial inquiries, which also aim at discovering a past event by inference from those of its effects which still subsist. As we can ascertain whether a man was murdered or died a natural death, from the indications exhibited by the corpse, the presence or absence of signs of struggling on the ground or on the adjacent objects, the marks of blood, the footsteps of the supposed murderers, and so on, proceeding throughout on uniformities ascertained by a perfect induction without any mixture of hypothesis; so if we find, on and beneath the surface of our planet, masses exactly similar to deposits from water, or to results of the cooling of matter melted by fire, we may justly conclude that such has been their origin; and if the effects, though similar in kind, are on a far larger scale than any which are now produced, we may rationally, and without hypothesis, conclude either that the causes existed formerly with greater intensity, or that they have operated during an enormous length of time.

Further than this no geologist of authority has, since the rise of the present enlightened school of geological speculation, attempted to go.

In many geological inquiries it doubtless happens that though the laws to which the phenomena are ascribed are known laws, and the agents known agents, those agents are not known to have been present in the particular case. In the speculation respecting the igneous origin of trap or granite, the fact does not admit of direct proof that those substances have been actually subjected to intense heat. But the same thing might be said of all judicial inquiries which proceed on circumstantial evidence. We can conclude that a man was murdered, though it is not proved by the testimony of eye-witnesses that some person who had the intention of murdering him was present on the spot. It is enough for most purposes, if no other known cause could have generated the effects shown to have been produced.

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The celebrated speculation of Laplace concerning the origin of the earth and planets, participates essentially in the inductive character of modern geological theory. The speculation is, that the atmosphere of the sun originally extended to the present limits of the solar system; from which, by the process of cooling, it has contracted to its present dimensions; and since, by the general principles of mechanics the rotation of the sun and of its accompanying atmosphere must increase in rapidity as its volume diminishes, the increased centrifugal force generated by the more rapid rotation, overbalancing the action of gravitation, has caused the sun to abandon successive rings of vaporous matter, which are supposed to have condensed by cooling, and to have become the planets. There is in this theory no unknown substance introduced on supposition, nor any unknown property or law ascribed to a known substance. The known laws of matter authorize us to suppose that a body which is constantly giving out so large an amount of heat as the sun is, must be progressively cooling, and that, by the process of cooling it must contract; if, therefore, we endeavor, from the present state of that luminary, to infer its state in a time long past, we must necessarily suppose that its atmosphere extended much farther than at present, and we are entitled to suppose that it

extended as far as we can trace effects such as it might naturally leave behind it on retiring; and such the planets are. These suppositions being made, it follows from known laws that successive zones of the solar atmosphere might be abandoned; that these would continue to revolve round the sun with the same velocity as when they formed part of its substance; and that they would cool down, long before the sun itself, to any given temperature, and consequently to that at which the greater part of the vaporous matter of which they consisted would become liquid or solid. The known law of gravitation would then cause them to agglomerate in masses, which would assume the shape our planets actually exhibit; would acquire, each about its own axis, a rotatory movement; and would in that state revolve, as the planets actually do, about the sun, in the same direction with the sun's rotation, but with less velocity, because in the same periodic time which the sun's rotation occupied when his atmosphere extended to that point. There is thus, in Laplace's theory, nothing, strictly speaking, hypothetical; it is an example of legitimate reasoning from a present effect to a possible past cause, according to the known laws of that cause. The theory, therefore, is, as I have said, of a similar character to the theories of geologists; but considerably inferior to them in point of evidence. Even if it were proved (which it is not) that the conditions necessary for determining the breaking off of successive rings would certainly occur, there would still be a much greater chance of error in assuming that the existing laws of nature are the same which existed at the origin of the solar system, than in merely presuming (with geologists) that those laws have lasted through a few revolutions and transformations of a single one among the bodies of which that system is composed.

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<sup>159</sup> As is well remarked by Professor Bain, in the very valuable chapter of his *Logic* which treats of this subject (ii., 121), "scientific explanation and inductive generalization being the same thing, the limits of Explanation are the limits of Induction," and "the limits to inductive generalization are the limits to the agreement or community of facts. Induction supposes similarity among phenomena; and when such similarity is discovered, it reduces the phenomena under a common statement. The similarity of terrestrial gravity to celestial attraction enables the two to be expressed as one phenomenon. The similarity between capillary attraction, solution, the operation of cements, etc., leads to their being regarded not as a plurality, but as a unity, a single causative link, the operation of a single agency. . . . If it be asked

whether we can merge gravity itself in some still higher law, the answer must depend upon the facts. Are there any other forces, at present held distinct from gravity, that we may hope to make fraternize with it, so as to join in constituting a higher unity? Gravity is an attractive force; and another great attractive force is cohesion, or the force that binds together the atoms of solid matter. Might we, then, join these two in a still higher unity, expressed under a more comprehensive law? Certainly we might, but not to any advantage. The two kinds of force agree in the one point, attraction, but they agree in no other; indeed, in the manner of the attraction, they differ widely; so widely that we should have to state totally distinct laws for each. Gravity is common to all matter, and equal in amount in equal masses of matter, whatever be the kind; it follows the law of the diffusion of space from a point (the inverse square of the distance); it extends to distances unlimited; it is indestructible and invariable. Cohesion is special for each separate substance; it decreases according to distance much more rapidly than the inverse square, vanishing entirely at very small distances. Two such forces have not sufficient kindred to be generalized into one force; the generalization is only illusory; the statement of the difference would still make two forces; while the consideration of one would not in any way simplify the phenomena of the other, as happened in the generalization of gravity itself."

To the impassable limit of the explanation of laws of nature, set forth in the text, must therefore be added a further limitation. Although, when the phenomena to be explained are not, in their own nature, generically distinct, the attempt to refer them to the same cause is scientifically legitimate; yet to the success of the attempt it is indispensable that the cause should be shown to be capable of producing them according to the same law. Otherwise the unity of cause is a mere guess, and the generalization only a nominal one, which, even if admitted, would not diminish the number of ultimate laws of nature.

<sup>160</sup> *Cours de Philosophie Positive*, ii., 656.

<sup>161</sup> Vide supra, book iii., chap. xi.

<sup>162</sup> *Philosophy of Discovery*, p. 185 et seq.

<sup>163</sup> Comte, *Philosophie Positive*, ii., 434-437.

<sup>164</sup> As an example of legitimate hypothesis according to the test here laid down, has been justly cited that of Broussais, who, proceeding on the very rational principle that every disease must originate in some definite part or other of the organism, boldly assumed that certain fevers, which not being known to be local were called constitutional, had their origin in the mucous membrane of the alimentary canal. The supposition was, indeed, as is now generally admitted, erroneous; but he was justified in making it, since by

deducing the consequences of the supposition, and comparing them with the facts of those maladies, he might be certain of disproving his hypothesis if it was ill founded, and might expect that the comparison would materially aid him in framing another more conformable to the phenomena.

The doctrine now universally received that the earth is a natural magnet, was originally an hypothesis of the celebrated Gilbert.

Another hypothesis, to the legitimacy of which no objection can lie, and which is well calculated to light the path of scientific inquiry, is that suggested by several recent writers, that the brain is a voltaic pile, and that each of its pulsations is a discharge of electricity through the system. It has been remarked that the sensation felt by the hand from the beating of a brain, bears a strong resemblance to a voltaic shock. And the hypothesis, if followed to its consequences, might afford a plausible explanation of many physiological facts, while there is nothing to discourage the hope that we may in time sufficiently understand the conditions of voltaic phenomena to render the truth of the hypothesis amenable to observation and experiment.

The attempt to localize, in different regions of the brain, the physical organs of our different mental faculties and propensities, was, on the part of its original author, a legitimate example of a scientific hypothesis; and we ought not, therefore, to blame him for the extremely slight grounds on which he often proceeded, in an operation which could only be tentative, though we may regret that materials barely sufficient for a first rude hypothesis should have been hastily worked up into the vain semblance of a science. If there be really a connection between the scale of mental endowments and the various degrees of complication in the cerebral system, the nature of that connection was in no other way so likely to be brought to light as by framing, in the first instance, an hypothesis similar to that of Gall. But the verification of any such hypothesis is attended, from the peculiar nature of the phenomena, with difficulties which phrenologists have not shown themselves even competent to appreciate, much less to overcome.

Mr. Darwin's remarkable speculation on the Origin of Species is another unimpeachable example of a legitimate hypothesis. What he terms "natural selection" is not only a *vera causa*, but one proved to be capable of producing effects of the same kind with those which the hypothesis ascribes to it; the question of possibility is entirely one of degree. It is unreasonable to accuse Mr. Darwin (as has been done) of violating the rules of Induction. The rules of Induction are concerned with the conditions of Proof. Mr. Darwin has never pretended that his doctrine was proved. He was not bound by the rules of Induction, but by those of Hypothesis. And these last have seldom been more completely fulfilled. He has opened a path of inquiry full of promise, the results of which none can foresee. And is it not a wonderful feat of scientific knowledge and ingenuity to have rendered so bold a

suggestion, which the first impulse of every one was to reject at once, admissible and discussible, even as a conjecture?

<sup>165</sup> Whewell's *Phil. of Discovery*, pp. 275, 276.

<sup>166</sup> What has most contributed to accredit the hypothesis of a physical medium for the conveyance of light, is the certain fact that light *travels* (which can not be proved of gravitation); that its communication is not instantaneous, but requires time; and that it is intercepted (which gravitation is not) by intervening objects. These are analogies between its phenomena and those of the mechanical motion of a solid or fluid substance. But we are not entitled to assume that mechanical motion is the only power in nature capable of exhibiting those attributes.

<sup>167</sup> *Phil. of Discovery*, p. 274.

<sup>168</sup> p. 271.

<sup>169</sup> p. 251 and the whole of Appendix G.

<sup>170</sup> In Dr. Whewell's latest version of his theory (*Philosophy of Discovery*, p. 331) he makes a concession respecting the medium of the transmission of light, which, taken in conjunction with the rest of his doctrine on the subject, is not, I confess, very intelligible to me, but which goes far toward removing, if it does not actually remove, the whole of the difference between us. He is contending, against Sir William Hamilton, that all matter has weight. Sir William, in proof of the contrary, cited the luminiferous ether, and the calorific and electric fluids, "which," he said, "we can neither denude of their character of substance, nor clothe with the attribute of weight." "To which," continues Dr. Whewell, "my reply is, that precisely because I can not clothe these agents with the attribute of Weight, I *do* denude them of the character of Substance. They are not substances, but agencies. These Imponderable Agents are not properly called Imponderable Fluids. This I conceive that I have proved." Nothing can be more philosophical. But if the luminiferous ether is not matter, and fluid matter, too, what is the meaning of its undulations? Can an agency undulate? Can there be alternate motion forward and backward of the particles of an agency? And does not the whole mathematical theory of the undulations imply them to be material? Is it not a series of deductions from the known properties of elastic fluids? *This* opinion of Dr. Whewell reduces the undulations to a figure of speech, and the undulatory theory to the proposition which all must admit, that the transmission of light takes place according to laws which present a very striking and remarkable agreement with those of undulations. If Dr. Whewell is prepared to stand by this doctrine, I have no difference with him on the subject.





# CHAPTER XV.

## OF PROGRESSIVE EFFECTS; AND OF THE CONTINUED ACTION OF CAUSES.

§ 1. In the last four chapters we have traced the general outlines of the theory of the generation of derivative laws from ultimate ones. In the present chapter our attention will be directed to a particular case of the derivation of laws from other laws, but a case so general, and so important as not only to repay, but to require, a separate examination. This is the case of a complex phenomenon resulting from one simple law, by the continual addition of an effect to itself.

There are some phenomena, some bodily sensations, for example, which are essentially instantaneous, and whose existence can only be prolonged by the prolongation of the existence of the cause by which they are produced. But most phenomena are in their own nature permanent; having begun to exist, they would exist forever unless some cause intervened having a tendency to alter or destroy them. Such, for example, are all the facts of phenomena which we call bodies. Water, once produced, will not of itself relapse into a state of hydrogen and oxygen; such a change requires some agent having the power of decomposing the compound. Such, again, are the positions in space and the movements of bodies. No object at rest alters its position without the intervention of some conditions extraneous to itself; and when once in motion, no object returns to a state of rest, or alters either its direction or its velocity, unless some new external conditions are superinduced. It, therefore, perpetually happens that a temporary cause gives rise to a permanent effect. The contact of iron with moist air for a few hours, produces a rust which may endure for centuries; or a projectile force which launches a cannon-ball into space, produces a motion which would continue forever unless some other force counteracted it.

Between the two examples which we have here given, there is a difference worth pointing out. In the former (in which the phenomenon produced is a substance, and not a motion of a substance), since the rust

remains forever and unaltered unless some new cause supervenes, we may speak of the contact of air a hundred years ago as even the proximate cause of the rust which has existed from that time until now. But when the effect is motion, which is itself a change, we must use a different language. The permanency of the effect is now only the permanency of a series of changes. The second foot, or inch, or mile of motion is not the mere prolonged duration of the first foot, or inch, or mile, but another fact which succeeds, and which may in some respects be very unlike the former, since it carries the body through a different region of space. Now, the original projectile force which set the body moving is the remote cause of all its motion, however long continued, but the proximate cause of no motion except that which took place at the first instant. The motion at any subsequent instant is proximately caused by the motion which took place at the instant preceding. It is on that, and not on the original moving cause, that the motion at any given moment depends. For, suppose that the body passes through some resisting medium, which partially counteracts the effect of the original impulse, and retards the motion; this counteraction (it need scarcely here be repeated) is as strict an example of obedience to the law of the impulse, as if the body had gone on moving with its original velocity; but the motion which results is different, being now a compound of the effects of two causes acting in contrary directions, instead of the single effect of one cause. Now, what cause does the body obey in its subsequent motion? The original cause of motion, or the actual motion at the preceding instant? The latter; for when the object issues from the resisting medium, it continues moving, not with its original, but with its retarded velocity. The motion having once been diminished, all that which follows is diminished. The effect changes, because the cause which it really obeys, the proximate cause, the real cause in fact, has changed. This principle is recognized by mathematicians when they enumerate among the causes by which the motion of a body is at any instant determined the *force generated* by the previous motion; an expression which would be absurd if taken to imply that this “force” was an intermediate link between the cause and the effect, but which really means only the previous motion itself, considered as a cause of further motion. We must, therefore, if we would speak with perfect precision, consider each link in the succession of motions as the effect of the link

preceding it. But if, for the convenience of discourse, we speak of the whole series as one effect, it must be as an effect produced by the original impelling force; a permanent effect produced by an instantaneous cause, and possessing the property of self-perpetuation.

Let us now suppose that the original agent or cause, instead of being instantaneous, is permanent. Whatever effect has been produced up to a given time, would (unless prevented by the intervention of some new cause) subsist permanently, even if the cause were to perish. Since, however, the cause does not perish, but continues to exist and to operate, it must go on producing more and more of the effect; and instead of a uniform effect, we have a progressive series of effects, arising from the accumulated influence of a permanent cause. Thus, the contact of iron with the atmosphere causes a portion of it to rust; and if the cause ceased, the effect already produced would be permanent, but no further effect would be added. If, however, the cause, namely, exposure to moist air, continues, more and more of the iron becomes rusted, until all which is exposed is converted into a red powder, when one of the conditions of the production of rust, namely, the presence of unoxidized iron, has ceased, and the effect can not any longer be produced. Again, the earth causes bodies to fall toward it; that is, the existence of the earth at a given instant causes an unsupported body to move toward it at the succeeding instant; and if the earth were annihilated, as much of the effect as is already produced would continue; the object would go on moving in the same direction, with its acquired velocity, until intercepted by some body or deflected by some other force. The earth, however, not being annihilated, goes on producing in the second instant an effect similar and of equal amount with the first, which two effects being added together, there results an accelerated velocity; and this operation being repeated at each successive instant, the mere permanence of the cause, though without increase, gives rise to a constant progressive increase of the effect, so long as all the conditions, negative and positive, of the production of that effect continue to be realized.

It is obvious that this state of things is merely a case of the Composition of Causes. A cause which continues in action must on a strict analysis be considered as a number of causes exactly similar, successively

introduced, and producing by their combination the sum of the effects which they would severally produce if they acted singly. The progressive rusting of the iron is in strictness the sum of the effects of many particles of air acting in succession upon corresponding particles of iron. The continued action of the earth upon a falling body is equivalent to a series of forces, applied in successive instants, each tending to produce a certain constant quantity of motion; and the motion at each instant is the sum of the effects of the new force applied at the preceding instant, and the motion already acquired. In each instant a fresh effect, of which gravity is the proximate cause, is added to the effect of which it was the remote cause; or (to express the same thing in another manner), the effect produced by the earth's influence at the instant last elapsed is added to the sum of the effects of which the remote causes were the influences exerted by the earth at all the previous instants since the motion began. The case, therefore, comes under the principle of a concurrence of causes producing an effect equal to the sum of their separate effects. But as the causes come into play not all at once, but successively, and as the effect at each instant is the sum of the effects of those causes only which have come into action up to that instant, the result assumes the form of an ascending series; a succession of sums, each greater than that which preceded it; and we have thus a progressive effect from the continued action of a cause.

Since the continuance of the cause influences the effect only by adding to its quantity, and since the addition takes place according to a fixed law (equal quantities in equal times), the result is capable of being computed on mathematical principles. In fact, this case, being that of infinitesimal increments, is precisely the case which the differential calculus was invented to meet. The questions, what effect will result from the continual addition of a given cause to itself, and what amount of the cause, being continually added to itself, will produce a given amount of the effect, are evidently mathematical questions, and to be treated, therefore, deductively. If, as we have seen, cases of the Composition of Causes are seldom adapted for any other than deductive investigation, this is especially true in the case now examined, the continual composition of a cause with its own previous effects; since such a case is peculiarly amenable to the deductive method, while the undistinguishable manner in which the effects are blended with one another and with the causes, must

make the treatment of such an instance experimentally still more chimerical than in any other case.

§ 2. We shall next advert to a rather more intricate operation of the same principle, namely, when the cause does not merely continue in action, but undergoes, during the same time, a progressive change in those of its circumstances which contribute to determine the effect. In this case, as in the former, the total effect goes on accumulating by the continual addition of a fresh effect to that already produced, but it is no longer by the addition of equal quantities in equal times; the quantities added are unequal, and even the quality may now be different. If the change in the state of the permanent cause be progressive, the effect will go through a double series of changes, arising partly from the accumulated action of the cause, and partly from the changes in its action. The effect is still a progressive effect, produced, however, not by the mere continuance of a cause, but by its continuance and its progressiveness combined.

A familiar example is afforded by the increase of the temperature as summer advances, that is, as the sun draws nearer to a vertical position, and remains a greater number of hours above the horizon. This instance exemplifies in a very interesting manner the twofold operation on the effect, arising from the continuance of the cause, and from its progressive change. When once the sun has come near enough to the zenith, and remains above the horizon long enough, to give more warmth during one diurnal rotation than the counteracting cause, the earth's radiation, can carry off, the mere continuance of the cause would progressively increase the effect, even if the sun came no nearer and the days grew no longer; but in addition to this, a change takes place in the accidents of the cause (its series of diurnal positions), tending to increase the quantity of the effect. When the summer solstice has passed, the progressive change in the cause begins to take place the reverse way, but, for some time, the accumulating effect of the mere continuance of the cause exceeds the effect of the changes in it, and the temperature continues to increase.

Again, the motion of a planet is a progressive effect, produced by causes at once permanent and progressive. The orbit of a planet is determined (omitting perturbations) by two causes: first, the action of the central body, a permanent cause, which alternately increases and

diminishes as the planet draws nearer to or goes farther from its perihelion, and which acts at every point in a different direction; and, secondly, the tendency of the planet to continue moving in the direction and with the velocity which it has already acquired. This force also grows greater as the planet draws nearer to its perihelion, because as it does so its velocity increases, and less, as it recedes from its perihelion; and this force as well as the other acts at each point in a different direction, because at every point the action of the central force, by deflecting the planet from its previous direction, alters the line in which it tends to continue moving. The motion at each instant is determined by the amount and direction of the motion, and the amount and direction of the sun's action, at the previous instant; and if we speak of the entire revolution of the planet as one phenomenon (which, as it is periodical and similar to itself, we often find it convenient to do), that phenomenon is the progressive effect of two permanent and progressive causes, the central force and the acquired motion. Those causes happening to be progressive in the particular way which is called periodical, the effect necessarily is so too; because the quantities to be added together returning in a regular order, the same sums must also regularly return.

This example is worthy of consideration also in another respect. Though the causes themselves are permanent, and independent of all conditions known to us, the changes which take place in the quantities and relations of the causes are actually caused by the periodical changes in the effects. The causes, as they exist at any moment, having produced a certain motion, that motion, becoming itself a cause, reacts upon the causes, and produces a change in them. By altering the distance and direction of the central body relatively to the planet, and the direction and quantity of the force in the direction of the tangent, it alters the elements which determine the motion at the next succeeding instant. This change renders the next motion somewhat different; and this difference, by a fresh reaction upon the causes, renders the next motion again different, and so on. The original state of the causes might have been such that this series of actions modified by reactions would not have been periodical. The sun's action, and the original impelling force, might have been in such a ratio to one another, that the reaction of the effect would have been such as to alter the causes more and more, without ever bringing them back to what they were

at any former time. The planet would then have moved in a parabola, or an hyperbola, curves not returning into themselves. The quantities of the two forces were, however, originally such, that the successive reactions of the effect bring back the causes, after a certain time, to what they were before; and from that time all the variations continued to recur again and again in the same periodical order, and must so continue while the causes subsist and are not counteracted.

§ 3. In all cases of progressive effects, whether arising from the accumulation of unchanging or of changing elements, there is a uniformity of succession not merely between the cause and the effect, but between the first stages of the effect and its subsequent stages. That a body *in vacuo* falls sixteen feet in the first second, forty-eight in the second, and so on in the ratio of the odd numbers, is as much a uniform sequence as that when the supports are removed the body falls. The sequence of spring and summer is as regular and invariable as that of the approach of the sun and spring; but we do not consider spring to be the cause of summer; it is evident that both are successive effects of the heat received from the sun, and that, considered merely in itself, spring might continue forever without having the slightest tendency to produce summer. As we have so often remarked, not the conditional, but the unconditional invariable antecedent is termed the cause. That which would not be followed by the effect unless something else had preceded, and which if that something else had preceded, would not have been required, is not the cause, however invaluable the sequence may in fact be.

It is in this way that most of those uniformities of succession are generated, which are not cases of causation. When a phenomenon goes on increasing, or periodically increases and diminishes, or goes through any continued and unceasing process of variation reducible to a uniform rule or law of succession, we do not on this account presume that any two successive terms of the series are cause and effect. We presume the contrary; we expect to find that the whole series originates either from the continued action of fixed causes or from causes which go through a corresponding process of continuous change. A tree grows from half an inch high to a hundred feet; and some trees will generally grow to that height unless prevented by some counteracting cause. But we do not call



the seedling the cause of the full-grown tree; the invariable antecedent it certainly is, and we know very imperfectly on what other antecedents the sequence is contingent, but we are convinced that it is contingent on something; because the homogeneousness of the antecedent with the consequent, the close resemblance of the seedling to the tree in all respects except magnitude, and the graduality of the growth, so exactly resembling the progressively accumulating effect produced by the long action of some one cause, leave no possibility of doubting that the seedling and the tree are two terms in a series of that description, the first term of which is yet to seek. The conclusion is further confirmed by this, that we are able to prove by strict induction the dependence of the growth of the tree, and even of the continuance of its existence, upon the continued repetition of certain processes of nutrition, the rise of the sap, the absorptions and exhalations by the leaves, etc.; and the same experiments would probably prove to us that the growth of the tree is the accumulated sum of the effects of these continued processes, were we not, for want of sufficiently microscopic eyes, unable to observe correctly and in detail what those effects are.

This supposition by no means requires that the effect should not, during its progress, undergo many modifications besides those of quantity, or that it should not sometimes appear to undergo a very marked change of character. This may be either because the unknown cause consists of several component elements or agents, whose effects, accumulating according to different laws, are compounded in different proportions at different periods in the existence of the organized being; or because, at certain points in its progress, fresh causes or agencies come in, or are evolved, which intermix their laws with those of the prime agent.



# CHAPTER XVI.

## OF EMPIRICAL LAWS.

§ 1. Scientific inquirers give the name of Empirical Laws to those uniformities which observation or experiment has shown to exist, but on which they hesitate to rely in cases varying much from those which have been actually observed, for want of seeing any reason *why* such a law should exist. It is implied, therefore, in the notion of an empirical law, that it is not an ultimate law; that if true at all, its truth is capable of being, and requires to be, accounted for. It is a derivative law, the derivation of which is not yet known. To state the explanation, the *why*, of the empirical law, would be to state the laws from which it is derived — the ultimate causes on which it is contingent. And if we knew these, we should also know what are its limits; under what conditions it would cease to be fulfilled.

The periodical return of eclipses, as originally ascertained by the persevering observation of the early Eastern astronomers, was an empirical law, until the general laws of the celestial motions had accounted for it. The following are empirical laws still waiting to be resolved into the simpler laws from which they are derived: the local laws of the flux and reflux of the tides in different places; the succession of certain kinds of weather to certain appearances of sky; the apparent exceptions to the almost universal truth that bodies expand by increase of temperature; the law that breeds, both animal and vegetable, are improved by crossing; that gases have a strong tendency to permeate animal membranes; that substances containing a very high proportion of nitrogen (such as hydrocyanic acid and morphia) are powerful poisons; that when different metals are fused together the alloy is harder than the various elements; that the number of atoms of acid required to neutralize one atom of any base is equal to the number of atoms of oxygen in the base; that the solubility of substances in one another depends,<sup>171</sup> at least in some degree, on the similarity of their elements.

An empirical law, then, is an observed uniformity, presumed to be resolvable into simpler laws, but not yet resolved into them. The

ascertainment of the empirical laws of phenomena often precedes by a long interval the explanation of those laws by the Deductive Method; and the verification of a deduction usually consists in the comparison of its results with empirical laws previously ascertained.

§ 2. From a limited number of ultimate laws of causation, there are necessarily generated a vast number of derivative uniformities, both of succession and co-existence. Some are laws of succession or of co-existence between different effects of the same cause; of these we had examples in the last chapter. Some are laws of succession between effects and their remote causes, resolvable into the laws which connect each with the intermediate link. Thirdly, when causes act together and compound their effects, the laws of those causes generate the fundamental law of the effect, namely, that it depends on the co-existence of those causes. And, finally, the order of succession or of co-existence which obtains among effects necessarily depends on their causes. If they are effects of the same cause, it depends on the laws of that cause; if on different causes, it depends on the laws of those causes severally, and on the circumstances which determine their co-existence. If we inquire further when and how the causes will co-exist, that, again, depends on *their* causes; and we may thus trace back the phenomena higher and higher, until the different series of effects meet in a point, and the whole is shown to have depended ultimately on some common cause; or until, instead of converging to one point, they terminate in different points, and the order of the effects is proved to have arisen from the collocation of some of the primeval causes, or natural agents. For example, the order of succession and of co-existence among the heavenly motions, which is expressed by Kepler's laws, is derived from the co-existence of two primeval causes, the sun, and the original impulse or projectile force belonging to each planet.<sup>172</sup> Kepler's laws are resolved into the laws of these causes and the fact of their co-existence.

Derivative laws, therefore, do not depend solely on the ultimate laws into which they are resolvable; they mostly depend on those ultimate laws, and an ultimate fact; namely, the mode of co-existence of some of the component elements of the universe. The ultimate laws of causation might be the same as at present, and yet the derivative laws completely different,

if the causes co-existed in different proportions, or with any difference in those of their relations by which the effects are influenced. If, for example, the sun's attraction, and the original projectile force, had existed in some other ratio to one another than they did (and we know of no reason why this should not have been the case), the derivative laws of the heavenly motions might have been quite different from what they are. The proportions which exist happen to be such as to produce regular elliptical motions; any other proportions would have produced different ellipses, or circular, or parabolic, or hyperbolic motions, but still regular ones; because the effects of each of the agents accumulate according to a uniform law; and two regular series of quantities, when their corresponding terms are added, must produce a regular series of some sort, whatever the quantities themselves are.

§ 3. Now this last-mentioned element in the resolution of a derivative law, the element which is not a law of causation, but a collocation of causes, can not itself be reduced to any law. There is, as formerly remarked,<sup>173</sup> no uniformity, no *norma*, principle, or rule, perceivable in the distribution of the primeval natural agents through the universe. The different substances composing the earth, the powers that pervade the universe, stand in no constant relation to one another. One substance is more abundant than others, one power acts through a larger extent of space than others, without any pervading analogy that we can discover. We not only do not know of any reason why the sun's attraction and the force in the direction of the tangent co-exist in the exact proportion they do, but we can trace no coincidence between it and the proportions in which any other elementary powers in the universe are intermingled. The utmost disorder is apparent in the combination of the causes, which is consistent with the most regular order in their effects; for when each agent carries on its own operations according to a uniform law, even the most capricious combination of agencies will generate a regularity of some sort; as we see in the kaleidoscope, where any casual arrangement of colored bits of glass produces by the laws of reflection a beautiful regularity in the effect.

§ 4. In the above considerations lies the justification of the limited degree of reliance which scientific inquirers are accustomed to place in empirical laws.

A derivative law which results wholly from the operation of some one cause, will be as universally true as the laws of the cause itself; that is, it will always be true except where some one of those effects of the cause, on which the derivative law depends, is defeated by a counteracting cause. But when the derivative law results not from different effects of one cause, but from effects of several causes, we can not be certain that it will be true under any variation in the mode of co-existence of those causes, or of the primitive natural agents on which the causes ultimately depend. The proposition that coal-beds rest on certain descriptions of strata exclusively, though true on the earth, so far as our observation has reached, can not be extended to the moon or the other planets, supposing coal to exist there; because we can not be assured that the original constitution of any other planet was such as to produce the different depositions in the same order as in our globe. The derivative law in this case depends not solely on laws, but on a collocation; and collocations can not be reduced to any law.

Now it is the very nature of a derivative law which has not yet been resolved into its elements, in other words, an empirical law, that we do not know whether it results from the different effects of one cause, or from effects of different causes. We can not tell whether it depends wholly on laws, or partly on laws and partly on a collocation. If it depends on a collocation, it will be true in all the cases in which that particular collocation exists. But, since we are entirely ignorant, in case of its depending on a collocation, what the collocation is, we are not safe in extending the law beyond the limits of time and place in which we have actual experience of its truth. Since within those limits the law has always been found true, we have evidence that the collocations, whatever they are, on which it depends, do really exist within those limits. But, knowing of no rule or principle to which the collocations themselves conform, we can not conclude that because a collocation is proved to exist within certain limits of place or time, it will exist beyond those limits. Empirical laws, therefore, can only be received as true within the limits of time and place in which they have been found true by observation; and not merely the limits of time and place, but of time, place, and circumstance; for, since it is the very meaning of an empirical law that we do not know the ultimate laws of causation on which it is dependent, we can not foresee, without actual

trial, in what manner or to what extent the introduction of any new circumstance may affect it.

§ 5. But how are we to know that a uniformity ascertained by experience is only an empirical law? Since, by the supposition, we have not been able to resolve it into any other laws, how do we know that it is not an ultimate law of causation?

I answer that no generalization amounts to more than an empirical law when the only proof on which it rests is that of the Method of Agreement. For it has been seen that by that method alone we never can arrive at causes. The utmost that the Method of Agreement can do is, to ascertain the whole of the circumstances common to all cases in which a phenomenon is produced; and this aggregate includes not only the cause of the phenomenon, but all phenomena with which it is connected by any derivative uniformity, whether as being collateral effects of the same cause, or effects of any other cause which, in all the instances we have been able to observe, co-existed with it. The method affords no means of determining which of these uniformities are laws of causation, and which are merely derivative laws, resulting from those laws of causation and from the collocation of the causes. None of them, therefore, can be received in any other character than that of derivative laws, the derivation of which has not been traced; in other words, empirical laws: in which light all results obtained by the Method of Agreement (and therefore almost all truths obtained by simple observation without experiment) must be considered, until either confirmed by the Method of Difference, or explained deductively; in other words, accounted for *a priori*.

These empirical laws may be of greater or less authority, according as there is reason to presume that they are resolvable into laws only, or into laws and collocations together. The sequences which we observe in the production and subsequent life of an animal or a vegetable, resting on the Method of Agreement only, are mere empirical laws; but though the antecedents in those sequences may not be the causes of the consequents, both the one and the other are doubtless, in the main, successive stages of a progressive effect originating in a common cause, and therefore independent of collocations. The uniformities, on the other hand, in the order of superposition of strata on the earth, are empirical laws of a much

weaker kind, since they not only are not laws of causation, but there is no reason to believe that they depend on any common cause; all appearances are in favor of their depending on the particular collocation of natural agents which at some time or other existed on our globe, and from which no inference can be drawn as to the collocation which exists or has existed in any other portion of the universe.

§ 6. Our definition of an empirical law, including not only those uniformities which are not known to be laws of causation, but also those which are, provided there be reason to presume that they are not ultimate laws; this is the proper place to consider by what signs we may judge that even if an observed uniformity be a law of causation, it is not an ultimate, but a derivative law.

The first sign is, if between the antecedent  $a$  and the consequent  $b$  there be evidence of some intermediate link; some phenomenon of which we can surmise the existence, though from the imperfection of our senses or of our instruments we are unable to ascertain its precise nature and laws. If there be such a phenomenon (which may be denoted by the letter  $x$ ), it follows that even if  $a$  be the cause of  $b$ , it is but the remote cause, and that the law,  $a$  causes  $b$ , is resolvable into at least two laws,  $a$  causes  $x$ , and  $x$  causes  $b$ . This is a very frequent case, since the operations of nature mostly take place on so minute a scale, that many of the successive steps are either imperceptible, or very indistinctly perceived.

Take, for example, the laws of the chemical composition of substances; as that hydrogen and oxygen being combined, water is produced. All we see of the process is, that the two gases being mixed in certain proportions, and heat or electricity being applied, an explosion takes place, the gases disappear, and water remains. There is no doubt about the law, or about its being a law of causation. But between the antecedent (the gases in a state of mechanical mixture, heated or electrified), and the consequent (the production of water), there must be an intermediate process which we do not see. For if we take any portion whatever of the water, and subject it to analysis, we find that it always contains hydrogen and oxygen; nay, the very same proportions of them, namely, two-thirds, in volume, of hydrogen, and one-third oxygen. This is true of a single drop; it is true of the minutest portion which our

instruments are capable of appreciating. Since, then, the smallest perceptible portion of the water contains both those substances, portions of hydrogen and oxygen smaller than the smallest perceptible must have come together in every such minute portion of space; must have come closer together than when the gases were in a state of mechanical mixture, since (to mention no other reasons) the water occupies far less space than the gases. Now, as we can not see this contact or close approach of the minute particles, we can not observe with what circumstances it is attended, or according to what laws it produces its effects. The production of water, that is, of the sensible phenomena which characterize the compound, may be a very remote effect of those laws. There may be innumerable intervening links; and we are sure that there must be some. Having full proof that corpuscular action of some kind takes place previous to any of the great transformations in the sensible properties of substances, we can have no doubt that the laws of chemical action, as at present known, are not ultimate, but derivative laws; however ignorant we may be, and even though we should forever remain ignorant, of the nature of the laws of corpuscular action from which they are derived.

In like manner, all the processes of vegetative life, whether in the vegetable properly so called or in the animal body, are corpuscular processes. Nutrition is the addition of particles to one another, sometimes merely replacing other particles separated and excreted, sometimes occasioning an increase of bulk or weight so gradual that only after a long continuance does it become perceptible. Various organs, by means of peculiar vessels, secrete from the blood fluids, the component particles of which must have been in the blood, but which differ from it most widely both in mechanical properties and in chemical composition. Here, then, are abundance of unknown links to be filled up; and there can be no doubt that the laws of the phenomena of vegetative or organic life are derivative laws, dependent on properties of the corpuscles, and of those elementary tissues which are comparatively simple combinations of corpuscles.

The first sign, then, from which a law of causation, though hitherto unresolved, may be inferred to be a derivative law, is any indication of the existence of an intermediate link or links between the antecedent and the consequent. The second is, when the antecedent is an extremely complex



phenomenon, and its effects, therefore, probably in part at least, compounded of the effects of its different elements; since we know that the case in which the effect of the whole is not made up of the effects of its parts is exceptional, the Composition of Causes being by far the more ordinary case.

We will illustrate this by two examples, in one of which the antecedent is the sum of many homogeneous, in the other of heterogeneous, parts. The weight of a body is made up of the weights of its minute particles; a truth which astronomers express in its most general terms when they say that bodies, at equal distances, gravitate to one another in proportion to their quantity of matter. All true propositions, therefore, which can be made concerning gravity, are derivative laws; the ultimate law into which they are all resolvable being, that every particle of matter attracts every other. As our second example, we may take any of the sequences observed in meteorology; for instance, a diminution of the pressure of the atmosphere (indicated by a fall of the barometer) is followed by rain. The antecedent is here a complex phenomenon, made up of heterogeneous elements; the column of the atmosphere over any particular place consisting of two parts, a column of air, and a column of aqueous vapor mixed with it; and the change in the two together manifested by a fall of the barometer, and followed by rain, must be either a change in one of these, or in the other, or in both. We might, then, even in the absence of any other evidence, form a reasonable presumption, from the invariable presence of both these elements in the antecedent, that the sequence is probably not an ultimate law, but a result of the laws of the two different agents; a presumption only to be destroyed when we had made ourselves so well acquainted with the laws of both, as to be able to affirm that those laws could not by themselves produce the observed result.

There are but few known cases of succession from very complex antecedents which have not either been actually accounted for from simpler laws, or inferred with great probability (from the ascertained existence of intermediate links of causation not yet understood) to be capable of being so accounted for. It is, therefore, highly probable that all sequences from complex antecedents are thus resolvable, and that ultimate laws are in all cases comparatively simple. If there were not the

other reasons already mentioned for believing that the laws of organized nature are resolvable into simpler laws, it would be almost a sufficient reason that the antecedents in most of the sequences are so very complex.

§ 7. In the preceding discussion we have recognized two kinds of empirical laws: those known to be laws of causation, but presumed to be resolvable into simpler laws; and those not known to be laws of causation at all. Both these kinds of laws agree in the demand which they make for being explained by deduction, and agree in being the appropriate means of verifying such deduction, since they represent the experience with which the result of the deduction must be compared. They agree, further, in this, that until explained, and connected with the ultimate laws from which they result, they have not attained the highest degree of certainty of which laws are susceptible. It has been shown on a former occasion that laws of causation which are derivative, and compounded of simpler laws, are not only, as the nature of the case implies, less general, but even less certain, than the simpler laws from which they result; not in the same degree to be relied on as universally true. The inferiority of evidence, however, which attaches to this class of laws, is trifling, compared with that which is inherent in uniformities not known to be laws of causation at all. So long as these are unresolved, we can not tell on how many collocations, as well as laws, their truth may be dependent; we can never, therefore, extend them with any confidence to cases in which we have not assured ourselves, by trial, that the necessary collocation of causes, whatever it may be, exists. It is to this class of laws alone that the property, which philosophers usually consider as characteristic of empirical laws, belongs in all its strictness — the property of being unfit to be relied on beyond the limits of time, place, and circumstance in which the observations have been made. These are empirical laws in a more emphatic sense; and when I employ that term (except where the context manifestly indicates the reverse) I shall generally mean to designate those uniformities only, whether of succession or of co-existence, which are not known to be laws of causation.

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<sup>171</sup> Thus water, of which eight-ninths in weight are oxygen, dissolves most bodies which contain a high proportion of oxygen, such as all the nitrates (which have more oxygen than any others of the common salts), most of the sulphates, many of the carbonates, etc. Again, bodies largely composed

of combustible elements, like hydrogen and carbon, are soluble in bodies of similar composition; resin, for instance, will dissolve in alcohol, tar in oil of turpentine. This empirical generalization is far from being universally true; no doubt because it is a remote, and therefore easily defeated, result of general laws too deep for us at present to penetrate; but it will probably in time suggest processes of inquiry, leading to the discovery of those laws.

<sup>172</sup> Or, according to Laplace's theory, the sun and the sun's rotation.

<sup>173</sup> *Supra*, book iii., chap. v., § 7.



# CHAPTER XVII.

## OF CHANCE AND ITS ELIMINATION.

§ 1. Considering, then, as empirical laws only those observed uniformities respecting which the question whether they are laws of causation must remain undecided until they can be explained deductively, or until some means are found of applying the Method of Difference to the case, it has been shown in the preceding chapter that until a uniformity can, in one or the other of these modes, be taken out of the class of empirical laws, and brought either into that of laws of causation or of the demonstrated results of laws of causation, it can not with any assurance be pronounced true beyond the local and other limits within which it has been found so by actual observation. It remains to consider how we are to assure ourselves of its truth even within those limits; after what quantity of experience a generalization which rests solely on the Method of Agreement can be considered sufficiently established, even as an empirical law. In a former chapter, when treating of the Methods of Direct Induction, we expressly reserved this question,<sup>174</sup> and the time is now come for endeavoring to solve it.

We found that the Method of Agreement has the defect of not proving causation, and can, therefore, only be employed for the ascertainment of empirical laws. But we also found that besides this deficiency, it labors under a characteristic imperfection, tending to render uncertain even such conclusions as it is in itself adapted to prove. This imperfection arises from Plurality of Causes. Although two or more cases in which the phenomenon  $a$  has been met with may have no common antecedent except  $A$ , this does not prove that there is any connection between  $a$  and  $A$ , since  $a$  may have many causes, and may have been produced, in these different instances, not by any thing which the instances had in common, but by some of those elements in them which were different. We nevertheless observed, that in proportion to the multiplication of instances pointing to  $A$  as the antecedent, the characteristic uncertainty of the method diminishes, and the existence of a law of connection between  $A$  and  $a$  more nearly

approaches to certainty. It is now to be determined after what amount of experience this certainty may be deemed to be practically attained, and the connection between  $A$  and  $a$  may be received as an empirical law.

This question may be otherwise stated in more familiar terms: After how many and what sort of instances may it be concluded that an observed coincidence between two phenomena is not the effect of chance?

It is of the utmost importance for understanding the logic of induction, that we should form a distinct conception of what is meant by chance, and how the phenomena which common language ascribes to that abstraction are really produced.

§ 2. Chance is usually spoken of in direct antithesis to law; whatever, it is supposed, can not be ascribed to any law is attributed to chance. It is, however, certain that whatever happens is the result of some law; is an effect of causes, and could have been predicted from a knowledge of the existence of those causes, and from their laws. If I turn up a particular card, that is a consequence of its place in the pack. Its place in the pack was a consequence of the manner in which the cards were shuffled, or of the order in which they were played in the last game; which, again, were effects of prior causes. At every stage, if we had possessed an accurate knowledge of the causes in existence, it would have been abstractedly possible to foretell the effect.

An event occurring by chance may be better described as a coincidence from which we have no ground to infer a uniformity — the occurrence of a phenomenon in certain circumstances, without our having reason on that account to infer that it will happen again in those circumstances. This, however, when looked closely into, implies that the enumeration of the circumstances is not complete. Whatever the fact be, since it has occurred once, we may be sure that if *all* the same circumstances were repeated it would occur again; and not only if all, but there is some particular portion of those circumstances on which the phenomenon is invariably consequent. With most of them, however, it is not connected in any permanent manner; its conjunction with those is said to be the effect of chance, to be merely casual. Facts casually conjoined are separately the effects of causes, and therefore of laws; but of different causes, and causes not connected by any law.

It is incorrect, then, to say that any phenomenon is produced by chance; but we may say that two or more phenomena are conjoined by chance, that they co-exist or succeed one another only by chance; meaning that they are in no way related through causation; that they are neither cause and effect, nor effects of the same cause, nor effects of causes between which there subsists any law of co-existence, nor even effects of the same collocation of primeval causes.

If the same casual coincidence never occurred a second time, we should have an easy test for distinguishing such from the coincidences which are the results of a law. As long as the phenomena had been found together only once, so long, unless we knew some more general laws from which the coincidence might have resulted, we could not distinguish it from a casual one; but if it occurred twice, we should know that the phenomena so conjoined must be in some way connected through their causes.

There is, however, no such test. A coincidence may occur again and again, and yet be only casual. Nay, it would be inconsistent with what we know of the order of nature to doubt that every casual coincidence will sooner or later be repeated, as long as the phenomena between which it occurred do not cease to exist, or to be reproduced. The recurrence, therefore, of the same coincidence more than once, or even its frequent recurrence, does not prove that it is an instance of any law; does not prove that it is not casual, or, in common language, the effect of chance.

And yet, when a coincidence can not be deduced from known laws, nor proved by experiment to be itself a case of causation, the frequency of its occurrence is the only evidence from which we can infer that it is the result of a law. Not, however, its absolute frequency. The question is not whether the coincidence occurs often or seldom, in the ordinary sense of those terms; but whether it occurs more often than chance will account for; more often than might rationally be expected if the coincidence were casual. We have to decide, therefore, what degree of frequency in a coincidence chance will account for; and to this there can be no general answer. We can only state the principle by which the answer must be determined; the answer itself will be different in every different case.

Suppose that one of the phenomena, A, exists always, and the other phenomenon, B, only occasionally; it follows that every instance of B will be an instance of its coincidence with A, and yet the coincidence will be merely casual, not the result of any connection between them. The fixed stars have been constantly in existence since the beginning of human experience, and all phenomena that have come under human observation have, in every single instance, co-existed with them; yet this coincidence, though equally invariable with that which exists between any of those phenomena and its own cause, does not prove that the stars are its cause, nor that they are in anywise connected with it. As strong a case of coincidence, therefore, as can possibly exist, and a much stronger one in point of mere frequency than most of those which prove laws, does not here prove a law; why? because, since the stars exist always, they *must* co-exist with every other phenomenon, whether connected with them by causation or not. The uniformity, great though it be, is no greater than would occur on the supposition that no such connection exists.

On the other hand, suppose that we were inquiring whether there be any connection between rain and any particular wind. Rain, we know, occasionally occurs with every wind; therefore, the connection, if it exists, can not be an actual law; but still rain may be connected with some particular wind through causation; that is, though they can not be always effects of the same cause (for if so they would regularly co-exist), there may be some causes common to the two, so that in so far as either is produced by those common causes, they will, from the laws of the causes, be found to co-exist. How, then, shall we ascertain this? The obvious answer is, by observing whether rain occurs with one wind more frequently than with any other. That, however, is not enough; for perhaps that one wind blows more frequently than any other; so that its blowing more frequently in rainy weather is no more than would happen, although it had no connection with the causes of rain, provided it were not connected with causes adverse to rain. In England, westerly winds blow during about twice as great a portion of the year as easterly. If, therefore, it rains only twice as often with a westerly as with an easterly wind, we have no reason to infer that any law of nature is concerned in the coincidence. If it rains more than twice as often, we may be sure that some law is concerned; either there is some cause in nature which, in this climate,

tends to produce both rain and a westerly wind, or a westerly wind has itself some tendency to produce rain. But if it rains less than twice as often, we may draw a directly opposite inference: the one, instead of being a cause, or connected with causes of the other, must be connected with causes adverse to it, or with the absence of some cause which produces it; and though it may still rain much oftener with a westerly wind than with an easterly, so far would this be from proving any connection between the phenomena, that the connection proved would be between rain and an easterly wind, to which, in mere frequency of coincidence, it is less allied.

Here, then, are two examples: in one, the greatest possible frequency of coincidence, with no instance whatever to the contrary, does not prove that there is any law; in the other, a much less frequency of coincidence, even when non-coincidence is still more frequent, does prove that there is a law. In both cases the principle is the same. In both we consider the positive frequency of the phenomena themselves, and how great frequency of coincidence that must of itself bring about, without supposing any connection between them, provided there be no repugnance; provided neither be connected with any cause tending to frustrate the other. If we find a greater frequency of coincidence than this, we conclude that there is some connection; if a less frequency, that there is some repugnance. In the former case, we conclude that one of the phenomena can under some circumstances cause the other, or that there exists something capable of causing them both; in the latter, that one of them, or some cause which produces one of them, is capable of counteracting the production of the other. We have thus to deduct from the observed frequency of coincidence as much as may be the effect of chance, that is, of the mere frequency of the phenomena themselves; and if any thing remains, what does remain is the residual fact which proves the existence of a law.

The frequency of the phenomena can only be ascertained within definite limits of space and time; depending as it does on the quantity and distribution of the primeval natural agents, of which we can know nothing beyond the boundaries of human observation, since no law, no regularity, can be traced in it, enabling us to infer the unknown from the known. But for the present purpose this is no disadvantage, the question being confined within the same limits as the data. The coincidences occurred in



certain places and times, and within those we can estimate the frequency with which such coincidences would be produced by chance. If, then, we find from observation that A exists in one case out of every two, and B in one case out of every three; then, if there be neither connection nor repugnance between them, or between any of their causes, the instances in which A and B will both exist, that is to say will co-exist, will be one case in every six. For A exists in three cases out of six; and B, existing in one case out of every three without regard to the presence or absence of A, will exist in one case out of those three. There will therefore be, of the whole number of cases, two in which A exists without B; one case of B without A; two in which neither B nor A exists, and one case out of six in which they both exist. If, then, in point of fact, they are found to co-exist oftener than in one case out of six; and, consequently, A does not exist without B so often as twice in three times, nor B without A so often as once in every twice, there is some cause in existence which tends to produce a conjunction between A and B.

Generalizing the result, we may say that if A occurs in a larger proportion of the cases where B is than of the cases where B is not, then will B also occur in a larger proportion of the cases where A is than of the cases where A is not; and there is some connection, through causation, between A and B. If we could ascend to the causes of the two phenomena, we should find, at some stage, either proximate or remote, some cause or causes common to both; and if we could ascertain what these are, we could frame a generalization which would be true without restriction of place or time; but until we can do so, the fact of a connection between the two phenomena remains an empirical law.

§ 3. Having considered in what manner it may be determined whether any given conjunction of phenomena is casual, or the result of some law, to complete the theory of chance it is necessary that we should now consider those effects which are partly the result of chance and partly of law, or, in other words, in which the effects of casual conjunctions of causes are habitually blended in one result with the effects of a constant cause.

This is a case of Composition of Causes; and the peculiarity of it is, that instead of two or more causes intermixing their effects in a regular manner with those of one another, we have now one constant cause,

producing an effect which is successively modified by a series of variable causes. Thus, as summer advances, the approach of the sun to a vertical position tends to produce a constant increase of temperature; but with this effect of a constant cause, there are blended the effects of many variable causes, winds, clouds, evaporation, electric agencies and the like, so that the temperature of any given day depends in part on these fleeting causes, and only in part on the constant cause. If the effect of the constant cause is always accompanied and disguised by effects of variable causes, it is impossible to ascertain the law of the constant cause in the ordinary manner by separating it from all other causes and observing it apart. Hence arises the necessity of an additional rule of experimental inquiry.

When the action of a cause A is liable to be interfered with, not steadily by the same cause or causes, but by different causes at different times, and when these are so frequent, or so indeterminate, that we can not possibly exclude all of them from any experiment, though we may vary them; our resource is, to endeavor to ascertain what is the effect of all the variable causes taken together. In order to do this, we make as many trials as possible, preserving A invariable. The results of these different trials will naturally be different, since the indeterminate modifying causes are different in each; if, then, we do not find these results to be progressive, but, on the contrary, to oscillate about a certain point, one experiment giving a result a little greater, another a little less, one a result tending a little more in one direction, another a little more in the contrary direction; while the average or middle point does not vary, but different sets of experiments (taken in as great a variety of circumstances as possible) yield the same mean, provided only they be sufficiently numerous; then that mean, or average result, is the part, in each experiment, which is due to the cause A, and is the effect which would have been obtained if A could have acted alone; the variable remainder is the effect of chance, that is, of causes the co-existence of which with the cause A was merely casual. The test of the sufficiency of the induction in this case is, when any increase of the number of trials from which the average is struck does not materially alter the average.

This kind of elimination, in which we do not eliminate any one assignable cause, but the multitude of floating unassignable ones, may be

termed the Elimination of Chance. We afford an example of it when we repeat an experiment, in order, by taking the mean of different results, to get rid of the effects of the unavoidable errors of each individual experiment. When there is no permanent cause, such as would produce a tendency to error peculiarly in one direction, we are warranted by experience in assuming that the errors on one side will, in a certain number of experiments, about balance the errors on the contrary side. We therefore repeat the experiment, until any change which is produced in the average of the whole by further repetition, falls within limits of error consistent with the degree of accuracy required by the purpose we have in view.<sup>175</sup>  
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§ 4. In the supposition hitherto made, the effect of the constant cause A has been assumed to form so great and conspicuous a part of the general result, that its existence never could be a matter of uncertainty, and the object of the eliminating process was only to ascertain *how much* is attributable to that cause; what is its exact law. Cases, however, occur in which the effect of a constant cause is so small, compared with that of some of the changeable causes with which it is liable to be casually conjoined, that of itself it escapes notice, and the very existence of any effect arising from a constant cause is first learned by the process which in general serves only for ascertaining the quantity of that effect. This case of induction may be characterized as follows: A given effect is known to be chiefly, and not known not to be wholly, determined by changeable causes. If it be wholly so produced, then if the aggregate be taken of a sufficient number of instances, the effects of these different causes will cancel one another. If, therefore, we do not find this to be the case, but, on the contrary, after such a number of trials has been made that no further increase alters the average result, we find that average to be, not zero, but some other quantity, about which, though small in comparison with the total effect, the effect nevertheless oscillates, and which is the middle point in its oscillation; we may conclude this to be the effect of some constant cause; which cause, by some of the methods already treated of, we may hope to detect. This may be called *the discovery of a residual phenomenon by eliminating the effects of chance*.

It is in this manner, for example, that loaded dice may be discovered. Of course no dice are so clumsily loaded that they must always throw certain numbers; otherwise the fraud would be instantly detected. The loading, a constant cause, mingles with the changeable causes which determine what cast will be thrown in each individual instance. If the dice were not loaded, and the throw were left to depend entirely on the changeable causes, these in a sufficient number of instances would balance one another, and there would be no preponderant number of throws of any one kind. If, therefore, after such a number of trials that no further increase of their number has any material effect upon the average, we find a preponderance in favor of a particular throw; we may conclude with assurance that there is some constant cause acting in favor of that throw, or, in other words, that the dice are not fair; and the exact amount of the unfairness. In a similar manner, what is called the diurnal variation of the barometer, which is very small compared with the variations arising from the irregular changes in the state of the atmosphere, was discovered by comparing the average height of the barometer at different hours of the day. When this comparison was made, it was found that there was a small difference, which on the average was constant, however the absolute quantities might vary, and which difference, therefore, must be the effect of a constant cause. This cause was afterward ascertained, deductively, to be the rarefaction of the air, occasioned by the increase of temperature as the day advances.

§ 5. After these general remarks on the nature of chance, we are prepared to consider in what manner assurance may be obtained that a conjunction between two phenomena, which has been observed a certain number of times, is not casual, but a result of causation, and to be received, therefore, as one of the uniformities of nature, though (until accounted for *a priori*) only as an empirical law.

We will suppose the strongest case, namely, that the phenomenon B has never been observed except in conjunction with A. Even then, the probability that they are connected is not measured by the total number of instances in which they have been found together, but by the excess of that number above the number due to the absolutely frequency of A. If, for example, A exists always, and therefore co-exists with every thing, no

number of instances of its co-existence with B would prove a connection; as in our example of the fixed stars. If A be a fact of such common occurrence that it may be presumed to be present in half of all the cases that occur, and therefore in half the cases in which B occurs, it is only the proportional excess above half that is to be reckoned as evidence toward proving a connection between A and B.

In addition to the question, What is the number of coincidences which, on an average of a great multitude of trials, may be expected to arise from chance alone? there is also another question, namely, Of what extent of deviation from that average is the occurrence credible, from chance alone, in some number of instances smaller than that required for striking a fair average? It is not only to be considered what is the general result of the chances in the long run, but also what are the extreme limits of variation from the general result, which may occasionally be expected as the result of some smaller number of instances.

The consideration of the latter question, and any consideration of the former beyond that already given to it, belong to what mathematicians term the doctrine of chances, or, in a phrase of greater pretension, the Theory of Probabilities.

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<sup>174</sup> *Supra*, book iii., chap. x., § 2

<sup>175</sup> In the preceding discussion, the *mean* is spoken of as if it were exactly the same thing with the *average*. But the mean, for purposes of inductive inquiry, is not the average, or arithmetical mean, though in a familiar illustration of the theory the difference may be disregarded. If the deviations on one side of the average are much more numerous than those on the other (these last being fewer but greater), the effect due to the invariable cause, as distinct from the variable ones, will not coincide with the average, but will be either below or above the average, the deviation being toward the side on which the greatest number of the instances are found. This follows from a truth, ascertained both inductively and deductively, that small deviations from the true central point are greatly more frequent than large ones. The mathematical law is, "that the most probable determination of one or more invariable elements from observation is that in which the *sum of the squares* of the individual aberrations," or deviations, "*shall be the least possible.*" See this principle stated, and its grounds popularly explained, by Sir John Herschel, in his review of Quetelet on Probabilities, *Essays*, p. 395 *et seq.*



# CHAPTER XVIII.

## OF THE CALCULATION OF CHANCES.

§ 1. "Probability," says Laplace,<sup>176</sup> "has reference partly to our ignorance, partly to our knowledge. We know that among three or more events, one, and only one, must happen; but there is nothing leading us to believe that any one of them will happen rather than the others. In this state of indecision, it is impossible for us to pronounce with certainty on their occurrence. It is, however, probable that any one of these events, selected at pleasure, will not take place; because we perceive several cases, all equally possible, which exclude its occurrence, and only one which favors it.

"The theory of chances consists in reducing all events of the same kind to a certain number of cases equally possible, that is, such that we are *equally undecided* as to their existence; and in determining the number of these cases which are favorable to the event of which the probability is sought. The ratio of that number to the number of all the possible cases is the measure of the probability; which is thus a fraction, having for its numerator the number of cases favorable to the event, and for its denominator the number of all the cases which are possible."

To a calculation of chances, then, according to Laplace, two things are necessary; we must know that of several events some one will certainly happen, and no more than one; and we must not know, nor have any reason to expect, that it will be one of these events rather than another. It has been contended that these are not the only requisites, and that Laplace has overlooked, in the general theoretical statement, a necessary part of the foundation of the doctrine of chances. To be able (it has been said) to pronounce two events equally probable, it is not enough that we should know that one or the other must happen, and should have no grounds for conjecturing which. Experience must have shown that the two events are of equally frequent occurrence. Why, in tossing up a half-penny, do we reckon it equally probable that we shall throw cross or pile? Because we know that in any great number of throws, cross and pile are thrown about

equally often; and that the more throws we make, the more nearly the equality is perfect. We may know this if we please by actual experiment, or by the daily experience which life affords of events of the same general character, or, deductively, from the effect of mechanical laws on a symmetrical body acted upon by forces varying indefinitely in quantity and direction. We may know it, in short, either by specific experience, or on the evidence of our general knowledge of nature. But, in one way or the other, we must know it, to justify us in calling the two events equally probable; and if we knew it not, we should proceed as much at hap-hazard in staking equal sums on the result, as in laying odds.

This view of the subject was taken in the first edition of the present work; but I have since become convinced that the theory of chances, as conceived by Laplace and by mathematicians generally, has not the fundamental fallacy which I had ascribed to it.

We must remember that the probability of an event is not a quality of the event itself, but a mere name for the degree of ground which we, or some one else, have for expecting it. The probability of an event to one person is a different thing from the probability of the same event to another, or to the same person after he has acquired additional evidence. The probability to me, that an individual of whom I know nothing but his name will die within the year, is totally altered by my being told the next minute that he is in the last stage of a consumption. Yet this makes no difference in the event itself, nor in any of the causes on which it depends. Every event is in itself certain, not probable; if we knew all, we should either know positively that it will happen, or positively that it will not. But its probability to us means the degree of expectation of its occurrence, which we are warranted in entertaining by our present evidence.

Bearing this in mind, I think it must be admitted, that even when we have no knowledge whatever to guide our expectations, except the knowledge that what happens must be some one of a certain number of possibilities, we may still reasonably judge, that one supposition is more probable *to us* than another supposition; and if we have any interest at stake, we shall best provide for it by acting conformably to that judgment.

§ 2. Suppose that we are required to take a ball from a box, of which we only know that it contains balls both black and white, and none of any



other color. We know that the ball we select will be either a black or a white ball; but we have no ground for expecting black rather than white, or white rather than black. In that case, if we are obliged to make a choice, and to stake something on one or the other supposition, it will, as a question of prudence, be perfectly indifferent which; and we shall act precisely as we should have acted if we had known beforehand that the box contained an equal number of black and white balls. But though our conduct would be the same, it would not be founded on any surmise that the balls were in fact thus equally divided; for we might, on the contrary, know by authentic information that the box contained ninety-nine balls of one color, and only one of the other; still, if we are not told which color has only one, and which has ninety-nine, the drawing of a white and of a black ball will be equally probable to us. We shall have no reason for staking any thing on the one event rather than on the other; the option between the two will be a matter of indifference; in other words, it will be an even chance.

But let it now be supposed that instead of two there are three colors — white, black, and red; and that we are entirely ignorant of the proportion in which they are mingled. We should then have no reason for expecting one more than another, and if obliged to bet, should venture our stake on red, white, or black with equal indifference. But should we be indifferent whether we betted for or against some one color, as, for instance, white? Surely not. From the very fact that black and red are each of them separately equally probable to us with white, the two together must be twice as probable. We should in this case expect not white rather than white, and so much rather that we would lay two to one upon it. It is true, there might, for aught we knew, be more white balls than black and red together; and if so, our bet would, if we knew more, be seen to be a disadvantageous one. But so also, for aught we knew, might there be more red balls than black and white, or more black balls than white and red, and in such case the effect of additional knowledge would be to prove to us that our bet was more advantageous than we had supposed it to be. There is in the existing state of our knowledge a rational probability of two to one against white; a probability fit to be made a basis of conduct. No reasonable person would lay an even wager in favor of white against black

and red; though against black alone or red alone he might do so without imprudence.

The common theory, therefore, of the calculation of chances, appears to be tenable. Even when we know nothing except the number of the possible and mutually excluding contingencies, and are entirely ignorant of their comparative frequency, we may have grounds, and grounds numerically appreciable, for acting on one supposition rather than on another; and this is the meaning of Probability.

§ 3. The principle, however, on which the reasoning proceeds, is sufficiently evident. It is the obvious one that when the cases which exist are shared among several kinds, it is impossible that *each* of those kinds should be a majority of the whole: on the contrary, there must be a majority against each kind, except one at most; and if any kind has more than its share in proportion to the total number, the others collectively must have less. Granting this axiom, and assuming that we have no ground for selecting any one kind as more likely than the rest to surpass the average proportion, it follows that we can not rationally presume this of any, which we should do if we were to bet in favor of it, receiving less odds than in the ratio of the number of the other kinds. Even, therefore, in this extreme case of the calculation of probabilities, which does not rest on special experience at all, the logical ground of the process is our knowledge — such knowledge as we then have — of the laws governing the frequency of occurrence of the different cases; but in this case the knowledge is limited to that which, being universal and axiomatic, does not require reference to specific experience, or to any considerations arising out of the special nature of the problem under discussion.

Except, however, in such cases as games of chance, where the very purpose in view requires ignorance instead of knowledge, I can conceive no case in which we ought to be satisfied with such an estimate of chances as this — an estimate founded on the absolute minimum of knowledge respecting the subject. It is plain that, in the case of the colored balls, a very slight ground of surmise that the white balls were really more numerous than either of the other colors, would suffice to vitiate the whole of the calculations made in our previous state of indifference. It would place us in that position of more advanced knowledge, in which the

probabilities, to us, would be different from what they were before; and in estimating these new probabilities we should have to proceed on a totally different set of data, furnished no longer by mere counting of possible suppositions, but by specific knowledge of facts. Such data it should always be our endeavor to obtain; and in all inquiries, unless on subjects equally beyond the range of our means of knowledge and our practical uses, they may be obtained, if not good, at least better than none at all.<sup>177</sup>  
.....

It is obvious, too, that even when the probabilities are derived from observation and experiment, a very slight improvement in the data, by better observations, or by taking into fuller consideration the special circumstances of the case, is of more use than the most elaborate application of the calculus to probabilities founded on the data in their previous state of inferiority. The neglect of this obvious reflection has given rise to misapplications of the calculus of probabilities which have made it the real opprobrium of mathematics. It is sufficient to refer to the applications made of it to the credibility of witnesses, and to the correctness of the verdicts of juries. In regard to the first, common sense would dictate that it is impossible to strike a general average of the veracity and other qualifications for true testimony of mankind, or of any class of them; and even if it were possible, the employment of it for such a purpose implies a misapprehension of the use of averages, which serve, indeed, to protect those whose interest is at stake, against mistaking the general result of large masses of instances, but are of extremely small value as grounds of expectation in any one individual instance, unless the case be one of those in which the great majority of individual instances do not differ much from the average. In the case of a witness, persons of common sense would draw their conclusions from the degree of consistency of his statements, his conduct under cross-examination, and the relation of the case itself to his interests, his partialities, and his mental capacity, instead of applying so rude a standard (even if it were capable of being verified) as the ratio between the number of true and the number of erroneous statements which he may be supposed to make in the course of his life.

Again, on the subject of juries or other tribunals, some mathematicians have set out from the proposition that the judgment of

any one judge or juryman is, at least in some small degree, more likely to be right than wrong, and have concluded that the chance of a number of persons concurring in a wrong verdict is diminished the more the number is increased; so that if the judges are only made sufficiently numerous, the correctness of the judgment may be reduced almost to certainty. I say nothing of the disregard shown to the effect produced on the moral position of the judges by multiplying their numbers, the virtual destruction of their individual responsibility, and weakening of the application of their minds to the subject. I remark only the fallacy of reasoning from a wide average to cases necessarily differing greatly from any average. It may be true that, taking all causes one with another, the opinion of any one of the judges would be oftener right than wrong; but the argument forgets that in all but the more simple cases, in all cases in which it is really of much consequence what the tribunal is, the proposition might probably be reversed; besides which, the cause of error, whether arising from the intricacy of the case or from some common prejudice or mental infirmity, if it acted upon one judge, would be extremely likely to affect all the others in the same manner, or at least a majority, and thus render a wrong instead of a right decision more probable the more the number was increased.

These are but samples of the errors frequently committed by men who, having made themselves familiar with the difficult formulæ which algebra affords for the estimation of chances under suppositions of a complex character, like better to employ those formulæ in computing what are the probabilities to a person half informed about a case than to look out for means of being better informed. Before applying the doctrine of chances to any scientific purpose, the foundation must be laid for an evaluation of the chances, by possessing ourselves of the utmost attainable amount of positive knowledge. The knowledge required is that of the comparative frequency with which the different events in fact occur. For the purposes, therefore, of the present work, it is allowable to suppose that conclusions respecting the probability of a fact of a particular kind rest on our knowledge of the proportion between the cases in which facts of that kind occur, and those in which they do not occur; this knowledge being either derived from specific experiment, or deduced from our knowledge

of the causes in operation which tend to produce, compared with those which tend to prevent, the fact in question.

Such calculation of chances is grounded on an induction; and to render the calculation legitimate, the induction must be a valid one. It is not less an induction, though it does not prove that the event occurs in all cases of a given description, but only that out of a given number of such cases it occurs in about so many. The fraction which mathematicians use to designate the probability of an event is the ratio of these two numbers; the ascertained proportion between the number of cases in which the event occurs and the sum of all the cases, those in which it occurs and in which it does not occur, taken together. In playing at cross and pile, the description of cases concerned are throws, and the probability of cross is one-half, because if we throw often enough cross is thrown about once in every two throws. In the cast of a die, the probability of ace is one-sixth; not simply because there are six possible throws, of which ace is one, and because we do not know any reason why one should turn up rather than another — though I have admitted the validity of this ground in default of a better — but because we do actually know, either by reasoning or by experience, that in a hundred or a million of throws ace is thrown in about one-sixth of that number, or once in six times.

§ 4. I say, “either by reasoning or by experience,” meaning specific experience. But in estimating probabilities, it is not a matter of indifference from which of these two sources we derive our assurance. The probability of events, as calculated from their mere frequency in past experience, affords a less secure basis for practical guidance than their probability as deduced from an equally accurate knowledge of the frequency of occurrence of their causes.

The generalization that an event occurs in ten out of every hundred cases of a given description, is as real an induction as if the generalization were that it occurs in all cases. But when we arrive at the conclusion by merely counting instances in actual experience, and comparing the number of cases in which A has been present with the number in which it has been absent, the evidence is only that of the Method of Agreement, and the conclusion amounts only to an empirical law. We can make a step beyond this when we can ascend to the causes on which the occurrence of

A or its non-occurrence will depend, and form an estimate of the comparative frequency of the causes favorable and of those unfavorable to the occurrence. These are data of a higher order, by which the empirical law derived from a mere numerical comparison of affirmative and negative instances will be either corrected or confirmed, and in either case we shall obtain a more correct measure of probability than is given by that numerical comparison. It has been well remarked that in the kind of examples by which the doctrine of chances is usually illustrated, that of balls in a box, the estimate of probabilities is supported by reasons of causation, stronger than specific experience. "What is the reason that in a box where there are nine black balls and one white, we expect to draw a black ball nine times as much (in other words, nine times as often, frequency being the gauge of intensity in expectation) as a white? Obviously because the local conditions are nine times as favorable; because the hand may alight in nine places and get a black ball, while it can only alight in one place and find a white ball; just for the same reason that we do not expect to succeed in finding a friend in a crowd, the conditions in order that we and he should come together being many and difficult. This of course would not hold to the same extent were the white balls of smaller size than the black, neither would the probability remain the same; the larger ball would be much more likely to meet the hand."<sup>178</sup> .....

It is, in fact, evident that when once causation is admitted as a universal law, our expectation of events can only be rationally grounded on that law. To a person who recognizes that every event depends on causes, a thing's having happened once is a reason for expecting it to happen again, only because proving that there exists, or is liable to exist, a cause adequate to produce it.<sup>179</sup> .....

The frequency of the particular event, apart from all surmise respecting its cause, can give rise to no other induction than that *per enumerationem simplicem*; and the precarious inferences derived from this are superseded, and disappear from the field as soon as the principle of causation makes its appearance there.

Notwithstanding, however, the abstract superiority of an estimate of probability grounded on causes, it is a fact that in almost all cases in which chances admit of estimation sufficiently precise to render their numerical appreciation of any practical value, the numerical data are not drawn from

knowledge of the causes, but from experience of the events themselves. The probabilities of life at different ages or in different climates; the probabilities of recovery from a particular disease; the chances of the birth of male or female offspring; the chances of the destruction of houses or other property by fire; the chances of the loss of a ship in a particular voyage, are deduced from bills of mortality, returns from hospitals, registers of births, of shipwrecks, etc., that is, from the observed frequency not of the causes, but of the effects. The reason is, that in all these classes of facts the causes are either not amenable to direct observation at all, or not with the requisite precision, and we have no means of judging of their frequency except from the empirical law afforded by the frequency of the effects. The inference does not the less depend on causation alone. We reason from an effect to a similar effect by passing through the cause. If the actuary of an insurance office infers from his tables that among a hundred persons now living of a particular age, five on the average will attain the age of seventy, his inference is legitimate, not for the simple reason that this is the proportion who have lived till seventy in times past, but because the fact of their having so lived shows that this is the proportion existing, at that place and time, between the causes which prolong life to the age of seventy and those tending to bring it to an earlier close.<sup>180</sup>.....

§ 5. From the preceding principles it is easy to deduce the demonstration of that theorem of the doctrine of probabilities which is the foundation of its application to inquiries for ascertaining the occurrence of a given event, or the reality of an individual fact. The signs or evidences by which a fact is usually proved are some of its consequences; and the inquiry hinges upon determining what cause is most likely to have produced a given effect. The theorem applicable to such investigations is the Sixth Principle in Laplace's "*Essai Philosophique sur les Probabilités*," which is described by him as the "fundamental principle of that branch of the Analysis of Chances which consists in ascending from events to their causes."<sup>181</sup>.....

Given an effect to be accounted for, and there being several causes which might have produced it, but of the presence of which in the particular case nothing is known; the probability that the effect was

produced by any one of these causes *is as the antecedent probability of the cause, multiplied by the probability that the cause, if it existed, would have produced the given effect.*

Let M be the effect, and A, B, two causes, by either of which it might have been produced. To find the probability that it was produced by the one and not by the other, ascertain which of the two is most likely to have existed, and which of them, if it did exist, was most likely to produce the effect M: the probability sought is a compound of these two probabilities.

CASE I. Let the causes be both alike in the second respect: either A or B, when it exists, being supposed equally likely (or equally certain) to produce M; but let A be in itself twice as likely as B to exist, that is, twice as frequent a phenomenon. Then it is twice as likely to have existed in this case, and to have been the cause which produced M.

For, since A exists in nature twice as often as B, in any 300 cases in which one or other existed, A has existed 200 times and B 100. But either A or B must have existed wherever M is produced; therefore, in 300 times that M is produced, A was the producing cause 200 times, B only 100, that is, in the ratio of 2 to 1. Thus, then, if the causes are alike in their capacity of producing the effect, the probability as to which actually produced it is in the ratio of their antecedent probabilities.

CASE II. Reversing the last hypothesis, let us suppose that the causes are equally frequent, equally likely to have existed, but not equally likely, if they did exist, to produce M; that in three times in which A occurs, it produces that effect twice, while B, in three times, produces it only once. Since the two causes are equally frequent in their occurrence; in every six times that either one or the other exists, A exists three times and B three times. A, of its three times, produces M in two; B, of its three times, produces M in one. Thus, in the whole six times, M is only produced thrice; but of that thrice it is produced twice by A, once only by B. Consequently, when the antecedent probabilities of the causes are equal, the chances that the effect was produced by them are in the ratio of the probabilities that if they did exist they would produce the effect.

CASE III. The third case, that in which the causes are unlike in both respects, is solved by what has preceded. For, when a quantity depends on two other quantities, in such a manner that while either of them remains



constant it is proportional to the other, it must necessarily be proportional to the product of the two quantities, the product being the only function of the two which obeys that law of variation. Therefore, the probability that M was produced by either cause, is as the antecedent probability of the cause, multiplied by the probability that if it existed it would produce M. Which was to be demonstrated.

Or we may prove the third case as we proved the first and second. Let A be twice as frequent as B, and let them also be unequally likely, when they exist, to produce M; let A produce it twice in four times, B thrice in four times. The antecedent probability of A is to that of B as 2 to 1; the probabilities of their producing M are as 2 to 3; the product of these ratios is the ratio of 4 to 3; and this will be the ratio of the probabilities that A or B was the producing cause in the given instance. For, since A is twice as frequent as B, out of twelve cases in which one or other exists, A exists in 8 and B in 4. But of its eight cases, A, by the supposition, produces M in only 4, while B of its four cases produces M in 3. M, therefore, is only produced at all in seven of the twelve cases; but in four of these it is produced by A, in three by B; hence the probabilities of its being produced by A and by B are as 4 to 3, and are expressed by the fractions  $\frac{4}{7}$  and  $\frac{3}{7}$ . Which was to be demonstrated.

§ 6. It remains to examine the bearing of the doctrine of chances on the peculiar problem which occupied us in the preceding chapter, namely, how to distinguish coincidences which are casual from those which are the result of law; from those in which the facts which accompany or follow one another are somehow connected through causation.

The doctrine of chances affords means by which, if we knew the *average* number of coincidences to be looked for between two phenomena connected only casually, we could determine how often any given deviation from that average will occur by chance. If the probability of any casual coincidence, considered in itself, be  $\frac{1}{m}$ , the probability that the same coincidence will be repeated  $n$  times in succession is  $\frac{1}{mn}$ . For example, in one throw of a die the probability of ace being  $\frac{1}{6}$ ; the probability of throwing ace twice in succession will be 1 divided by the square of 6, or  $\frac{1}{36}$ . For ace is thrown at the first throw once in six, or six in thirty-six times, and of those six, the die being cast again, ace will be

thrown but once; being altogether once in thirty-six times. The chance of the same cast three times successively is, by a similar reasoning,  $1/63$  or  $1/216$ ; that is, the event will happen, on a large average, only once in two hundred and sixteen throws.

We have thus a rule by which to estimate the probability that any given series of coincidences arises from chance, provided we can measure correctly the probability of a single coincidence. If we can obtain an equally precise expression for the probability that the same series of coincidences arises from causation, we should only have to compare the numbers. This, however, can rarely be done. Let us see what degree of approximation can practically be made to the necessary precision.

The question falls within Laplace's sixth principle, just demonstrated. The given fact, that is to say, the series of coincidences, may have originated either in a casual conjunction of causes or in a law of nature. The probabilities, therefore, that the fact originated in these two modes, are as their antecedent probabilities, multiplied by the probabilities that if they existed they would produce the effect. But the particular combination of chances, if it occurred, or the law of nature if real, would certainly produce the series of coincidences. The probabilities, therefore, that the coincidences are produced by the two causes in question are as the antecedent probabilities of the causes. One of these, the antecedent probability of the combination of mere chances which would produce the given result, is an appreciable quantity. The antecedent probability of the other supposition may be susceptible of a more or less exact estimation, according to the nature of the case.

In some cases, the coincidence, supposing it to be the result of causation at all, must be the result of a known cause; as the succession of aces, if not accidental, must arise from the loading of the die. In such cases we may be able to form a conjecture as to the antecedent probability of such a circumstance from the characters of the parties concerned, or other such evidence; but it would be impossible to estimate that probability with any thing like numerical precision. The counter-probability, however, that of the accidental origin of the coincidence, dwindling so rapidly as it does at each new trial, the stage is soon reached at which the chance of unfairness in the die, however small in itself, must be greater than that of a

casual coincidence; and on this ground, a practical decision can generally be come to without much hesitation, if there be the power of repeating the experiment.

When, however, the coincidence is one which can not be accounted for by any known cause, and the connection between the two phenomena, if produced by causation, must be the result of some law of nature hitherto unknown; which is the case we had in view in the last chapter; then, though the probability of a casual coincidence may be capable of appreciation, that of the counter-supposition, the existence of an undiscovered law of nature, is clearly unsusceptible of even an approximate valuation. In order to have the data which such a case would require, it would be necessary to know what proportion of all the individual sequences or co-existences occurring in nature are the result of law, and what proportion are mere casual coincidences. It being evident that we can not form any plausible conjecture as to this proportion, much less appreciate it numerically, we can not attempt any precise estimation of the comparative probabilities. But of this we are sure, that the detection of an unknown law of nature — of some previously unrecognized constancy of conjunction among phenomena — is no uncommon event. If, therefore, the number of instances in which a coincidence is observed, over and above that which would arise on the average from the mere concurrence of chances, be such that so great an amount of coincidences from accident alone would be an extremely uncommon event; we have reason to conclude that the coincidence is the effect of causation, and may be received (subject to correction from further experience) as an empirical law. Further than this, in point of precision, we can not go; nor, in most cases, is greater precision required, for the solution of any practical doubt.<sup>182</sup>.....

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<sup>176</sup> *Essai Philosophique sur les Probabilités*, fifth Paris edition, p. 7.

<sup>177</sup> It even appears to me that the calculation of chances, where there are no data grounded either on special experience or on special inference, must, in an immense majority of cases, break down, from sheer impossibility of assigning any principle by which to be guided in setting out the list of possibilities. In the case of the colored balls we have no difficulty in making the enumeration, because we ourselves determine what the possibilities

shall be. But suppose a case more analogous to those which occur in nature: instead of three colors, let there be in the box all possible colors, we being supposed ignorant of the comparative frequency with which different colors occur in nature, or in the productions of art. How is the list of cases to be made out? Is every distinct shade to count as a color? If so, is the test to be a common eye, or an educated eye — a painter's, for instance? On the answer to these questions would depend whether the chances against some particular color would be estimated at ten, twenty, or perhaps five hundred to one. While if we knew from experience that the particular color occurs on an average a certain number of times in every hundred or thousand, we should not require to know any thing either of the frequency or of the number of the other possibilities.

<sup>178</sup> *Prospective Review* for February, 1850.

<sup>179</sup> "If this be not so, why do we feel so much more probability added by the first instance than by any single subsequent instance? Why, except that the first instance gives us its possibility (a cause *adequate* to it), while every other only gives us the frequency of its conditions? If no reference to a cause be supposed, possibility would have no meaning; yet it is clear that, antecedent to its happening, we might have supposed the event impossible, *i.e.*, have believed that there was no physical energy really existing in the world equal to producing it. . . . After the first time of happening, which is, then, more important to the whole probability than any other single instance (because proving the possibility), the *number* of times becomes important as an index to the intensity or extent of the cause, and its independence of any particular time. If we took the case of a tremendous leap, for instance, and wished to form an estimate of the probability of its succeeding a certain number of times; the first instance, by showing its possibility (before doubtful) is of the most importance; but every succeeding leap shows the power to be more perfectly under control, greater and more invariable, and so increases the probability; and no one would think of reasoning in this case straight from one instance to the next, without referring to the physical energy which each leap indicated. Is it not, then, clear that we do not ever" (let us rather say, that we do not in an advanced state of our knowledge) "conclude directly from the happening of an event to the probability of its happening again; but that we refer to the cause, regarding the past cases as an index to the cause, and the cause as our guide to the future?" — *Ibid.*

<sup>180</sup> The writer last quoted says that the valuation of chances by comparing the number of cases in which the event occurs with the number in which it does not occur, "would generally be wholly erroneous," and "is not the true theory of probability." It is at least that which forms the foundation of insurance, and of all those calculations of chances in the business of life which experience so abundantly verifies. The reason which the reviewer gives for rejecting the theory is, that it "would regard an event as certain which had hitherto never failed; which is exceedingly far from the truth,

even for a very large number of constant successes." This is not a defect in a particular theory, but in any theory of chances. No principle of evaluation can provide for such a case as that which the reviewer supposes. If an event has never once failed, in a number of trials sufficient to eliminate chance, it really has all the certainty which can be given by an empirical law; it *is* certain during the continuance of the same collocation of causes which existed during the observations. If it ever fails, it is in consequence of some change in that collocation. Now, no theory of chances will enable us to infer the future probability of an event from the past, if the causes in operation, capable of influencing the event, have intermediately undergone a change.

<sup>181</sup> Pp. 18, 19. The theorem is not stated by Laplace in the exact terms in which I have stated it; but the identity of import of the two modes of expression is easily demonstrable.

<sup>182</sup> For a fuller treatment of the many interesting questions raised by the theory of probabilities, I may now refer to a recent work by Mr. Venn, Fellow of Caius College, Cambridge, "The Logic of Chance;" one of the most thoughtful and philosophical treatises on any subject connected with Logic and Evidence which have been produced, to my knowledge, for many years. Some criticisms contained in it have been very useful to me in revising the corresponding chapters of the present work. In several of Mr. Venn's opinions, however, I do not agree. What these are will be obvious to any reader of Mr. Venn's work who is also a reader of this.



# CHAPTER XIX.

## OF THE EXTENSION OF DERIVATIVE LAWS TO ADJACENT CASES.

§ 1. We have had frequent occasion to notice the inferior generality of derivative laws, compared with the ultimate laws from which they are derived. This inferiority, which affects not only the extent of the propositions themselves, but their degree of certainty within that extent, is most conspicuous in the uniformities of co-existence and sequence obtaining between effects which depend ultimately on different primeval causes. Such uniformities will only obtain where there exists the same collocation of those primeval causes. If the collocation varies, though the laws themselves remain the same, a totally different set of derivative uniformities may, and generally will, be the result.

Even where the derivative uniformity is between different effects of the same cause, it will by no means obtain as universally as the law of the cause itself. If *a* and *b* accompany or succeed one another as effects of the cause A, it by no means follows that A is the only cause which can produce them, or that if there be another cause, as B, capable of producing *a*, it must produce *b* likewise. The conjunction, therefore, of *a* and *b* perhaps does not hold universally, but only in the instances in which *a* arises from A. When it is produced by a cause other than A, *a* and *b* may be dissevered. Day (for example) is always in our experience followed by night; but day is not the cause of night; both are successive effects of a common cause, the periodical passage of the spectator into and out of the earth's shadow, consequent on the earth's rotation, and on the illuminating property of the sun. If, therefore, day is ever produced by a different cause or set of causes from this, day will not, or at least may not, be followed by night. On the sun's own surface, for instance, this may be the case.

Finally, even when the derivative uniformity is itself a law of causation (resulting from the combination of several causes), it is not altogether independent of collocations. If a cause supervenes, capable of wholly or

partially counteracting the effect of any one of the conjoined causes, the effect will no longer conform to the derivative law. While, therefore, each ultimate law is only liable to frustration from one set of counteracting causes, the derivative law is liable to it from several. Now, the possibility of the occurrence of counteracting causes which do not arise from any of the conditions involved in the law itself depends on the original collocations.

It is true that, as we formerly remarked, laws of causation, whether ultimate or derivative, are, in most cases, fulfilled even when counteracted; the cause produces its effect, though that effect is destroyed by something else. That the effect may be frustrated, is, therefore, no objection to the universality of laws of causation. But it is fatal to the universality of the sequences or co-existences of effects, which compose the greater part of the derivative laws flowing from laws of causation. When, from the law of a certain combination of causes, there results a certain order in the effects; as from the combination of a single sun with the rotation of an opaque body round its axis, there results, on the whole surface of that opaque body, an alternation of day and night; then, if we suppose one of the combined causes counteracted, the rotation stopped, the sun extinguished, or a second sun superadded, the truth of that particular law of causation is in no way affected; it is still true that one sun shining on an opaque revolving body will alternately produce day and night; but since the sun no longer does shine on such a body, the derivative uniformity, the succession of day and night on the given planet, is no longer true. Those derivative uniformities, therefore, which are not laws of causation, are (except in the rare case of their depending on one cause alone, not on a combination of causes) always more or less contingent on collocations; and are hence subject to the characteristic infirmity of empirical laws — that of being admissible only where the collocations are known by experience to be such as are requisite for the truth of the law; that is, only within the conditions of time and place confirmed by actual observation.

§ 2. This principle, when stated in general terms, seems clear and indisputable; yet many of the ordinary judgments of mankind, the propriety of which is not questioned, have at least the semblance of being inconsistent with it. On what grounds, it may be asked, do we expect that the sun will rise to-morrow? To-morrow is beyond the limits of time

comprehended in our observations. They have extended over some thousands of years past, but they do not include the future. Yet we infer with confidence that the sun will rise to-morrow; and nobody doubts that we are entitled to do so. Let us consider what is the warrant for this confidence.

In the example in question, we know the causes on which the derivative uniformity depends. They are: the sun giving out light, the earth in a state of rotation and intercepting light. The induction which shows these to be the real causes, and not merely prior effects of a common cause, being complete, the only circumstances which could defeat the derivative law are such as would destroy or counteract one or other of the combined causes. While the causes exist and are not counteracted, the effect will continue. If they exist and are not counteracted to-morrow, the sun will rise to-morrow.

Since the causes, namely, the sun and the earth, the one in the state of giving out light, the other in a state of rotation, will exist until something destroys them, all depends on the probabilities of their destruction, or of their counteraction. We know by observation (omitting the inferential proofs of an existence for thousands of ages anterior) that these phenomena have continued for (say) five thousand years. Within that time there has existed no cause sufficient to diminish them appreciably, nor which has counteracted their effect in any appreciable degree. The chance, therefore, that the sun may not rise to-morrow amounts to the chance that some cause, which has not manifested itself in the smallest degree during five thousand years, will exist to-morrow in such intensity as to destroy the sun or the earth, the sun's light or the earth's rotation, or to produce an immense disturbance in the effect resulting from those causes.

Now, if such a cause will exist to-morrow, or at any future time, some cause, proximate or remote, of that cause must exist now, and must have existed during the whole of the five thousand years. If, therefore, the sun do not rise to-morrow, it will be because some cause has existed, the effects of which, though during five thousand years they have not amounted to a perceptible quantity, will in one day become overwhelming. Since this cause has not been recognized during such an interval of time by observers stationed on our earth, it must, if it be a single agent, be either



one whose effects develop themselves gradually and very slowly, or one which existed in regions beyond our observation, and is now on the point of arriving in our part of the universe. Now all causes which we have experience of act according to laws incompatible with the supposition that their effects, after accumulating so slowly as to be imperceptible for five thousand years, should start into immensity in a single day. No mathematical law of proportion between an effect and the quantity or relations of its cause could produce such contradictory results. The sudden development of an effect of which there was no previous trace always arises from the coming together of several distinct causes, not previously conjoined; but if such sudden conjunction is destined to take place, the causes, or *their* causes, must have existed during the entire five thousand years; and their not having once come together during that period shows how rare that particular combination is. We have, therefore, the warrant of a rigid induction for considering it probable, in a degree undistinguishable from certainty, that the known conditions requisite for the sun's rising will exist to-morrow.

§ 3. But this extension of derivative laws, not causative, beyond the limits of observation can only be to *adjacent* cases. If, instead of to-morrow, we had said this day twenty thousand years, the inductions would have been any thing but conclusive. That a cause which, in opposition to very powerful causes, produced no perceptible effect during five thousand years, should produce a very considerable one by the end of twenty thousand, has nothing in it which is not in conformity with our experience of causes. We know many agents, the effect of which in a short period does not amount to a perceptible quantity, but by accumulating for a much longer period becomes considerable. Besides, looking at the immense multitude of the heavenly bodies, their vast distances, and the rapidity of the motion of such of them as are known to move, it is a supposition not at all contradictory to experience that some body may be in motion toward us, or we toward it, within the limits of whose influence we have not come during five thousand years, but which in twenty thousand more may be producing effects upon us of the most extraordinary kind. Or the fact which is capable of preventing sunrise may be, not the cumulative effect of one cause, but some new combination of causes; and the chances favorable to that combination, though they have not produced it once in five

thousand years, may produce it once in twenty thousand. So that the inductions which authorize us to expect future events, grow weaker and weaker the further we look into the future, and at length become inappreciable.

We have considered the probabilities of the sun's rising to-morrow, as derived from the real laws; that is, from the laws of the causes on which that uniformity is dependent. Let us now consider how the matter would have stood if the uniformity had been known only as an empirical law; if we had not been aware that the sun's light and the earth's rotation (or the sun's motion) were the causes on which the periodical occurrence of daylight depends. We could have extended this empirical law to cases adjacent in time, though not to so great a distance of time as we can now. Having evidence that the effects had remained unaltered and been punctually conjoined for five thousand years, we could infer that the unknown causes on which the conjunction is dependent had existed undiminished and uncounteracted during the same period. The same conclusions, therefore, would follow as in the preceding case, except that we should only know that during five thousand years nothing had occurred to defeat perceptibly this particular effect; while, when we know the causes, we have the additional assurance that during that interval no such change has been noticeable in the causes themselves as by any degree of multiplication or length of continuance could defeat the effect.

To this must be added, that when we know the causes, we may be able to judge whether there exists any known cause capable of counteracting them, while as long as they are unknown, we can not be sure but that if we did know them, we could predict their destruction from causes actually in existence. A bed-ridden savage, who had never seen the cataract of Niagara, but who lived within hearing of it, might imagine that the sound he heard would endure forever; but if he knew it to be the effect of a rush of waters over a barrier of rock which is progressively wearing away, he would know that within a number of ages which may be calculated it will be heard no more. In proportion, therefore, to our ignorance of the causes on which the empirical law depends, we can be less assured that it will continue to hold good; and the further we look into futurity, the less improbable is it that some one of the causes, whose co-existence gives rise

to the derivative uniformity, may be destroyed or counteracted. With every prolongation of time the chances multiply of such an event; that is to say, its non-occurrence hitherto becomes a less guarantee of its not occurring within the given time. If, then, it is only to cases which in point of time are adjacent (or nearly adjacent) to those which we have actually observed, that *any* derivative law, not of causation, can be extended with an assurance equivalent to certainty, much more is this true of a merely empirical law. Happily, for the purposes of life it is to such cases alone that we can almost ever have occasion to extend them.

In respect of place, it might seem that a merely empirical law could not be extended even to adjacent cases; that we could have no assurance of its being true in any place where it has not been specially observed. The past duration of a cause is a guarantee for its future existence, unless something occurs to destroy it; but the existence of a cause in one or any number of places is no guarantee for its existence in any other place, since there is no uniformity in the collocations of primeval causes. When, therefore, an empirical law is extended beyond the local limits within which it has been found true by observation, the cases to which it is thus extended must be such as are presumably within the influence of the same individual agents. If we discover a new planet within the known bounds of the solar system (or even beyond those bounds, but indicating its connection with the system by revolving round the sun), we may conclude, with great probability, that it revolves on its axis. For all the known planets do so; and this uniformity points to some common cause, antecedent to the first records of astronomical observation; and though the nature of this cause can only be matter of conjecture, yet if it be, as is not unlikely, and as Laplace's theory supposes, not merely the same kind of cause, but the same individual cause (such as an impulse given to all the bodies at once), that cause, acting at the extreme points of the space occupied by the sun and planets, is likely, unless defeated by some counteracting cause, to have acted at every intermediate point, and probably somewhat beyond; and therefore acted, in all probability, upon the supposed newly-discovered planet.

When, therefore, effects which are always found conjoined can be traced with any probability to an identical (and not merely a similar)

origin, we may with the same probability extend the empirical law of their conjunction to all places within the extreme local boundaries within which the fact has been observed, subject to the possibility of counteracting causes in some portion of the field. Still more confidently may we do so when the law is not merely empirical; when the phenomena which we find conjoined are effects of ascertained causes, from the laws of which the conjunction of their effects is deducible. In that case, we may both extend the derivative uniformity over a larger space, and with less abatement for the chance of counteracting causes. The first, because instead of the local boundaries of our observation of the fact itself, we may include the extreme boundaries of the ascertained influence of its causes. Thus the succession of day and night, we know, holds true of all the bodies of the solar system except the sun itself; but we know this only because we are acquainted with the causes. If we were not, we could not extend the proposition beyond the orbits of the earth and moon, at both extremities of which we have the evidence of observation for its truth. With respect to the probability of counteracting causes, it has been seen that this calls for a greater abatement of confidence, in proportion to our ignorance of the causes on which the phenomena depend. On both accounts, therefore, a derivative law which we know how to resolve, is susceptible of a greater extension to cases adjacent in place, than a merely empirical law.



# CHAPTER XX.

## OF ANALOGY.

§ 1. The word Analogy, as the name of a mode of reasoning, is generally taken for some kind of argument supposed to be of an inductive nature, but not amounting to a complete induction. There is no word, however, which is used more loosely, or in a greater variety of senses, than Analogy. It sometimes stands for arguments which may be examples of the most rigorous induction. Archbishop Whately, for instance, following Ferguson and other writers, defines Analogy conformably to its primitive acceptation, that which was given to it by mathematicians: Resemblance of Relations. In this sense, when a country which has sent out colonies is termed the mother country, the expression is analogical, signifying that the colonies of a country stand in the same *relation* to her in which children stand to their parents. And if any inference be drawn from this resemblance of relations, as, for instance, that obedience or affection is due from colonies to the mother country, this is called reasoning by analogy. Or, if it be argued that a nation is most beneficially governed by an assembly elected by the people, from the admitted fact that other associations for a common purpose, such as joint-stock companies, are best managed by a committee chosen by the parties interested; this, too, is an argument from analogy in the preceding sense, because its foundation is, not that a nation is like a joint-stock company, or Parliament like a board of directors, but that Parliament stands in the same *relation* to the nation in which a board of directors stands to a joint-stock company. Now, in an argument of this nature, there is no inherent inferiority of conclusiveness. Like other arguments from resemblance, it may amount to nothing, or it may be a perfect and conclusive induction. The circumstance in which the two cases resemble, may be capable of being shown to be the *material* circumstance; to be that on which all the consequences, necessary to be taken into account in the particular discussion, depend. In the example last given, the resemblance is one of relation; the *fundamentum relationis* being the management, by a few persons, of

affairs in which a much greater number are interested along with them. Now, some may contend that this circumstance which is common to the two cases, and the various consequences which follow from it, have the chief share in determining all the effects which make up what we term good or bad administration. If they can establish this, their argument has the force of a rigorous induction; if they can not, they are said to have failed in proving the analogy between the two cases; a mode of speech which implies that when the analogy can be proved, the argument founded on it can not be resisted.

§ 2. It is on the whole more usual, however, to extend the name of analogical evidence to arguments from any sort of resemblance, provided they do not amount to a complete induction; without peculiarly distinguishing resemblance of relations. Analogical reasoning, in this sense, may be reduced to the following formula: Two things resemble each other in one or more respects; a certain proposition is true of the one; therefore it is true of the other. But we have nothing here by which to discriminate analogy from induction, since this type will serve for all reasoning from experience. In the strictest induction, equally with the faintest analogy, we conclude because A resembles B in one or more properties, that it does so in a certain other property. The difference is, that in the case of a complete induction it has been previously shown, by due comparison of instances, that there is an invariable conjunction between the former property or properties and the latter property; but in what is called analogical reasoning, no such conjunction has been made out. There have been no opportunities of putting in practice the Method of Difference, or even the Method of Agreement; but we conclude (and that is all which the argument of analogy amounts to) that a fact *m*, known to be true of A, is more likely to be true of B if B agrees with A in some of its properties (even though no connection is known to exist between *m* and those properties), than if no resemblance at all could be traced between B and any other thing known to possess the attribute *m*.

To this argument it is of course requisite that the properties common to A with B shall be merely not known to be connected with *m*; they must not be properties known to be unconnected with it. If, either by processes of elimination, or by deduction from previous knowledge of the laws of the

properties in question, it can be concluded that they have nothing to do with  $m$ , the argument of analogy is put out of court. The supposition must be that  $m$  is an effect really dependent on some property of A, but we know not on which. We can not point out any of the properties of A, which is the cause of  $m$ , or united with it by any law. After rejecting all which we know to have nothing to do with it, there remain several between which we are unable to decide; of which remaining properties, B possesses one or more. This, accordingly, we consider as affording grounds, of more or less strength, for concluding by analogy that B possesses the attribute  $m$ .

There can be no doubt that every such resemblance which can be pointed out between B and A, affords some degree of probability, beyond what would otherwise exist, in favor of the conclusion drawn from it. If B resembled A in all its ultimate properties, its possessing the attribute  $m$  would be a certainty, not a probability; and every resemblance which can be shown to exist between them, places it by so much the nearer to that point. If the resemblance be in an ultimate property, there will be resemblance in all the derivative properties dependent on that ultimate property, and of these  $m$  may be one. If the resemblance be in a derivative property, there is reason to expect resemblance in the ultimate property on which it depends, and in the other derivative properties dependent on the same ultimate property. Every resemblance which can be shown to exist, affords ground for expecting an indefinite number of other resemblances; the particular resemblance sought will, therefore, be oftener found among things thus known to resemble, than among things between which we know of no resemblance.

For example, I might infer that there are probably inhabitants in the moon, because there are inhabitants on the earth, in the sea, and in the air: and this is the evidence of analogy. The circumstance of having inhabitants is here assumed not to be an ultimate property, but (as is reasonable to suppose) a consequence of other properties; and depending, therefore, in the case of the earth, on some of its properties as a portion of the universe, but on which of those properties we know not. Now the moon resembles the earth in being a solid, opaque, nearly spherical substance, appearing to contain, or to have contained, active volcanoes; receiving heat and light from the sun, in about the same quantity as our earth; revolving

on its axis; composed of materials which gravitate, and obeying all the various laws resulting from that property. And I think no one will deny that if this were all that was known of the moon, the existence of inhabitants in that luminary would derive from these various resemblances to the earth, a greater degree of probability than it would otherwise have; though the amount of the augmentation it would be useless to attempt to estimate.

If, however, every resemblance proved between B and A, in any point not known to be immaterial with respect to *m*, forms some additional reason for presuming that B has the attribute *m*; it is clear, *è contra*, that every dissimilarity which can be proved between them furnishes a counter-probability of the same nature on the other side. It is not, indeed, unusual that different ultimate properties should, in some particular instances, produce the same derivative property; but on the whole it is certain that things which differ in their ultimate properties, will differ at least as much in the aggregate of their derivative properties, and that the differences which are unknown will, on the average of cases, bear some proportion to those which are known. There will, therefore, be a competition between the known points of agreement and the known points of difference in A and B; and according as the one or the other may be deemed to preponderate, the probability derived from analogy will be for or against B's having the property *m*. The moon, for instance, agrees with the earth in the circumstances already mentioned; but differs in being smaller, in having its surface more unequal, and apparently volcanic throughout, in having, at least on the side next the earth, no atmosphere sufficient to refract light, no clouds, and (it is therefore concluded) no water. These differences, considered merely as such, might perhaps balance the resemblances, so that analogy would afford no presumption either way. But considering that some of the circumstances which are wanting on the moon are among those which, on the earth, are found to be indispensable conditions of animal life, we may conclude that if that phenomenon does exist in the moon (or at all events on the nearer side), it must be as an effect of causes totally different from those on which it depends here; as a consequence, therefore, of the moon's differences from the earth, not of the points of agreement. Viewed in this light, all the resemblances which exist become presumptions against, not in favor of, the moon's being



inhabited. Since life can not exist there in the manner in which it exists here, the greater the resemblance of the lunar world to the terrestrial in other respects, the less reason we have to believe that it can contain life.

There are, however, other bodies in our system, between which and the earth there is a much closer resemblance; which possess an atmosphere, clouds, consequently water (or some fluid analogous to it), and even give strong indications of snow in their polar regions; while the cold, or heat, though differing greatly on the average from ours, is, in some parts at least of those planets, possibly not more extreme than in some regions of our own which are habitable. To balance these agreements, the ascertained differences are chiefly in the average light and heat, velocity of rotation, density of material, intensity of gravity, and similar circumstances of a secondary kind. With regard to these planets, therefore, the argument of analogy gives a decided preponderance in favor of their resembling the earth in any of its derivative properties, such as that of having inhabitants; though when we consider how immeasurably multitudinous are those of their properties which we are entirely ignorant of, compared with the few which we know, we can attach but trifling weight to any considerations of resemblance in which the known elements bear so inconsiderable a proportion to the unknown.

Besides the competition between analogy and diversity, there may be a competition of conflicting analogies. The new case may be similar in some of its circumstances to cases in which the fact *m* exists, but in others to cases in which it is known not to exist. Amber has some properties in common with vegetable, others with mineral products. A painting of unknown origin may resemble, in certain of its characters, known works of a particular master, but in others it may as strikingly resemble those of some other painter. A vase may bear some analogy to works of Grecian, and some to those of Etruscan, or Egyptian art. We are of course supposing that it does not possess any quality which has been ascertained, by a sufficient induction, to be a conclusive mark either of the one or of the other.

§ 3. Since the value of an analogical argument inferring one resemblance from other resemblances without any antecedent evidence of a connection between them, depends on the extent of ascertained

resemblance, compared first with the amount of ascertained difference, and next with the extent of the unexplored region of unascertained properties; it follows that where the resemblance is very great, the ascertained difference very small, and our knowledge of the subject-matter tolerably extensive, the argument from analogy may approach in strength very near to a valid induction. If, after much observation of B, we find that it agrees with A in nine out of ten of its known properties, we may conclude with a probability of nine to one, that it will possess any given derivative property of A. If we discover, for example, an unknown animal or plant, resembling closely some known one in the greater number of the properties we observe in it, but differing in some few, we may reasonably expect to find in the unobserved remainder of its properties, a general agreement with those of the former; but also a difference corresponding proportionately to the amount of observed diversity.

It thus appears that the conclusions derived from analogy are only of any considerable value, when the case to which we reason is an adjacent case; adjacent, not as before, in place or time, but in circumstances. In the case of effects of which the causes are imperfectly or not at all known, when consequently the observed order of their occurrence amounts only to an empirical law, it often happens that the conditions which have co-existed whenever the effect was observed, have been very numerous. Now if a new case presents itself, in which all these conditions do not exist, but the far greater part of them do, some one or a few only being wanting, the inference that the effect will occur, notwithstanding this deficiency of complete resemblance to the cases in which it has been observed, may, though of the nature of analogy, possess a high degree of probability. It is hardly necessary to add that, however considerable this probability may be, no competent inquirer into nature will rest satisfied with it when a complete induction is attainable; but will consider the analogy as a mere guide-post, pointing out the direction in which more rigorous investigations should be prosecuted.

It is in this last respect that considerations of analogy have the highest scientific value. The cases in which analogical evidence affords in itself any very high degree of probability, are, as we have observed, only those in which the resemblance is very close and extensive; but there is no analogy,

however faint, which may not be of the utmost value in suggesting experiments or observations that may lead to more positive conclusions. When the agents and their effects are out of the reach of further observation and experiment, as in the speculations already alluded to respecting the moon and planets, such slight probabilities are no more than an interesting theme for the pleasant exercise of imagination; but any suspicion, however slight, that sets an ingenious person at work to contrive an experiment, or affords a reason for trying one experiment rather than another, may be of the greatest benefit to science.

On this ground, though I can not accept as positive truths any of those scientific hypotheses which are unsusceptible of being ultimately brought to the test of actual induction, such, for instance, as the two theories of light, the emission theory of the last century, and the undulatory theory which predominates in the present, I am yet unable to agree with those who consider such hypotheses to be worthy of entire disregard. As is well said by Hartley (and concurred in by a thinker in general so diametrically opposed to Hartley's opinions as Dugald Stewart), "any hypothesis which has so much plausibility as to explain a considerable number of facts, helps us to digest these facts in proper order, to bring new ones to light, and make *experimenta crucis* for the sake of future inquirers."<sup>183</sup> If an hypothesis both explains known facts, and has led to the prediction of others previously unknown, and since verified by experience, the laws of the phenomenon which is the subject of inquiry must bear at least a great similarity to those of the class of phenomena to which the hypothesis assimilates it; and since the analogy which extends so far may probably extend further, nothing is more likely to suggest experiments tending to throw light upon the real properties of the phenomenon, than the following out such an hypothesis. But to this end it is by no means necessary that the hypothesis be mistaken for a scientific truth. On the contrary, that illusion is in this respect, as in every other, an impediment to the progress of real knowledge, by leading inquirers to restrict themselves arbitrarily to the particular hypothesis which is most accredited at the time, instead of looking out for every class of phenomena between the laws of which and those of the given phenomenon any analogy exists, and trying all such experiments as may tend to the discovery of ulterior analogies pointing in the same direction.

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<sup>183</sup> Hartley's *Observations on Man*, vol. i., p. 16. The passage is not in Priestley's curtailed edition.



# CHAPTER XXI.

## OF THE EVIDENCE OF THE LAW OF UNIVERSAL CAUSATION.

§ 1. We have now completed our review of the logical processes by which the laws, or uniformities, of the sequence of phenomena, and those uniformities in their co-existence which depend on the laws of their sequence, are ascertained or tested. As we recognized in the commencement, and have been enabled to see more clearly in the progress of the investigation, the basis of all these logical operations is the law of causation.

The validity of all the Inductive Methods depends on the assumption that every event, or the beginning of every phenomenon, must have some cause; some antecedent, on the existence of which it is invariably and unconditionally consequent. In the Method of Agreement this is obvious; that method avowedly proceeding on the supposition that we have found the true cause as soon as we have negated every other. The assertion is equally true of the Method of Difference. That method authorizes us to infer a general law from two instances; one, in which A exists together with a multitude of other circumstances, and B follows; another, in which, A being removed, and all other circumstances remaining the same, B is prevented. What, however, does this prove? It proves that B, in the particular instance, can not have had any other cause than A; but to conclude from this that A was the cause, or that A will on other occasions be followed by B, is only allowable on the assumption that B must have some cause; that among its antecedents in any single instance in which it occurs, there must be one which has the capacity of producing it at other times. This being admitted, it is seen that in the case in question that antecedent can be no other than A; but that if it be no other than A it must be A, is not proved, by these instances at least, but taken for granted. There is no need to spend time in proving that the same thing is true of the other Inductive Methods. The universality of the law of causation is assumed in them all.

But is this assumption warranted? Doubtless (it may be said) *most* phenomena are connected as effects with some antecedent or cause, that is, are never produced unless some assignable fact has preceded them; but the very circumstance that complicated processes of induction are sometimes necessary, shows that cases exist in which this regular order of succession is not apparent to our unaided apprehension. If, then, the processes which bring these cases within the same category with the rest, require that we should assume the universality of the very law which they do not at first sight appear to exemplify, is not this a *petitio principii*? Can we prove a proposition, by an argument which takes it for granted? And if not so proved, on what evidence does it rest?

For this difficulty, which I have purposely stated in the strongest terms it will admit of, the school of metaphysicians who have long predominated in this country find a ready salvo. They affirm, that the universality of causation is a truth which we can not help believing; that the belief in it is an instinct, one of the laws of our believing faculty. As the proof of this, they say, and they have nothing else to say, that every body does believe it; and they number it among the propositions, rather numerous in their catalogue, which may be logically argued against, and perhaps can not be logically proved, but which are of higher authority than logic, and so essentially inherent in the human mind, that even he who denies them in speculation, shows by his habitual practice that his arguments make no impression upon himself.

Into the merits of this question, considered as one of psychology, it would be foreign to my purpose to enter here; but I must protest against adducing, as evidence of the truth of a fact in external nature, the disposition, however strong or however general, of the human mind to believe it. Belief is not proof, and does not dispense with the necessity of proof. I am aware, that to ask for evidence of a proposition which we are supposed to believe instinctively, is to expose one's self to the charge of rejecting the authority of the human faculties; which of course no one can consistently do, since the human faculties are all which any one has to judge by; and inasmuch as the meaning of the word evidence is supposed to be, something which when laid before the mind, induces it to believe; to demand evidence when the belief is insured by the mind's own laws, is

supposed to be appealing to the intellect against the intellect. But this, I apprehend, is a misunderstanding of the nature of evidence. By evidence is not meant any thing and every thing which produces belief. There are many things which generate belief besides evidence. A mere strong association of ideas often causes a belief so intense as to be unshakable by experience or argument. Evidence is not that which the mind does or must yield to, but that which it ought to yield to, namely, that, by yielding to which its belief is kept conformable to fact. There is no appeal from the human faculties generally, but there is an appeal from one human faculty to another; from the judging faculty, to those which take cognizance of fact, the faculties of sense and consciousness. The legitimacy of this appeal is admitted whenever it is allowed that our judgments ought to be conformable to fact. To say that belief suffices for its own justification is making opinion the test of opinion; it is denying the existence of any outward standard, the conformity of an opinion to which constitutes its truth. We call one mode of forming opinions right and another wrong, because the one does, and the other does not, tend to make the opinion agree with the fact — to make people believe what really is, and expect what really will be. Now a mere disposition to believe, even if supposed instinctive, is no guarantee for the truth of the thing believed. If, indeed, the belief ever amounted to an irresistible necessity, there would then be no *use* in appealing from it, because there would be no possibility of altering it. But even then the truth of the belief would not follow; it would only follow that mankind were under a permanent necessity of believing what might possibly not be true; in other words, that a case might occur in which our senses or consciousness, if they could be appealed to, might testify one thing, and our reason believe another. But in fact there is no such permanent necessity. There is no proposition of which it can be asserted that every human mind must eternally and irrevocably believe it. Many of the propositions of which this is most confidently stated, great numbers of human beings have disbelieved. The things which it has been supposed that nobody could possibly help believing, are innumerable; but no two generations would make out the same catalogue of them. One age or nation believes implicitly what to another seems incredible and inconceivable; one individual has not a vestige of a belief which another deems to be absolutely inherent in humanity. There is not one of these

supposed instinctive beliefs which is really inevitable. It is in the power of every one to cultivate habits of thought which make him independent of them. The habit of philosophical analysis (of which it is the surest effect to enable the mind to command, instead of being commanded by, the laws of the merely passive part of its own nature), by showing to us that things are not necessarily connected in fact because their ideas are connected in our minds, is able to loosen innumerable associations which reign despotically over the undisciplined or early-prejudiced mind. And this habit is not without power even over those associations which the school of which I have been speaking regard as connate and instinctive. I am convinced that any one accustomed to abstraction and analysis, who will fairly exert his faculties for the purpose, will, when his imagination has once learned to entertain the notion, find no difficulty in conceiving that in some one, for instance, of the many firmaments into which sidereal astronomy now divides the universe, events may succeed one another at random, without any fixed law; nor can any thing in our experience, or in our mental nature, constitute a sufficient, or indeed any, reason for believing that this is nowhere the case.

Were we to suppose (what it is perfectly possible to imagine) that the present order of the universe were brought to an end, and that a chaos succeeded in which there was no fixed succession of events, and the past gave no assurance of the future; if a human being were miraculously kept alive to witness this change, he surely would soon cease to believe in any uniformity, the uniformity itself no longer existing. If this be admitted, the belief in uniformity either is not an instinct, or it is an instinct conquerable, like all other instincts, by acquired knowledge.

But there is no need to speculate on what might be, when we have positive and certain knowledge of what has been. It is not true, as a matter of fact, that mankind have always believed that all the successions of events were uniform and according to fixed laws. The Greek philosophers, not even excepting Aristotle, recognized Chance and Spontaneity (τύχη and τὸ αὐτομάτον) as among the agents in nature; in other words, they believed that to that extent there was no guarantee that the past had been similar to itself, or that the future would resemble the past. Even now a full half of the philosophical world, including the very same metaphysicians



who contend most for the instinctive character of the belief in uniformity, consider one important class of phenomena, volitions, to be an exception to the uniformity, and not governed by a fixed law.<sup>184</sup>

§ 2. As was observed in a former place,<sup>185</sup> the belief we entertain in the universality, throughout nature, of the law of cause and effect, is itself an instance of induction; and by no means one of the earliest which any of us, or which mankind in general, can have made. We arrive at this universal law, by generalization from many laws of inferior generality. We should never have had the notion of causation (in the philosophical meaning of the term) as a condition of all phenomena, unless many cases of causation, or in other words, many partial uniformities of sequence, had previously become familiar. The more obvious of the particular uniformities suggest, and give evidence of, the general uniformity, and the general uniformity, once established, enables us to prove the remainder of the particular uniformities of which it is made up. As, however, all rigorous processes of induction presuppose the general uniformity, our knowledge of the particular uniformities from which it was first inferred was not, of course, derived from rigorous induction, but from the loose and uncertain mode of induction *per enumerationem simplicem*; and the law of universal causation, being collected from results so obtained, can not itself rest on any better foundation.

It would seem, therefore, that induction *per enumerationem simplicem* not only is not necessarily an illicit logical process, but is in reality the only kind of induction possible; since the more elaborate process depends for its validity on a law, itself obtained in that inartificial mode. Is there not then an inconsistency in contrasting the looseness of one method with the rigidity of another, when that other is indebted to the looser method for its own foundation?

The inconsistency, however, is only apparent. Assuredly, if induction by simple enumeration were an invalid process, no process grounded on it could be valid; just as no reliance could be placed on telescopes, if we could not trust our eyes. But though a valid process, it is a fallible one, and fallible in very different degrees: if, therefore, we can substitute for the more fallible forms of the process, an operation grounded on the same

process in a less fallible form, we shall have effected a very material improvement. And this is what scientific induction does.

A mode of concluding from experience must be pronounced untrustworthy when subsequent experience refuses to confirm it. According to this criterion, induction by simple enumeration — in other words, generalization of an observed fact from the mere absence of any known instance to the contrary — affords in general a precarious and unsafe ground of assurance; for such generalizations are incessantly discovered, on further experience, to be false. Still, however, it affords some assurance, sufficient, in many cases, for the ordinary guidance of conduct. It would be absurd to say, that the generalizations arrived at by mankind in the outset of their experience, such as these — food nourishes, fire burns, water drowns — were unworthy of reliance.<sup>186</sup> There is a scale of trustworthiness in the results of the original unscientific induction; and on this diversity (as observed in the fourth chapter of the present book) depend the rules for the improvement of the process. The improvement consists in correcting one of these inartificial generalizations by means of another. As has been already pointed out, this is all that art can do. To test a generalization, by showing that it either follows from, or conflicts with, some stronger induction, some generalization resting on a broader foundation of experience, is the beginning and end of the logic of induction.

§ 3. Now the precariousness of the method of simple enumeration is in an inverse ratio to the largeness of the generalization. The process is delusive and insufficient, exactly in proportion as the subject-matter of the observation is special and limited in extent. As the sphere widens, this unscientific method becomes less and less liable to mislead; and the most universal class of truths, the law of causation, for instance, and the principles of number and of geometry, are duly and satisfactorily proved by that method alone, nor are they susceptible of any other proof.

With respect to the whole class of generalizations of which we have recently treated, the uniformities which depend on causation, the truth of the remark just made follows by obvious inference from the principles laid down in the preceding chapters. When a fact has been observed a certain number of times to be true, and is not in any instance known to be false, if

we at once affirm that fact as a universal truth or law of nature, without either testing it by any of the four methods of induction, or deducing it from other known laws, we shall in general err grossly; but we are perfectly justified in affirming it as an empirical law, true within certain limits of time, place, and circumstance, provided the number of coincidences be greater than can with any probability be ascribed to chance. The reason for not extending it beyond those limits is, that the fact of its holding true within them may be a consequence of collocations, which can not be concluded to exist in one place because they exist in another; or may be dependent on the accidental absence of counteracting agencies, which any variation of time, or the smallest change of circumstances, may possibly bring into play. If we suppose, then, the subject-matter of any generalization to be so widely diffused that there is no time, no place, and no combination of circumstances, but must afford an example either of its truth or of its falsity, and if it be never found otherwise than true, its truth can not be contingent on any collocations, unless such as exist at all times and places; nor can it be frustrated by any counteracting agencies, unless by such as never actually occur. It is, therefore, an empirical law co-extensive with all human experience; at which point the distinction between empirical laws and laws of nature vanishes, and the proposition takes its place among the most firmly established as well as largest truths accessible to science.

Now, the most extensive in its subject-matter of all generalizations which experience warrants, respecting the sequences and co-existences of phenomena, is the law of causation. It stands at the head of all observed uniformities, in point of universality, and therefore (if the preceding observations are correct) in point of certainty. And if we consider, not what mankind would have been justified in believing in the infancy of their knowledge, but what may rationally be believed in its present more advanced state, we shall find ourselves warranted in considering this fundamental law, though itself obtained by induction from particular laws of causation, as not less certain, but on the contrary, more so, than any of those from which it was drawn. It adds to them as much proof as it receives from them. For there is probably no one even of the best established laws of causation which is not sometimes counteracted, and to which, therefore, apparent exceptions do not present themselves, which

would have necessarily and justly shaken the confidence of mankind in the universality of those laws, if inductive processes founded on the universal law had not enabled us to refer those exceptions to the agency of counteracting causes, and thereby reconcile them with the law with which they apparently conflict. Errors, moreover, may have slipped into the statement of any one of the special laws, through inattention to some material circumstance: and instead of the true proposition, another may have been enunciated, false as a universal law, though leading, in all cases hitherto observed, to the same result. To the law of causation, on the contrary, we not only do not know of any exception, but the exceptions which limit or apparently invalidate the special laws, are so far from contradicting the universal one, that they confirm it; since in all cases which are sufficiently open to our observation, we are able to trace the difference of result, either to the absence of a cause which had been present in ordinary cases, or to the presence of one which had been absent.

The law of cause and effect, being thus certain, is capable of imparting its certainty to all other inductive propositions which can be deduced from it; and the narrower inductions may be regarded as receiving their ultimate sanction from that law, since there is no one of them which is not rendered more certain than it was before, when we are able to connect it with that larger induction, and to show that it can not be denied, consistently with the law that every thing which begins to exist has a cause. And hence we are justified in the seeming inconsistency, of holding induction by simple enumeration to be good for proving this general truth, the foundation of scientific induction, and yet refusing to rely on it for any of the narrower inductions. I fully admit that if the law of causation were unknown, generalization in the more obvious cases of uniformity in phenomena would nevertheless be possible, and though in all cases more or less precarious, and in some extremely so, would suffice to constitute a certain measure of probability; but what the amount of this probability might be, we are dispensed from estimating, since it never could amount to the degree of assurance which the proposition acquires, when, by the application to it of the Four Methods, the supposition of its falsity is shown to be inconsistent with the Law of Causation. We are therefore logically entitled, and, by the necessities of scientific induction, required, to disregard the probabilities derived from the early rude method of

generalizing, and to consider no minor generalization as proved except so far as the law of causation confirms it, nor probable except so far as it may reasonably be expected to be so confirmed.

§ 4. The assertion, that our inductive processes assume the law of causation, while the law of causation is itself a case of induction, is a paradox, only on the old theory of reasoning, which supposes the universal truth, or major premise, in a ratiocination, to be the real proof of the particular truths which are ostensibly inferred from it. According to the doctrine maintained in the present treatise,<sup>187</sup> the major premise is not the proof of the conclusion, but is itself proved, along with the conclusion from the same evidence. “All men are mortal” is not the proof that Lord Palmerston is mortal; but our past experience of mortality authorizes us to infer *both* the general truth and the particular fact, and the one with exactly the same degree of assurance as the other. The mortality of Lord Palmerston is not an inference from the mortality of all men, but from the experience which proves the mortality of all men; and is a correct inference from experience, if that general truth is so too. This relation between our general beliefs and their particular applications holds equally true in the more comprehensive case which we are now discussing. Any new fact of causation inferred by induction, is rightly inferred, if no other objection can be made to the inference than can be made to the general truth that every event has a cause. The utmost certainty which can be given to a conclusion arrived at in the way of inference, stops at this point. When we have ascertained that the particular conclusion must stand or fall with the general uniformity of the laws of nature — that it is liable to no doubt except the doubt whether every event has a cause — we have done all that can be done for it. The strongest assurance we can obtain of any theory respecting the cause of a given phenomenon, is that the phenomenon has either that cause or none.

The latter supposition might have been an admissible one in a very early period of our study of nature. But we have been able to perceive that in the stage which mankind have now reached, the generalization which gives the Law of Universal Causation has grown into a stronger and better induction, one deserving of greater reliance, than any of the subordinate generalizations. We may even, I think, go a step further than this, and

regard the certainty of that great induction as not merely comparative, but, for all practical purposes, complete.

The considerations, which, as I apprehend, give, at the present day, to the proof of the law of uniformity of succession as true of all phenomena without exception, this character of completeness and conclusiveness, are the following: First, that we now know it directly to be true of far the greatest number of phenomena; that there are none of which we know it not to be true, the utmost that can be said being, that of some we can not positively from direct evidence affirm its truth; while phenomenon after phenomenon, as they become better known to us, are constantly passing from the latter class into the former; and in all cases in which that transition has not yet taken place, the absence of direct proof is accounted for by the rarity or the obscurity of the phenomena, our deficient means of observing them, or the logical difficulties arising from the complication of the circumstances in which they occur; insomuch that, notwithstanding as rigid a dependence on given conditions as exists in the case of any other phenomenon, it was not likely that we should be better acquainted with those conditions than we are. Besides this first class of considerations, there is a second, which still further corroborates the conclusion. Although there are phenomena the production and changes of which elude all our attempts to reduce them universally to any ascertained law; yet in every such case, the phenomenon, or the objects concerned in it, are found in some instances to obey the known laws of nature. The wind, for example, is the type of uncertainty and caprice, yet we find it in some cases obeying with as much constancy as any phenomenon in nature the law of the tendency of fluids to distribute themselves so as to equalize the pressure on every side of each of their particles; as in the case of the trade-winds and the monsoons.

Lightning might once have been supposed to obey no laws; but since it has been ascertained to be identical with electricity, we know that the very same phenomenon in some of its manifestations is implicitly obedient to the action of fixed causes. I do not believe that there is now one object or event in all our experience of nature, within the bounds of the solar system at least, which has not either been ascertained by direct observation to follow laws of its own, or been proved to be closely similar to objects and

events which, in more familiar manifestations, or on a more limited scale, follow strict laws; our inability to trace the same laws on a larger scale and in the more recondite instances, being accounted for by the number and complication of the modifying causes, or by their inaccessibility to observation.

The progress of experience, therefore, has dissipated the doubt which must have rested on the universality of the law of causation while there were phenomena which seemed to be *sui generis*, not subject to the same laws with any other class of phenomena, and not as yet ascertained to have peculiar laws of their own. This great generalization, however, might reasonably have been, as it in fact was, acted on as a probability of the highest order, before there were sufficient grounds for receiving it as a certainty. In matters of evidence, as in all other human things, we neither require, nor can attain, the absolute. We must hold even our strongest convictions with an opening left in our minds for the reception of facts which contradict them; and only when we have taken this precaution, have we earned the right to act upon our convictions with complete confidence when no such contradiction appears. Whatever has been found true in innumerable instances, and never found to be false after due examination in any, we are safe in acting on as universal provisionally, until an undoubted exception appears; provided the nature of the case be such that a real exception could scarcely have escaped notice. When every phenomenon that we ever knew sufficiently well to be able to answer the question, had a cause on which it was invariably consequent, it was more rational to suppose that our inability to assign the causes of other phenomena arose from our ignorance, than that there were phenomena which were uncaused, and which happened to be exactly those which we had hitherto had no sufficient opportunity of studying.

It must, at the same time, be remarked, that the reasons for this reliance do not hold in circumstances unknown to us, and beyond the possible range of our experience. In distant parts of the stellar regions, where the phenomena may be entirely unlike those with which we are acquainted, it would be folly to affirm confidently that this general law prevails, any more than those special ones which we have found to hold universally on our own planet. The uniformity in the succession of events,

otherwise called the law of causation, must be received not as a law of the universe, but of that portion of it only which is within the range of our means of sure observation, with a reasonable degree of extension to adjacent cases. To extend it further is to make a supposition without evidence, and to which, in the absence of any ground from experience for estimating its degree of probability, it would be idle to attempt to assign any.<sup>188</sup>.....

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<sup>184</sup> I am happy to be able to quote the following excellent passage from Mr. Baden Powell's *Essay on the Inductive Philosophy*, in confirmation, both in regard to history and to doctrine, of the statement made in the text. Speaking of the "conviction of the universal and permanent uniformity of nature," Mr. Powell says (pp. 98-100):

"We may remark that this idea, in its proper extent, is by no means one of popular acceptance or natural growth. Just so far as the daily experience of every one goes, so far indeed he comes to embrace a certain persuasion of this kind, but merely to this limited extent, that what is going on around him at present, in his own narrow sphere of observation, will go on in like manner in future. The peasant believes that the sun which rose to-day will rise again to-morrow; that the seed put into the ground will be followed in due time by the harvest this year as it was last year, and the like; but has no notion of such inferences in subjects beyond his immediate observation. And it should be observed that each class of persons, in admitting this belief within the limited range of his own experience, though he doubt or deny it in every thing beyond, is, in fact, bearing unconscious testimony to its universal truth. Nor, again, is it only among the *most* ignorant that this limitation is put upon the truth. There is a very general propensity to believe that every thing beyond common experience, or especially ascertained laws of nature, is left to the dominion of chance or fate or arbitrary intervention; and even to object to any attempted explanation by physical causes, if conjecturally thrown out for an apparently unaccountable phenomenon.

"The precise doctrine of the *generalization* of this idea of the uniformity of nature, so far from being obvious, natural, or intuitive, is utterly beyond the attainment of the many. In all the extent of its universality it is characteristic of the philosopher. It is clearly the result of philosophic cultivation and training, and by no means the spontaneous offspring of any primary principle naturally inherent in the mind, as some seem to believe. It is no mere vague persuasion taken up without examination, as a common prepossession to which we are always accustomed; on the contrary, all common prejudices and associations are against it. It is pre-eminently an *acquired idea*. It is not attained without deep study and reflection. The best informed philosopher is the man who most firmly believes it, even in



opposition to received notions; its acceptance depends on the extent and profoundness of his inductive studies.”

<sup>185</sup> *Supra*, book iii., chap. iii., § 1

<sup>186</sup> It deserves remark, that these early generalizations did not, like scientific inductions, presuppose causation. What they did presuppose, was *uniformity* in physical facts. But the observers were as ready to presume uniformity in the co-existence of facts as in the sequences. On the other hand, they never thought of assuming that this uniformity was a principle pervading all nature: their generalizations did not imply that there was uniformity in every thing, but only that as much uniformity as existed within their observation, existed also beyond it. The induction, fire burns, does not require for its validity that all nature should observe uniform laws, but only that there should be uniformity in one particular class of natural phenomena; the effects of fire on the senses and on combustible substances. And uniformity to this extent was not assumed, anterior to the experience, but proved by the experience. The same observed instances which proved the narrower truth, proved as much of the wider one as corresponded to it. It is from losing sight of this fact, and considering the law of causation in its full extent as necessarily presupposed in the very earliest generalizations, that persons have been led into the belief that the law of causation is known *a priori*, and is not itself a conclusion from experience.

<sup>187</sup> Book ii., chap. iii.

<sup>188</sup> One of the most rising thinkers of the new generation in France, M. Taine (who has given, in the *Revue des Deux Mondes*, the most masterly analysis, at least in one point of view, ever made of the present work), though he rejects, on this and similar points of psychology, the intuition theory in its ordinary form, nevertheless assigns to the law of causation, and to some other of the most universal laws, that certainty beyond the bounds of human experience, which I have not been able to accord to them. He does this on the faith of our faculty of abstraction, in which he seems to recognize an independent source of evidence, not indeed disclosing truths not contained in our experience, but affording an assurance which experience can not give, of the universality of those which it does contain. By abstraction M. Taine seems to think that we are able, not merely to analyze that part of nature which we see, and exhibit apart the elements which pervade it, but to distinguish such of them as are elements of the system of nature considered as a whole, not incidents belonging to our limited terrestrial experience. I am not sure that I fully enter into M. Taine's meaning; but I confess I do not see how any mere abstract conception, elicited by our minds from our experience, can be evidence of an objective fact in universal Nature, beyond what the experience itself bears witness of;

or how, in the process of interpreting in general language the testimony of experience, the limitations of the testimony itself can be cast off.

Dr. Ward, in an able article in the *Dublin Review* for October, 1871, contends that the uniformity of nature can not be proved from experience, but from "transcendental considerations" only, and that, consequently, all physical science would be deprived of its basis, if such transcendental proof were impossible.

When physical science is said to depend on the assumption that the course of nature is invariable, all that is meant is that the conclusions of physical science are not known as *absolute* truths: the truth of them is *conditional* on the uniformity of the course of nature; and all that the most conclusive observations and experiments can prove, is that the result arrived at will be true if, and as long as, the present laws of nature are valid. But this is all the assurance we require for the guidance of our conduct. Dr. Ward himself does not think that his transcendental proofs make it practically greater; for he believes, as a Catholic, that the course of nature not only has been, but frequently and even daily is, suspended by supernatural intervention.

But though this conditional conclusiveness of the evidence of experience, which is sufficient for the purposes of life, is all that I was necessarily concerned to prove, I have given reasons for thinking that the uniformity, as itself a part of experience, is sufficiently proved to justify undoubting reliance on it. This Dr. Ward contests, for the following reasons:

First (p. 315), supposing it true that there has hitherto been no well authenticated case of a breach in the uniformity of nature; "the number of natural agents constantly at work is incalculably large; and the observed cases of uniformity in their action must be immeasurably fewer than one thousandth of the whole. Scientific men, we assume for the moment, have discovered that in a certain proportion of instances — immeasurably fewer than one thousandth of the whole — a certain fact has prevailed; the fact of uniformity; and they have not found a single instance in which that fact does *not* prevail. Are they justified, we ask, in inferring from these premises that the fact is universal? Surely the question answers itself. Let us make a very grotesque supposition, in which, however, the conclusion would really be tried according to the arguments adduced. In some desert of Africa there is an enormous connected edifice, surrounding some vast space, in which dwell certain reasonable beings, who are unable to leave the inclosure. In this edifice are more than a thousand chambers, which some years ago were entirely locked up, and the keys no one knew where. By constant diligence twenty-five keys have been found, out of the whole number; and the corresponding chambers, situated promiscuously throughout the edifice, have been opened. Each chamber, when examined, is found to be in the precise shape of a dodecahedron. Are the inhabitants justified on that account in holding with certitude that the remaining 975 chambers are built on the same plan?"

Not with perfect certitude, but (if the chambers to which the keys have been found are really "situated promiscuously") with so high a degree of probability that they would be justified in acting upon the presumption until an exception appeared.

Dr. Ward's argument, however, does not touch mine as it stands in the text. My argument is grounded on the fact that the uniformity of the course of nature as a whole, is constituted by the uniform sequences of special effects from special natural agencies; that the number of these natural agencies in the part of the universe known to us is not incalculable, nor even extremely great; that we have now reason to think that at least the far greater number of them, if not separately, at least in some of the combinations into which they enter, have been made sufficiently amenable to observation, to have enabled us actually to ascertain some of their fixed laws; and that this amount of experience justifies the same degree of assurance that the course of nature is uniform throughout, which we previously had of the uniformity of sequence among the phenomena best known to us. This view of the subject, if correct, destroys the force of Dr. Ward's first argument.

His second argument is, that many or most persons, both scientific and unscientific, believe that there *are* well authenticated cases of breach in the uniformity of nature, namely, miracles. Neither does this consideration touch what I have said in the text. I admit no other uniformity in the events of nature than the law of Causation; and (as I have explained in the chapter of this volume which treats of the Grounds of Disbelief) a miracle is no exception to that law. In every case of alleged miracle, a *new antecedent* is affirmed to exist; a *counteracting cause*, namely, the volition of a supernatural being. To all, therefore, to whom beings with superhuman power over nature are a *vera causa*, a miracle is a *case* of the Law of Universal Causation, not a deviation from it.

Dr. Ward's last, and as he says, strongest argument, is the familiar one of Reid, Stewart, and their followers — that whatever knowledge experience gives us of the past and present, it gives us none of the future. I confess that I see no force whatever in this argument. Wherein does a future fact differ from a present or a past fact, except in their merely momentary relation to the human beings at present in existence? The answer made by Priestley, in his *Examination of Reid*, seems to me sufficient, viz., that though we have had no experience of what *is* future, we have had abundant experience of what *was* future. The "leap in the dark" (as Professor Bain calls it) from the past to the future, is exactly as much in the dark and no more, as the leap from a past which we have personally observed, to a past which we have not. I agree with Mr. Bain in the opinion that the resemblance of what we have not experienced to what we have, is, by a law of our nature, presumed through the mere energy of the idea, before experience has proved it. This *psychological* truth, however, is not, as Dr. Ward when criticising Mr. Bain appears to think, inconsistent with the *logical*

truth that experience does prove it. The proof comes after the presumption, and consists in its invariable *verification* by experience when the experience arrives. The fact which while it was future could not be observed, having as yet no existence, is always, when it becomes present and *can* be observed, found conformable to the past.

Dr. M'Cosh maintains (*Examination of Mr. J. S. Mill's Philosophy*, p. 257) that the uniformity of the course of nature is a different thing from the law of causation; and while he allows that the former is only proved by a long continuance of experience, and that it is not inconceivable nor necessarily incredible that there may be worlds in which it does not prevail, he considers the law of causation to be known intuitively. There is, however, no other uniformity in the events of nature than that which arises from the law of causation: so long therefore as there remained any doubt that the course of nature was uniform throughout, at least when not modified by the intervention of a new (supernatural) cause, a doubt was necessarily implied, not indeed of the reality of causation, but of its universality. If the uniformity of the course of nature has any exceptions — if any events succeed one another without fixed laws — to that extent the law of causation fails; there are events which do not depend on causes.



# CHAPTER XXII.

## OF UNIFORMITIES OF CO—EXISTENCE NOT DEPENDENT ON CAUSATION.

§ 1. The order of the occurrence of phenomena in time, is either successive or simultaneous; the uniformities, therefore, which obtain in their occurrence, are either uniformities of succession or of co-existence. Uniformities of succession are all comprehended under the law of causation and its consequences. Every phenomenon has a cause, which it invariably follows; and from this are derived other invariable sequences among the successive stages of the same effect, as well as between the effects resulting from causes which invariably succeed one another.

In the same manner with these derivative uniformities of succession, a great variety of uniformities of co-existence also take their rise. Co-ordinate effects of the same cause naturally co-exist with one another. High water at any point on the earth's surface, and high water at the point diametrically opposite to it, are effects uniformly simultaneous, resulting from the direction in which the combined attractions of the sun and moon act upon the waters of the ocean. An eclipse of the sun to us, and an eclipse of the earth to a spectator situated in the moon, are in like manner phenomena invariably co-existent; and their co-existence can equally be deduced from the laws of their production.

It is an obvious question, therefore, whether all the uniformities of co-existence among phenomena may not be accounted for in this manner. And it can not be doubted that between phenomena which are themselves effects, the co-existences must necessarily depend on the causes of those phenomena. If they are effects immediately or remotely of the same cause, they can not co-exist except by virtue of some laws or properties of that cause; if they are effects of different causes, they can not co-exist unless it be because their causes co-exist; and the uniformity of co-existence, if such there be, between the effects, proves that those particular causes, within the limits of our observation, have uniformly been co-existent.

§ 2. But these same considerations compel us to recognize that there must be one class of co-existences which can not depend on causation: the co-existences between the ultimate properties of things — those properties which are the causes of all phenomena, but are not themselves caused by any phenomenon, and a cause for which could only be sought by ascending to the origin of all things. Yet among these ultimate properties there are not only co-existences, but uniformities of co-existence. General propositions may be, and are, formed, which assert that whenever certain properties are found, certain others are found along with them. We perceive an object; say, for instance, water. We recognize it to be water, of course by certain of its properties. Having recognized it, we are able to affirm of it innumerable other properties; which we could not do unless it were a general truth, a law or uniformity in nature, that the set of properties by which we identify the substance as water always have those other properties conjoined with them.

In a former place<sup>189</sup> it has been explained, in some detail, what is meant by the Kinds of objects; those classes which differ from one another not by a limited and definite, but by an indefinite and unknown, number of distinctions. To this we have now to add, that every proposition by which any thing is asserted of a Kind, affirms a uniformity of co-existence. Since we know nothing of Kinds but their properties, the Kind, to us, is the set of properties by which it is identified, and which must of course be sufficient to distinguish it from every other kind.<sup>190</sup> In affirming any thing, therefore, of a Kind, we are affirming something to be uniformly co-existent with the properties by which the kind is recognized; and that is the sole meaning of the assertion.

Among the uniformities of co-existence which exist in nature, may hence be numbered all the properties of Kinds. The whole of these, however, are not independent of causation, but only a portion of them. Some are ultimate properties, others derivative: of some, no cause can be assigned, but others are manifestly dependent on causes. Thus, pure oxygen gas is a Kind, and one of its most unequivocal properties is its gaseous form; this property, however, has for its cause the presence of a certain quantity of latent heat; and if that heat could be taken away (as has been done from so many gases in Faraday's experiments), the gaseous

form would doubtless disappear, together with numerous other properties which depend on, or are caused by, that property.

In regard to all substances which are chemical compounds, and which therefore may be regarded as products of the juxtaposition of substances different in Kind from themselves, there is considerable reason to presume that the specific properties of the compound are consequent, as effects, on some of the properties of the elements, though little progress has yet been made in tracing any invariable relation between the latter and the former. Still more strongly will a similar presumption exist, when the object itself, as in the case of organized beings, is no primeval agent, but an effect, which depends on a cause or causes for its very existence. The Kinds, therefore, which are called in chemistry simple substances, or elementary natural agents, are the only ones, any of whose properties can with certainty be considered ultimate; and of these the ultimate properties are probably much more numerous than we at present recognize, since every successful instance of the resolution of the properties of their compounds into simpler laws, generally leads to the recognition of properties in the elements distinct from any previously known. The resolution of the laws of the heavenly motions established the previously unknown ultimate property of a mutual attraction between all bodies; the resolution, so far as it has yet proceeded, of the laws of crystallization, of chemical composition, electricity, magnetism, etc., points to various polarities, ultimately inherent in the particles of which bodies are composed; the comparative atomic weights of different kinds of bodies were ascertained by resolving into more general laws the uniformities observed in the proportions in which substances combine with one another, and so forth. Thus, although every resolution of a complex uniformity into simpler and more elementary laws has an apparent tendency to diminish the number of the ultimate properties, and really does remove many properties from the list; yet (since the result of this simplifying process is to trace up an ever greater variety of different effects to the same agents) the further we advance in this direction, the greater number of distinct properties we are forced to recognize in one and the same object; the co-existences of which properties must accordingly be ranked among the ultimate generalities of nature.

§ 3. There are, therefore, only two kinds of propositions which assert uniformity of co-existence between properties. Either the properties depend on causes or they do not. If they do, the proposition which affirms them to be co-existent is a derivative law of co-existence between effects, and, until resolved into the laws of causation on which it depends, is an empirical law, and to be tried by the principles of induction to which such laws are amenable. If, on the other hand, the properties do not depend on causes, but are ultimate properties, then, if it be true that they invariably co-exist, they must all be ultimate properties of one and the same Kind; and it is of these only that the co-existences can be classed as a peculiar sort of laws of nature.

When we affirm that all crows are black, or that all negroes have woolly hair, we assert a uniformity of co-existence. We assert that the property of blackness or of having woolly hair invariably co-exists with the properties which, in common language, or in the scientific classification that we adopt, are taken to constitute the class crow, or the class negro. Now, supposing blackness to be an ultimate property of black objects, or woolly hair an ultimate property of the animals which possess it; supposing that these properties are not results of causation, are not connected with antecedent phenomena by any law; then if all crows are black, and all negroes have woolly hair, these must be ultimate properties of the kind *crow*, or *negro*, or of some kind which includes them. If, on the contrary, blackness or woolly hair be an effect depending on causes, these general propositions are manifestly empirical laws; and all that has already been said respecting that class of generalizations may be applied without modification to these.

Now, we have seen that in the case of all compounds — of all things, in short, except the elementary substances and primary powers of nature — the presumption is, that the properties do really depend upon causes; and it is impossible in any case whatever to be certain that they do not. We therefore should not be safe in claiming for any generalization respecting the co-existence of properties, a degree of certainty to which, if the properties should happen to be the result of causes, it would have no claim. A generalization respecting co-existence, or, in other words, respecting the properties of kinds, may be an ultimate truth, but it may



also be merely a derivative one; and since, if so, it is one of those derivative laws which are neither laws of causation nor have been resolved into the laws of causation on which they depend, it can possess no higher degree of evidence than belongs to an empirical law.

§ 4. This conclusion will be confirmed by the consideration of one great deficiency, which precludes the application to the ultimate uniformities of co-existence, of a system of rigorous scientific induction, such as the uniformities in the succession of phenomena have been found to admit of. The basis of such a system is wanting; there is no general axiom standing in the same relation to the uniformities of co-existence as the law of causation does to those of succession. The Methods of Induction applicable to the ascertainment of causes and effects are grounded on the principle that every thing which has a beginning must have some cause or other; that among the circumstances which actually existed at the time of its commencement, there is certainly some one combination, on which the effect in question is unconditionally consequent, and on the repetition of which it would certainly again recur. But in an inquiry whether some kind (as crow) universally possesses a certain property (as blackness), there is no room for any assumption analogous to this. We have no previous certainty that the property must have something which constantly co-exists with it; must have an invariable co-existent, in the same manner as an event must have an invariable antecedent. When we feel pain, we must be in some circumstances under which, if exactly repeated, we should always feel pain. But when we are conscious of blackness, it does not follow that there is something else present of which blackness is a constant accompaniment. There is, therefore, no room for elimination; no method of Agreement or Difference, or of Concomitant Variations (which is but a modification either of the Method of Agreement or of the Method of Difference). We can not conclude that the blackness we see in crows must be an invariable property of crows merely because there is nothing else present of which it can be an invariable property. We therefore inquire into the truth of a proposition like "All crows are black," under the same disadvantage as if, in our inquiries into causation, we were compelled to let in, as one of the possibilities, that the effect may in that particular instance have arisen without any cause at all.

To overlook this grand distinction was, as it seems to me, the capital error in Bacon's view of inductive philosophy. The principle of elimination, that great logical instrument which he had the immense merit of first bringing into general use, he deemed applicable in the same sense, and in as unqualified a manner, to the investigation of the co-existences, as to that of the successions of phenomena. He seems to have thought that as every event has a cause, or invariable antecedent, so every property of an object has an invariable co-existent, which he called its form; and the examples he chiefly selected for the application and illustration of his method, were inquiries into such forms; attempts to determine in what else all those objects resembled, which agreed in some one general property, as hardness or softness, dryness or moistness, heat or coldness. Such inquiries could lead to no result. The objects seldom have any such circumstances in common. They usually agree in the one point inquired into, and in nothing else. A great proportion of the properties which, so far as we can conjecture, are the likeliest to be really ultimate, would seem to be inherently properties of many different kinds of things not allied in any other respect. And as for the properties which, being effects of causes, we are able to give some account of, they have generally nothing to do with the ultimate resemblances or diversities in the objects themselves, but depend on some outward circumstances, under the influence of which any objects whatever are capable of manifesting those properties; as is emphatically the case with those favorite subjects of Bacon's scientific inquiries, hotness and coldness, as well as with hardness and softness, solidity and fluidity, and many other conspicuous qualities.

In the absence, then, of any universal law of co-existence similar to the universal law of causation which regulates sequence, we are thrown back upon the unscientific induction of the ancients, *per enumerationem simplicem, ubi non reperitur instantia contradictoria*. The reason we have for believing that all crows are black, is simply that we have seen and heard of many black crows, and never one of any other color. It remains to be considered how far this evidence can reach, and how we are to measure its strength in any given case.

§ 5. It sometimes happens that a mere change in the mode of verbally enunciating a question, though nothing is really added to the meaning

expressed, is of itself a considerable step toward its solution. This, I think, happens in the present instance. The degree of certainty of any generalization which rests on no other evidence than the agreement, so far as it goes, of all past observation, is but another phrase for the degree of improbability that an exception, if any existed, could have hitherto remained unobserved. The reason for believing that all crows are black, is measured by the improbability that crows of any other color should have existed to the present time without our being aware of it. Let us state the question in this last mode, and consider what is implied in the supposition that there may be crows which are not black, and under what conditions we can be justified in regarding this as incredible.

If there really exist crows which are not black, one of two things must be the fact. Either the circumstance of blackness, in all crows hitherto observed, must be, as it were, an accident, not connected with any distinction of Kind; or if it be a property of Kind, the crows which are not black must be a new Kind, a Kind hitherto overlooked, though coming under the same general description by which crows have hitherto been characterized. The first supposition would be proved true if we were to discover casually a white crow among black ones, or if it were found that black crows sometimes turn white. The second would be shown to be the fact if in Australia or Central Africa a species or a race of white or gray crows were found to exist.

§ 6. The former of these suppositions necessarily implies that the color is an effect of causation. If blackness, in the crows in which it has been observed, be not a property of Kind, but can be present or absent without any difference generally in the properties of the object, then it is not an ultimate fact in the individuals themselves, but is certainly dependent on a cause. There are, no doubt, many properties which vary from individual to individual of the same Kind, even the same *infima species*, or lowest Kind. Some flowers may be either white or red, without differing in any other respect. But these properties are not ultimate; they depend on causes. So far as the properties of a thing belong to its own nature, and do not arise from some cause extrinsic to it, they are always the same in the same Kind. Take, for instance, all simple substances and elementary powers; the only things of which we are certain that some at

least of their properties are really ultimate. Color is generally esteemed the most variable of all properties: yet we do not find that sulphur is sometimes yellow and sometimes white, or that it varies in color at all, except so far as color is the effect of some extrinsic cause, as of the sort of light thrown upon it, the mechanical arrangement of the particles (as after fusion), etc. We do not find that iron is sometimes fluid and sometimes solid at the same temperature; gold sometimes malleable and sometimes brittle; that hydrogen will sometimes combine with oxygen and sometimes not; or the like. If from simple substances we pass to any of their definite compounds, as water, lime, or sulphuric acid, there is the same constancy in their properties. When properties vary from individual to individual, it is either in the case of miscellaneous aggregations, such as atmospheric air or rock, composed of heterogeneous substances, and not constituting or belonging to any real Kind,<sup>191</sup> or it is in the case of organic beings. In them, indeed, there is variability in a high degree. Animals of the same species and race, human beings of the same age, sex, and country, will be most different, for example, in face and figure. But organized beings (from the extreme complication of the laws by which they are regulated) being more eminently modifiable, that is, liable to be influenced by a greater number and variety of causes, than any other phenomena whatever; having also themselves had a beginning, and therefore a cause; there is reason to believe that none of their properties are ultimate, but all of them derivative, and produced by causation. And the presumption is confirmed, by the fact that the properties which vary from one individual to another, also generally vary more or less at different times in the same individual; which variation, like any other event, supposes a cause, and implies, consequently, that the properties are not independent of causation.

If, therefore, blackness be merely accidental in crows, and capable of varying while the Kind remains the same, its presence or absence is doubtless no ultimate fact, but the effect of some unknown cause: and in that case the universality of the experience that all crows are black is sufficient proof of a common cause, and establishes the generalization as an empirical law. Since there are innumerable instances in the affirmative, and hitherto none at all in the negative, the causes on which the property depends must exist everywhere in the limits of the observations which

have been made; and the proposition may be received as universal within those limits, and with the allowable degree of extension to adjacent cases.

§ 7. If, in the second place, the property, in the instances in which it has been observed, is not an effect of causation, it is a property of Kind; and in that case the generalization can only be set aside by the discovery of a new Kind of crow. That, however, a peculiar Kind not hitherto discovered should exist in nature, is a supposition so often realized that it can not be considered at all improbable. We have nothing to authorize us in attempting to limit the Kinds of things which exist in nature. The only unlikelihood would be that a new Kind should be discovered in localities which there was previously reason to believe had been thoroughly explored; and even this improbability depends on the degree of conspicuousness of the difference between the newly-discovered Kind and all others, since new kinds of minerals, plants, and even animals, previously overlooked or confounded with known species, are still continually detected in the most frequented situations. On this second ground, therefore, as well as on the first, the observed uniformity of co-existence can only hold good as an empirical law, within the limits not only of actual observation, but of an observation as accurate as the nature of the case required. And hence it is that (as remarked in an early chapter of the present book) we so often give up generalizations of this class at the first summons. If any credible witness stated that he had seen a white crow, under circumstances which made it not incredible that it should have escaped notice previously, we should give full credence to the statement.

It appears, then, that the uniformities which obtain in the co-existence of phenomena — those which we have reason to consider as ultimate, no less than those which arise from the laws of causes yet undetected — are entitled to reception only as empirical laws; are not to be presumed true except within the limits of time, place, and circumstance, in which the observations were made, or except in cases strictly adjacent.

§ 8. We have seen in the last chapter that there is a point of generality at which empirical laws become as certain as laws of nature, or, rather, at which there is no longer any distinction between empirical laws and laws of nature. As empirical laws approach this point, in other words, as they rise in their degree of generality, they become more certain; their

universality may be more strongly relied on. For, in the first place, if they are results of causation (which, even in the class of uniformities treated of in the present chapter, we never can be certain that they are not) the more general they are, the greater is proved to be the space over which the necessary collocations prevail, and within which no causes exist capable of counteracting the unknown causes on which the empirical law depends. To say that any thing is an invariable property of some very limited class of objects, is to say that it invariably accompanies some very numerous and complex group of distinguishing properties; which, if causation be at all concerned in the matter, argues a combination of many causes, and therefore a great liability to counteraction; while the comparatively narrow range of the observations renders it impossible to predict to what extent unknown counteracting causes may be distributed throughout nature. But when a generalization has been found to hold good of a very large proportion of all things whatever, it is already proved that nearly all the causes which exist in nature have no power over it; that very few changes in the combination of causes can affect it; since the greater number of possible combinations must have already existed in some one or other of the instances in which it has been found true. If, therefore, any empirical law is a result of causation, the more general it is, the more it may be depended on. And even if it be no result of causation, but an ultimate co-existence, the more general it is, the greater amount of experience it is derived from, and the greater therefore is the probability that if exceptions had existed, some would already have presented themselves.

For these reasons, it requires much more evidence to establish an exception to one of the more general empirical laws than to the more special ones. We should not have any difficulty in believing that there might be a new Kind of crow; or a new kind of bird resembling a crow in the properties hitherto considered distinctive of that Kind. But it would require stronger proof to convince us of the existence of a Kind of crow having properties at variance with any generally recognized universal property of birds; and a still higher degree if the properties conflict with any recognized universal property of animals. And this is conformable to the mode of judgment recommended by the common sense and general practice of mankind, who are more incredulous as to any novelties in

nature, according to the degree of generality of the experience which these novelties seem to contradict.

§ 9. It is conceivable that the alleged properties might conflict with some recognized universal property of all matter. In that case their improbability would be at the highest, but would not even then amount to incredibility. There are only two known properties common to all matter; in other words, there is but one known uniformity of co-existence of properties co-extensive with all physical nature, namely, that whatever opposes resistance to movement gravitates, or, as Professor Bain expresses it, Inertia and Gravity are co-existent through all matter, and proportionate in their amount. These properties, as he truly says, are not mutually implicated; from neither of them could we, on grounds of causation, presume the other. But, for this very reason, we are never certain that a Kind may not be discovered possessing one of the properties without the other. The hypothetical ether, if it exists, may be such a Kind. Our senses can not recognize in it either resistance or gravity; but if the reality of a resisting medium should eventually be proved (by alteration, for example, in the times of revolution of periodic comets, combined with the evidences afforded by the phenomena of light and heat), it would be rash to conclude from this alone, without other proofs, that it must gravitate.

For even the greater generalizations, which embrace comprehensive Kinds containing under them a great number and variety of *infimæ species*, are only empirical laws, resting on induction by simple enumeration merely, and not on any process of elimination — a process wholly inapplicable to this sort of case. Such generalizations, therefore, ought to be grounded on an examination of all the *infimæ species* comprehended in them, and not of a portion only. We can not conclude (where causation is not concerned), because a proposition is true of a number of things resembling one another only in being animals, that it is therefore true of all animals. If, indeed, any thing be true of species which differ more from one another than either differs from a third, especially if that third species occupies in most of its known properties a position between the two former, there is some probability that the same thing will also be true of that intermediate species; for it is often, though by no

means universally, found, that there is a sort of parallelism in the properties of different Kinds, and that their degree of unlikeness in one respect bears some proportion to their unlikeness in others. We see this parallelism in the properties of the different metals; in those of sulphur, phosphorus, and carbon; of chlorine, iodine, and bromine; in the natural orders of plants and animals, etc. But there are innumerable anomalies and exceptions to this sort of conformity; if indeed the conformity itself be any thing but an anomaly and an exception in nature.

Universal propositions, therefore, respecting the properties of superior Kinds, unless grounded on proved or presumed connection by causation, ought not to be hazarded except after separately examining every known sub-kind included in the larger Kind. And even then such generalizations must be held in readiness to be given up on the occurrence of some new anomaly, which, when the uniformity is not derived from causation, can never, even in the case of the most general of these empirical laws, be considered very improbable. Thus, all the universal propositions which it has been attempted to lay down respecting simple substances, or concerning any of the classes which have been formed among simple substances (and the attempt has been often made), have, with the progress of experience, either faded into inanity, or been proved to be erroneous; and each Kind of simple substance remains, with its own collection of properties apart from the rest, saving a certain parallelism with a few other Kinds, the most similar to itself. In organized beings, indeed, there are abundance of propositions ascertained to be universally true of superior genera, to many of which the discovery hereafter of any exceptions must be regarded as extremely improbable. But these, as already observed, are, we have every reason to believe, properties dependent on causation.<sup>192</sup>

Uniformities of co-existence, then, not only when they are consequences of laws of succession, but also when they are ultimate truths, must be ranked, for the purpose of logic, among empirical laws; and are amenable in every respect to the same rules with those unresolved uniformities which are known to be dependent on causation.<sup>193</sup>

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<sup>189</sup> Book i., chap. vii.



<sup>190</sup> In some cases, a Kind is sufficiently identified by some one remarkable property: but most commonly several are required; each property considered singly, being a joint property of that and of other Kinds. The color and brightness of the diamond are common to it with the paste from which false diamonds are made; its octohedral form is common to it with alum, and magnetic iron ore; but the color and brightness and the form together, identify its Kind: that is, are a mark to us that it is combustible; that when burned it produces carbonic acid; that it can not be cut with any known substance; together with many other ascertained properties, and the fact that there exist an indefinite number still unascertained.

<sup>191</sup> This doctrine of course assumes that the allotropic forms of what is chemically the same substance are so many different Kinds; and such, in the sense in which the word Kind is used in this treatise, they really are.

<sup>192</sup> Professor Bain (Logic, ii., 13) mentions two empirical laws, which he considers to be, with the exception of the law connecting Gravity with Resistance to motion, "the two most widely operating laws as yet discovered whereby two distinct properties are conjoined throughout substances generally." The first is, "a law connecting Atomic Weight and Specific Heat by an inverse proportion. For equal weights of the simple bodies, the atomic weight multiplied by a number expressing the specific heat, gives a nearly uniform product. The products, for all the elements, are near the constant number 6." The other is a law which obtains "between the specific gravity of substances in the gaseous state, and the atomic weights. The relationship of the two numbers is in some instances equality; in other instances the one is a multiple of the other."

Neither of these generalizations has the smallest appearance of being an ultimate law. They point unmistakably to higher laws. Since the heat necessary to raise to a given temperature the same weight of different substances (called their specific heat) is inversely as their atomic weight, that is, directly as the number of atoms in a given weight of the substance, it follows that a single atom of every substance requires the same amount of heat to raise it to a given temperature; a most interesting and important law, but a law of causation. The other law mentioned by Mr. Bain points to the conclusion, that in the gaseous state all substances contain, in the same space, the same number of atoms; which, as the gaseous state suspends all cohesive force, might naturally be expected, though it could not have been positively assumed. This law may also be a result of the mode of action of causes, namely, of molecular motions. The cases in which one of the numbers is not identical with the other, but a multiple of it, may be explained on the nowise unlikely supposition, that in our present estimate of the atomic weights of some substances, we mistake two, or three, atoms for one, or one for several.

<sup>193</sup> Dr. M'Cosh (p. 324 of his book) considers the laws of the chemical composition of bodies as not coming under the principle of Causation; and thinks it an omission in this work not to have provided special canons for their investigation and proof. But every case of chemical composition is, as I have explained, a case of causation. When it is said that water is composed of hydrogen and oxygen, the affirmation is that hydrogen and oxygen, by the action on one another which they exert under certain conditions, *generate* the properties of water. The Canons of Induction, therefore, as laid down in this treatise, are applicable to the case. Such special adaptations as the Inductive methods may require in their application to chemistry, or any other science, are a proper subject for any one who treats of the logic of the special sciences, as Professor Bain has done in the latter part of his work; but they do not appertain to General Logic.

Dr. M'Cosh also complains (p. 325) that I have given no canons for those sciences in which "the end sought is not the discovery of Causes or of Composition, but of Classes; that is, Natural Classes." Such canons could be no other than the principles and rules of Natural Classification, which I certainly thought that I had expounded at considerable length. But this is far from the only instance in which Dr. M'Cosh does not appear to be aware of the contents of the books he is criticising.



# CHAPTER XXIII.

## OF APPROXIMATE GENERALIZATIONS, AND PROBABLE EVIDENCE.

§ 1. In our inquiries into the nature of the inductive process, we must not confine our notice to such generalizations from experience as profess to be universally true. There is a class of inductive truths avowedly not universal; in which it is not pretended that the predicate is always true of the subject; but the value of which, as generalizations, is nevertheless extremely great. An important portion of the field of inductive knowledge does not consist of universal truths, but of approximations to such truths; and when a conclusion is said to rest on probable evidence, the premises it is drawn from are usually generalizations of this sort.

As every certain inference respecting a particular case implies that there is ground for a general proposition of the form, every A is B; so does every probable inference suppose that there is ground for a proposition of the form, Most A are B; and the degree of probability of the inference in an average case will depend on the proportion between the number of instances existing in nature which accord with the generalization, and the number of those which conflict with it.

§ 2. Propositions in the form, Most A are B, are of a very different degree of importance in science, and in the practice of life. To the scientific inquirer they are valuable chiefly as materials for, and steps toward universal truths. The discovery of these is the proper end of science; its work is not done if it stops at the proposition that a majority of A are B, without circumscribing that majority by some common character, fitted to distinguish them from the minority. Independently of the inferior precision of such imperfect generalizations, and the inferior assurance with which they can be applied to individual cases, it is plain that, compared with exact generalizations, they are almost useless as means of discovering ulterior truths by way of deduction. We may, it is true, by combining the proposition Most A are B, with a universal proposition, Every B is C, arrive at the conclusion that Most A are C. But when a second

proposition of the approximate kind is introduced — or even when there is but one, if that one be the major premise — nothing can, in general, be positively concluded. When the major is Most B are D, then, even if the minor be Every A is B, we can not infer that most A are D, or with any certainty that even some A are D. Though the majority of the class B have the attribute signified by D, the whole of the sub-class A may belong to the minority.<sup>194</sup>.....

Though so little use can be made, in science, of approximate generalizations, except as a stage on the road to something better, for practical guidance they are often all we have to rely on. Even when science has really determined the universal laws of any phenomenon, not only are those laws generally too much encumbered with conditions to be adapted for everyday use, but the cases which present themselves in life are too complicated, and our decisions require to be taken too rapidly, to admit of waiting till the existence of a phenomenon can be proved by what have been scientifically ascertained to be universal marks of it. To be indecisive and reluctant to act, because we have not evidence of a perfectly conclusive character to act on, is a defect sometimes incident to scientific minds, but which, wherever it exists, renders them unfit for practical emergencies. If we would succeed in action, we must judge by indications which, though they do not generally mislead us, sometimes do, and must make up, as far as possible, for the incomplete conclusiveness of any one indication, by obtaining others to corroborate it. The principles of induction applicable to approximate generalization are therefore a not less important subject of inquiry than the rules for the investigation of universal truths; and might reasonably be expected to detain us almost as long, were it not that these principles are mere corollaries from those which have been already treated of.

§ 3. There are two sorts of cases in which we are forced to guide ourselves by generalizations of the imperfect form, Most A are B. The first is, when we have no others; when we have not been able to carry our investigation of the laws of the phenomena any further; as in the following propositions — Most dark-eyed persons have dark hair; Most springs contain mineral substances; Most stratified formations contain fossils. The importance of this class of generalizations is not very great; for, though it

frequently happens that we see no reason why that which is true of most individuals of a class is not true of the remainder, nor are able to bring the former under any general description which can distinguish them from the latter, yet if we are willing to be satisfied with propositions of a less degree of generality, and to break down the class A into sub-classes, we may generally obtain a collection of propositions exactly true. We do not know why most wood is lighter than water, nor can we point out any general property which discriminates wood that is lighter than water from that which is heavier. But we know exactly what species are the one and what the other. And if we meet with a specimen not conformable to any known species (the only case in which our previous knowledge affords no other guidance than the approximate generalization), we can generally make a specific experiment, which is a surer resource.

It often happens, however, that the proposition, Most A are B, is not the ultimatum of our scientific attainments, though the knowledge we possess beyond it can not conveniently be brought to bear upon the particular instance. We may know well enough what circumstances distinguish the portion of A which has the attribute B from the portion which has it not, but may have no means, or may not have time, to examine whether those characteristic circumstances exist or not in the individual case. This is the situation we are generally in when the inquiry is of the kind called moral, that is, of the kind which has in view to predict human actions. To enable us to affirm any thing universally concerning the actions of classes of human beings, the classification must be grounded on the circumstances of their mental culture and habits, which in an individual case are seldom exactly known; and classes grounded on these distinctions would never precisely accord with those into which mankind are divided for social purposes. All propositions which can be framed respecting the actions of human beings as ordinarily classified, or as classified according to any kind of outward indications, are merely approximate. We can only say, Most persons of a particular age, profession, country, or rank in society, have such and such qualities; or, Most persons, when placed in certain circumstances, act in such and such a way. Not that we do not often know well enough on what causes the qualities depend, or what sort of persons they are who act in that particular way; but we have seldom the means of knowing whether any

individual person has been under the influence of those causes, or is a person of that particular sort. We could replace the approximate generalizations by propositions universally true; but these would hardly ever be capable of being applied to practice. We should be sure of our majors, but we should not be able to get minors to fit; we are forced, therefore, to draw our conclusions from coarser and more fallible indications.

§ 4. Proceeding now to consider what is to be regarded as sufficient evidence of an approximate generalization, we can have no difficulty in at once recognizing that, when admissible at all, it is admissible only as an empirical law. Propositions of the form, Every A is B, are not necessarily laws of causation, or ultimate uniformities of co-existence; propositions like Most A are B, *can not* be so. Propositions hitherto found true in every observed instance may yet be no necessary consequence of laws of causation, or of ultimate uniformities, and unless they are so, may, for aught we know, be false beyond the limits of actual observation; still more evidently must this be the case with propositions which are only true in a mere majority of the observed instances.

There is some difference, however, in the degree of certainty of the proposition, Most A are B, according as that approximate generalization composes the whole of our knowledge of the subject, or not. Suppose, first, that the former is the case. We know only that most A are B, not why they are so, nor in what respect those which are differ from those which are not. How, then, did we learn that most A are B? Precisely in the manner in which we should have learned, had such happened to be the fact that all A are B. We collected a number of instances sufficient to eliminate chance, and, having done so, compared the number of instances in the affirmative with the number in the negative. The result, like other unresolved derivative laws, can be relied on solely within the limits not only of place and time, but also of circumstance, under which its truth has been actually observed; for, as we are supposed to be ignorant of the causes which make the proposition true, we can not tell in what manner any new circumstance might perhaps affect it. The proposition, Most judges are inaccessible to bribes, would probably be found true of Englishmen, Frenchmen, Germans, North Americans, and so forth; but if on this evidence alone we

extended the assertion to Orientals, we should step beyond the limits, not only of place but of circumstance, within which the fact had been observed, and should let in possibilities of the absence of the determining causes, or the presence of counteracting ones, which might be fatal to the approximate generalization.

In the case where the approximate proposition is not the ultimatum of our scientific knowledge, but only the most available form of it for practical guidance; where we know, not only that most A have the attribute B, but also the causes of B, or some properties by which the portion of A which has that attribute is distinguished from the portion which has it not, we are rather more favorably situated than in the preceding case. For we have now a double mode of ascertaining whether it be true that most A are B; the direct mode, as before, and an indirect one, that of examining whether the proposition admits of being deduced from the known cause, or from any known criterion, of B. Let the question, for example, be whether most Scotchmen can read? We may not have observed, or received the testimony of others respecting, a sufficient number and variety of Scotchmen to ascertain this fact; but when we consider that the cause of being able to read is the having been taught it, another mode of determining the question presents itself, namely, by inquiring whether most Scotchmen have been sent to schools where reading is effectually taught. Of these two modes, sometimes one and sometimes the other is the more available. In some cases, the frequency of the effect is the more accessible to that extensive and varied observation which is indispensable to the establishment of an empirical law; at other times, the frequency of the causes, or of some collateral indications. It commonly happens that neither is susceptible of so satisfactory an induction as could be desired, and that the grounds on which the conclusion is received are compounded of both. Thus a person may believe that most Scotchmen can read, because, so far as his information extends, most Scotchmen have been sent to school, and most Scotch schools teach reading effectually; and also because most of the Scotchmen whom he has known or heard of could read; though neither of these two sets of observations may by itself fulfill the necessary conditions of extent and variety.

Although the approximate generalization may in most cases be indispensable for our guidance, even when we know the cause, or some certain mark, of the attribute predicated, it needs hardly be observed that we may always replace the uncertain indication by a certain one, in any case in which we can actually recognize the existence of the cause or mark. For example, an assertion is made by a witness, and the question is whether to believe it. If we do not look to any of the individual circumstances of the case, we have nothing to direct us but the approximate generalization, that truth is more common than falsehood, or, in other words, that most persons, on most occasions, speak truth. But if we consider in what circumstances the cases where truth is spoken differ from those in which it is not, we find, for instance, the following: the witness's being an honest person or not; his being an accurate observer or not; his having an interest to serve in the matter or not. Now, not only may we be able to obtain other approximate generalizations respecting the degree of frequency of these various possibilities, but we may know which of them is positively realized in the individual case. That the witness has or has not an interest to serve, we perhaps know directly; and the other two points indirectly, by means of marks; as, for example, from his conduct on some former occasion; or from his reputation, which, though a very uncertain mark, affords an approximate generalization (as, for instance, Most persons who are believed to be honest by those with whom they have had frequent dealings, are really so), which approaches nearer to a universal truth than the approximate general proposition with which we set out, viz., Most persons on most occasions speak truth.

As it seems unnecessary to dwell further on the question of the evidence of approximate generalizations, we shall proceed to a not less important topic, that of the cautions to be observed in arguing from these incompletely universal propositions to particular cases.

§ 5. So far as regards the direct application of an approximate generalization to an individual instance, this question presents no difficulty. If the proposition, Most A are B, has been established, by a sufficient induction, as an empirical law, we may conclude that any particular A is B with a probability proportioned to the preponderance of the number of affirmative instances over the number of exceptions. If it



has been found practicable to attain numerical precision in the data, a corresponding degree of precision may be given to the evaluation of the chances of error in the conclusion. If it can be established as an empirical law that nine out of every ten A are B, there will be one chance in ten of error in assuming that any A, not individually known to us, is a B: but this of course holds only within the limits of time, place, and circumstance, embraced in the observations, and therefore can not be counted on for any sub-class or variety of A (or for A in any set of external circumstances) which were not included in the average. It must be added, that we can guide ourselves by the proposition, Nine out of every ten A are B, only in cases of which we know nothing except that they fall within the class A. For if we know, of any particular instances *i*, not only that it falls under A, but to what species or variety of A it belongs, we shall generally err in applying to *i* the average struck for the whole genus, from which the average corresponding to that species alone would, in all probability, materially differ. And so if *i*, instead of being a particular sort of instance, is an instance known to be under the influence of a particular set of circumstances, the presumption drawn from the numerical proportions in the whole genus would probably, in such a case, only mislead. A general average should only be applied to cases which are neither known, nor can be presumed, to be other than average cases. Such averages, therefore, are commonly of little use for the practical guidance of any affairs but those which concern large numbers. Tables of the chances of life are useful to insurance offices, but they go a very little way toward informing any one of the chances of his own life, or any other life in which he is interested, since almost every life is either better or worse than the average. Such averages can only be considered as supplying the first term in a series of approximations; the subsequent terms proceeding on an appreciation of the circumstances belonging to the particular case.

§ 6. From the application of a single approximate generalization to individual cases, we proceed to the application of two or more of them together to the same case.

When a judgment applied to an individual instance is grounded on two approximate generalizations taken in conjunction, the propositions may cooperate toward the result in two different ways. In the one, each

proposition is separately applicable to the case in hand, and our object in combining them is to give to the conclusion in that particular case the double probability arising from the two propositions separately. This may be called joining two probabilities by way of Addition; and the result is a probability greater than either. The other mode is, when only one of the propositions is directly applicable to the case, the second being only applicable to it by virtue of the application of the first. This is joining two probabilities by way of Ratiocination or Deduction; the result of which is a less probability than either. The type of the first argument is, Most A are B; most C are B; this thing is both an A and a C; therefore it is probably a B. The type of the second is, Most A are B; most C are A; this is a C; therefore it is probably an A, therefore it is probably a B. The first is exemplified when we prove a fact by the testimony of two unconnected witnesses; the second, when we adduce only the testimony of one witness that he has heard the thing asserted by another. Or again, in the first mode it may be argued that the accused committed the crime, because he concealed himself, and because his clothes were stained with blood; in the second, that he committed it because he washed or destroyed his clothes, which is supposed to render it probable that they were stained with blood. Instead of only two links, as in these instances, we may suppose chains of any length. A chain of the former kind was termed by Bentham<sup>195</sup> a self-corroborative chain of evidence; the second, a self-infirmative chain.

When approximate generalizations are joined by way of addition, we may deduce from the theory of probabilities laid down in a former chapter, in what manner each of them adds to the probability of a conclusion which has the warrant of them all.

If, on an average, two of every three As are Bs, and three of every four Cs are Bs, the probability that something which is both an A and a C is a B, will be more than two in three, or than three in four. Of every twelve things which are As, all except four are Bs by the supposition; and if the whole twelve, and consequently those four, have the characters of C likewise, three of these will be Bs on that ground. Therefore, out of twelve which are both As and Cs, eleven are Bs. To state the argument in another way; a thing which is both an A and a C, but which is not a B, is found in only one of three sections of the class A, and in only one of four sections of the class

C; but this fourth of C being spread over the whole of A indiscriminately, only one-third part of it (or one-twelfth of the whole number) belongs to the third section of A; therefore a thing which is not a B occurs only once, among twelve things which are both As and Cs. The argument would, in the language of the doctrine of chances, be thus expressed: the chance that an A is not a B is  $1/3$ , the chance that a C is not a B is  $1/4$ ; hence if the thing be both an A and a C, the chance is  $1/3$  of  $1/4 = 1/12$ .<sup>196</sup>.....

In this computation it is of course supposed that the probabilities arising from A and C are independent of each other. There must not be any such connection between A and C, that when a thing belongs to the one class it will therefore belong to the other, or even have a greater chance of doing so. Otherwise the not-Bs which are Cs may be, most or even all of them, identical with the not-Bs which are As; in which last case the probability arising from A and C together will be no greater than that arising from A alone.

When approximate generalizations are joined together in the other mode, that of deduction, the degree of probability of the inference, instead of increasing, diminishes at each step. From two such premises as Most A are B, Most B are C, we can not with certainty conclude that even a single A is C; for the whole of the portion of A which in any way falls under B, may perhaps be comprised in the exceptional part of it. Still, the two propositions in question afford an appreciable probability that any given A is C, provided the average on which the second proposition is grounded was taken fairly with reference to the first; provided the proposition, Most B are C, was arrived at in a manner leaving no suspicion that the probability arising from it is otherwise than fairly distributed over the section of B which belongs to A. For though the instances which are A *may* be all in the minority, they may, also, be all in the majority; and the one possibility is to be set against the other. On the whole, the probability arising from the two propositions taken together, will be correctly measured by the probability arising from the one, abated in the ratio of that arising from the other. If nine out of ten Swedes have light hair, and eight out of nine inhabitants of Stockholm are Swedes, the probability arising from these two propositions, that any given inhabitant of Stockholm is light-haired, will amount to eight in ten; though it is

rigorously possible that the whole Swedish population of Stockholm might belong to that tenth section of the people of Sweden who are an exception to the rest.

If the premises are known to be true not of a bare majority, but of nearly the whole, of their respective subjects, we may go on joining one such proposition to another for several steps, before we reach a conclusion not presumably true even of a majority. The error of the conclusion will amount to the aggregate of the errors of all the premises. Let the proposition, most A are B, be true of nine in ten; Most B are C, of eight in nine; then not only will one A in ten not be C, because not B, but even of the nine-tenths which are B, only eight-ninths will be C; that is, the cases of A which are C will be only  $\frac{8}{9}$  of  $\frac{9}{10}$ , or four-fifths. Let us now add Most C are D, and suppose this to be true of seven cases out of eight; the proportion of A which is D will be only  $\frac{7}{8}$  of  $\frac{8}{9}$  of  $\frac{9}{10}$ , or  $\frac{7}{10}$ . Thus the probability progressively dwindles. The experience, however, on which our approximate generalizations are grounded, has so rarely been subjected to, or admits of, accurate numerical estimation, that we can not in general apply any measurement to the diminution of probability which takes place at each illation; but must be content with remembering that it does diminish at every step, and that unless the premises approach very nearly indeed to being universally true, the conclusion after a very few steps is worth nothing. A hearsay of a hearsay, or an argument from presumptive evidence depending not on immediate marks but on marks of marks, is worthless at a very few removes from the first stage.

§ 7. There are, however, two cases in which reasonings depending on approximate generalizations may be carried to any length we please with as much assurance, and are as strictly scientific, as if they were composed of universal laws of nature. But these cases are exceptions of the sort which are currently said to prove the rule. The approximate generalizations are as suitable, in the cases in question, for purposes of ratiocination, as if they were complete generalizations, because they are capable of being transformed into complete generalizations exactly equivalent.

First: If the approximate generalization is of the class in which our reason for stopping at the approximation is not the impossibility, but only

the inconvenience, of going further; if we are cognizant of the character which distinguishes the cases that accord with the generalization from those which are exceptions to it; we may then substitute for the approximate proposition, a universal proposition with a proviso. The proposition, Most persons who have uncontrolled power employ it ill, is a generalization of this class, and may be transformed into the following: All persons who have uncontrolled power employ it ill, provided they are not persons of unusual strength of judgment and rectitude of purpose. The proposition, carrying the hypothesis or proviso with it, may then be dealt with no longer as an approximate, but as a universal proposition; and to whatever number of steps the reasoning may reach, the hypothesis, being carried forward to the conclusion, will exactly indicate how far that conclusion is from being applicable universally. If in the course of the argument other approximate generalizations are introduced, each of them being in like manner expressed as a universal proposition with a condition annexed, the sum of all the conditions will appear at the end as the sum of all the errors which affect the conclusion. Thus, to the proposition last cited, let us add the following: All absolute monarchs have uncontrolled power, unless their position is such that they need the active support of their subjects (as was the case with Queen Elizabeth, Frederick of Prussia, and others). Combining these two propositions, we can deduce from them a universal conclusion, which will be subject to both the hypotheses in the premises; All absolute monarchs employ their power ill, unless their position makes them need the active support of their subjects, or unless they are persons of unusual strength of judgment and rectitude of purpose. It is of no consequence how rapidly the errors in our premises accumulate, if we are able in this manner to record each error, and keep an account of the aggregate as it swells up.

Secondly: there is a case in which approximate propositions, even without our taking note of the conditions under which they are not true of individual cases, are yet, for the purposes of science, universal ones; namely, in the inquiries which relate to the properties not of individuals, but of multitudes. The principal of these is the science of politics, or of human society. This science is principally concerned with the actions not of solitary individuals, but of masses; with the fortunes not of single persons, but of communities. For the statesman, therefore, it is generally

enough to know that *most* persons act or are acted upon in a particular way; since his speculations and his practical arrangements refer almost exclusively to cases in which the whole community, or some large portion of it, is acted upon at once, and in which, therefore, what is done or felt by *most* persons determines the result produced by or upon the body at large. He can get on well enough with approximate generalizations on human nature, since what is true approximately of all individuals is true absolutely of all masses. And even when the operations of individual men have a part to play in his deductions, as when he is reasoning of kings, or other single rulers, still, as he is providing for indefinite duration, involving an indefinite succession of such individuals, he must in general both reason and act as if what is true of most persons were true of all.

The two kinds of considerations above adduced are a sufficient refutation of the popular error, that speculations on society and government, as resting on merely probable evidence, must be inferior in certainty and scientific accuracy to the conclusions of what are called the exact sciences, and less to be relied on in practice. There are reasons enough why the moral sciences must remain inferior to at least the more perfect of the physical; why the laws of their more complicated phenomena can not be so completely deciphered, nor the phenomena predicted with the same degree of assurance. But though we can not attain to so many truths, there is no reason that those we can attain should deserve less reliance, or have less of a scientific character. Of this topic, however, I shall treat more systematically in the concluding Book, to which place any further consideration of it must be deferred.

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<sup>194</sup> Mr. De Morgan, in his *Formal Logic*, makes the just remark, that from two such premises as Most A are B, and Most A are C, we may infer with certainty that some B are C. But this is the utmost limit of the conclusions which can be drawn from two approximate generalizations, when the precise degree of their approximation to universality is unknown or undefined.

<sup>195</sup> *Rationale of Judicial Evidence*, vol. iii., p. 224.

<sup>196</sup> The evaluation of the chances in this statement has been objected to by a mathematical friend. The correct mode, in his opinion, of setting out the possibilities is as follows. If the thing (let us call it T) which is both an A and a C, is a B, something is true which is only true twice in every thrice, and

something else which is only true thrice in every four times. The first fact being true eight times in twelve, and the second being true six times in every eight, and consequently six times in those eight; both facts will be true only six times in twelve. On the other hand, if T, although it is both an A and a C, is not a B, something is true which is only true once in every thrice, and something else which is only true once in every four times. The former being true four times out of twelve, and the latter once in every four, and therefore once in those four; both are only true in one case out of twelve. So that T is a B six times in twelve, and T is not a B, only once: making the comparative probabilities, not eleven to one, as I had previously made them, but six to one.

In the last edition I accepted this reasoning as conclusive. More attentive consideration, however, has convinced me that it contains a fallacy.

The objector argues, that the fact of A's being a B is true eight times in twelve, and the fact of C's being a B six times in eight, and consequently six times in those eight; both facts, therefore, are true only six times in every twelve. That is, he concludes that because among As taken indiscriminately only eight out of twelve are Bs and the remaining four are not, it must equally hold that four out of twelve are not Bs when the twelve are taken from the select portion of As which are also Cs. And by this assumption he arrives at the strange result, that there are fewer Bs among things which are both As and Cs than there are among either As or Cs taken indiscriminately; so that a thing which has both chances of being a B, is less likely to be so than if it had only the one chance or only the other.

The objector (as has been acutely remarked by another correspondent) applies to the problem under consideration, a mode of calculation only suited to the reverse problem. Had the question been — If two of every three Bs are As and three out of every four Bs are Cs, how many Bs will be both As and Cs, his reasoning would have been correct. For the Bs that are both As and Cs must be fewer than either the Bs that are As or the Bs that are Cs, and to find their number we must abate either of these numbers in the ratio due to the other. But when the problem is to find, not how many Bs are both As and Cs, but how many things that are both As and Cs are Bs, it is evident that among these the proportion of Bs must be not less, but greater, than among things which are only A, or among things which are only B.

The true theory of the chances is best found by going back to the scientific grounds on which the proportions rest. The degree of frequency of a coincidence depends on, and is a measure of, the frequency, combined with the efficacy, of the causes in operation that are favorable to it. If out of every twelve As taken indiscriminately eight are Bs and four are not, it is implied that there are causes operating on A which tend to make it a B, and that these causes are sufficiently constant and sufficiently powerful to succeed in eight out of twelve cases, but fail in the remaining four. So if of

twelve Cs, nine are Bs and three are not, there must be causes of the same tendency operating on C, which succeed in nine cases and fail in three. Now suppose twelve cases which are both As and Cs. The whole twelve are now under the operation of both sets of causes. One set is sufficient to prevail in eight of the twelve cases, the other in nine. The analysis of the cases shows that six of the twelve will be Bs through the operation of both sets of causes; two more in virtue of the causes operating on A; and three more through those operating on C, and that there will be only one case in which all the causes will be inoperative. The total number, therefore, which are Bs will be eleven in twelve, and the evaluation in the text is correct.





# CHAPTER XXIV.

## OF THE REMAINING LAWS OF NATURE.

§ 1. In the First Book we found that all the assertions which can be conveyed by language, express some one or more of five different things: Existence; Order in Place; Order in Time; Causation; and Resemblance.<sup>197</sup> Of these, Causation, in our view of the subject, not being fundamentally different from Order in Time, the five species of possible assertions are reduced to four. The propositions which affirm Order in Time in either of its two modes, Co-existence and Succession, have formed, thus far, the subject of the present Book. And we have now concluded the exposition, so far as it falls within the limits assigned to this work, of the nature of the evidence on which these propositions rest, and the processes of investigation by which they are ascertained and proved. There remain three classes of facts: Existence, Order in Place, and Resemblance; in regard to which the same questions are now to be resolved.

Regarding the first of these, very little needs be said. Existence in general, is a subject not for our science, but for metaphysics. To determine what things can be recognized as really existing, independently of our own sensible or other impressions, and in what meaning the term is, in that case, predicated of them, belongs to the consideration of "Things in themselves," from which, throughout this work, we have as much as possible kept aloof. Existence, so far as Logic is concerned about it, has reference only to phenomena; to actual, or possible, states of external or internal consciousness, in ourselves or others. Feelings of sensitive beings, or possibilities of having such feelings, are the only things the existence of which can be a subject of logical induction, because the only things of which the existence in individual cases can be a subject of experience.

It is true that a thing is said by us to exist, even when it is absent, and therefore is not and can not be perceived. But even then, its existence is to us only another word for our conviction that we should perceive it on a certain supposition; namely, if we were in the needful circumstances of time and place, and endowed with the needful perfection of organs. My

belief that the Emperor of China exists, is simply my belief that if I were transported to the imperial palace or some other locality in Peking, I should see him. My belief that Julius Cæsar existed, is my belief that I should have seen him if I had been present in the field of Pharsalia, or in the senate-house at Rome. When I believe that stars exist beyond the utmost range of my vision, though assisted by the most powerful telescopes yet invented, my belief, philosophically expressed, is, that with still better telescopes, if such existed, I could see them, or that they may be perceived by beings less remote from them in space, or whose capacities of perception are superior to mine.

The existence, therefore, of a phenomenon, is but another word for its being perceived, or for the inferred possibility of perceiving it. When the phenomenon is within the range of present observation, by present observation we assure ourselves of its existence; when it is beyond that range, and is therefore said to be absent, we infer its existence from marks or evidences. But what can these evidences be? Other phenomena; ascertained by induction to be connected with the given phenomenon, either in the way of succession or of co-existence. The simple existence, therefore, of an individual phenomenon, when not directly perceived, is inferred from some inductive law of succession or co-existence; and is consequently not amenable to any peculiar inductive principles. We prove the existence of a thing, by proving that it is connected by succession or co-existence with some known thing.

With respect to *general* propositions of this class, that is, which affirm the bare fact of existence, they have a peculiarity which renders the logical treatment of them a very easy matter; they are generalizations which are sufficiently proved by a single instance. That ghosts, or unicorns, or sea-serpents exist, would be fully established if it could be ascertained positively that such things had been even once seen. Whatever has once happened, is capable of happening again; the only question relates to the conditions under which it happens.

So far, therefore, as relates to simple existence, the Inductive Logic has no knots to untie. And we may proceed to the remaining two of the great classes into which facts have been divided; Resemblance, and Order in Place.

§ 2. Resemblance and its opposite, except in the case in which they assume the names of Equality and Inequality, are seldom regarded as subjects of science; they are supposed to be perceived by simple apprehension; by merely applying our senses or directing our attention to the two objects at once, or in immediate succession. And this simultaneous, or virtually simultaneous, application of our faculties to the two things which are to be compared, does necessarily constitute the ultimate appeal, wherever such application is practicable. But, in most cases, it is not practicable: the objects can not be brought so close together that the feeling of their resemblance (at least a complete feeling of it) directly arises in the mind. We can only compare each of them with some third object, capable of being transported from one to the other. And besides, even when the objects can be brought into immediate juxtaposition, their resemblance or difference is but imperfectly known to us, unless we have compared them minutely, part by part. Until this has been done, things in reality very dissimilar often appear undistinguishably alike. Two lines of very unequal length will appear about equal when lying in different directions; but place them parallel with their farther extremities even, and if we look at the nearer extremities, their inequality becomes a matter of direct perception.

To ascertain whether, and in what, two phenomena resemble or differ, is not always, therefore, so easy a thing as it might at first appear. When the two can not be brought into juxtaposition, or not so that the observer is able to compare their several parts in detail, he must employ the indirect means of reasoning and general propositions. When we can not bring two straight lines together, to determine whether they are equal, we do it by the physical aid of a foot-rule applied first to one and then to the other, and the logical aid of the general proposition or formula, "Things which are equal to the same thing are equal to one another." The comparison of two things through the intervention of a third thing, when their direct comparison is impossible, is the appropriate scientific process for ascertaining resemblances and dissimilarities, and is the sum total of what Logic has to teach on the subject.

An undue extension of this remark induced Locke to consider reasoning itself as nothing but the comparison of two ideas through the

medium of a third, and knowledge as the perception of the agreement or disagreement of two ideas; doctrines which the Condillac school blindly adopted, without the qualifications and distinctions with which they were studiously guarded by their illustrious author. Where, indeed, the agreement or disagreement (otherwise called resemblance or dissimilarity) of any two things is the very matter to be determined, as is the case particularly in the sciences of quantity and extension; there, the process by which a solution, if not attainable by direct perception, must be indirectly sought, consists in comparing these two things through the medium of a third. But this is far from being true of all inquiries. The knowledge that bodies fall to the ground is not a perception of agreement or disagreement, but of a series of physical occurrences, a succession of sensations. Locke's definitions of knowledge and of reasoning required to be limited to our knowledge of, and reasoning about, resemblances. Nor, even when thus restricted, are the propositions strictly correct; since the comparison is not made, as he represents, between the ideas of the two phenomena, but between the phenomena themselves. This mistake has been pointed out in an earlier part of our inquiry,<sup>198</sup> and we traced it to an imperfect conception of what takes place in mathematics, where very often the comparison is really made between the ideas, without any appeal to the outward senses; only, however, because in mathematics a comparison of the ideas is strictly equivalent to a comparison of the phenomena themselves. Where, as in the case of numbers, lines, and figures, our idea of an object is a complete picture of the object, so far as respects the matter in hand; we can, of course, learn from the picture, whatever could be learned from the object itself by mere contemplation of it as it exists at the particular instant when the picture is taken. No mere contemplation of gunpowder would ever teach us that a spark would make it explode, nor, consequently, would the contemplation of the idea of gunpowder do so; but the mere contemplation of a straight line shows that it can not inclose a space; accordingly the contemplation of the idea of it will show the same. What takes place in mathematics is thus no argument that the comparison is between the ideas only. It is always, either indirectly or directly, a comparison of the phenomena.

In cases in which we can not bring the phenomena to the test of direct inspection at all, or not in a manner sufficiently precise, but must judge of

their resemblance by inference from other resemblances or dissimilarities more accessible to observation, we of course require, as in all cases of ratiocination, generalizations or formulæ applicable to the subject. We must reason from laws of nature; from the uniformities which are observable in the fact of likeness or unlikeness.

§ 3. Of these laws or uniformities, the most comprehensive are those supplied by mathematics; the axioms relating to equality, inequality, and proportionality, and the various theorems thereon founded. And these are the only Laws of Resemblance which require to be, or which can be, treated apart. It is true there are innumerable other theorems which affirm resemblances among phenomena; as that the angle of the reflection of light is *equal* to its angle of incidence (equality being merely exact resemblance in magnitude). Again, that the heavenly bodies describe *equal* areas in equal times; and that their periods of revolution are *proportional* (another species of resemblance) to the sesquiplicate powers of their distances from the centre of force. These and similar propositions affirm resemblances, of the same nature with those asserted in the theorems of mathematics; but the distinction is, that the propositions of mathematics are true of all phenomena whatever, or at least without distinction of origin; while the truths in question are affirmed only of special phenomena, which originate in a certain way; and the equalities, proportionalities, or other resemblances, which exist between such phenomena, must necessarily be either derived from, or identical with, the law of their origin — the law of causation on which they depend. The equality of the areas described in equal times by the planets, is *derived* from the laws of the causes; and, until its derivation was shown, it was an empirical law. The equality of the angles of reflection and incidence is *identical* with the law of the cause; for the cause is the incidence of a ray of light upon a reflecting surface, and the equality in question is the very law according to which that cause produces its effects. This class, therefore, of the uniformities of resemblance between phenomena, are inseparable, in fact and in thought, from the laws of the production of those phenomena; and the principles of induction applicable to them are no other than those of which we have treated in the preceding chapters of this Book.

It is otherwise with the truths of mathematics. The laws of equality and inequality between spaces, or between numbers, have no connection with laws of causation. That the angle of reflection is equal to the angle of incidence, is a statement of the mode of action of a particular cause; but that when two straight lines intersect each other the opposite angles are equal, is true of all such lines and angles, by whatever cause produced. That the squares of the periodic times of the planets are proportional to the cubes of their distances from the sun, is a uniformity derived from the laws of the causes (or forces) which produce the planetary motions; but that the square of any number is four times the square of half the number, is true independently of any cause. The only laws of resemblance, therefore, which we are called upon to consider independently of causation, belong to the province of mathematics.

§ 4. The same thing is evident with respect to the only one remaining of our five categories, Order in Place. The order in place, of the effects of a cause, is (like every thing else belonging to the effects) a consequence of the laws of that cause. The order in place, or, as we have termed it, the collocation, of the primeval causes, is (as well as their resemblance) in each instance an ultimate fact, in which no laws or uniformities are traceable. The only remaining general propositions respecting order in place, and the only ones which have nothing to do with causation, are some of the truths of geometry; laws through which we are able, from the order in place of certain points, lines, or spaces, to infer the order in place of others which are connected with the former in some known mode; quite independently of the particular nature of those points, lines, or spaces, in any other respect than position or magnitude, as well as independently of the physical cause from which in any particular case they happen to derive their origin.

It thus appears that mathematics is the only department of science into the methods of which it still remains to inquire. And there is the less necessity that this inquiry should occupy us long, as we have already, in the Second Book, made considerable progress in it. We there remarked, that the directly inductive truths of mathematics are few in number; consisting of the axioms, together with certain propositions concerning existence, tacitly involved in most of the so-called definitions. And we gave

what appeared conclusive reasons for affirming that these original premises, from which the remaining truths of the science are deduced, are, notwithstanding all appearances to the contrary, results of observation and experience; founded, in short, on the evidence of the senses. That things equal to the same thing are equal to one another, and that two straight lines which have once intersected one another continue to diverge, are inductive truths; resting, indeed, like the law of universal causation, only on induction *per enumerationem simplicem*; on the fact that they have been perpetually perceived to be true, and never once found to be false. But, as we have seen in a recent chapter that this evidence, in the case of a law so completely universal as the law of causation, amounts to the fullest proof, so is this even more evidently true of the general propositions to which we are now adverting; because, as a perception of their truth in any individual case whatever, requires only the simple act of looking at the objects in a proper position, there never could have been in their case (what, for a long period, there were in the case of the law of causation) instances which were apparently, though not really, exceptions to them. Their infallible truth was recognized from the very dawn of speculation; and as their extreme familiarity made it impossible for the mind to conceive the objects under any other law, they were, and still are, generally considered as truths recognized by their own evidence, or by instinct.

§ 5. There is something which seems to require explanation, in the fact that the immense multitude of truths (a multitude still as far from being exhausted as ever) comprised in the mathematical sciences, can be elicited from so small a number of elementary laws. One sees not, at first, how it is that there can be room for such an infinite variety of true propositions, on subjects apparently so limited.

To begin with the science of number. The elementary or ultimate truths of this science are the common axioms concerning equality, namely, “Things which are equal to the same thing are equal to one another,” and “Equals added to equals make equal sums” (no other axioms are required),<sup>199</sup> together with the definitions of the various numbers. Like other so-called definitions, these are composed of two things, the explanation of a name, and the assertion of a fact; of which the latter alone

can form a first principle or premise of a science. The fact asserted in the definition of a number is a physical fact. Each of the numbers two, three, four, etc., denotes physical phenomena, and connotes a physical property of those phenomena. Two, for instance, denotes all pairs of things, and twelve all dozens of things, connoting what makes them pairs, or dozens; and that which makes them so is something physical; since it can not be denied that two apples are physically distinguishable from three apples, two horses from one horse, and so forth; that they are a different visible and tangible phenomenon. I am not undertaking to say what the difference is; it is enough that there is a difference of which the senses can take cognizance. And although a hundred and two horses are not so easily distinguished from a hundred and three, as two horses are from three — though in most positions the senses do not perceive any difference — yet they may be so placed that a difference will be perceptible, or else we should never have distinguished them, and given them different names. Weight is confessedly a physical property of things; yet small differences between great weights are as imperceptible to the senses in most situations, as small differences between great numbers; and are only put in evidence by placing the two objects in a peculiar position — namely, in the opposite scales of a delicate balance.

What, then, is that which is connoted by a name of number? Of course, some property belonging to the agglomeration of things which we call by the name; and that property is, the characteristic manner in which the agglomeration is made up of, and may be separated into, parts. I will endeavor to make this more intelligible by a few explanations.

When we call a collection of objects *two*, *three*, or *four*, they are not two, three, or four in the abstract; they are two, three, or four things of some particular kind; pebbles, horses, inches, pounds' weight. What the name of number connotes is, the manner in which single objects of the given kind must be put together, in order to produce that particular aggregate. If the aggregate be of pebbles, and we call it *two*, the name implies that, to compose the aggregate, one pebble must be joined to one pebble. If we call it *three*, one and one and one pebble must be brought together to produce it, or else one pebble must be joined to an aggregate of the kind called *two*, already existing. The aggregate which we call *four*, has



a still greater number of characteristic modes of formation. One and one and one and one pebble may be brought together; or two aggregates of the kind called *two* may be united; or one pebble may be added to an aggregate of the kind called *three*. Every succeeding number in the ascending series, may be formed by the junction of smaller numbers in a progressively greater variety of ways. Even limiting the parts to two, the number may be formed, and consequently may be divided, in as many different ways as there are numbers smaller than itself; and, if we admit of threes, fours, etc., in a still greater variety. Other modes of arriving at the same aggregate present themselves, not by the union of smaller, but by the dismemberment of larger aggregates. Thus, *three pebbles* may be formed by taking away one pebble from an aggregate of four; *two pebbles*, by an equal division of a similar aggregate; and so on.

Every arithmetical proposition; every statement of the result of an arithmetical operation; is a statement of one of the modes of formation of a given number. It affirms that a certain aggregate might have been formed by putting together certain other aggregates, or by withdrawing certain portions of some aggregate; and that, by consequence, we might reproduce those aggregates from it, by reversing the process.

Thus, when we say that the cube of 12 is 1728, what we affirm is this: that if, having a sufficient number of pebbles or of any other objects, we put them together into the particular sort of parcels or aggregates called twelves; and put together these twelves again into similar collections; and, finally, make up twelve of these largest parcels; the aggregate thus formed will be such a one as we call 1728; namely, that which (to take the most familiar of its modes of formation) may be made by joining the parcel called a thousand pebbles, the parcel called seven hundred pebbles, the parcel called twenty pebbles, and the parcel called eight pebbles.

The converse proposition that the cube root of 1728 is 12, asserts that this large aggregate may again be decomposed into the twelve twelves of twelves of pebbles which it consists of.

The modes of formation of any number are innumerable; but when we know one mode of formation of each, all the rest may be determined deductively. If we know that *a* is formed from *b* and *c*, *b* from *a* and *e*, *c* from *d* and *f*, and so forth, until we have included all the numbers of any

scale we choose to select (taking care that for each number the mode of formation be really a distinct one, not bringing us round again to the former numbers, but introducing a new number), we have a set of propositions from which we may reason to all the other modes of formation of those numbers from one another. Having established a chain of inductive truths connecting together all the numbers of the scale, we can ascertain the formation of any one of those numbers from any other by merely traveling from one to the other along the chain. Suppose that we know only the following modes of formation:  $6=4+2$ ,  $4=7-3$ ,  $7=5+2$ ,  $5=9-4$ . We could determine how 6 may be formed from 9. For  $6=4+2=7-3+2=5+2-3+2=9-4+2-3+2$ . It may therefore be formed by taking away 4 and 3, and adding 2 and 2. If we know besides that  $2+2=4$ , we obtain 6 from 9 in a simpler mode, by merely taking away 3.

It is sufficient, therefore, to select one of the various modes of formation of each number, as a means of ascertaining all the rest. And since things which are uniform, and therefore simple, are most easily received and retained by the understanding, there is an obvious advantage in selecting a mode of formation which shall be alike for all; in fixing the connotation of names of number on one uniform principle. The mode in which our existing numerical nomenclature is contrived possesses this advantage, with the additional one, that it happily conveys to the mind two of the modes of formation of every number. Each number is considered as formed by the addition of a unit to the number next below it in magnitude, and this mode of formation is conveyed by the place which it occupies in the series. And each is also considered as formed by the addition of a number of units less than ten, and a number of aggregates each equal to one of the successive powers of ten; and this mode of its formation is expressed by its spoken name, and by its numerical character.

What renders arithmetic the type of a deductive science, is the fortunate applicability to it of a law so comprehensive as "The sums of equals are equals;" or (to express the same principle in less familiar but more characteristic language), Whatever is made up of parts, is made up of the parts of those parts. This truth, obvious to the senses in all cases which can be fairly referred to their decision, and so general as to be co-extensive with nature itself, being true of all sorts of phenomena (for all admit of

being numbered), must be considered an inductive truth, or law of nature, of the highest order. And every arithmetical operation is an application of this law, or of other laws capable of being deduced from it. This is our warrant for all calculations. We believe that five and two are equal to seven, on the evidence of this inductive law, combined with the definitions of those numbers. We arrive at that conclusion (as all know who remember how they first learned it) by adding a single unit at a time:  $5 + 1=6$ , therefore  $5+1+1=6+1=7$ ; and again  $2=1+1$ , therefore  $5+2=5+1+1=7$ .

§ 6. Innumerable as are the true propositions which can be formed concerning particular numbers, no adequate conception could be gained, from these alone, of the extent of the truths composing the science of number. Such propositions as we have spoken of are the least general of all numerical truths. It is true that even these are co-extensive with all nature; the properties of the number four are true of all objects that are divisible into four equal parts, and all objects are either actually or ideally so divisible. But the propositions which compose the science of algebra are true, not of a particular number, but of all numbers; not of all things under the condition of being divided in a particular way, but of all things under the condition of being divided in any way — of being designated by a number at all.

Since it is impossible for different numbers to have any of their modes of formation completely in common, it is a kind of paradox to say, that all propositions which can be made concerning numbers relate to their modes of formation from other numbers, and yet that there are propositions which are true of all numbers. But this very paradox leads to the real principle of generalization concerning the properties of numbers. Two different numbers can not be formed in the same manner from the same numbers; but they may be formed in the same manner from different numbers; as nine is formed from three by multiplying it into itself, and sixteen is formed from four by the same process. Thus there arises a classification of modes of formation, or in the language commonly used by mathematicians, a classification of Functions. Any number, considered as formed from any other number, is called a function of it; and there are as many kinds of functions as there are modes of formation. The simple functions are by no means numerous, most functions being formed by the

combination of several of the operations which form simple functions, or by successive repetitions of some one of those operations. The simple functions of any number  $x$  are all reducible to the following forms:  $x+a$ ,  $x-a$ ,  $ax$ ,  $x/a$ ,  $\log. x$  (to the base  $a$ ), and the same expressions varied by putting  $x$  for  $a$  and  $a$  for  $x$ , wherever that substitution would alter the value: to which, perhaps, ought to be added  $\sin x$ , and  $\arcsin(x)$ . All other functions of  $x$  are formed by putting some one or more of the simple functions in the place of  $x$  or  $a$ , and subjecting them to the same elementary operations.

In order to carry on general reasonings on the subject of Functions, we require a nomenclature enabling us to express any two numbers by names which, without specifying what particular numbers they are, shall show what function each is of the other; or, in other words, shall put in evidence their mode of formation from one another. The system of general language called algebraical notation does this. The expressions  $a$  and  $a^2+3a$  denote, the one any number, the other the number formed from it in a particular manner. The expressions  $a$ ,  $b$ ,  $n$ , and  $(a+b)^n$ , denote any three numbers, and a fourth which is formed from them in a certain mode.

The following may be stated as the general problem of the algebraical calculus:  $F$  being a certain function of a given number, to find what function  $F$  will be of any function of that number. For example, a binomial  $a + b$  is a function of its two parts  $a$  and  $b$ , and the parts are, in their turn, functions of  $a + b$ : now  $(a + b)^n$  is a certain function of the binomial; what function will this be of  $a$  and  $b$ , the two parts? The answer to this question is the binomial theorem. The formula  $(a + b)^n = a^n + n/1 a^{n-1} b + n.n-1/1.2 a^{n-2} b^2$ , etc., shows in what manner the number which is formed by multiplying  $a + b$  into itself  $n$  times, might be formed without that process, directly from  $a$ ,  $b$ , and  $n$ . And of this nature are all the theorems of the science of number. They assert the identity of the result of different modes of formation. They affirm that some mode of formation from  $x$ , and some mode of formation from a certain function of  $x$ , produce the same number.

Such, as above described, is the aim and end of the calculus. As for its processes, every one knows that they are simply deductive. In demonstrating an algebraical theorem, or in resolving an equation, we travel from the *datum* to the *quæsitum* by pure ratiocination; in which the

only premises introduced, besides the original hypotheses, are the fundamental axioms already mentioned — that things equal to the same thing are equal to one another, and that the sums of equal things are equal. At each step in the demonstration or in the calculation, we apply one or other of these truths, or truths deducible from them, as, that the differences, products, etc., of equal numbers are equal.

It would be inconsistent with the scale of this work, and not necessary to its design, to carry the analysis of the truths and processes of algebra any further; which is also the less needful, as the task has been, to a very great extent, performed by other writers. Peacock's *Algebra*, and Dr. Whewell's *Doctrine of Limits*, are full of instruction on the subject. The profound treatises of a truly philosophical mathematician, Professor De Morgan, should be studied by every one who desires to comprehend the evidence of mathematical truths, and the meaning of the obscurer processes of the calculus, and the speculations of M. Comte, in his *Cours de Philosophie Positive*, on the philosophy of the higher branches of mathematics, are among the many valuable gifts for which philosophy is indebted to that eminent thinker.

§ 7. If the extreme generality, and remoteness not so much from sense as from the visual and tactual imagination, of the laws of number, renders it a somewhat difficult effort of abstraction to conceive those laws as being in reality physical truths obtained by observation; the same difficulty does not exist with regard to the laws of extension. The facts of which those laws are expressions, are of a kind peculiarly accessible to the senses, and suggesting eminently distinct images to the fancy. That geometry is a strictly physical science would doubtless have been recognized in all ages, had it not been for the illusions produced by two circumstances. One of these is the characteristic property, already noticed, of the facts of geometry, that they may be collected from our ideas or mental pictures of objects as effectually as from the objects themselves. The other is, the demonstrative character of geometrical truths; which was at one time supposed to constitute a radical distinction between them and physical truths; the latter, as resting on merely probable evidence, being deemed essentially uncertain and unprecise. The advance of knowledge has, however, made it manifest that physical science, in its better understood

branches, is quite as demonstrative as geometry. The task of deducing its details from a few comparatively simple principles is found to be any thing but the impossibility it was once supposed to be; and the notion of the superior certainty of geometry is an illusion, arising from the ancient prejudice which, in that science, mistakes the ideal data from which we reason, for a peculiar class of realities, while the corresponding ideal data of any deductive physical science are recognized as what they really are, hypotheses.

Every theorem in geometry is a law of external nature, and might have been ascertained by generalizing from observation and experiment, which in this case resolve themselves into comparison and measurement. But it was found practicable, and, being practicable, was desirable, to deduce these truths by ratiocination from a small number of general laws of nature, the certainty and universality of which are obvious to the most careless observer, and which compose the first principles and ultimate premises of the science. Among these general laws must be included the same two which we have noticed as ultimate principles of the Science of Number also, and which are applicable to every description of quantity; viz., The sums of equals are equal, and Things which are equal to the same thing are equal to one another; the latter of which may be expressed in a manner more suggestive of the inexhaustible multitude of its consequences, by the following terms: Whatever is equal to any one of a number of equal magnitudes, is equal to any other of them. To these two must be added, in geometry, a third law of equality, namely, that lines, surfaces, or solid spaces, which can be so applied to one another as to coincide, are equal. Some writers have asserted that this law of nature is a mere verbal definition; that the expression "equal magnitudes" means nothing but magnitudes which can be so applied to one another as to coincide. But in this opinion I can not agree. The equality of two geometrical magnitudes can not differ fundamentally in its nature from the equality of two weights, two degrees of heat, or two portions of duration, to none of which would this definition of equality be suitable. None of these things can be so applied to one another as to coincide, yet we perfectly understand what we mean when we call them equal. Things are equal in magnitude, as things are equal in weight, when they are felt to be exactly similar in respect of the attribute in which we compare them:

and the application of the objects to each other in the one case, like the balancing them with a pair of scales in the other, is but a mode of bringing them into a position in which our senses can recognize deficiencies of exact resemblance that would otherwise escape our notice.

Along with these three general principles or axioms, the remainder of the premises of geometry consists of the so-called definitions: that is to say, propositions asserting the real existence of the various objects therein designated, together with some one property of each. In some cases more than one property is commonly assumed, but in no case is more than one necessary. It is assumed that there are such things in nature as straight lines, and that any two of them setting out from the same point, diverge more and more without limit. This assumption (which includes and goes beyond Euclid's axiom that two straight lines can not inclose a space) is as indispensable in geometry, and as evident, resting on as simple, familiar, and universal observation, as any of the other axioms. It is also assumed that straight lines diverge from one another in different degrees; in other words, that there are such things as angles, and that they are capable of being equal or unequal. It is assumed that there is such a thing as a circle, and that all its radii are equal; such things as ellipses, and that the sums of the focal distances are equal for every point in an ellipse; such things as parallel lines, and that those lines are everywhere equally distant.<sup>200</sup>

§ 8. It is a matter of more than curiosity to consider, to what peculiarity of the physical truths which are the subject of geometry, it is owing that they can all be deduced from so small a number of original premises; why it is that we can set out from only one characteristic property of each kind of phenomenon, and with that and two or three general truths relating to equality, can travel from mark to mark until we obtain a vast body of derivative truths, to all appearance extremely unlike those elementary ones.

The explanation of this remarkable fact seems to lie in the following circumstances. In the first place, all questions of position and figure may be resolved into questions of magnitude. The position and figure of any object are determined by determining the position of a sufficient number of points in it; and the position of any point may be determined by the magnitude of three rectangular co-ordinates, that is, of the perpendiculars

drawn from the point to three planes at right angles to one another, arbitrarily selected. By this transformation of all questions of quality into questions only of quantity, geometry is reduced to the single problem of the measurement of magnitudes, that is, the ascertainment of the equalities which exist between them. Now when we consider that by one of the general axioms, any equality, when ascertained, is proof of as many other equalities as there are other things equal to either of the two equals; and that by another of those axioms, any ascertained equality is proof of the equality of as many pairs of magnitudes as can be formed by the numerous operations which resolve themselves into the addition of the equals to themselves or to other equals; we cease to wonder that in proportion as a science is conversant about equality, it should afford a more copious supply of marks of marks; and that the sciences of number and extension, which are conversant with little else than equality, should be the most deductive of all the sciences.

There are also two or three of the principal laws of space or extension which are unusually fitted for rendering one position or magnitude a mark of another, and thereby contributing to render the science largely deductive. First, the magnitudes of inclosed spaces, whether superficial or solid, are completely determined by the magnitudes of the lines and angles which bound them. Secondly, the length of any line, whether straight or curve, is measured (certain other things being given) by the angle which it subtends, and *vicè versa*. Lastly, the angle which any two straight lines make with each other at an inaccessible point, is measured by the angles they severally make with any third line we choose to select. By means of these general laws, the measurement of all lines, angles, and spaces whatsoever might be accomplished by measuring a single straight line and a sufficient number of angles; which is the plan actually pursued in the trigonometrical survey of a country; and fortunate it is that this is practicable, the exact measurement of long straight lines being always difficult, and often impossible, but that of angles very easy. Three such generalizations as the foregoing afford such facilities for the indirect measurement of magnitudes (by supplying us with known lines or angles which are marks of the magnitude of unknown ones, and thereby of the spaces which they inclose), that it is easily intelligible how from a few data we can go on to ascertain the magnitude of an indefinite multitude of lines,



angles, and spaces, which we could not easily, or could not at all, measure by any more direct process.

§ 9. Such are the remarks which it seems necessary to make in this place, respecting the laws of nature which are the peculiar subject of the sciences of number and extension. The immense part which those laws take in giving a deductive character to the other departments of physical science, is well known; and is not surprising, when we consider that all causes operate according to mathematical laws. The effect is always dependent on, or is a function of, the quantity of the agent; and generally of its position also. We can not, therefore, reason respecting causation, without introducing considerations of quantity and extension at every step; and if the nature of the phenomena admits of our obtaining numerical data of sufficient accuracy, the laws of quantity become the grand instrument for calculating forward to an effect, or backward to a cause. That in all other sciences, as well as in geometry, questions of quality are scarcely ever independent of questions of quantity, may be seen from the most familiar phenomena. Even when several colors are mixed on a painter's palette, the comparative quantity of each entirely determines the color of the mixture.

With this mere suggestion of the general causes which render mathematical principles and processes so predominant in those deductive sciences which afford precise numerical data, I must, on the present occasion, content myself; referring the reader who desires a more thorough acquaintance with the subject, to the first two volumes of M. Comte's systematic work.

In the same work, and more particularly in the third volume, are also fully discussed the limits of the applicability of mathematical principles to the improvement of other sciences. Such principles are manifestly inapplicable, where the causes on which any class of phenomena depend are so imperfectly accessible to our observation, that we can not ascertain, by a proper induction, their numerical laws; or where the causes are so numerous, and intermixed in so complex a manner with one another, that even supposing their laws known, the computation of the aggregate effect transcends the powers of the calculus as it is, or is likely to be; or, lastly, where the causes themselves are in a state of perpetual fluctuation; as in

physiology, and still more, if possible, in the social science. The mathematical solutions of physical questions become progressively more difficult and imperfect, in proportion as the questions divest themselves of their abstract and hypothetical character, and approach nearer to the degree of complication actually existing in nature; insomuch that beyond the limits of astronomical phenomena, and of those most nearly analogous to them, mathematical accuracy is generally obtained “at the expense of the reality of the inquiry.” while even in astronomical questions, “notwithstanding the admirable simplicity of their mathematical elements, our feeble intelligence becomes incapable of following out effectually the logical combinations of the laws on which the phenomena are dependent, as soon as we attempt to take into simultaneous consideration more than two or three essential influences.”<sup>201</sup> Of this, the problem of the Three Bodies has already been cited, more than once, as a remarkable instance; the complete solution of so comparatively simple a question having vainly tried the skill of the most profound mathematicians. We may conceive, then, how chimerical would be the hope that mathematical principles could be advantageously applied to phenomena dependent on the mutual action of the innumerable minute particles of bodies, as those of chemistry, and still more, of physiology; and for similar reasons those principles remain inapplicable to the still more complex inquiries, the subjects of which are phenomena of society and government.

The value of mathematical instruction as a preparation for those more difficult investigations, consists in the applicability not of its doctrines, but of its method. Mathematics will ever remain the most perfect type of the Deductive Method in general; and the applications of mathematics to the deductive branches of physics, furnish the only school in which philosophers can effectually learn the most difficult and important portion of their art, the employment of the laws of simpler phenomena for explaining and predicting those of the more complex. These grounds are quite sufficient for deeming mathematical training an indispensable basis of real scientific education, and regarding (according to the *dictum* which an old but unauthentic tradition ascribes to Plato) one who is ἀγεωμέτρητος, as wanting in one of the most essential qualifications for the successful cultivation of the higher branches of philosophy.

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<sup>197</sup> *Supra*, book i., chap. v.

<sup>198</sup> *Supra*, book i., chap. v., § 1, and book ii., chap. v., § 5.

<sup>199</sup> The axiom, "Equals subtracted from equals leave equal differences," may be demonstrated from the two axioms in the text. If  $A = a$  and  $B = b$ ,  $A - B = a - b$ . For if not, let  $A - B = a - b + c$ . Then since  $B = b$ , adding equals to equals,  $A = a + c$ . But  $A = a$ . Therefore  $a = a + c$ , which is impossible.

This proposition having been demonstrated, we may, by means of it, demonstrate the following: "If equals be added to unequals, the sums are unequal." If  $A = a$  and  $B \neq b$ ,  $A + B$  is not  $= a + b$ . For suppose it to be so. Then, since  $A = a$  and  $A + B = a + b$ , subtracting equals from equals,  $B = b$ ; which is contrary to the hypothesis.

So again, it may be proved that two things, one of which is equal and the other unequal to a third thing, are unequal to one another. If  $A = a$  and  $A \neq B$ , neither is  $a = B$ . For suppose it to be equal. Then since  $A = a$  and  $a = B$ , and since things equal to the same thing are equal to one another  $A = B$ ; which is contrary to the hypothesis.

<sup>200</sup> Geometers have usually preferred to define parallel lines by the property of being in the same plane and never meeting. This, however, has rendered it necessary for them to assume, as an additional axiom, some other property of parallel lines; and the unsatisfactory manner in which properties for that purpose have been selected by Euclid and others has always been deemed the opprobrium of elementary geometry. Even as a verbal definition, equidistance is a fitter property to characterize parallels by, since it is the attribute really involved in the signification of the name. If to be in the same plane and never to meet were all that is meant by being parallel, we should feel no incongruity in speaking of a curve as parallel to its asymptote. The meaning of parallel lines is, lines which pursue exactly the same direction, and which, therefore, neither draw nearer nor go farther from one another; a conception suggested at once by the contemplation of nature. That the lines will never meet is of course included in the more comprehensive proposition that they are everywhere equally distant. And that any straight lines which are in the same plane and not equidistant will certainly meet, may be demonstrated in the most rigorous manner from the fundamental property of straight lines assumed in the text, viz., that if they set out from the same point, they diverge more and more without limit.

<sup>201</sup> *Philosophie Positive*, iii., 414–416.



# CHAPTER XXV.

## OF THE GROUNDS OF DISBELIEF.

§ 1. The method of arriving at general truths, or general propositions fit to be believed, and the nature of the evidence on which they are grounded, have been discussed, as far as space and the writer's faculties permitted, in the twenty-four preceding chapters. But the result of the examination of evidence is not always belief, nor even suspension of judgment; it is sometimes disbelief. The philosophy, therefore, of induction and experimental inquiry is incomplete, unless the grounds not only of belief, but of disbelief, are treated of; and to this topic we shall devote one, and the final, chapter.

By disbelief is not here to be understood the mere absence of belief. The ground for abstaining from belief is simply the absence or insufficiency of proof; and in considering what is sufficient evidence to support any given conclusion, we have already, by implication, considered what evidence is not sufficient for the same purpose. By disbelief is here meant, not the state of mind in which we form no opinion concerning a subject, but that in which we are fully persuaded that some opinion is not true; insomuch that if evidence, even of great apparent strength (whether grounded on the testimony of others or on our own supposed perceptions), were produced in favor of the opinion, we should believe that the witnesses spoke falsely, or that they, or we ourselves if we were the direct percipients, were mistaken.

That there are such cases, no one is likely to dispute. Assertions for which there is abundant positive evidence are often disbelieved, on account of what is called their improbability, or impossibility. And the question for consideration is what, in the present case, these words mean, and how far and in what circumstances the properties which they express are sufficient grounds for disbelief.

§ 2. It is to be remarked, in the first place, that the positive evidence produced in support of an assertion which is nevertheless rejected on the score of impossibility or improbability, is never such as amounts to full

proof. It is always grounded on some approximate generalization. The fact may have been asserted by a hundred witnesses; but there are many exceptions to the universality of the generalization that what a hundred witnesses affirm is true. We may seem to ourselves to have actually seen the fact; but that we really see what we think we see, is by no means a universal truth; our organs may have been in a morbid state; or we may have inferred something, and imagined that we perceived it. The evidence, then, in the affirmative being never more than an approximate generalization, all will depend on what the evidence in the negative is. If that also rests on an approximate generalization, it is a case for comparison of probabilities. If the approximate generalizations leading to the affirmative are, when added together, less strong, or, in other words, farther from being universal, than the approximate generalizations which support the negative side of the question, the proposition is said to be improbable, and is to be disbelieved provisionally. If, however, an alleged fact be in contradiction, not to any number of approximate generalizations, but to a completed generalization grounded on a rigorous induction, it is said to be impossible, and is to be disbelieved totally.

This last principle, simple and evident as it appears, is the doctrine which, on the occasion of an attempt to apply it to the question of the credibility of miracles, excited so violent a controversy. Hume's celebrated doctrine, that nothing is credible which is contradictory to experience, or at variance with laws of nature, is merely this very plain and harmless proposition, that whatever is contradictory to a complete induction is incredible. That such a maxim as this should either be accounted a dangerous heresy, or mistaken for a great and recondite truth, speaks ill for the state of philosophical speculation on such subjects.

But does not (it may be asked) the very statement of the proposition imply a contradiction? An alleged fact, according to this theory, is not to be believed if it contradict a complete induction. But it is essential to the completeness of an induction that it shall not contradict any known fact. Is it not, then, a *petitio principii* to say, that the fact ought to be disbelieved because the induction opposed to it is complete? How can we have a right to declare the induction complete, while facts, supported by credible evidence, present themselves in opposition to it?

I answer, we have that right whenever the scientific canons of induction give it to us; that is, whenever the induction *can* be complete. We have it, for example, in a case of causation in which there has been an *experimentum crucis*. If an antecedent A, superadded to a set of antecedents in all other respects unaltered, is followed by an effect B which did not exist before, A is, in that instance at least, the cause of B, or an indispensable part of its cause; and if A be tried again with many totally different sets of antecedents and B still follows, then it is the whole cause. If these observations or experiments have been repeated so often, and by so many persons, as to exclude all supposition of error in the observer, a law of nature is established; and so long as this law is received as such, the assertion that on any particular occasion A took place, and yet B did not follow, *without any counteracting cause*, must be disbelieved. Such an assertion is not to be credited on any less evidence than what would suffice to overturn the law. The general truths, that whatever has a beginning has a cause, and that when none but the same causes exist, the same effects follow, rest on the strongest inductive evidence possible; the proposition that things affirmed by even a crowd of respectable witnesses are true, is but an approximate generalization; and — even if we fancy we actually saw or felt the fact which is in contradiction to the law — what a human being can see is no more than a set of appearances; from which the real nature of the phenomenon is merely an inference, and in this inference approximate generalizations usually have a large share. If, therefore, we make our election to hold by the law, no quantity of evidence whatever ought to persuade us that there has occurred any thing in contradiction to it. If, indeed, the evidence produced is such that it is more likely that the set of observations and experiments on which the law rests should have been inaccurately performed or incorrectly interpreted, than that the evidence in question should be false, we may believe the evidence; but then we must abandon the law. And since the law was received on what seemed a complete induction, it can only be rejected on evidence equivalent; namely, as being inconsistent not with any number of approximate generalizations, but with some other and better established law of nature. This extreme case, of a conflict between two supposed laws of nature, has probably never actually occurred where, in the process of investigating both the laws, the true canons of scientific induction had been kept in

view; but if it did occur, it must terminate in the total rejection of one of the supposed laws. It would prove that there must be a flaw in the logical process by which either one or the other was established; and if there be so, that supposed general truth is no truth at all. We can not admit a proposition as a law of nature, and yet believe a fact in real contradiction to it. We must disbelieve the alleged fact, or believe that we were mistaken in admitting the supposed law.

But in order that any alleged fact should be contradictory to a law of causation, the allegation must be, not simply that the cause existed without being followed by the effect, for that would be no uncommon occurrence; but that this happened in the absence of any adequate counteracting cause. Now in the case of an alleged miracle, the assertion is the exact opposite of this. It is, that the effect was defeated, not in the absence, but in consequence of a counteracting cause, namely, a direct interposition of an act of the will of some being who has power over nature; and in particular of a Being, whose will being assumed to have endowed all the causes with the powers by which they produce their effects, may well be supposed able to counteract them. A miracle (as was justly remarked by Brown)<sup>202</sup> is no contradiction to the law of cause and effect; it is a new effect, supposed to be produced by the introduction of a new cause. Of the adequacy of that cause, if present, there can be no doubt; and the only antecedent improbability which can be ascribed to the miracle, is the improbability that any such cause existed.

All, therefore, which Hume has made out, and this he must be considered to have made out, is, that (at least in the imperfect state of our knowledge of natural agencies, which leaves it always possible that some of the physical antecedents may have been hidden from us) no evidence can prove a miracle to any one who did not previously believe the existence of a being or beings with supernatural power; or who believes himself to have full proof that the character of the Being whom he recognizes is inconsistent with his having seen fit to interfere on the occasion in question.

If we do not already believe in supernatural agencies, no miracle can prove to us their existence. The miracle itself, considered merely as an extraordinary fact, may be satisfactorily certified by our senses or by



testimony; but nothing can ever prove that it is a miracle; there is still another possible hypothesis, that of its being the result of some unknown natural cause; and this possibility can not be so completely shut out, as to leave no alternative but that of admitting the existence and intervention of a being superior to nature. Those, however, who already believe in such a being have two hypotheses to choose from, a supernatural and an unknown natural agency; and they have to judge which of the two is the most probable in the particular case. In forming this judgment, an important element of the question will be the conformity of the result to the laws of the supposed agent, that is, to the character of the Deity as they conceive it. But with the knowledge which we now possess of the general uniformity of the course of nature, religion, following in the wake of science, has been compelled to acknowledge the government of the universe as being on the whole carried on by general laws, and not by special interpositions. To whoever holds this belief, there is a general presumption against any supposition of divine agency not operating through general laws, or, in other words, there is an antecedent improbability in every miracle, which, in order to outweigh it, requires an extraordinary strength of antecedent probability derived from the special circumstances of the case.

§ 3. It appears from what has been said, that the assertion that a cause has been defeated of an effect which is connected with it by a completely ascertained law of causation, is to be disbelieved or not, according to the probability or improbability that there existed in the particular instance an adequate counteracting cause. To form an estimate of this, is not more difficult than of other probabilities. With regard to all *known* causes capable of counteracting the given causes, we have generally some previous knowledge of the frequency or rarity of their occurrence, from which we may draw an inference as to the antecedent improbability of their having been present in any particular case. And neither in respect to known nor unknown causes are we required to pronounce on the probability of their existing in nature, but only of their having existed at the time and place at which the transaction is alleged to have happened. We are seldom, therefore, without the means (when the circumstances of the case are at all known to us) of judging how far it is likely that such a cause should have existed at that time and place without manifesting its

presence by some other marks, and (in the case of an unknown cause) without having hitherto manifested its existence in any other instance. According as this circumstance, or the falsity of the testimony, appears more improbable — that is, conflicts with an approximate generalization of a higher order — we believe the testimony, or disbelieve it; with a stronger or a weaker degree of conviction, according to the preponderance; at least until we have sifted the matter further.

So much, then, for the case in which the alleged fact conflicts, or appears to conflict, with a real law of causation. But a more common case, perhaps, is that of its conflicting with uniformities of mere co-existence, not proved to be dependent on causation; in other words, with the properties of Kinds. It is with these uniformities principally that the marvelous stories related by travelers are apt to be at variance; as of men with tails, or with wings, and (until confirmed by experience) of flying fish; or of ice, in the celebrated anecdote of the Dutch travelers and the King of Siam. Facts of this description, facts previously unheard of, but which could not from any known law of causation be pronounced impossible, are what Hume characterizes as not contrary to experience, but merely unconformable to it; and Bentham, in his treatise on Evidence, denominates them facts disconformable *in specie*, as distinguished from such as are disconformable *in toto* or in *degree*

In a case of this description, the fact asserted is the existence of a new Kind; which in itself is not in the slightest degree incredible, and only to be rejected if the improbability that any variety of object existing at the particular place and time should not have been discovered sooner, be greater than that of error or mendacity in the witnesses. Accordingly, such assertions, when made by credible persons, and of unexplored places, are not disbelieved, but at most regarded as requiring confirmation from subsequent observers; unless the alleged properties of the supposed new Kind are at variance with known properties of some larger kind which includes it; or, in other words, unless, in the new Kind which is asserted to exist, some properties are said to have been found disjoined from others which have always been known to accompany them; as in the case of Pliny's men, or any other kind of animal of a structure different from that which has always been found to co-exist with animal life. On the mode of

dealing with any such case, little needs be added to what has been said on the same topic in the twenty-second chapter.<sup>203</sup> When the uniformities of co-existence which the alleged fact would violate, are such as to raise a strong presumption of their being the result of causation, the fact which conflicts with them is to be disbelieved; at least provisionally, and subject to further investigation. When the presumption amounts to a virtual certainty, as in the case of the general structure of organized beings, the only question requiring consideration is whether, in phenomena so little understood, there may not be liabilities to counteraction from causes hitherto unknown; or whether the phenomena may not be capable of originating in some other way, which would produce a different set of derivative uniformities. Where (as in the case of the flying fish, or the ornithorhynchus) the generalization to which the alleged fact would be an exception is very special and of limited range, neither of the above suppositions can be deemed very improbable; and it is generally, in the case of such alleged anomalies, wise to suspend our judgment, pending the subsequent inquiries which will not fail to confirm the assertion if it be true. But when the generalization is very comprehensive, embracing a vast number and variety of observations, and covering a considerable province of the domain of nature; then, for reasons which have been fully explained, such an empirical law comes near to the certainty of an ascertained law of causation; and any alleged exception to it can not be admitted, unless on the evidence of some law of causation proved by a still more complete induction.

Such uniformities in the course of nature as do not bear marks of being the results of causation are, as we have already seen, admissible as universal truths with a degree of credence proportioned to their generality. Those which are true of all things whatever, or at least which are totally independent of the varieties of Kinds, namely, the laws of number and extension, to which we may add the law of causation itself, are probably the only ones, an exception to which is absolutely and permanently incredible. Accordingly, it is to assertions supposed to be contradictory to these laws, or to some others coming near to them in generality, that the word impossibility (at least *total* impossibility) seems to be generally confined. Violations of other laws, of special laws of causation, for instance, are said, by persons studious of accuracy in expression, to be

impossible *in the circumstances of the case*; or impossible unless some cause had existed which did not exist in the particular case.<sup>204</sup> Of no assertion, not in contradiction to some of these very general laws, will more than improbability be asserted by any cautious person; and improbability not of the highest degree, unless the time and place in which the fact is said to have occurred, render it almost certain that the anomaly, if real, could not have been overlooked by other observers. Suspension of judgment is in all other cases the resource of the judicious inquirer; provided the testimony in favor of the anomaly presents, when well sifted, no suspicious circumstances.

But the testimony is scarcely ever found to stand that test, in cases in which the anomaly is not real. In the instances on record in which a great number of witnesses, of good reputation and scientific acquirements, have testified to the truth of something which has turned out untrue, there have almost always been circumstances which, to a keen observer who had taken due pains to sift the matter, would have rendered the testimony untrustworthy. There have generally been means of accounting for the impression on the senses or minds of the alleged percipients, by fallacious appearances; or some epidemic delusion, propagated by the contagious influence of popular feeling, has been concerned in the case; or some strong interest has been implicated — religious zeal, party feeling, vanity, or at least the passion for the marvelous, in persons strongly susceptible of it. When none of these or similar circumstances exist to account for the apparent strength of the testimony; and where the assertion is not in contradiction either to those universal laws which know no counteraction or anomaly, or to the generalizations next in comprehensiveness to them, but would only amount, if admitted, to the existence of an unknown cause or an anomalous Kind, in circumstances not so thoroughly explored but that it is credible that things hitherto unknown may still come to light; a cautious person will neither admit nor reject the testimony, but will wait for confirmation at other times and from other unconnected sources. Such ought to have been the conduct of the King of Siam when the Dutch travelers affirmed to him the existence of ice. But an ignorant person is as obstinate in his contemptuous incredulity as he is unreasonably credulous. Any thing unlike his own narrow experience he disbelieves, if it flatters no propensity; any nursery tale is swallowed implicitly by him if it does.

§ 4. I shall now advert to a very serious misapprehension of the principles of the subject, which has been committed by some of the writers against Hume's Essay on Miracles, and by Bishop Butler before them, in their anxiety to destroy what appeared to them a formidable weapon of assault against the Christian religion; and the effect of which is entirely to confound the doctrine of the Grounds of Disbelief. The mistake consists in overlooking the distinction between (what may be called) improbability before the fact and improbability after it; or (since, as Mr. Venn remarks, the distinction of past and future is not the material circumstance) between the improbability of a mere guess being right, and the improbability of an alleged fact being true.

Many events are altogether improbable to us, before they have happened, or before we are informed of their happening, which are not in the least incredible when we are informed of them, because not contrary to any, even approximate, induction. In the cast of a perfectly fair die, the chances are five to one against throwing ace, that is, ace will be thrown on an average only once in six throws. But this is no reason against believing that ace was thrown on a given occasion, if any credible witness asserts it; since though ace is only thrown once in six times, *some* number which is only thrown once in six times must have been thrown if the die was thrown at all. The improbability, then, or, in other words, the unusualness, of any fact, is no reason for disbelieving it, if the nature of the case renders it certain that either that or something equally improbable, that is, equally unusual, did happen. Nor is this all; for even if the other five sides of the die were all twos, or all threes, yet as ace would still, on the average, come up once in every six throws, its coming up in a given throw would be not in any way contradictory to experience. If we disbelieved all facts which had the chances against them beforehand, we should believe hardly any thing. We are told that A. B. died yesterday; the moment before we were so told, the chances against his having died on that day may have been ten thousand to one; but since he was certain to die at some time or other, and when he died must necessarily die on some particular day, while the preponderance of chances is very great against every day in particular, experience affords no ground for discrediting any testimony which may be produced to the event's having taken place on a given day.

Yet it has been considered by Dr. Campbell and others, as a complete answer to Hume's doctrine (that things are incredible which are *contrary* to the uniform course of experience), that we do not disbelieve, merely because the chances were against them, things in strict *conformity* to the uniform course of experience; that we do not disbelieve an alleged fact merely because the combination of causes on which it depends occurs only once in a certain number of times. It is evident that whatever is shown by observation, or can be proved from laws of nature, to occur in a certain proportion (however small) of the whole number of possible cases, is not contrary to experience; though we are right in disbelieving it, if some other supposition respecting the matter in question involves, on the whole, a less departure from the ordinary course of events. Yet on such grounds as this have able writers been led to the extraordinary conclusion, that nothing supported by credible testimony ought ever to be disbelieved.

§ 5. We have considered two species of events, commonly said to be improbable; one kind which are in no way extraordinary, but which, having an immense preponderance of chances against them, are improbable until they are affirmed, but no longer; another kind which, being contrary to some recognized law of nature, are incredible on any amount of testimony except such as would be sufficient to shake our belief in the law itself. But between these two classes of events, there is an intermediate class, consisting of what are commonly termed Coincidences: in other words, those combinations of chances which present some peculiar and unexpected regularity, assimilating them, in so far, to the results of law. As if, for example, in a lottery of a thousand tickets, the numbers should be drawn in the exact order of what are called the natural numbers, 1, 2, 3, etc. We have still to consider the principles of evidence applicable to this case: whether there is any difference between coincidences and ordinary events, in the amount of testimony or other evidence necessary to render them credible.

It is certain that on every rational principle of expectation, a combination of this peculiar sort may be expected quite as often as any other given series of a thousand numbers; that with perfectly fair dice, sixes will be thrown twice, thrice, or any number of times in succession, quite as often in a thousand or a million throws, as any other succession of

numbers fixed upon beforehand; and that no judicious player would give greater odds against the one series than against the other. Notwithstanding this, there is a general disposition to regard the one as much more improbable than the other, and as requiring much stronger evidence to make it credible. Such is the force of this impression, that it has led some thinkers to the conclusion, that nature has greater difficulty in producing regular combinations than irregular ones; or in other words, that there is some general tendency of things, some law, which prevents regular combinations from occurring, or at least from occurring so often as others. Among these thinkers may be numbered D'Alembert; who, in an Essay on Probabilities to be found in the fifth volume of his *Mélanges*, contends that regular combinations, though equally probable according to the mathematical theory with any others, are physically less probable. He appeals to common sense, or, in other words, to common impressions; saying, if dice thrown repeatedly in our presence gave sixes every time, should we not, before the number of throws had reached ten (not to speak of thousands of millions), be ready to affirm, with the most positive conviction, that the dice were false?

The common and natural impression is in favor of D'Alembert: the regular series would be thought much more unlikely than an irregular. But this common impression is, I apprehend, merely grounded on the fact, that scarcely any body remembers to have ever seen one of these peculiar coincidences: the reason of which is simply that no one's experience extends to any thing like the number of trials, within which that or any other given combination of events can be expected to happen. The chance of sixes on a single throw of two dice being  $1/36$ , the chance of sixes ten times in succession is 1 divided by the tenth power of 36; in other words, such a concurrence is only likely to happen once in 3,656,158,440,062,976 trials, a number which no dice-player's experience comes up to a millionth part of. But if, instead of sixes ten times, any other given succession of ten throws had been fixed upon, it would have been exactly as unlikely that in any individual's experience that particular succession had ever occurred; although this does not *seem* equally improbable, because no one would be likely to have remembered whether it had occurred or not, and because the comparison is tacitly made, not between sixes ten times and any one

particular series of throws, but between all regular and all irregular successions taken together.

That (as D'Alembert says) if the succession of sixes was actually thrown before our eyes, we should ascribe it not to chance, but to unfairness in the dice, is unquestionably true. But this arises from a totally different principle. We should then be considering, not the probability of the fact in itself, but the comparative probability with which, when it is known to have happened, it may be referred to one or to another cause. The regular series is not at all less likely than the irregular one to be brought about by chance, but it is much more likely than the irregular one to be produced by design; or by some general cause operating through the structure of the dice. It is the nature of casual combinations to produce a repetition of the same event, as often and no oftener than any other series of events. But it is the nature of general causes to reproduce, in the same circumstances, always the same event. Common sense and science alike dictate that, all other things being the same, we should rather attribute the effect to a cause which if real would be very likely to produce it, than to a cause which would be very unlikely to produce it. According to Laplace's sixth theorem, which we demonstrated in a former chapter, the difference of probability arising from the superior *efficacy* of the constant cause, unfairness in the dice, would after a very few throws far outweigh any antecedent probability which there could be against its existence.

D'Alembert should have put the question in another manner. He should have supposed that we had ourselves previously tried the dice, and knew by ample experience that they were fair. Another person then tries them in our absence, and assures us that he threw sixes ten times in succession. Is the assertion credible or not? Here the effect to be accounted for is not the occurrence itself, but the fact of the witness's asserting it. This may arise either from its having really happened, or from some other cause. What we have to estimate is the comparative probability of these two suppositions.

If the witness affirmed that he had thrown any other series of numbers, supposing him to be a person of veracity, and tolerable accuracy, and to profess that he took particular notice, we should believe him. But the ten sixes are exactly as likely to have been really thrown as the other



series. If, therefore, this assertion is less credible than the other, the reason must be, not that it is less likely than the other to be made truly, but that it is more likely than the other to be made falsely.

One reason obviously presents itself why what is called a coincidence, should be oftener asserted falsely than an ordinary combination. It excites wonder. It gratifies the love of the marvellous. The motives, therefore, to falsehood, one of the most frequent of which is the desire to astonish, operate more strongly in favor of this kind of assertion than of the other kind. Thus far there is evidently more reason for discrediting an alleged coincidence, than a statement in itself not more probable, but which if made would not be thought remarkable. There are cases, however, in which the presumption on this ground would be the other way. There are some witnesses who, the more extraordinary an occurrence might appear, would be the more anxious to verify it by the utmost carefulness of observation before they would venture to believe it, and still more before they would assert it to others.

§ 6. Independently, however, of any peculiar chances of mendacity arising from the nature of the assertion, Laplace contends, that merely on the general ground of the fallibility of testimony, a coincidence is not credible on the same amount of testimony on which we should be warranted in believing an ordinary combination of events. In order to do justice to his argument, it is necessary to illustrate it by the example chosen by himself.

If, says Laplace, there were one thousand tickets in a box, and one only has been drawn out, then if an eye-witness affirms that the number drawn was 79, this, though the chances were 999 in 1000 against it, is not on that account the less credible; its credibility is equal to the antecedent probability of the witness's veracity. But if there were in the box 999 black balls and only one white, and the witness affirms that the white ball was drawn, the case according to Laplace is very different: the credibility of his assertion is but a small fraction of what it was in the former case; the reason of the difference being as follows:

The witnesses of whom we are speaking must, from the nature of the case, be of a kind whose credibility falls materially short of certainty; let us suppose, then, the credibility of the witness in the case in question to be

9/10; that is, let us suppose that in every ten statements which the witness makes, nine on an average are correct, and one incorrect. Let us now suppose that there have taken place a sufficient number of drawings to exhaust all the possible combinations, the witness deposing in every one. In one case out of every ten in all these drawings he will actually have made a false announcement. But in the case of the thousand tickets these false announcements will have been distributed impartially over all the numbers, and of the 999 cases in which No. 79 was not drawn, there will have been only one case in which it was announced. On the contrary, in the case of the thousand balls (the announcement being always either "black" or "white"), if white was not drawn, and there was a false announcement, that false announcement *must* have been white; and since by the supposition there was a false announcement once in every ten times, white will have been announced falsely in one-tenth part of all the cases in which it was not drawn, that is, in one-tenth part of 999 cases out of every thousand. White, then, is drawn, on an average, exactly as often as No. 79, but it is announced, without having been really drawn, 999 times as often as No. 79; the announcement, therefore, requires a much greater amount of testimony to render it credible.<sup>205</sup>.....

To make this argument valid it must of course be supposed, that the announcements made by the witness are average specimens of his general veracity and accuracy; or, at least, that they are neither more nor less so in the case of the black and white balls, than in the case of the thousand tickets. This assumption, however, is not warranted. A person is far less likely to mistake, who has only one form of error to guard against, than if he had 999 different errors to avoid. For instance, in the example chosen, a messenger who might make a mistake once in ten times in reporting the number drawn in a lottery, might not err once in a thousand times if sent simply to observe whether a ball was black or white. Laplace's argument, therefore, is faulty even as applied to his own case. Still less can that case be received as completely representing all cases of coincidence. Laplace has so contrived his example, that though black answers to 999 distinct possibilities, and white only to one, the witness has nevertheless no bias which can make him prefer black to white. The witness did not know that there were 999 black balls in the box and only one white; or if he did, Laplace has taken care to make all the 999 cases so undistinguishably

alike, that there is hardly a possibility of any cause of falsehood or error operating in favor of any of them, which would not operate in the same manner if there were only one. Alter this supposition, and the whole argument falls to the ground. Let the balls, for instance, be numbered, and let the white ball be No. 79. Considered in respect of their color, there are but two things which the witness can be interested in asserting, or can have dreamed or hallucinated, or has to choose from if he answers at random, viz., black and white; but considered in respect of the numbers attached to them, there are a thousand; and if his interest or error happens to be connected with the numbers, though the only assertion he makes is about the color, the case becomes precisely assimilated to that of the thousand tickets. Or instead of the balls suppose a lottery, with 1000 tickets and but one prize, and that I hold No. 79, and being interested only in that, ask the witness not what was the number drawn, but whether it was 79 or some other. There are now only two cases, as in Laplace's example; yet he surely would not say that if the witness answered 79, the assertion would be in an enormous proportion less credible, than if he made the same answer to the same question asked in the other way. If, for instance (to put a case supposed by Laplace himself), he has staked a large sum on one of the chances, and thinks that by announcing its occurrence he shall increase his credit; he is equally likely to have betted on any one of the 999 numbers which are attached to black balls, and so far as the chances of mendacity from this cause are concerned, there will be 999 times as many chances of his announcing black falsely as white.

Or suppose a regiment of 1000 men, 999 Englishmen and one Frenchman, and that of these one man has been killed, and it is not known which. I ask the question, and the witness answers, the Frenchman. This was not only as improbable *a priori*, but is in itself as singular a circumstance, as remarkable a coincidence, as the drawing of the white ball; yet we should believe the statement as readily, as if the answer had been John Thompson. Because, though the 999 Englishmen were all alike in the point in which they differed from the Frenchman, they were not, like the 999 black balls, undistinguishable in every other respect; but being all different, they admitted as many chances of interest or error, as if each man had been of a different nation; and if a lie was told or a mistake made,

the misstatement was as likely to fall on any Jones or Thompson of the set, as on the Frenchman.

The example of a coincidence selected by D'Alembert, that of sixes thrown on a pair of dice ten times in succession, belongs to this sort of cases rather than to such as Laplace's. The coincidence is here far more remarkable, because of far rarer occurrence, than the drawing of the white ball. But though the improbability of its really occurring is greater, the superior probability of its being announced falsely can not be established with the same evidence. The announcement "black" represented 999 cases, but the witness may not have known this, and if he did, the 999 cases are so exactly alike, that there is really only one set of possible causes of mendacity corresponding to the whole. The announcement "sixes *not* drawn ten times," represents, and is known by the witness to represent, a great multitude of contingencies, every one of which being unlike every other, there may be a different and a fresh set of causes of mendacity corresponding to each.

It appears to me, therefore, that Laplace's doctrine is not strictly true of any coincidences, and is wholly inapplicable to most; and that to know whether a coincidence does or does not require more evidence to render it credible than an ordinary event, we must refer, in every instance, to first principles, and estimate afresh what is the probability that the given testimony would have been delivered in that instance, supposing the fact which it asserts not to be true.

With these remarks we close the discussion of the Grounds of Disbelief; and along with it, such exposition as space admits, and as the writer has it in his power to furnish, of the Logic of Induction.

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<sup>202</sup> See the two remarkable notes (A) and (F), appended to his *Inquiry into the Relation of Cause and Effect*.

<sup>203</sup> *Supra*, p. 413.

<sup>204</sup> A writer to whom I have several times referred, gives as the definition of an impossibility, that which there exists in the world no cause adequate to produce. This definition does not take in such impossibilities as these — that two and two should make five; that two straight lines should inclose a space; or that any thing should begin to exist without a cause. I can think of no definition of impossibility comprehensive enough to include all its

varieties, except the one which I have given: viz., An impossibility is that, the truth of which would conflict with a complete induction, that is, with the most conclusive evidence which we possess of universal truth.

As to the reputed impossibilities which rest on no other grounds than our ignorance of any cause capable of producing the supposed effects; very few of them are certainly impossible, or permanently incredible. The facts of traveling seventy miles an hour, painless surgical operations, and conversing by instantaneous signals between London and New York, held a high place, not many years ago, among such impossibilities.

<sup>205</sup> Not, however, as might at first sight appear, 999 times as much. A complete analysis of the cases shows that (always assuming the veracity of the witness to be  $9/10$ ) in 10,000 drawings, the drawing of No. 79 will occur nine times, and be announced incorrectly once; the credibility, therefore, of the announcement of No. 79 is  $9/10$ ; while the drawing of a white ball will occur nine times, and be announced incorrectly 999 times. The credibility, therefore, of the announcement of white is  $9/1008$ , and the ratio of the two  $1008:10$ ; the one announcement being thus only about a hundred times more credible than the other, instead of 999 times.



# BOOK IV.

## OF OPERATIONS SUBSIDIARY TO INDUCTION.

“Clear and distinct ideas are terms which, though familiar and frequent in men’s mouths, I have reason to think every one who uses does not perfectly understand. And possibly it is but here and there one who gives himself the trouble to consider them so far as to know what he himself or others precisely mean by them; I have, therefore, in most places, chose to put determinate or determined, instead of clear and distinct, as more likely to direct men’s thoughts to my meaning in this matter.” — LOCKE’S *Essay on the Human Understanding*; Epistle to the Reader.

“Il ne peut y avoir qu’une méthode parfaite, qui est la *méthode naturelle*; on nomme ainsi un arrangement dans lequel les êtres du même genre seraient plus voisins entre eux que ceux de tous les autres genres; les genres du même ordre, plus que ceux de tous les autres ordres; et ainsi de suite. Cette méthode est l’idéal auquel l’histoire naturelle doit tendre; car il est évident que si l’on y parvenait, l’on aurait l’expression exacte et complète de la nature entière.” — CUVIER, *Règne Animal*, Introduction.

“Deux grandes notions philosophiques dominent la théorie fondamentale de la méthode naturelle proprement dite, savoir la formation des groupes naturels, et ensuite leur succession hiérarchique.” — COMTE, *Cours de Philosophie Positive*, 42me leçon.

# CHAPTER I.

## OF OBSERVATION AND DESCRIPTION.

§ 1. The inquiry which occupied us in the two preceding Books, has conducted us to what appears a satisfactory solution of the principal problem of Logic, according to the conception I have formed of the science. We have found, that the mental process with which Logic is conversant, the operation of ascertaining truths by means of evidence, is always, even when appearances point to a different theory of it, a process of induction. And we have particularized the various modes of induction, and obtained a clear view of the principles to which it must conform, in order to lead to results which can be relied on.

The consideration of Induction, however, does not end with the direct rules for its performance. Something must be said of those other operations of the mind, which are either necessarily presupposed in all induction, or are instrumental to the more difficult and complicated inductive processes. The present Book will be devoted to the consideration of these subsidiary operations; among which our attention must first be given to those, which are indispensable preliminaries to all induction whatsoever.

Induction being merely the extension to a class of cases, of something which has been observed to be true in certain individual instances of the class; the first place among the operations subsidiary to induction, is claimed by Observation. This is not, however, the place to lay down rules for making good observers; nor is it within the competence of Logic to do so, but of the art of intellectual Education. Our business with observation is only in its connection with the appropriate problem of logic, the estimation of evidence. We have to consider, not how or what to observe, but under what conditions observation is to be relied on; what is needful, in order that the fact, supposed to be observed, may safely be received as true.

§ 2. The answer to this question is very simple, at least in its first aspect. The sole condition is, that what is supposed to have been observed

shall really have been observed; that it be an observation, not an inference. For in almost every act of our perceiving faculties, observation and inference are intimately blended. What we are said to observe is usually a compound result, of which one-tenth may be observation, and the remaining nine-tenths inference.

I affirm, for example, that I hear a man's voice. This would pass, in common language, for a direct perception. All, however, which is really perception, is that I hear a sound. That the sound is a voice, and that voice the voice of a man, are not perceptions but inferences. I affirm, again, that I saw my brother at a certain hour this morning. If any proposition concerning a matter of fact would commonly be said to be known by the direct testimony of the senses, this surely would be so. The truth, however, is far otherwise. I only saw a certain colored surface; or rather I had the kind of visual sensations which are usually produced by a colored surface; and from these as marks, known to be such by previous experience, I concluded that I saw my brother. I might have had sensations precisely similar, when my brother was not there. I might have seen some other person so nearly resembling him in appearance, as, at the distance, and, with the degree of attention which I bestowed, to be mistaken for him. I might have been asleep, and have dreamed that I saw him; or in a state of nervous disorder, which brought his image before me in a waking hallucination. In all these modes, many have been led to believe that they saw persons well known to them, who were dead or far distant. If any of these suppositions had been true, the affirmation that I saw my brother would have been erroneous; but whatever was matter of direct perception, namely the visual sensations, would have been real. The inference only would have been ill grounded; I should have ascribed those sensations to a wrong cause.

Innumerable instances might be given, and analyzed in the same manner, of what are vulgarly called errors of sense. There are none of them properly errors of sense; they are erroneous inferences from sense. When I look at a candle through a multiplying glass, I see what seems a dozen candles instead of one; and if the real circumstances of the case were skillfully disguised, I might suppose that there were really that number; there would be what is called an optical deception. In the kaleidoscope



there really is that deception; when I look through the instrument, instead of what is actually there, namely a casual arrangement of colored fragments, the appearance presented is that of the same combination several times repeated in symmetrical arrangement round a point. The delusion is of course effected by giving me the same sensations which I should have had if such a symmetrical combination had really been presented to me. If I cross two of my fingers, and bring any small object, a marble for instance, into contact with both, at points not usually touched simultaneously by one object, I can hardly, if my eyes are shut, help believing that there are two marbles instead of one. But it is not my touch in this case, nor my sight in the other, which is deceived; the deception, whether durable or only momentary, is in my judgment. From my senses I have only the sensations, and those are genuine. Being accustomed to have those or similar sensations when, and only when, a certain arrangement of outward objects is present to my organs, I have the habit of instantly, when I experience the sensations, inferring the existence of that state of outward things. This habit has become so powerful, that the inference, performed with the speed and certainty of an instinct, is confounded with intuitive perceptions. When it is correct, I am unconscious that it ever needed proof; even when I know it to be incorrect, I can not without considerable effort abstain from making it. In order to be aware that it is not made by instinct but by an acquired habit, I am obliged to reflect on the slow process through which I learned to judge by the eye of many things which I now appear to perceive directly by sight; and on the reverse operation performed by persons learning to draw, who with difficulty and labor divest themselves of their acquired perceptions, and learn afresh to see things as they appear to the eye.

It would be easy to prolong these illustrations, were there any need to expatiate on a topic so copiously exemplified in various popular works. From the examples already given, it is seen sufficiently, that the individual facts from which we collect our inductive generalizations are scarcely ever obtained by observation alone. Observation extends only to the sensations by which we recognize objects; but the propositions which we make use of, either in science or in common life, relate mostly to the objects themselves. In every act of what is called observation, there is at least one inference — from the sensations to the presence of the object; from the marks or

diagnostics, to the entire phenomenon. And hence, among other consequences, follows the seeming paradox, that a general proposition collected from particulars is often more certainly true than any one of the particular propositions from which, by an act of induction, it was inferred. For, each of those particular (or rather singular) propositions involved an inference, from the impression on the senses to the fact which caused that impression; and this inference may have been erroneous in any one of the instances, but can not well have been erroneous in all of them, provided their number was sufficient to eliminate chance. The conclusion, therefore, that is, the general proposition, may deserve more complete reliance than it would be safe to repose in any one of the inductive premises.

The logic of observation, then, consists solely in a correct discrimination between that, in a result of observation, which has really been perceived, and that which is an inference from the perception. Whatever portion is inference, is amenable to the rules of induction already treated of, and requires no further notice here; the question for us in this place is, when all which is inference is taken away what remains? There remains, in the first place, the mind's own feelings or states of consciousness, namely, its outward feelings or sensations, and its inward feelings — its thoughts, emotions, and volitions. Whether any thing else remains, or all else is inference from this; whether the mind is capable of directly perceiving or apprehending any thing except states of its own consciousness — is a problem of metaphysics not to be discussed in this place. But after excluding all questions on which metaphysicians differ, it remains true, that for most purposes the discrimination we are called upon practically to exercise is that between sensations or other feelings, of our own or of other people, and inferences drawn from them. And on the theory of Observation this is all which seems necessary to be said for the purposes of the present work.

§ 3. If, in the simplest observation, or in what passes for such, there is a large part which is not observation but something else; so in the simplest description of an observation, there is, and must always be, much more asserted than is contained in the perception itself. We can not describe a fact, without implying more than the fact. The perception is only of one individual thing; but to describe it is to affirm a connection between it and

every other thing which is either denoted or connoted by any of the terms used. To begin with an example, than which none can be conceived more elementary: I have a sensation of sight, and I endeavor to describe it by saying that I see something white. In saying this, I do not solely affirm my sensation; I also class it. I assert a resemblance between the thing I see, and all things which I and others are accustomed to call white. I assert that it resembles them in the circumstance in which they all resemble one another, in that which is the ground of their being called by the name. This is not merely one way of describing an observation, but the only way. If I would either register my observation for my own future use, or make it known for the benefit of others, I must assert a resemblance between the fact which I have observed and something else. It is inherent in a description, to be the statement of a resemblance, or resemblances.

We thus see that it is impossible to express in words any result of observation, without performing an act possessing what Dr. Whewell considers to be characteristic of Induction. There is always something introduced which was not included in the observation itself; some conception common to the phenomenon with other phenomena to which it is compared. An observation can not be spoken of in language at all without declaring more than that one observation; without assimilating it to other phenomena already observed and classified. But this identification of an object — this recognition of it as possessing certain known characteristics — has never been confounded with Induction. It is an operation which precedes all induction, and supplies it with its materials. It is a perception of resemblances, obtained by comparison.

These resemblances are not always apprehended directly, by merely comparing the object observed with some other present object, or with our recollection of an object which is absent. They are often ascertained through intermediate marks, that is, deductively. In describing some new kind of animal, suppose me to say that it measures ten feet in length, from the forehead to the extremity of the tail. I did not ascertain this by the unassisted eye. I had a two-foot rule which I applied to the object, and, as we commonly say, measured it; an operation which was not wholly manual, but partly also mathematical, involving the two propositions, Five times two is ten, and Things which are equal to the same thing are equal to

one another. Hence, the fact that the animal is ten feet long is not an immediate perception, but a conclusion from reasoning; the minor premises alone being furnished by observation of the object. Nevertheless, this is called an observation, or a description of the animal, not an induction respecting it.

To pass at once from a very simple to a very complex example: I affirm that the earth is globular. The assertion is not grounded on direct perception; for the figure of the earth can not, by us, be directly perceived, though the assertion would not be true unless circumstances could be supposed under which its truth could be so perceived. That the form of the earth is globular is inferred from certain marks, as for instance from this, that its shadow thrown upon the moon is circular; or this, that on the sea, or any extensive plain, our horizon is always a circle; either of which marks is incompatible with any other than a globular form. I assert further, that the earth is that particular kind of a globe which is termed an oblate spheroid; because it is found by measurement in the direction of the meridian, that the length on the surface of the earth which subtends a given angle at its centre, diminishes as we recede from the equator and approach the poles. But these propositions, that the earth is globular, and that it is an oblate spheroid, assert, each of them, an individual fact; in its own nature capable of being perceived by the senses when the requisite organs and the necessary position are supposed, and only not actually perceived because those organs and that position are wanting. This identification of the earth, first as a globe, and next as an oblate spheroid, which, if the fact could have been seen, would have been called a description of the figure of the earth, may without impropriety be so called when, instead of being seen, it is inferred. But we could not without impropriety call either of these assertions an induction from facts respecting the earth. They are not general propositions collected from particular facts, but particular facts deduced from general propositions. They are conclusions obtained deductively, from premises originating in induction: but of these premises some were not obtained by observation of the earth, nor had any peculiar reference to it.

If, then, the truth respecting the figure of the earth is not an induction, why should the truth respecting the figure of the earth's orbit be

so? The two cases only differ in this, that the form of the orbit was not, like the form of the earth itself, deduced by ratiocination from facts which were marks of ellipticity, but was got at by boldly guessing that the path was an ellipse, and finding afterward, on examination, that the observations were in harmony with the hypothesis. According to Dr. Whewell, however, this process of guessing and verifying our guesses is not only induction, but the whole of induction: no other exposition can be given of that logical operation. That he is wrong in the latter assertion, the whole of the preceding book has, I hope, sufficiently proved; and that the process by which the ellipticity of the planetary orbits was ascertained, is not induction at all, was attempted to be shown in the second chapter of the same Book.<sup>206</sup> We are now, however, prepared to go more into the heart of the matter than at that earlier period of our inquiry, and to show, not merely what the operation in question is not, but what it is.

§ 4. We observed, in the second chapter, that the proposition “the earth moves in an ellipse,” so far as it only serves for the colligation or connecting together of actual observations (that is, as it only affirms that the observed positions of the earth may be correctly represented by as many points in the circumference of an imaginary ellipse), is not an induction, but a description: it is an induction, only when it affirms that the intermediate positions, of which there has been no direct observation, would be found to correspond to the remaining points of the same elliptic circumference. Now, though this real induction is one thing, and the description another, we are in a very different condition for making the induction before we have obtained the description, and after it. For inasmuch as the description, like all other descriptions, contains the assertion of a resemblance between the phenomenon described and something else; in pointing out something which the series of observed places of a planet resembles, it points out something in which the several places themselves agree. If the series of places correspond to as many points of an ellipse, the places themselves agree in being situated in that ellipse. We have, therefore, by the same process which gave us the description, obtained the requisites for an induction by the Method of Agreement. The successive observed places of the earth being considered as effects, and its motion as the cause which produces them, we find that those effects, that is, those places, agree in the circumstance of being in an

ellipse. We conclude that the remaining effects, the places which have not been observed, agree in the same circumstance, and that the *law* of the motion of the earth is motion in an ellipse.

The Colligation of Facts, therefore, by means of hypotheses, or, as Dr. Whewell prefers to say, by means of Conceptions, instead of being, as he supposes, Induction itself, takes its proper place among operations subsidiary to Induction. All Induction supposes that we have previously compared the requisite number of individual instances, and ascertained in what circumstances they agree. The Colligation of Facts is no other than this preliminary operation. When Kepler, after vainly endeavoring to connect the observed places of a planet by various hypotheses of circular motion, at last tried the hypotheses of an ellipse and found it answer to the phenomena; what he really attempted, first unsuccessfully and at last successfully, was to discover the circumstance in which all the observed positions of the planet agreed. And when he in like manner connected another set of observed facts, the periodic times of the different planets, by the proposition that the squares of the times are proportional to the cubes of the distances, what he did was simply to ascertain the property in which the periodic times of all the different planets agreed.

Since, therefore, all that is true and to the purpose in Dr. Whewell's doctrine of Conceptions might be fully expressed by the more familiar term Hypothesis; and since his Colligation of Facts by means of appropriate Conceptions, is but the ordinary process of finding by a comparison of phenomena, in what consists their agreement or resemblance; I would willingly have confined myself to those better understood expressions, and persevered to the end in the same abstinence which I have hitherto observed from ideological discussions; considering the mechanism of our thoughts to be a topic distinct from and irrelevant to the principles and rules by which the trustworthiness of the results of thinking is to be estimated. Since, however, a work of such high pretensions, and, it must also be said, of so much real merit, has rested the whole theory of Induction upon such ideological considerations, it seems necessary for others who follow to claim for themselves and their doctrines whatever position may properly belong to them on the same metaphysical ground. And this is the object of the succeeding chapter.

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<sup>206</sup> Supra, book iii., chap. ii., § 3, 4, 5.



# CHAPTER II.

## OF ABSTRACTION, OR THE FORMATION OF CONCEPTIONS.

§ 1. The metaphysical inquiry into the nature and composition of what have been called Abstract Ideas, or, in other words, of the notions which answer in the mind to classes and to general names, belongs not to Logic, but to a different science, and our purpose does not require that we should enter upon it here. We are only concerned with the universally acknowledged fact, that such notions or conceptions do exist. The mind can conceive a multitude of individual things as one assemblage or class; and general names do really suggest to us certain ideas or mental representations, otherwise we could not use the names with consciousness of a meaning. Whether the idea called up by a general name is composed of the various circumstances in which all the individuals denoted by the name agree, and of no others (which is the doctrine of Locke, Brown, and the Conceptualists); or whether it be the idea of some one of those individuals, clothed in its individualizing peculiarities, but with the accompanying knowledge that those peculiarities are not properties of the class (which is the doctrine of Berkeley, Mr. Bailey,<sup>207</sup> and the modern Nominalists); or whether (as held by Mr. James Mill) the idea of the class is that of a miscellaneous assemblage of individuals belonging to the class; or whether, finally, it be any one or any other of all these, according to the accidental circumstances of the case; certain it is, that *some* idea or mental conception is suggested by a general name, whenever we either hear it or employ it with consciousness of a meaning. And this, which we may call, if we please, a general idea, *represents* in our minds the whole class of things to which the name is applied. Whenever we think or reason concerning the class, we do so by means of this idea. And the voluntary power which the mind has, of attending to one part of what is present to it at any moment, and neglecting another part, enables us to keep our reasonings and conclusions respecting the class unaffected by any thing in the idea or



mental image which is not really, or at least which we do not really believe to be common, to the whole class.<sup>208</sup>

There are, then, such things as general conceptions, or conceptions by means of which we can think generally; and when we form a set of phenomena into a class, that is, when we compare them with one another to ascertain in what they agree, some general conception is implied in this mental operation. And inasmuch as such a comparison is a necessary preliminary to Induction, it is most true that Induction could not go on without general conceptions.

§ 2. But it does not therefore follow that these general conceptions must have existed in the mind previously to the comparison. It is not a law of our intellect, that in comparing things with each other and taking note of their agreement we merely recognize as realized in the outward world something that we already had in our minds. The conception originally found its way to us as the *result* of such a comparison. It was obtained (in metaphysical phrase) by *abstraction* from individual things. These things may be things which we perceived or thought of on former occasions, but they may also be the things which we are perceiving or thinking of on the very occasion. When Kepler compared the observed places of the planet Mars, and found that they agreed in being points of an elliptic circumference, he applied a general conception which was already in his mind, having been derived from his former experience. But this is by no means universally the case. When we compare several objects and find them to agree in being white, or when we compare the various species of ruminating animals and find them to agree in being cloven-footed, we have just as much a general conception in our minds as Kepler had in his: we have the conception of “a white thing,” or the conception of “a cloven-footed animal.” But no one supposes that we necessarily bring these conceptions with us, and *superinduce* them (to adopt Dr. Whewell’s expression) upon the facts: because in these simple cases every body sees that the very act of comparison which ends in our connecting the facts by means of the conception, may be the source from which we derive the conception itself. If we had never seen any white object or had never seen any cloven-footed animal before, we should at the same time and by the same mental act acquire the idea, and employ it for the colligation of the

observed phenomena. Kepler, on the contrary, really had to bring the idea with him, and superinduce it upon the facts; he could not evolve it out of them: if he had not already had the idea, he would not have been able to acquire it by a comparison of the planet's positions. But this inability was a mere accident; the idea of an ellipse could have been acquired from the paths of the planets as effectually as from any thing else, if the paths had not happened to be invisible. If the planet had left a visible track, and we had been so placed that we could see it at the proper angle, we might have abstracted our original idea of an ellipse from the planetary orbit. Indeed, every conception which can be made the instrument for connecting a set of facts, might have been originally evolved from those very facts. The conception is a conception *of* something; and that which it is a conception of, is really *in* the facts, and might, under some supposable circumstances, or by some supposable extension of the faculties which we actually possess, have been detected in them. And not only is this always in itself possible, but it actually happens in almost all cases in which the obtaining of the right conception is a matter of any considerable difficulty. For if there be no new conception required; if one of those already familiar to mankind will serve the purpose, the accident of being the first to whom the right one occurs, may happen to almost any body; at least in the case of a set of phenomena which the whole scientific world are engaged in attempting to connect. The honor, in Kepler's case, was that of the accurate, patient, and toilsome calculations by which he compared the results that followed from his different guesses, with the observations of Tycho Brahe; but the merit was very small of guessing an ellipse; the only wonder is that men had not guessed it before, nor could they have failed to do so if there had not existed an obstinate *a priori* prejudice that the heavenly bodies must move, if not in a circle, in some combination of circles.

The really difficult cases are those in which the conception destined to create light and order out of darkness and confusion has to be sought for among the very phenomena which it afterward serves to arrange. Why, according to Dr. Whewell himself, did the ancients fail in discovering the laws of mechanics, that is, of equilibrium and of the communication of motion? Because they had not, or at least had not clearly, the ideas or conceptions of pressure and resistance, momentum, and uniform and

accelerating force. And whence could they have obtained these ideas except from the very facts of equilibrium and motion? The tardy development of several of the physical sciences, for example, of optics, electricity, magnetism, and the higher generalizations of chemistry, he ascribes to the fact that mankind had not yet possessed themselves of the Idea of Polarity, that is, the idea of opposite properties in opposite directions. But what was there to suggest such an idea, until, by a separate examination of several of these different branches of knowledge, it was shown that the facts of each of them did present, in some instances at least, the curious phenomenon of opposite properties in opposite directions? The thing was superficially manifest only in two cases, those of the magnet and of electrified bodies; and there the conception was encumbered with the circumstance of material poles, or fixed points in the body itself, in which points this opposition of properties seemed to be inherent. The first comparison and abstraction had led only to this conception of poles; and if any thing corresponding to that conception had existed in the phenomena of chemistry or optics, the difficulty now justly considered so great, would have been extremely small. The obscurity arose from the fact, that the polarities in chemistry and optics were distinct species, though of the same genus, with the polarities in electricity and magnetism; and that in order to assimilate the phenomena to one another, it was necessary to compare a polarity without poles, such for instance as is exemplified in the polarization of light, and the polarity with (apparent) poles, which we see in the magnet; and to recognize that these polarities, while different in many other respects, agree in the one character which is expressed by the phrase, opposite properties in opposite directions. From the result of such a comparison it was that the minds of scientific men formed this new general conception; between which, and the first confused feeling of an analogy between some of the phenomena of light and those of electricity and magnetism, there is a long interval, filled up by the labors and more or less sagacious suggestions of many superior minds.

The conceptions, then, which we employ for the colligation and methodization of facts, do not develop themselves from within, but are impressed upon the mind from without; they are never obtained otherwise than by way of comparison and abstraction, and, in the most important and the most numerous cases, are evolved by abstraction from the very

phenomena which it is their office to colligate. I am far, however, from wishing to imply that it is not often a very difficult thing to perform this process of abstraction well, or that the success of an inductive operation does not, in many cases, principally depend on the skill with which we perform it. Bacon was quite justified in designating as one of the principal obstacles to good induction, general conceptions wrongly formed, “notiones temerè à rebus abstractæ;” to which Dr. Whewell adds, that not only does bad abstraction make bad induction, but that, in order to perform induction well, we must have abstracted well; our general conceptions must be “clear” and “appropriate” to the matter in hand.

§ 3. In attempting to show what the difficulty in this matter really is, and how it is surmounted, I must beg the reader, once for all, to bear this in mind; that although, in discussing the opinions of a different school of philosophy, I am willing to adopt their language, and to speak, therefore, of connecting facts through the instrumentality of a conception, this technical phraseology means neither more nor less than what is commonly called comparing the facts with one another and determining in what they agree. Nor has the technical expression even the advantage of being metaphysically correct. The facts are not *connected*, except in a merely metaphorical acceptance of the term. The *ideas* of the facts may become connected, that is, we may be led to think of them together; but this consequence is no more than what may be produced by any casual association. What really takes place, is, I conceive, more philosophically expressed by the common word Comparison, than by the phrases “to connect” or “to superinduce.” For, as the general conception is itself obtained by a comparison of particular phenomena, so, when obtained, the mode in which we apply it to other phenomena is again by comparison. We compare phenomena with each other to get the conception, and we then compare those and other phenomena *with* the conception. We get the conception of an animal (for instance) by comparing different animals, and when we afterward see a creature resembling an animal, we compare it with our general conception of an animal; and if it agrees with that general conception, we include it in the class. The conception becomes the type of comparison.

And we need only consider what comparison is, to see that where the objects are more than two, and still more when they are an indefinite number, a type of some sort is an indispensable condition of the comparison. When we have to arrange and classify a great number of objects according to their agreements and differences, we do not make a confused attempt to compare all with all. We know that two things are as much as the mind can easily attend to at a time, and we therefore fix upon one of the objects, either at hazard or because it offers in a peculiarly striking manner some important character, and, taking this as our standard, compare it with one object after another. If we find a second object which presents a remarkable agreement with the first, inducing us to class them together, the question instantly arises, in what particular circumstances do they agree? and to take notice of these circumstances is already a first stage of abstraction, giving rise to a general conception. Having advanced thus far, when we now take in hand a third object we naturally ask ourselves the question, not merely whether this third object agrees with the first, but whether it agrees with it in the same circumstances in which the second did? in other words, whether it agrees with the general conception which has been obtained by abstraction from the first and second? Thus we see the tendency of general conceptions, as soon as formed, to substitute themselves as types, for whatever individual objects previously answered that purpose in our comparisons. We may, perhaps, find that no considerable number of other objects agree with this first general conception; and that we must drop the conception, and beginning again with a different individual case, proceed by fresh comparisons to a different general conception. Sometimes, again, we find that the same conception will serve, by merely leaving out some of its circumstances; and by this higher effort of abstraction, we obtain a still more general conception; as in the case formerly referred to, the scientific world rose from the conception of poles to the general conception of opposite properties in opposite directions; or as those South-Sea islanders, whose conception of a quadruped had been abstracted from hogs (the only animals of that description which they had seen), when they afterward compared that conception with other quadrupeds, dropped some of the circumstances, and arrived at the more general conception which Europeans associate with the term.

These brief remarks contain, I believe, all that is well grounded in the doctrine, that the conception by which the mind arranges and gives unity to phenomena must be furnished by the mind itself, and that we find the right conception by a tentative process, trying first one and then another until we hit the mark. The conception is not furnished *by* the mind until it has been furnished *to* the mind; and the facts which supply it are sometimes extraneous facts, but more often the very facts which we are attempting to arrange by it. It is quite true, however, that in endeavoring to arrange the facts, at whatever point we begin, we never advance three steps without forming a general conception, more or less distinct and precise; and that this general conception becomes the clue which we instantly endeavor to trace through the rest of the facts, or rather, becomes the standard with which we thenceforth compare them. If we are not satisfied with the agreements which we discover among the phenomena by comparing them with this type, or with some still more general conception which by an additional stage of abstraction we can form from the type; we change our path, and look out for other agreements; we recommence the comparison from a different starting-point, and so generate a different set of general conceptions. This is the tentative process which Dr. Whewell speaks of; and which has not unnaturally suggested the theory, that the conception is supplied by the mind itself; since the different conceptions which the mind successively tries, it either already possessed from its previous experience, or they were supplied to it in the first stage of the corresponding act of comparison; so that, in the subsequent part of the process, the conception manifested itself as something compared with the phenomena, not evolved from them.

§ 4. If this be a correct account of the instrumentality of general conceptions in the comparison which necessarily precedes Induction, we are now able to translate into our own language what Dr. Whewell means by saying that conceptions, to be subservient to Induction, must be “clear” and “appropriate.”

If the conception corresponds to a real agreement among the phenomena; if the comparison which we have made of a set of objects has led us to class them according to real resemblances and differences; the conception which does this can not fail to be appropriate, for some

purpose or other. The question of appropriateness is relative to the particular object we have in view. As soon as, by our comparison, we have ascertained some agreement, something which can be predicated in common of a number of objects; we have obtained a basis on which an inductive process is capable of being founded. But the agreements, or the ulterior consequences to which those agreements lead, may be of very different degrees of importance. If, for instance, we only compare animals according to their color, and class those together which are colored alike, we form the general conceptions of a white animal, a black animal, etc., which are conceptions legitimately formed; and if an induction were to be attempted concerning the causes of the colors of animals, this comparison would be the proper and necessary preparation for such an induction, but would not help us toward a knowledge of the laws of any other of the properties of animals; while if, with Cuvier, we compare and class them according to the structure of the skeleton, or, with Blainville, according to the nature of their outward integuments, the agreements and differences which are observable in these respects are not only of much greater importance in themselves, but are marks of agreements and differences in many other important particulars of the structure and mode of life of the animals. If, therefore, the study of their structure and habits be our object, the conceptions generated by these last comparisons are far more "appropriate" than those generated by the former. Nothing, other than this, can be meant by the appropriateness of a conception.

When Dr. Whewell says that the ancients, or the school-men, or any modern inquirers, missed discovering the real law of a phenomenon because they applied to it an inappropriate instead of an appropriate conception; he can only mean that in comparing various instances of the phenomenon, to ascertain in what those instances agreed, they missed the important points of agreement; and fastened upon such as were either imaginary, and not agreements at all, or, if real agreements, were comparatively trifling, and had no connection with the phenomenon, the law of which was sought.

Aristotle, philosophizing on the subject of motion, remarked that certain motions apparently take place spontaneously; bodies fall to the ground, flame ascends, bubbles of air rise in water, etc.; and these he

called natural motions; while others not only never take place without external incitement, but even when such incitement is applied, tend spontaneously to cease; which, to distinguish them from the former, he called violent motions. Now, in comparing the so-called natural motions with one another, it appeared to Aristotle that they agreed in one circumstance, namely, that the body which moved (or seemed to move) spontaneously, was moving *toward its own place*; meaning thereby the place from whence it originally came, or the place where a great quantity of matter similar to itself was assembled. In the other class of motions, as when bodies are thrown up in the air, they are, on the contrary, moving *from* their own place. Now, this conception of a body moving toward its own place may justly be considered inappropriate; because, though it expresses a circumstance really found in some of the most familiar instances of motion apparently spontaneous, yet, first, there are many other cases of such motion, in which that circumstance is absent; the motion, for instance, of the earth and planets. Secondly, even when it is present, the motion, on closer examination, would often be seen not to be spontaneous; as, when air rises in water, it does not rise by its own nature, but is pushed up by the superior weight of the water which presses upon it. Finally, there are many cases in which the spontaneous motion takes place in the contrary direction to what the theory considers as the body's own place; for instance, when a fog rises from a lake, or when water dries up. The agreement, therefore, which Aristotle selected as his principle of classification, did not extend to all cases of the phenomenon he wanted to study, spontaneous motion; while it did include cases of the absence of the phenomenon, cases of motion not spontaneous. The conception was hence "inappropriate." We may add that, in the case in question, no conception would be appropriate; there is no agreement which runs through all the cases of spontaneous or apparently spontaneous motion and no others; they can not be brought under one law; it is a case of Plurality of Causes.<sup>209</sup>

§ 5. So much for the first of Dr. Whewell's conditions, that conceptions must be appropriate. The second is, that they shall be "clear:" and let us consider what this implies. Unless the conception corresponds to a real agreement, it has a worse defect than that of not being clear: it is not applicable to the case at all. Among the phenomena, therefore, which



we are attempting to connect by means of the conception, we must suppose that there really is an agreement, and that the conception is a conception of that agreement. In order, then, that it may be clear, the only requisite is, that we shall know exactly in what the agreement consists; that it shall have been carefully observed, and accurately remembered. We are said not to have a clear conception of the resemblance among a set of objects, when we have only a general feeling that they resemble, without having analyzed their resemblance, or perceived in what points it consists, and fixed in our memory an exact recollection of those points. This want of clearness, or, as it may be otherwise called, this vagueness in the general conception, may be owing either to our having no accurate knowledge of the objects themselves, or merely to our not having carefully compared them. Thus a person may have no clear idea of a ship because he has never seen one, or because he remembers but little, and that faintly, of what he has seen. Or he may have a perfect knowledge and remembrance of many ships of various kinds, frigates among the rest, but he may have no clear but only a confused idea of a frigate, because he has never been told, and has not compared them sufficiently to have remarked and remembered, in what particular points a frigate differs from some other kind of ship.

It is not, however, necessary, in order to have clear ideas, that we should know all the common properties of the things which we class together. That would be to have our conception of the class complete as well as clear. It is sufficient if we never class things together without knowing exactly why we do so — without having ascertained exactly what agreements we are about to include in our conception; and if, after having thus fixed our conception, we never vary from it, never include in the class any thing which has not those common properties, nor exclude from it any thing which has. A clear conception means a determinate conception; one which does not fluctuate, which is not one thing to-day and another to-morrow, but remains fixed and invariable, except when, from the progress of our knowledge, or the correction of some error, we consciously add to it or alter it. A person of clear ideas is a person who always knows in virtue of what properties his classes are constituted; what attributes are connoted by his general names.

The principal requisites, therefore, of clear conceptions, are habits of attentive observation, an extensive experience, and a memory which receives and retains an exact image of what is observed. And in proportion as any one has the habit of observing minutely and comparing carefully a particular class of phenomena, and an accurate memory for the results of the observation and comparison, so will his conceptions of that class of phenomena be clear; provided he has the indispensable habit (naturally, however, resulting from those other endowments), of never using general names without a precise connotation.

As the clearness of our conceptions chiefly depends on the *carefulness* and *accuracy* of our observing and comparing faculties, so their appropriateness, or rather the chance we have of hitting upon the appropriate conception in any case, mainly depends on the *activity* of the same faculties. He who by habit, grounded on sufficient natural aptitude, has acquired a readiness in accurately observing and comparing phenomena, will perceive so many more agreements, and will perceive them so much more rapidly than other people, that the chances are much greater of his perceiving, in any instance, the agreement on which the important consequences depend.

§ 6. It is of so much importance that the part of the process of investigating truth, discussed in this chapter, should be rightly understood, that I think it is desirable to restate the results we have arrived at, in a somewhat different mode of expression.

We can not ascertain general truths, that is, truths applicable to classes, unless we have formed the classes in such a manner that general truths can be affirmed of them. In the formation of any class, there is involved a conception of it as a class, that is, a conception of certain circumstances as being those which characterize the class, and distinguish the objects composing it from all other things. When we know exactly what these circumstances are, we have a clear idea (or conception) of the class, and of the meaning of the general name which designates it. The primary condition implied in having this clear idea, is that the class be really a class; that it correspond to a real distinction; that the things it includes really do agree with one another in certain particulars, and differ, in those same particulars, from all other things. A person without clear ideas is one

who habitually classes together, under the same general names, things which have no common properties, or none which are not possessed also by other things; or who, if the usage of other people prevents him from actually misclassing things, is unable to state to himself the common properties in virtue of which he classes them rightly.

But is it not the sole requisite of classification that the classes should be real classes, framed by a legitimate mental process? Some modes of classing things are more valuable than others for human uses, whether of speculation or of practice; and our classifications are not well made, unless the things which they bring together not only agree with each other in something which distinguishes them from all other things, but agree with each other and differ from other things in the very circumstances which are of primary importance for the purpose (theoretical or practical) which we have in view, and which constitutes the problem before us. In other words, our conceptions, though they may be clear, are not *appropriate* for our purpose, unless the properties we comprise in them are those which will help us toward what we wish to understand — *i.e.*, either those which go deepest into the nature of the things, if our object be to understand that, or those which are most closely connected with the particular property which we are endeavoring to investigate.

We can not, therefore, frame good general conceptions beforehand. That the conception we have obtained is the one we want, can only be known when we have done the work for the sake of which we wanted it; when we completely understand the general character of the phenomena, or the conditions of the particular property with which we concern ourselves. General conceptions formed without this thorough knowledge, are Bacon's "notiones temerè à rebus abstractæ." Yet such premature conceptions we must be continually making up, in our progress to something better. They are an impediment to the progress of knowledge, only when they are permanently acquiesced in. When it has become our habit to group things in wrong classes — in groups which either are not really classes, having no distinctive points of agreement (absence of *clear* ideas), or which are not classes of which any thing important to our purpose can be predicated (absence of *appropriate* ideas); and when, in the belief that these badly made classes are those sanctioned by nature, we

refuse to exchange them for others, and can not or will not make up our general conceptions from any other elements; in that case all the evils which Bacon ascribes to his “*notiones temerè abstractæ*” really occur. This was what the ancients did in physics, and what the world in general does in morals and politics to the present day.

It would thus, in my view of the matter, be an inaccurate mode of expression to say, that obtaining appropriate conceptions is a condition precedent to generalization. Throughout the whole process of comparing phenomena with one another for the purpose of generalization, the mind is trying to make up a conception; but the conception which it is trying to make up is that of the really important point of agreement in the phenomena. As we obtain more knowledge of the phenomena themselves, and of the conditions on which their important properties depend, our views on this subject naturally alter; and thus we advance from a less to a more “appropriate” general conception, in the progress of our investigations.

We ought not, at the same time, to forget that the really important agreement can not always be discovered by mere comparison of the very phenomena in question, without the aid of a conception acquired elsewhere; as in the case, so often referred to, of the planetary orbits.

The search for the agreement of a set of phenomena is in truth very similar to the search for a lost or hidden object. At first we place ourselves in a sufficiently commanding position, and cast our eyes round us, and if we can see the object it is well; if not, we ask ourselves mentally what are the places in which it may be hid, in order that we may there search for it: and so on, until we imagine the place where it really is. And here too we require to have had a previous conception, or knowledge, of those different places. As in this familiar process, so in the philosophical operation which it illustrates, we first endeavor to find the lost object or recognize the common attribute, without conjecturally invoking the aid of any previously acquired conception, or, in other words, of any hypothesis. Having failed in this, we call upon our imagination for some hypothesis of a possible place, or a possible point of resemblance, and then look to see whether the facts agree with the conjecture.

For such cases something more is required than a mind accustomed to accurate observation and comparison. It must be a mind stored with general conceptions, previously acquired, of the sorts which bear affinity to the subject of the particular inquiry. And much will also depend on the natural strength and acquired culture of what has been termed the scientific imagination; on the faculty possessed of mentally arranging known elements into new combinations, such as have not yet been observed in nature, though not contradictory to any known laws.

But the variety of intellectual habits, the purposes which they serve, and the modes in which they may be fostered and cultivated, are considerations belonging to the Art of Education: a subject far wider than Logic, and which this treatise does not profess to discuss. Here, therefore, the present chapter may properly close.

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<sup>207</sup> Mr. Bailey has given the best statement of this theory. "The general name," he says, "raises up the image sometimes of one individual of the class formerly seen, sometimes of another, not unfrequently of many individuals in succession; and it sometimes suggests an image made up of elements from several different objects, by a latent process of which I am not conscious." (Letters on the Philosophy of the Human Mind, 1st series, letter 22.) But Mr. Bailey must allow that we carry on inductions and ratiocinations respecting the class, by means of this idea or conception of some one individual in it. This is all I require. The name of a class calls up some idea, through which we can, to all intents and purposes, think of the class as such, and not solely of an individual member of it.

<sup>208</sup> I have entered rather fully into this question in chap. xvii. of *An Examination of Sir William Hamilton's Philosophy*, headed "The Doctrine of Concepts or General Notions," which contains my last views on the subject.

<sup>209</sup> Other examples of inappropriate conceptions are given by Dr. Whewell (*Phil. Ind. Sc.* ii., 185) as follows: "Aristotle and his followers endeavored in vain to account for the mechanical relation of forces in the lever, by applying the *inappropriate* geometrical conceptions of the properties of the circle: they failed in explaining the *form* of the luminous spot made by the sun shining through a hole, because they applied the *inappropriate* conception of a circular *quality* in the sun's light: they speculated to no purpose about the elementary composition of bodies, because they assumed the *inappropriate* conception of *likeness* between the elements and the compound, instead of the genuine notion of elements merely *determining* the qualities of the compound." But in these cases there is more than an inappropriate conception; there is a false conception; one which has no prototype in

nature, nothing corresponding to it in facts. This is evident in the last two examples, and is equally true in the first; the "properties of the circle" which were referred to, being purely fantastical. There is, therefore, an error beyond the wrong choice of a principle of generalization; there is a false assumption of matters of fact. The attempt is made to resolve certain laws of nature into a more general law, that law not being one which, though real, is inappropriate, but one wholly imaginary.



# CHAPTER III.

## OF NAMING, AS SUBSIDIARY TO INDUCTION.

§ 1. It does not belong to the present undertaking to dwell on the importance of language as a medium of human intercourse, whether for purposes of sympathy or of information. Nor does our design admit of more than a passing allusion to that great property of names, on which their functions as an intellectual instrument are, in reality, ultimately dependent; their potency as a means of forming, and of riveting, associations among our other ideas; a subject on which an able thinker<sup>210</sup> has thus written:

“Names are impressions of sense, and as such take the strongest hold on the mind, and of all other impressions can be most easily recalled and retained in view. They therefore serve to give a point of attachment to all the more volatile objects of thought and feeling. Impressions that when passed might be dissipated forever, are, by their connection with language, always within reach. Thoughts, of themselves, are perpetually slipping out of the field of immediate mental vision; but the name abides with us, and the utterance of it restores them in a moment. Words are the custodiers of every product of mind less impressive than themselves. All extensions of human knowledge, all new generalizations, are fixed and spread, even unintentionally, by the use of words. The child growing up learns, along with the vocables of his mother-tongue, that things which he would have believed to be different are, in important points, the same. Without any formal instruction, the language in which we grow up teaches us all the common philosophy of the age. It directs us to observe and know things which we should have overlooked; it supplies us with classifications ready made, by which things are arranged (as far as the light of by-gone generations admits) with the objects to which they bear the greatest total resemblance. The number of general names in a language, and the degree of generality of those names, afford a test of the knowledge of the era, and of the intellectual insight which is the birthright of any one born into it.”

It is not, however, of the functions of Names, considered generally, that we have here to treat, but only of the manner and degree in which they are directly instrumental to the investigation of truth; in other words, to the process of induction.

§ 2. Observation and Abstraction, the operations which formed the subject of the two foregoing chapters, are conditions indispensable to induction; there can be no induction where they are not. It has been imagined that Naming is also a condition equally indispensable. There are thinkers who have held that language is not solely, according to a phrase generally current, *an* instrument of thought, but *the* instrument; that names, or something equivalent to them, some species of artificial signs, are necessary to reasoning; that there could be no inference, and consequently no induction, without them. But if the nature of reasoning was correctly explained in the earlier part of the present work, this opinion must be held to be an exaggeration, though of an important truth. If reasoning be from particulars to particulars, and if it consist in recognizing one fact as a mark of another, or a mark of a mark of another, nothing is required to render reasoning possible, except senses and association; senses to perceive that two facts are conjoined; association, as the law by which one of those two facts raises up the idea of the other.<sup>211</sup> For these mental phenomena, as well as for the belief or expectation which follows, and by which we recognize as having taken place, or as about to take place, that of which we have perceived a mark, there is evidently no need of language. And this inference of one particular fact from another is a case of induction. It is of this sort of induction that brutes are capable; it is in this shape that uncultivated minds make almost all their inductions, and that we all do so in the cases in which familiar experience forces our conclusions upon us without any active process of inquiry on our part, and in which the belief or expectation follows the suggestion of the evidence with the promptitude and certainty of an instinct.<sup>212</sup>

§ 3. But though inference of an inductive character is possible without the use of signs, it could never, without them, be carried much beyond the very simple cases which we have just described, and which form, in all probability, the limit of the reasonings of those animals to whom conventional language is unknown. Without language, or something



equivalent to it, there could only be as much reasoning from experience as can take place without the aid of general propositions. Now, though in strictness we may reason from past experience to a fresh individual case without the intermediate stage of a general proposition, yet without general propositions we should seldom remember what past experience we have had, and scarcely ever what conclusions that experience will warrant. The division of the inductive process into two parts, the first ascertaining what is a mark of the given fact, the second whether in the new case that mark exists, is natural, and scientifically indispensable. It is, indeed, in a majority of cases, rendered necessary by mere distance of time. The experience by which we are to guide our judgments may be other people's experience, little of which can be communicated to us otherwise than by language; when it is our own, it is generally experience long past; unless, therefore, it were recorded by means of artificial signs, little of it (except in cases involving our intenser sensations or emotions, or the subjects of our daily and hourly contemplation) would be retained in the memory. It is hardly necessary to add, that when the inductive inference is of any but the most direct and obvious nature — when it requires several observations or experiments, in varying circumstances, and the comparison of one of these with another — it is impossible to proceed a step, without the artificial memory which words bestow. Without words, we should, if we had often seen A and B in immediate and obvious conjunction, expect B whenever we saw A; but to discover their conjunction when not obvious, or to determine whether it is really constant or only casual, and whether there is reason to expect it under any given change of circumstances, is a process far too complex to be performed without some contrivance to make our remembrance of our own mental operations accurate. Now, language is such a contrivance. When that instrument is called to our aid, the difficulty is reduced to that of making our remembrance of the meaning of words accurate. This being secured, whatever passes through our minds may be remembered accurately, by putting it carefully into words, and committing the words either to writing or to memory.

The function of Naming, and particularly of General Names, in Induction, may be recapitulated as follows. Every inductive inference which is good at all, is good for a whole class of cases; and, that the inference may have any better warrant of its correctness than the mere

clinging together of two ideas, a process of experimentation and comparison is necessary; in which the whole class of cases must be brought to view, and some uniformity in the course of nature evolved and ascertained, since the existence of such a uniformity is required as a justification for drawing the inference in even a single case. This uniformity, therefore, may be ascertained once for all; and if, being ascertained, it can be remembered, it will serve as a formula for making, in particular cases, all such inferences as the previous experience will warrant. But we can only secure its being remembered, or give ourselves even a chance of carrying in our memory any considerable number of such uniformities, by registering them through the medium of permanent signs; which (being, from the nature of the case, signs not of an individual fact, but of a uniformity, that is, of an indefinite number of facts similar to one another) are general signs; universals; general names, and general propositions.

§ 4. And here I can not omit to notice an oversight committed by some eminent thinkers; who have said that the cause of our using general names is the infinite multitude of individual objects, which, making it impossible to have a name for each, compels us to make one name serve for many.

This is a very limited view of the function of general names. Even if there were a name for every individual object, we should require general names as much as we now do. Without them we could not express the result of a single comparison, nor record any one of the uniformities existing in nature; and should be hardly better off in respect to Induction than if we had no names at all. With none but names of individuals (or, in other words, proper names), we might, by pronouncing the name, suggest the idea of the object, but we could not assert any proposition; except the unmeaning ones formed by predicating two proper names one of another. It is only by means of general names that we can convey any information, predicate any attribute, even of an individual, much more of a class. Rigorously speaking, we could get on without any other general names than the abstract names of attributes; all our propositions might be of the form "such an individual object possesses such an attribute," or "such an attribute is always (or never) conjoined with such another attribute." In fact, however, mankind have always given general names to objects as well

as attributes, and indeed before attributes: but the general names given to objects imply attributes, derive their whole meaning from attributes; and are chiefly useful as the language by means of which we predicate the attributes which they connote.

It remains to be considered what principles are to be adhered to in giving general names, so that these names, and the general propositions in which they fill a place, may conduce most to the purposes of Induction.

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<sup>210</sup> Professor Bain.

<sup>211</sup> This sentence having been erroneously understood as if I had meant to assert that belief is nothing but an irresistible association, I think it necessary to observe that I express no theory respecting the ultimate analysis either of reasoning or of belief, two of the most obscure points in analytical psychology. I am speaking not of the powers themselves, but of the previous conditions necessary to enable those powers to exert themselves: of which conditions I am contending that language is not one, senses and association being sufficient without it. The irresistible association theory of belief, and the difficulties connected with the subject, have been discussed at length in the notes to the new edition of Mr. James Mill's *Analysis of the Phenomena of the Human Mind*.

<sup>212</sup> Mr. Bailey agrees with me in thinking that whenever "from something actually present to my senses, conjoined with past experience, I feel satisfied that something has happened, or will happen, or is happening, beyond the sphere of my personal observation," I may with strict propriety be said to reason: and of course to reason inductively, for demonstrative reasoning is excluded by the circumstances of the case. (*The Theory of Reasoning*, 2d ed., p. 27.)



# CHAPTER IV.

## OF THE REQUISITES OF A PHILOSOPHICAL LANGUAGE, AND THE PRINCIPLES OF DEFINITION.

§ 1. In order that we may possess a language perfectly suitable for the investigation and expression of general truths, there are two principal, and several minor requisites. The first is, that every general name should have a meaning, steadily fixed, and precisely determined. When, by the fulfillment of this condition, such names as we possess are fitted for the due performance of their functions, the next requisite, and the second in order of importance, is that we should possess a name wherever one is needed; wherever there is any thing to be designated by it, which it is of importance to express.

The former of these requisites is that to which our attention will be exclusively directed in the present chapter.

§ 2. Every general name, then, must have a certain and knowable meaning. Now the meaning (as has so often been explained) of a general connotative name, resides in the connotation; in the attribute on account of which, and to express which, the name is given. Thus, the name animal being given to all things which possess the attributes of sensation and voluntary motion, the word connotes those attributes exclusively, and they constitute the whole of its meaning. If the name be abstract, its denotation is the same with the connotation of the corresponding concrete; it designates directly the attribute, which the concrete term implies. To give a precise meaning to general names is, then, to fix with steadiness the attribute or attributes connoted by each concrete general name, and denoted by the corresponding abstract. Since abstract names, in the order of their creation, do not precede but follow concrete ones, as is proved by the etymological fact that they are almost always derived from them; we may consider their meaning as determined by, and dependent on, the meaning of their concrete; and thus the problem of giving a distinct meaning to general language, is all included in that of giving a precise connotation to all concrete general names.

This is not difficult in the case of new names; of the technical terms created by scientific inquirers for the purposes of science or art. But when a name is in common use, the difficulty is greater; the problem in this case not being that of choosing a convenient connotation for the name, but of ascertaining and fixing the connotation with which it is already used. That this can ever be a matter of doubt, is a sort of paradox. But the vulgar (including in that term all who have not accurate habits of thought) seldom know exactly what assertion they intend to make, what common property they mean to express, when they apply the same name to a number of different things. All which the name expresses with them, when they predicate it of an object, is a confused feeling of resemblance between that object and some of the other things which they have been accustomed to denote by the name. They have applied the name Stone to various objects previously seen; they see a new object, which appears to them somewhat like the former, and they call it a stone, without asking themselves in what respect it is like, or what mode or degree of resemblance the best authorities, or even they themselves, require as a warrant for using the name. This rough general impression of resemblance is, however, made up of particular circumstances of resemblance; and into these it is the business of the logician to analyze it; to ascertain what points of resemblance among the different things commonly called by the name, have produced in the common mind this vague feeling of likeness; have given to the things the similarity of aspect, which has made them a class, and has caused the same name to be bestowed upon them.

But though general names are imposed by the vulgar without any more definite connotation than that of a vague resemblance; general propositions come in time to be made, in which predicates are applied to those names, that is, general assertions are made concerning the *whole* of the things which are denoted by the name. And since by each of these propositions some attribute, more or less precisely conceived, is of course predicated, the ideas of these various attributes thus become associated with the name, and in a sort of uncertain way it comes to connote them; there is a hesitation to apply the name in any new case in which any of the attributes familiarly predicated of the class do not exist. And thus, to common minds, the propositions which they are in the habit of hearing or uttering concerning a class make up in a loose way a sort of connotation

for the class name. Let us take, for instance, the word *Civilized*. How few could be found, even among the most educated persons, who would undertake to say exactly what the term *Civilized* connotes. Yet there is a feeling in the minds of all who use it, that they are using it with a meaning; and this meaning is made up, in a confused manner, of every thing which they have heard or read that civilized men or civilized communities are, or may be expected to be.

It is at this stage, probably, in the progress of a concrete name, that the corresponding abstract name generally comes into use. Under the notion that the concrete name must of course convey a meaning, or, in other words, that there is some property common to all things which it denotes, people give a name to this common property; from the concrete *Civilized*, they form the abstract *Civilization*. But since most people have never compared the different things which are called by the concrete name, in such a manner as to ascertain what properties these things have in common, or whether they have any; each is thrown back upon the marks by which he himself has been accustomed to be guided in his application of the term; and these, being merely vague hearsays and current phrases, are not the same in any two persons, nor in the same person at different times. Hence the word (as *Civilization*, for example) which professes to be the designation of the unknown common property, conveys scarcely to any two minds the same idea. No two persons agree in the things they predicate of it; and when it is itself predicated of any thing, no other person knows, nor does the speaker himself know with precision, what he means to assert. Many other words which could be named, as the word *honor*, or the word *gentleman*, exemplify this uncertainty still more strikingly.

It needs scarcely be observed, that general propositions of which no one can tell exactly what they assert, can not possibly have been brought to the test of a correct induction. Whether a name is to be used as an instrument of thinking, or as a means of communicating the result of thought, it is imperative to determine exactly the attribute or attributes which it is to express; to give it, in short, a fixed and ascertained connotation.

§ 3. It would, however, be a complete misunderstanding of the proper office of a logician in dealing with terms already in use, if we were to think that because a name has not at present an ascertained connotation, it is competent to any one to give it such a connotation at his own choice. The meaning of a term actually in use is not an arbitrary quantity to be fixed, but an unknown quantity to be sought.

In the first place, it is obviously desirable to avail ourselves, as far as possible, of the associations already connected with the name; not enjoining the employment of it in a manner which conflicts with all previous habits, and especially not so as to require the rupture of those strongest of all associations between names, which are created by familiarity with propositions in which they are predicated of one another. A philosopher would have little chance of having his example followed, if he were to give such a meaning to his terms as should require us to call the North American Indians a civilized people, or the higher classes in Europe savages; or to say that civilized people live by hunting, and savages by agriculture. Were there no other reason, the extreme difficulty of effecting so complete a revolution in speech would be more than a sufficient one. The endeavor should be, that all generally received propositions into which the term enters, should be at least as true after its meaning is fixed, as they were before; and that the concrete name, therefore, should not receive such a connotation as shall prevent it from denoting things which, in common language, it is currently affirmed of. The fixed and precise connotation which it receives should not be in deviation from, but in agreement (as far as it goes) with, the vague and fluctuating connotation which the term already had.

To fix the connotation of a concrete name, or the denotation of the corresponding abstract, is to define the name. When this can be done without rendering any received assertions inadmissible, the name can be defined in accordance with its received use, which is vulgarly called defining not the name but the thing. What is meant by the improper expression of defining a thing (or rather a class of things — for nobody talks of defining an individual), is to define the name, subject to the condition that it shall denote those things. This, of course, supposes a comparison of the things, feature by feature and property by property, to

ascertain what attributes they agree in; and not unfrequently an operation strictly inductive, for the purpose of ascertaining some unobvious agreement, which is the cause of the obvious agreements.

For, in order to give a connotation to a name, consistently with its denoting certain objects, we have to make our selection from among the various attributes in which those objects agree. To ascertain in what they do agree is, therefore, the first logical operation requisite. When this has been done as far as is necessary or practicable, the question arises, which of these common attributes shall be selected to be associated with the name. For if the class which the name denotes be a Kind, the common properties are innumerable; and even if not, they are often extremely numerous. Our choice is first limited by the preference to be given to properties which are well known, and familiarly predicated of the class; but even these are often too numerous to be all included in the definition, and, besides, the properties most generally known may not be those which serve best to mark out the class from all others. We should therefore select from among the common properties (if among them any such are to be found) those on which it has been ascertained by experience, or proved by deduction, that many others depend; or at least which are sure marks of them, and from whence, therefore, many others will follow by inference. We thus see that to frame a good definition of a name already in use, is not a matter of choice but of discussion, and discussion not merely respecting the usage of language, but respecting the properties of things, and even the origin of those properties. And hence every enlargement of our knowledge of the objects to which the name is applied, is liable to suggest an improvement in the definition. It is impossible to frame a perfect set of definitions on any subject, until the theory of the subject is perfect; and as science makes progress, its definitions are also progressive.

§ 4. The discussion of Definitions, in so far as it does not turn on the use of words but on the properties of things, Dr. Whewell calls the Explication of Conceptions. The act of ascertaining, better than before, in what particulars any phenomena which are classed together agree, he calls in his technical phraseology, unfolding the general conception in virtue of which they are so classed. Making allowance for what appears to me the darkening and misleading tendency of this mode of expression, several of



his remarks are so much to the purpose, that I shall take the liberty of transcribing them.

He observes,<sup>213</sup> that many of the controversies which have had an important share in the formation of the existing body of science, have “assumed the form of a battle of Definitions. For example, the inquiry concerning the laws of falling bodies led to the question whether the proper definition of a *uniform force* is that it generates a velocity proportional to the *space* from rest, or to the *time*. The controversy of the *vis viva* was what was the proper definition of the *measure of force*. A principal question in the classification of minerals is, what is the definition of a *mineral species*. Physiologists have endeavored to throw light on their subject by defining *organization*, or some similar term.” Questions of the same nature were long open and are not yet completely closed, respecting the definitions of Specific Heat, Latent Heat, Chemical Combination, and Solution.

“It is very important for us to observe, that these controversies have never been questions of insulated and *arbitrary* definitions, as men seem often tempted to imagine them to have been. In all cases there is a tacit assumption of some proposition which is to be expressed by means of the definition, and which gives it its importance. The dispute concerning the definition thus acquires a real value, and becomes a question concerning true and false. Thus, in the discussion of the question, What is a uniform force? it was taken for granted that gravity is a uniform force. In the debate of the *vis viva*, it was assumed that in the mutual action of bodies the whole effect of the force is unchanged. In the zoological definition of species (that it consists of individuals which have, or may have, sprung from the same parents), it is presumed that individuals so related resemble each other more than those which are excluded by such a definition; or, perhaps, that species so defined have permanent and definite differences. A definition of organization, or of some other term which was not employed to express some principle, would be of no value.

“The establishment, therefore, of a right definition of a term, may be a useful step in the explication of our conceptions; but this will be the case then only when we have under our consideration some proposition in which the term is employed. For then the question really is, how the

conception shall be understood and defined in order that the proposition may be true.

“To unfold our conceptions by means of definitions has never been serviceable to science, except when it has been associated with an immediate use of the definitions. The endeavor to define a Uniform Force was combined with the assertion that gravity is a uniform force; the attempt to define Accelerating Force was immediately followed by the doctrine that accelerating forces may be compounded; the process of defining Momentum was connected with the principle that momenta gained and lost are equal; naturalists would have given in vain the definition of Species which we have quoted, if they had not also given the characters of species so separated. . . . Definition may be the best mode of explaining our conception, but that which alone makes it worth while to explain it in any mode, is the opportunity of using it in the expression of truth. When a definition is propounded to us as a useful step in knowledge, we are always entitled to ask what principle it serves to enunciate.”

In giving, then, an exact connotation to the phrase, “a uniform force,” the condition was understood, that the phrase should continue to denote gravity. The discussion, therefore, respecting the definition, resolved itself into this question, What is there of a uniform nature in the motions produced by gravity? By observations and comparisons, it was found that what was uniform in those motions was the ratio of the velocity acquired to the time elapsed; equal velocities being added in equal times. A uniform force, therefore, was defined a force which adds equal velocities in equal times. So, again, in defining momentum. It was already a received doctrine that, when two objects impinge upon one another, the momentum lost by the one is equal to that gained by the other. This proposition it was deemed necessary to preserve, not from the motive (which operates in many other cases) that it was firmly fixed in popular belief; for the proposition in question had never been heard of by any but the scientifically instructed. But it was felt to contain a truth; even a superficial observation of the phenomena left no doubt that in the propagation of motion from one body to another, there was something of which the one body gained precisely what the other lost; and the word momentum had been invented to express this unknown something. The settlement,

therefore, of the definition of momentum, involved the determination of the question, What is that of which a body, when it sets another body in motion, loses exactly as much as it communicates? And when experiment had shown that this *something* was the product of the velocity of the body by its mass, or quantity of matter, this became the definition of momentum.

The following remarks,<sup>214</sup> therefore, are perfectly just: “The business of definition is part of the business of discovery. . . . To define, so that our definition shall have any scientific value, requires no small portion of that sagacity by which truth is detected. . . . When it has been clearly seen what ought to be our definition, it must be pretty well known what truth we have to state. The definition, as well as the discovery, supposes a decided step in our knowledge to have been made. The writers on Logic, in the Middle Ages, made Definition the last stage in the progress of knowledge; and in this arrangement at least, the history of science, and the philosophy derived from the history, confirm their speculative views.” For in order to judge finally how the name which denotes a class may best be defined, we must know all the properties common to the class, and all the relations of causation or dependence among those properties.

If the properties which are fittest to be selected as marks of other common properties are also obvious and familiar, and especially if they bear a great part in producing that general air of resemblance which was the original inducement to the formation of the class, the definition will then be most felicitous. But it is often necessary to define the class by some property not familiarly known, provided that property be the best mark of those which are known. M. De Blainville, for instance, founded his definition of life on the process of decomposition and recomposition which incessantly takes place in every living body, so that the particles composing it are never for two instants the same. This is by no means one of the most obvious properties of living bodies; it might escape altogether the notice of an unscientific observer. Yet great authorities (independently of M. De Blainville, who is himself a first-rate authority) have thought that no other property so well answers the conditions required for the definition.

§ 5. Having laid down the principles which ought for the most part to be observed in attempting to give a precise connotation to a term in use, I must now add, that it is not always practicable to adhere to those principles, and that even when practicable, it is occasionally not desirable.

Cases in which it is impossible to comply with all the conditions of a precise definition of a name in agreement with usage, occur very frequently. There is often no one connotation capable of being given to a word, so that it shall still denote every thing it is accustomed to denote; or that all the propositions into which it is accustomed to enter, and which have any foundation in truth, shall remain true. Independently of accidental ambiguities, in which the different meanings have no connection with one another; it continually happens that a word is used in two or more senses derived from each other, but yet radically distinct. So long as a term is vague, that is, so long as its connotation is not ascertained and permanently fixed, it is constantly liable to be applied by *extension* from one thing to another, until it reaches things which have little, or even no, resemblance to those which were first designated by it.

“Suppose,” says Dugald Stewart, in his *Philosophical Essays*,<sup>215</sup> “that the letters A, B, C, D, E, denote a series of objects; that A possesses some one quality in common with B; B a quality in common with C; C a quality in common with D; D a quality in common with E; while at the same time, no quality can be found which belongs in common to any *three* objects in the series. Is it not conceivable, that the affinity between A and B may produce a transference of the name of the first to the second; and that, in consequence of the other affinities which connect the remaining objects together, the same name may pass in succession from B to C; from C to D; and from D to E? In this manner, a common appellation will arise between A and E, although the two objects may, in their nature and properties, be so widely distant from each other, that no stretch of imagination can conceive how the thoughts were led from the former to the latter. The transitions, nevertheless, may have been all so easy and gradual, that, were they successfully detected by the fortunate ingenuity of a theorist, we should instantly recognize, not only the verisimilitude, but the truth of the conjecture: in the same way as we admit, with the confidence of intuitive conviction, the certainty of the well-known etymological process which

connects the Latin preposition *e* or *ex* with the English substantive *stranger*, the moment that the intermediate links of the chain are submitted to our examination.”<sup>216</sup>

The applications which a word acquires by this gradual extension of it from one set of objects to another, Stewart, adopting an expression from Mr. Payne Knight, calls its *transitive* applications; and after briefly illustrating such of them as are the result of local or casual associations, he proceeds as follows:<sup>217</sup>

“But although by far the greater part of the transitive or derivative applications of words depend on casual and unaccountable caprices of the feelings or the fancy, there are certain cases in which they open a very interesting field of philosophical speculation. Such are those, in which an analogous transference of the corresponding term may be remarked universally, or very generally, in other languages; and in which, of course, the uniformity of the result must be ascribed to the essential principles of the human frame. Even in such cases, however, it will by no means be always found, on examination, that the various applications of the same term have arisen from any common quality or qualities in the objects to which they relate. In the greater number of instances, they may be traced to some natural and universal associations of ideas, founded in the common faculties, common organs, and common condition of the human race. . . . According to the different degrees of intimacy and strength in the associations on which the *transitions* of language are founded, very different effects may be expected to arise. Where the association is slight and casual, the several meanings will remain distinct from each other, and will often, in process of time, assume the appearance of capricious varieties in the use of the same arbitrary sign. *Where the association is so natural and habitual as to become virtually indissoluble, the transitive meanings will coalesce in one complex conception; and every new transition will become a more comprehensive generalization of the term in question.*”

I solicit particular attention to the law of mind expressed in the last sentence, and which is the source of the perplexity so often experienced in detecting these transitions of meaning. Ignorance of that law is the shoal on which some of the most powerful intellects which have adorned the

human race have been stranded. The inquiries of Plato into the definitions of some of the most general terms of moral speculation are characterized by Bacon as a far nearer approach to a true inductive method than is elsewhere to be found among the ancients, and are, indeed, almost perfect examples of the preparatory process of comparison and abstraction; but, from being unaware of the law just mentioned, he often wasted the powers of this great logical instrument on inquiries in which it could realize no result, since the phenomena, whose common properties he so elaborately endeavored to detect, had not really any common properties. Bacon himself fell into the same error in his speculations on the nature of heat, in which he evidently confounded under the name hot, classes of phenomena which have no property in common. Stewart certainly overstates the matter when he speaks of “a prejudice which has descended to modern times from the scholastic ages, that when a word admits of a variety of significations, these different significations must all be species of the same genus, and must consequently include some essential idea common to every individual to which the generic term can be applied;”<sup>218</sup> for both Aristotle and his followers were well aware that there are such things as ambiguities of language, and delighted in distinguishing them. But they never suspected ambiguity in the cases where (as Stewart remarks) the association on which the transition of meaning was founded is so natural and habitual, that the two meanings blend together in the mind, and a real transition becomes an apparent generalization. Accordingly they wasted infinite pains in endeavoring to find a definition which would serve for several distinct meanings at once; as in an instance noticed by Stewart himself, that of “causation; the ambiguity of the word which, in the Greek language corresponds to the English word *cause*, having suggested to them the vain attempt of tracing the common idea which, in the case of any *effect*, belongs to the *efficient*, to the *matter*, to the *form*, and to the *end*. The idle generalities” (he adds) “we meet with in other philosophers, about the ideas of the *good*, the *fit*, and the *becoming*, have taken their rise from the same undue influence of popular epithets on the speculations of the learned.”<sup>219</sup>

Among the words which have undergone so many successive transitions of meaning that every trace of a property common to all the things they are applied to, or at least common and also peculiar to those

things, has been lost, Stewart considers the word Beautiful to be one. And (without attempting to decide a question which in no respect belongs to logic) I can not but feel, with him, considerable doubt whether the word beautiful connotes the same property when we speak of a beautiful color, a beautiful face, a beautiful scene, a beautiful character, and a beautiful poem. The word was doubtless extended from one of these objects to another on account of a resemblance between them, or, more probably, between the emotions they excited; and, by this progressive extension, it has at last reached things very remote from those objects of sight to which there is no doubt that it was first appropriated; and it is at least questionable whether there is now any property common to all the things which, consistently with usage, may be called beautiful, except the property of agreeableness, which the term certainly does connote, but which can not be all that people usually intend to express by it, since there are many agreeable things which are never called beautiful. If such be the case, it is impossible to give to the word Beautiful any fixed connotation, such that it shall denote all the objects which in common use it now denotes, but no others. A fixed connotation, however, it ought to have; for, so long as it has not, it is unfit to be used as a scientific term, and is a perpetual source of false analogies and erroneous generalizations.

This, then, constitutes a case in exemplification of our remark, that even when there is a property common to all the things denoted by a name, to erect that property into the definition and exclusive connotation of the name is not always desirable. The various things called beautiful unquestionably resemble one another in being agreeable; but to make this the definition of beauty, and so extend the word Beautiful to all agreeable things, would be to drop altogether a portion of meaning which the word really, though indistinctly, conveys, and to do what depends on us toward causing those qualities of the objects which the word previously, though vaguely, pointed at, to be overlooked and forgotten. It is better, in such a case, to give a fixed connotation to the term by restricting, than by extending its use; rather excluding from the epithet Beautiful some things to which it is commonly considered applicable, than leaving out of its connotation any of the qualities by which, though occasionally lost sight of, the general mind may have been habitually guided in the commonest and most interesting applications of the term. For there is no question that

when people call any thing beautiful, they think they are asserting more than that it is merely agreeable. They think they are ascribing a peculiar *sort* of agreeableness, analogous to that which they find in some other of the things to which they are accustomed to apply the same name. If, therefore, there be any peculiar sort of agreeableness which is common though not to all, yet to the principal things which are called beautiful, it is better to limit the denotation of the term to those things, than to leave that kind of quality without a term to connote it, and thereby divert attention from its peculiarities.

§ 6. The last remark exemplifies a rule of terminology, which is of great importance, and which has hardly yet been recognized as a rule, but by a few thinkers of the present century. In attempting to rectify the use of a vague term by giving it a fixed connotation, we must take care not to discard (unless advisedly, and on the ground of a deeper knowledge of the subject) any portion of the connotation which the word, in however indistinct a manner, previously carried with it. For otherwise language loses one of its inherent and most valuable properties, that of being the conservator of ancient experience; the keeper-alive of those thoughts and observations of former ages, which may be alien to the tendencies of the passing time. This function of language is so often overlooked or undervalued, that a few observations on it appear to be extremely required.

Even when the connotation of a term has been accurately fixed, and still more if it has been left in the state of a vague unanalyzed feeling of resemblance; there is a constant tendency in the word, through familiar use, to part with a portion of its connotation. It is a well-known law of the mind, that a word originally associated with a very complex cluster of ideas, is far from calling up all those ideas in the mind, every time the word is used; it calls up only one or two, from which the mind runs on by fresh associations to another set of ideas, without waiting for the suggestion of the remainder of the complex cluster. If this were not the case, processes of thought could not take place with any thing like the rapidity which we know they possess. Very often, indeed, when we are employing a word in our mental operations, we are so far from waiting until the complex idea which corresponds to the meaning of the word is



consciously brought before us in all its parts, that we run on to new trains of ideas by the other associations which the mere word excites, without having realized in our imagination any part whatever of the meaning; thus using the word, and even using it well and accurately, and carrying on important processes of reasoning by means of it, in an almost mechanical manner; so much so, that some metaphysicians, generalizing from an extreme case, have fancied that all reasoning is but the mechanical use of a set of terms according to a certain form. We may discuss and settle the most important interests of towns or nations, by the application of general theorems or practical maxims previously laid down, without having had consciously suggested to us, once in the whole process, the houses and green fields, the thronged market-places and domestic hearths, of which not only those towns and nations consist, but which the words town and nation confessedly mean.

Since, then, general names come in this manner to be used (and even to do a portion of their work well) without suggesting to the mind the whole of their meaning, and often with the suggestion of a very small, or no part at all of that meaning; we can not wonder that words so used come in time to be no longer capable of suggesting any other of the ideas appropriated to them, than those with which the association is most immediate and strongest, or most kept up by the incidents of life; the remainder being lost altogether; unless the mind, by often consciously dwelling on them, keeps up the association. Words naturally retain much more of their meaning to persons of active imagination, who habitually represent to themselves things in the concrete, with the detail which belongs to them in the actual world. To minds of a different description, the only antidote to this corruption of language is predication. The habit of predicating of the name, all the various properties which it originally connoted, keeps up the association between the name and those properties.

But in order that it may do so, it is necessary that the predicates should themselves retain their association with the properties which they severally connote. For the propositions can not keep the meaning of the words alive, if the meaning of the propositions themselves should die. And nothing is more common than for propositions to be mechanically

repeated, mechanically retained in the memory, and their truth undoubtfully assented to and relied on, while yet they carry no meaning distinctly home to the mind; and while the matter of fact or law of nature which they originally expressed is as much lost sight of, and practically disregarded, as if it never had been heard of at all. In those subjects which are at the same time familiar and complicated, and especially in those which are so in as great a degree as moral and social subjects are, it is a matter of common remark how many important propositions are believed and repeated from habit, while no account could be given, and no sense is practically manifested, of the truths which they convey. Hence it is, that the traditional maxims of old experience, though seldom questioned, have often so little effect on the conduct of life; because their meaning is never, by most persons, really felt, until personal experience has brought it home. And thus also it is that so many doctrines of religion, ethics, and even politics, so full of meaning and reality to first converts, have manifested (after the association of that meaning with the verbal formulas has ceased to be kept up by the controversies which accompanied their first introduction) a tendency to degenerate rapidly into lifeless dogmas; which tendency, all the efforts of an education expressly and skillfully directed to keeping the meaning alive, are barely sufficient to counteract.

Considering, then, that the human mind, in different generations, occupies itself with different things, and in one age is led by the circumstances which surround it to fix more of its attention upon one of the properties of a thing, in another age upon another; it is natural and inevitable that in every age a certain portion of our recorded and traditional knowledge, not being continually suggested by the pursuits and inquiries with which mankind are at that time engrossed, should fall asleep, as it were, and fade from the memory. It would be in danger of being totally lost, if the propositions or formulas, the results of the previous experience, did not remain, as forms of words it may be, but of words that once really conveyed, and are still supposed to convey, a meaning: which meaning, though suspended, may be historically traced, and when suggested, may be recognized by minds of the necessary endowments as being still matter of fact, or truth. While the formulas remain, the meaning may at any time revive; and as, on the one hand, the formulas progressively lose the meaning they were intended to convey, so,

on the other, when this forgetfulness has reached its height and begun to produce obvious consequences, minds arise which from the contemplation of the formulas rediscover the truth, when truth it was, which was contained in them, and announce it again to mankind, not as a discovery, but as the meaning of that which they have been taught, and still profess to believe.

Thus there is a perpetual oscillation in spiritual truths, and in spiritual doctrines of any significance, even when not truths. Their meaning is almost always in a process either of being lost or of being recovered. Whoever has attended to the history of the more serious convictions of mankind — of the opinions by which the general conduct of their lives is, or as they conceive ought to be, more especially regulated — is aware that even when recognizing verbally the same doctrines, they attach to them at different periods a greater or a less quantity, and even a different kind of meaning. The words in their original acceptation connoted, and the propositions expressed, a complication of outward facts and inward feelings, to different portions of which the general mind is more particularly alive in different generations of mankind. To common minds, only that portion of the meaning is in each generation suggested, of which that generation possesses the counterpart in its own habitual experience. But the words and propositions lie ready to suggest to any mind duly prepared the remainder of the meaning. Such individual minds are almost always to be found; and the lost meaning, revived by them, again by degrees works its way into the general mind.

The arrival of this salutary reaction may, however, be materially retarded by the shallow conceptions and incautious proceedings of mere logicians. It sometimes happens that toward the close of the downward period, when the words have lost part of their significance, and have not yet begun to recover it, persons arise whose leading and favorite idea is the importance of clear conceptions and precise thought, and the necessity, therefore, of definite language. These persons, in examining the old formulas, easily perceive that words are used in them without a meaning; and if they are not the sort of persons who are capable of rediscovering the lost signification, they naturally enough dismiss the formula, and define the name without reference to it. In so doing they fasten down the name to

what it connotes in common use at the time when it conveys the smallest quantity of meaning; and introduce the practice of employing it, consistently and uniformly, according to that connotation. The word in this way acquires an extent of denotation far beyond what it had before; it becomes extended to many things to which it was previously, in appearance capriciously, refused. Of the propositions in which it was formerly used, those which were true in virtue of the forgotten part of its meaning are now, by the clearer light which the definition diffuses, seen not to be true according to the definition; which, however, is the recognized and sufficiently correct expression of all that is perceived to be in the mind of any one by whom the term is used at the present day. The ancient formulas are consequently treated as prejudices; and people are no longer taught as before, though not to understand them, yet to believe that there is truth in them. They no longer remain in the general mind surrounded by respect, and ready at any time to suggest their original meaning. Whatever truths they contain are not only, in these circumstances, rediscovered far more slowly, but, when rediscovered, the prejudice with which novelties are regarded is now, in some degree at least, against them, instead of being on their side.

An example may make these remarks more intelligible. In all ages, except where moral speculation has been silenced by outward compulsion, or where the feelings which prompt to it still continue to be satisfied by the traditional doctrines of an established faith, one of the subjects which have most occupied the minds of thinking persons is the inquiry, What is virtue? or, What is a virtuous character? Among the different theories on the subject which have, at different times, grown up and obtained partial currency, every one of which reflected as in the clearest mirror the express image of the age which gave it birth; there was one, according to which virtue consists in a correct calculation of our own personal interests, either in this world only, or also in another. To make this theory plausible, it was of course necessary that the only beneficial actions which people in general were accustomed to see, or were therefore accustomed to praise, should be such as were, or at least might without contradicting obvious facts be supposed to be, the result of a prudential regard to self-interest; so that the words really connoted no more, in common acceptation, than was set down in the definition.

Suppose, now, that the partisans of this theory had contrived to introduce a consistent and undeviating use of the term according to this definition. Suppose that they had seriously endeavored, and had succeeded in the endeavor, to banish the word disinterestedness from the language; had obtained the disuse of all expressions attaching odium to selfishness or commendation to self-sacrifice, or which implied generosity or kindness to be any thing but doing a benefit in order to receive a greater personal advantage in return. Need we say that this abrogation of the old formulas for the sake of preserving clear ideas and consistency of thought, would have been a great evil? while the very inconsistency incurred by the co-existence of the formulas with philosophical opinions which seemed to condemn them as absurdities, operated as a stimulus to the re-examination of the subject and thus the very doctrines originating in the oblivion into which a part of the truth had fallen, were rendered indirectly, but powerfully, instrumental to its revival.

The doctrine of the Coleridge school, that the language of any people among whom culture is of old date, is a sacred deposit, the property of all ages, and which no one age should consider itself empowered to alter — borders indeed, as thus expressed, on an extravagance; but it is grounded on a truth, frequently overlooked by that class of logicians who think more of having a clear than of having a comprehensive meaning; and who perceive that every age is adding to the truths which it has received from its predecessors, but fail to see that a counter process of losing truths already possessed, is also constantly going on, and requiring the most sedulous attention to counteract it. Language is the depository of the accumulated body of experience to which all former ages have contributed their part, and which is the inheritance of all yet to come. We have no right to prevent ourselves from transmitting to posterity a larger portion of this inheritance than we may ourselves have profited by. However much we may be able to improve on the conclusions of our forefathers, we ought to be careful not inadvertently to let any of their premises slip through our fingers. It may be good to alter the meaning of a word, but it is bad to let any part of the meaning drop. Whoever seeks to introduce a more correct use of a term with which important associations are connected, should be required to possess an accurate acquaintance with the history of the particular word, and of the opinions which in different stages of its

progress it served to express. To be qualified to define the name, we must know all that has ever been known of the properties of the class of objects which are, or originally were, denoted by it. For if we give it a meaning according to which any proposition will be false which has ever been generally held to be true, it is incumbent on us to be sure that we know and have considered all which those who believed the proposition understood by it.

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<sup>213</sup> *Novum Organum Renovatum*, pp. 35–37.

<sup>214</sup> *Novum Organum Renovatum*, pp. 39, 40.

<sup>215</sup> P. 217, 4th edition.

<sup>216</sup> “E, ex, extra, extraneus, étranger, stranger.”

Another etymological example sometimes cited is the derivation of the English *uncle* from the Latin *avus*. It is scarcely possible for two words to bear fewer outward marks of relationship, yet there is but one step between them, *avus*, *avunculus*, *uncle*. So *pilgrim*, from *ager*: *per agrum*, *peragrinus*, *peregrinus*, *pellegrino*, *pilgrim*. Professor Bain gives some apt examples of these transitions of meaning. “The word ‘damp’ primarily signified moist, humid, wet. But the property is often accompanied with the feeling of cold or chilliness, and hence the idea of cold is strongly suggested by the word. This is not all. Proceeding upon the superadded meaning, we speak of damping a man’s ardor, a metaphor where the cooling is the only circumstance concerned; we go on still further to designate the iron slide that shuts off the draft of a stove, ‘the damper,’ the primary meaning being now entirely dropped. ‘Dry,’ in like manner, through signifying the absence of moisture, water, or liquidity, is applied to sulphuric acid containing water, although not thereby ceasing to be a moist, wet, or liquid substance.” So in the phrases, dry sherry, or Champagne.

“ ‘Street,’ originally a paved way, with or without houses, has been extended to roads lined with houses, whether paved or unpaved. ‘Impertinent’ signified at first irrelevant, alien to the purpose in hand: through which it has come to mean, meddling, intrusive, unmannerly, insolent.” (*Logic*, ii., 173, 174.)

<sup>217</sup> Pp. 226, 227.

<sup>218</sup> *Essays*, p. 214.

<sup>219</sup> *Essays*, p. 215.



# CHAPTER V.

## ON THE NATURAL HISTORY OF THE VARIATIONS IN THE MEANING OF TERMS.

§ 1. It is not only in the mode which has now been pointed out, namely by gradual inattention to a portion of the ideas conveyed, that words in common use are liable to shift their connotation. The truth is, that the connotation of such words is perpetually varying; as might be expected from the manner in which words in common use acquire their connotation. A technical term, invented for purposes of art or science, has, from the first, the connotation given to it by its inventor; but a name which is in every one's mouth before any one thinks of defining it, derives its connotation only from the circumstances which are habitually brought to mind when it is pronounced. Among these circumstances, the properties common to the things denoted by the name, have naturally a principal place; and would have the sole place, if language were regulated by convention rather than by custom and accident. But besides these common properties, which if they exist are *certainly* present whenever the name is employed, any other circumstance may *casually* be found along with it, so frequently as to become associated with it in the same manner, and as strongly, as the common properties themselves. In proportion as this association forms itself, people give up using the name in cases in which those casual circumstances do not exist. They prefer using some other name, or the same name with some adjunct, rather than employ an expression which will call up an idea they do not want to excite. The circumstance originally casual, thus becomes regularly a part of the connotation of the word.

It is this continual incorporation of circumstances originally accidental, into the permanent signification of words, which is the cause that there are so few exact synonyms. It is this also which renders the dictionary meaning of a word, by universal remark so imperfect an exponent of its real meaning. The dictionary meaning is marked out in a broad, blunt way, and probably includes all that was originally necessary



for the correct employment of the term; but in process of time so many collateral associations adhere to words, that whoever should attempt to use them with no other guide than the dictionary, would confound a thousand nice distinctions and subtle shades of meaning which dictionaries take no account of; as we notice in the use of a language in conversation or writing by a foreigner not thoroughly master of it. The history of a word, by showing the causes which determine its use, is in these cases a better guide to its employment than any definition; for definitions can only show its meaning at the particular time, or at most the series of its successive meanings, but its history may show the law by which the succession was produced. The word *gentleman*, for instance, to the correct employment of which a dictionary would be no guide, originally meant simply a man born in a certain rank. From this it came by degrees to connote all such qualities or adventitious circumstances as were usually found to belong to persons of that rank. This consideration at once explains why in one of its vulgar acceptations it means any one who lives without labor, in another without manual labor, and in its more elevated signification it has in every age signified the conduct, character, habits, and outward appearance, in whomsoever found, which, according to the ideas of that age, belonged or were expected to belong to persons born and educated in a high social position.

It continually happens that of two words, whose dictionary meanings are either the same or very slightly different, one will be the proper word to use in one set of circumstances, another in another, without its being possible to show how the custom of so employing them originally grew up. The accident that one of the words was used and not the other on a particular occasion or in a particular social circle, will be sufficient to produce so strong an association between the word and some specialty of circumstances, that mankind abandon the use of it in any other case, and the specialty becomes part of its signification. The tide of custom first drifts the word on the shore of a particular meaning, then retires and leaves it there.

An instance in point is the remarkable change which, in the English language at least, has taken place in the signification of the word *loyalty*. That word originally meant in English, as it still means in the language

from whence it came, fair, open dealing, and fidelity to engagements; in that sense the quality it expressed was part of the ideal chivalrous or knightly character. By what process, in England, the term became restricted to the single case of fidelity to the throne, I am not sufficiently versed in the history of courtly language to be able to pronounce. The interval between a *loyal chevalier* and a loyal subject is certainly great. I can only suppose that the word was, at some period, the favorite term at court to express fidelity to the oath of allegiance; until at length those who wished to speak of any other, and as it was probably deemed, inferior sort of fidelity, either did not venture to use so dignified a term, or found it convenient to employ some other in order to avoid being misunderstood.

§ 2. Cases are not unfrequent in which a circumstance, at first casually incorporated into the connotation of a word which originally had no reference to it, in time wholly supersedes the original meaning, and becomes not merely a part of the connotation, but the whole of it. This is exemplified in the word *paganus*; which originally, as its etymology imports, was equivalent to *villager*; the inhabitant of a *pagus*, or village. At a particular era in the extension of Christianity over the Roman empire, the adherents of the old religion, and the villagers or country people, were nearly the same body of individuals, the inhabitants of the towns having been earliest converted; as in our own day, and at all times, the greater activity of social intercourse renders them the earliest recipients of new opinions and modes, while old habits and prejudices linger longest among the country people; not to mention that the towns were more immediately under the direct influence of the Government, which at that time had embraced Christianity. From this casual coincidence, the word *paganus* carried with it, and began more and more steadily to suggest, the idea of a worshiper of the ancient divinities; until at length it suggested that idea so forcibly that people who did not desire to suggest the idea avoided using the word. But when *paganus* had come to connote heathenism, the very unimportant circumstance, with reference to that fact, of the place of residence, was soon disregarded in the employment of the word. As there was seldom any occasion for making separate assertions respecting heathens who lived in the country, there was no need for a separate word to denote them; and pagan came not only to mean heathen, but to mean that exclusively.

A case still more familiar to most readers is that of the word *villain* or *vilain*. This term, as every body knows, had in the Middle Ages a connotation as strictly defined as a word could have, being the proper legal designation for those persons who were the subjects of the less onerous forms of feudal bondage. The scorn of the semi-barbarous military aristocracy for these their abject dependants, rendered the act of likening any person to this class of people a mark of the greatest contumely; the same scorn led them to ascribe to the same people all manner of hateful qualities, which doubtless also, in the degrading situation in which they were held, were often not unjustly imputed to them. These circumstances combined to attach to the term villain ideas of crime and guilt, in so forcible a manner that the application of the epithet even to those to whom it legally belonged became an affront, and was abstained from whenever no affront was intended. From that time guilt was part of the connotation; and soon became the whole of it, since mankind were not prompted by any urgent motive to continue making a distinction in their language between bad men of servile station and bad men of any other rank in life.

These and similar instances in which the original signification of a term is totally lost — another and an entirely distinct meaning being first ingrafted upon the former, and finally substituted for it — afford examples of the double movement which is always taking place in language: two counter-movements, one of Generalization, by which words are perpetually losing portions of their connotation, and becoming of less meaning and more general acceptance; the other of Specialization, by which other, or even these same words, are continually taking on fresh connotation; acquiring additional meaning by being restricted in their employment to a part only of the occasions on which they might properly be used before. This double movement is of sufficient importance in the natural history of language (to which natural history the artificial modifications ought always to have some degree of reference), to justify our dwelling a little longer on the nature of the twofold phenomenon, and the causes to which it owes its existence.

§ 3. To begin with the movement of generalization. It might seem unnecessary to dwell on the changes in the meaning of names which take place merely from their being used ignorantly, by persons who, not having

properly mastered the received connotation of a word, apply it in a looser and wider sense than belongs to it. This, however, is a real source of alterations in the language; for when a word, from being often employed in cases where one of the qualities which it connotes does not exist, ceases to suggest that quality with certainty, then even those who are under no mistake as to the proper meaning of the word, prefer expressing that meaning in some other way, and leave the original word to its fate. The word 'Squire, as standing for an owner of a landed estate; Parson, as denoting not the rector of the parish, but clergymen in general; Artist, to denote only a painter or sculptor; are cases in point. Such cases give a clear insight into the process of the degeneration of languages in periods of history when literary culture was suspended; and we are now in danger of experiencing a similar evil through the superficial extension of the same culture. So many persons without any thing deserving the name of education have become writers by profession, that written language may almost be said to be principally wielded by persons ignorant of the proper use of the instrument, and who are spoiling it more and more for those who understand it. Vulgarisms, which creep in nobody knows how, are daily depriving the English language of valuable modes of expressing thought. To take a present instance: the verb *transpire* formerly conveyed very expressively its correct meaning, viz., to *become known* through unnoticed channels — to exhale, as it were, into publicity through invisible pores, like a vapor or gas disengaging itself. But of late a practice has commenced of employing this word, for the sake of finery, as a mere synonym of *to happen*: “the events which have *transpired* in the Crimea,” meaning the incidents of the war. This vile specimen of bad English is already seen in the dispatches of noblemen and viceroys; and the time is apparently not far distant when nobody will understand the word if used in its proper sense. In other cases it is not the love of finery, but simple want of education, which makes writers employ words in senses unknown to genuine English. The use of “aggravating” for “provoking,” in my boyhood a vulgarism of the nursery, has crept into almost all newspapers, and into many books; and when the word is used in its proper sense, as when writers on criminal law speak of aggravating and extenuating circumstances, their meaning, it is probable, is already misunderstood. It is a great error to think that these corruptions of language do no harm.

Those who are struggling with the difficulty (and who know by experience how great it already is) of expressing one's self clearly with precision, find their resources continually narrowed by illiterate writers, who seize and twist from its purpose some form of speech which once served to convey briefly and compactly an unambiguous meaning. It would hardly be believed how often a writer is compelled to a circumlocution by the single vulgarism, introduced during the last few years, of using the word *alone* as an adverb, *only* not being fine enough for the rhetoric of ambitious ignorance. A man will say "to which I am not alone bound by honor but also by law," unaware that what he has unintentionally said is, that he is *not alone* bound, some other person being bound with him. Formerly, if any one said, "I am not alone responsible for this," he was understood to mean (what alone his words mean in correct English), that he is not the sole person responsible; but if he now used such an expression, the reader would be confused between that and two other meanings: that he is not *only responsible* but something more; or that he is responsible *not only for this* but for something besides. The time is coming when Tennyson's *Enone* could not say, "I will not die alone," lest she should be supposed to mean that she would not only die but do something else.

The blunder of writing *predicate* for *predict* has become so widely diffused that it bids fair to render one of the most useful terms in the scientific vocabulary of Logic unintelligible. The mathematical and logical term "to eliminate" is undergoing a similar destruction. All who are acquainted either with the proper use of the word or with its etymology know that to eliminate a thing is to thrust it out: but those who know nothing about it, except that it is a fine-looking phrase, use it in a sense precisely the reverse, to denote, not turning any thing out, but bringing it in. They talk of *eliminating* some truth, or other useful result, from a mass of details.<sup>220</sup> A similar permanent deterioration in the language is in danger of being produced by the blunders of translators. The writers of telegrams, and the foreign correspondents of newspapers, have gone on so long translating *demand* by "to demand," without a suspicion that it means only to ask, that (the context generally showing that nothing else is meant) English readers are gradually associating the English word demand with simple asking, thus leaving the language without a term to express a demand in its proper sense. In like manner, "transaction," the

French word for a compromise, is translated into the English word transaction; while, curiously enough, the inverse change is taking place in France, where the word "compromis" has lately begun to be used for expressing the same idea. If this continues, the two countries will have exchanged phrases.

Independently, however, of the generalization of names through their ignorant misuse, there is a tendency in the same direction consistently with a perfect knowledge of their meaning; arising from the fact, that the number of things known to us, and of which we feel a desire to speak, multiply faster than the names for them. Except on subjects for which there has been constructed a scientific terminology, with which unscientific persons do not meddle, great difficulty is generally found in bringing a new name into use; and independently of that difficulty, it is natural to prefer giving to a new object a name which at least expresses its resemblance to something already known, since by predicating of it a name entirely new we at first convey no information. In this manner the name of a species often becomes the name of a genus; as *salt*, for example, or *oil*; the former of which words originally denoted only the muriate of soda, the latter, as its etymology indicates, only olive-oil; but which now denote large and diversified classes of substances resembling these in some of their qualities, and connote only those common qualities, instead of the whole of the distinctive properties of olive-oil and sea-salt. The words *glass* and *soap* are used by modern chemists in a similar manner, to denote genera of which the substances vulgarly so called are single species. And it often happens, as in those instances, that the term keeps its special signification in addition to its more general one, and becomes ambiguous, that is, two names instead of one.

These changes, by which words in ordinary use become more and more generalized, and less and less expressive, take place in a still greater degree with the words which express the complicated phenomena of mind and society. Historians, travelers, and in general those who speak or write concerning moral and social phenomena with which they are not familiarly acquainted, are the great agents in this modification of language. The vocabulary of all except unusually instructed as well as thinking persons, is, on such subjects, eminently scanty. They have a certain small set of

words to which they are accustomed, and which they employ to express phenomena the most heterogeneous, because they have never sufficiently analyzed the facts to which those words correspond in their own country, to have attached perfectly definite ideas to the words. The first English conquerors of Bengal, for example, carried with them the phrase *landed proprietor* into a country where the rights of individuals over the soil were extremely different in degree, and even in nature, from those recognized in England. Applying the term with all its English associations in such a state of things; to one who had only a limited right they gave an absolute right, from another because he had not an absolute right they took away all right, drove whole classes of people to ruin and despair, filled the country with banditti, created a feeling that nothing was secure, and produced, with the best intentions, a disorganization of society which had not been produced in that country by the most ruthless of its barbarian invaders. Yet the usage of persons capable of so gross a misapprehension determines the meaning of language; and the words they thus misuse grow in generality, until the instructed are obliged to acquiesce; and to employ those words (first freeing them from vagueness by giving them a definite connotation) as generic terms, subdividing the genera into species.

§ 4. While the more rapid growth of ideas than of names thus creates a perpetual necessity for making the same names serve, even if imperfectly, on a greater number of occasions; a counter-operation is going on, by which names become on the contrary restricted to fewer occasions, by taking on, as it were, additional connotation, from circumstances not originally included in the meaning, but which have become connected with it in the mind by some accidental cause. We have seen above, in the words *pagan* and *villain*, remarkable examples of the specialization of the meaning of words from casual associations, as well as of the generalization of it in a new direction, which often follows.

Similar specializations are of frequent occurrence in the history even of scientific nomenclature. "It is by no means uncommon," says Dr. Paris, in his *Pharmacologia*,<sup>221</sup> "to find a word which is used to express general characters subsequently become the name of a specific substance in which such characters are predominant; and we shall find that some important anomalies in nomenclature may be thus explained. The term Ἀρσενικόν,

from which the word Arsenic is derived, was an ancient epithet applied to those natural substances which possessed strong and acrimonious properties; and as the poisonous quality of arsenic was found to be remarkably powerful, the term was especially applied to Orpiment, the form in which this metal most usually occurred. So the term *Verbena* (quasi *Herbena*) originally denoted all those herbs that were held sacred on account of their being employed in the rites of sacrifice, as we learn from the poets; but as *one* herb was usually adopted upon these occasions, the word *Verbena* came to denote that particular herb *only*, and it is transmitted to us to this day under the same title, viz., *Verbena* or *Vervain*, and indeed until lately it enjoyed the medical reputation which its sacred origin conferred upon it, for it was worn suspended around the neck as an amulet. *Vitriol*, in the original application of the word, denoted *any* crystalline body with a certain degree of transparency (*vitrum*); it is hardly necessary to observe that the term is now appropriated to a particular species: in the same manner, *Bark*, which is a general term, is applied to express *one* genus, and by way of eminence it has the article *The* prefixed, as *The bark*; the same observation will apply to the word *Opium*, which, in its primitive sense, signifies *any* juice (ὄπιον, *Succus*), while it now only denotes *one* species, viz., that of the poppy. So, again, *Elaterium* was used by Hippocrates to signify various internal applications, especially purgatives, of a violent and drastic nature (from the word ἐλαύνω, *agito, moveo, stimulo*), but by succeeding authors it was exclusively applied to denote the active matter which subsides from the juice of the wild cucumber. The word *Fecula*, again, originally meant to imply *any* substance which was derived by spontaneous subsidence from a liquid (from *fæx*, the grounds or settlement of *any* liquor); afterward it was applied to *Starch*, which is deposited in this manner by agitating the flour of wheat in water; and, lastly, it has been applied to a peculiar vegetable principle, which, like starch, is insoluble in cold, but completely soluble in boiling water, with which it forms a gelatinous solution. This indefinite meaning of the word *fecula* has created numerous mistakes in pharmaceutical chemistry; *Elaterium*, for instance, is said to be *fecula*, and, in the original sense of the word, it is properly so called, inasmuch as it is procured from a vegetable juice by spontaneous subsidence, but in the limited and modern acceptance of the term it conveys an erroneous idea;



for instead of the active principle of the juice residing in *fecula*, it is a peculiar proximate principle, *sui generis*, to which I have ventured to bestow the name of *Elatin*. For the same reason, much doubt and obscurity involve the meaning of the word *Extract*, because it is applied *generally* to any substance obtained by the evaporation of a vegetable solution, and *specifically* to a peculiar proximate principle, possessed of certain characters, by which it is distinguished from every other elementary body.”

A generic term is always liable to become thus limited to a single species, or even individual, if people have occasion to think and speak of that individual or species much oftener than of any thing else which is contained in the genus. Thus by cattle, a stage-coachman will understand horses; beasts, in the language of agriculturists, stands for oxen; and birds, with some sportsmen, for partridges only. The law of language which operates in these trivial instances is the very same in conformity to which the terms Θεός, Deus, and God, were adopted from Polytheism by Christianity, to express the single object of its own adoration. Almost all the terminology of the Christian Church is made up of words originally used in a much more general acceptance: *Ecclesia*, Assembly; *Bishop*, Episcopus, Overseer; *Priest*, Presbyter, Elder; *Deacon*, Diaconus, Administrator; *Sacrament*, a vow of allegiance; *Evangelium*, good tidings; and some words, as *Minister*, are still used both in the general and in the limited sense. It would be interesting to trace the progress by which *author* came, in its most familiar sense, to signify a writer, and ποιητής, or maker, a poet.

Of the incorporation into the meaning of a term, of circumstances accidentally connected with it at some particular period, as in the case of Pagan, instances might easily be multiplied. Physician (φυσικός, or naturalist) became, in England, synonymous with a healer of diseases, because until a comparatively late period medical practitioners were the only naturalists. *Clerc*, or clericus, a scholar, came to signify an ecclesiastic, because the clergy were for many centuries the only scholars.

Of all ideas, however, the most liable to cling by association to any thing with which they have ever been connected by proximity, are those of our pleasures and pains, or of the things which we habitually contemplate

as sources of our pleasures or pains. The additional connotation, therefore, which a word soonest and most readily takes on, is that of agreeableness or painfulness, in their various kinds and degrees; of being a good or bad thing; desirable or to be avoided; an object of hatred, of dread, contempt, admiration, hope, or love. Accordingly there is hardly a single name, expressive of any moral or social fact calculated to call forth strong affections either of a favorable or of a hostile nature, which does not carry with it decidedly and irresistibly a connotation of those strong affections, or, at the least, of approbation or censure; insomuch that to employ those names in conjunction with others by which the contrary sentiments were expressed, would produce the effect of a paradox, or even a contradiction in terms. The baneful influence of a connotation thus acquired, on the prevailing habits of thought, especially in morals and politics, has been well pointed out on many occasions by Bentham. It gives rise to the fallacy of "question-begging names." The very property which we are inquiring whether a thing possesses or not, has become so associated with the name of the thing as to be part of its meaning, insomuch that by merely uttering the name we assume the point which was to be made out; one of the most frequent sources of apparently self-evident propositions.

Without any further multiplication of examples to illustrate the changes which usage is continually making in the signification of terms, I shall add, as a practical rule, that the logician, not being able to prevent such transformations, should submit to them with a good grace when they are irrevocably effected, and if a definition is necessary, define the word according to its new meaning; retaining the former as a second signification, if it is needed, and if there is any chance of being able to preserve it either in the language of philosophy or in common use. Logicians can not *make* the meaning of any but scientific terms; that of all other words is made by the collective human race. But logicians can ascertain clearly what it is which, working obscurely, has guided the general mind to a particular employment of a name; and when they have found this, they can clothe it in such distinct and permanent terms, that mankind shall see the meaning which before they only felt, and shall not suffer it to be afterward forgotten or misapprehended.

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<sup>220</sup> Though no such evil consequences as take place in these instances are likely to arise from the modern freak of writing *sanatory* instead of sanitary, it deserves notice as a charming specimen of pedantry ingrafted upon ignorance. Those who thus undertake to correct the spelling of the classical English writers, are not aware that the meaning of *sanatory*, if there were such a word in the language, would have reference not to the preservation of health, but to the cure of disease.

<sup>221</sup> *Historical Introduction*, vol. i., pp. 66–68.



# CHAPTER VI.

## THE PRINCIPLES OF A PHILOSOPHICAL LANGUAGE FURTHER CONSIDERED.

§ 1. We have, thus far, considered only one of the requisites of a language adapted for the investigation of truth; that its terms shall each of them convey a determinate and unmistakable meaning. There are, however, as we have already remarked, other requisites; some of them important only in the second degree, but one which is fundamental, and barely yields in point of importance, if it yields at all, to the quality which we have already discussed at so much length. That the language may be fitted for its purposes, not only should every word perfectly express its meaning, but there should be no important meaning without its word. Whatever we have occasion to think of often, and for scientific purposes, ought to have a name appropriated to it.

This requisite of philosophical language may be considered under three different heads; that number of separate conditions being involved in it.

§ 2. First, there ought to be all such names, as are needful for making such a record of individual observations that the words of the record shall exactly show what fact it is which has been observed. In other words, there should be an accurate Descriptive Terminology.

The only things which we can observe directly being our own sensations, or other feelings, a complete descriptive language would be one in which there should be a name for every variety of elementary sensation or feeling. Combinations of sensations or feelings may always be described, if we have a name for each of the elementary feelings which compose them; but brevity of description, and clearness (which often depends very much on brevity), are greatly promoted by giving distinctive names not to the elements alone, but also to all combinations which are of frequent recurrence. On this occasion I can not do better than quote from

Dr. Whewell<sup>222</sup> some of the excellent remarks which he has made on this important branch of our subject.

“The meaning of [descriptive] technical terms can be fixed in the first instance only by convention, and can be made intelligible only by presenting to the senses that which the terms are to signify. The knowledge of a color by its name can only be taught through the eye. No description can convey to a hearer what we mean by *apple-green* or *French gray*. It might, perhaps, be supposed that, in the first example, the term *apple*, referring to so familiar an object, sufficiently suggests the color intended. But it may easily be seen that this is not true; for apples are of many different hues of green, and it is only by a conventional selection that we can appropriate the term to one special shade. When this appropriation is once made, the term refers to the sensation, and not to the parts of the term; for these enter into the compound merely as a help to the memory, whether the suggestion be a natural connection as in ‘apple-green,’ or a casual one as in ‘French gray.’ In order to derive due advantage from technical terms of the kind, they must be associated *immediately* with the perception to which they belong; and not connected with it through the vague usages of common language. The memory must retain the sensation; and the technical word must be understood as directly as the most familiar word, and more distinctly. When we find such terms as *tin-white* or *pinchbeck-brown*, the metallic color so denoted ought to start up in our memory without delay or search.

“This, which it is most important to recollect with respect to the simpler properties of bodies, as color and form, is no less true with respect to more compound notions. In all cases the term is fixed to a peculiar meaning by convention; and the student, in order to use the word, must be completely familiar with the convention, so that he has no need to frame conjectures from the word itself. Such conjectures would always be insecure, and often erroneous. Thus the term *papilionaceous* applied to a flower is employed to indicate, not only a resemblance to a butterfly, but a resemblance arising from five petals of a certain-peculiar shape and arrangement; and even if the resemblance were much stronger than it is in such cases, yet, if it were produced in a different way, as, for example, by one petal, or two only, instead of a ‘standard,’ two ‘wings,’ and a ‘keel’

consisting of two parts more or less united into one, we should be no longer justified in speaking of it as a 'papilionaceous' flower."

When, however, the thing named is, as in this last case, a combination of simple sensations, it is not necessary, in order to learn the meaning of the word, that the student should refer back to the sensations themselves; it may be communicated to him through the medium of other words; the terms, in short, may be defined. But the names of elementary sensations, or elementary feelings of any sort, can not be defined; nor is there any mode of making their signification known but by making the learner experience the sensation, or referring him, through some known mark, to his remembrance of having experienced it before. Hence it is only the impressions on the outward senses, or those inward feelings which are connected in a very obvious and uniform manner with outward objects, that are really susceptible of an exact descriptive language. The countless variety of sensations which arise, for instance, from disease, or from peculiar physiological states, it would be in vain to attempt to name; for as no one can judge whether the sensation I have is the same with his, the name can not have, to us two, real community of meaning. The same may be said, to a considerable extent, of purely mental feelings. But in some of the sciences which are conversant with external objects, it is scarcely possible to surpass the perfection to which this quality of a philosophical language has been carried.

"The formation<sup>223</sup> of an exact and extensive descriptive language for botany has been executed with a degree of skill and felicity, which, before it was attained, could hardly have been dreamed of as attainable. Every part of a plant has been named; and the form of every part, even the most minute, has had a large assemblage of descriptive terms appropriated to it, by means of which the botanist can convey and receive knowledge of form and structure, as exactly as if each minute part were presented to him vastly magnified. This acquisition was part of the Linnæan reform. . . . 'Tournefort,' says Decandolle, 'appears to have been the first who really perceived the utility of fixing the sense of terms in such a way as always to employ the same word in the same sense, and always to express the same idea by the same words; but it was Linnæus who really created and fixed this botanical language, and this is his fairest claim to glory, for by this

fixation of language he has shed clearness and precision over all parts of the science.’

“It is not necessary here to give any detailed account of the terms of botany. The fundamental ones have been gradually introduced, as the parts of plants were more carefully and minutely examined. Thus the flower was necessarily distinguished into the *calyx*, the *corolla*, the *stamens*, and the *pistils*; the sections of the corolla were termed *petals* by Columna; those of the calyx were called *sepals* by Necker. Sometimes terms of greater generality were devised; as *perianth*, to include the calyx and corolla, whether one or both of these were present; *pericarp*, for the part inclosing the grain, of whatever kind it be, fruit, nut, pod, etc. And it may easily be imagined, that descriptive terms may, by definition and combination, become very numerous and distinct. Thus leaves may be called *pinnatifid*, *pinnatipartite*, *pinnatisect*, *pinnatilobate*, *palmatifid*, *palmatipartite*, etc., and each of these words designates different combinations of the modes and extent of the divisions of the leaf with the divisions of its outline. In some cases, arbitrary numerical relations are introduced into the definition: thus, a leaf is called *bilobate*, when it is divided into two parts by a notch; but if the notch go to the middle of its length, it is *bifid*; if it go near the base of the leaf, it is *bipartite*; if to the base, it is *bisect*. Thus, too, a pod of a cruciferous plant is a *siliqua*, if it is four times as long as it is broad, but if it be shorter than this it is a *silicula*. Such terms being established, the form of the very complex leaf or frond of a fern (*Hymenophyllum Wilsoni*) is exactly conveyed by the following phrase: ‘fronds rigid pinnate, pinnæ recurved subunilateral, pinnatifid, the segments linear undivided or bifid, spinuloso-serrate.’

“Other characters, as well as form, are conveyed with the like precision: Color by means of a classified scale of colors. . . . This was done with most precision by Werner, and his scale of colors is still the most usual standard of naturalists. Werner also introduced a more exact terminology with regard to other characters which are important in mineralogy, as lustre, hardness. But Mohs improved upon this step by giving a numerical scale of hardness, in which talc is 1, gypsum 2, calc spar 3, and so on. . . . Some properties, as specific gravity, by their definition give at once a numerical measure; and others, as crystalline form, require

a very considerable array of mathematical calculation and reasoning, to point out their relations and gradations.”

§ 3. Thus far of Descriptive Terminology, or of the language requisite for placing on record our observation of individual instances. But when we proceed from this to Induction, or rather to that comparison of observed instances which is the preparatory step toward it, we stand in need of an additional and a different sort of general names.

Whenever, for purposes of Induction, we find it necessary to introduce (in Dr. Whewell's phraseology) some new general conception; that is, whenever the comparison of a set of phenomena leads to the recognition in them of some common circumstance, which, our attention not having been directed to it on any former occasion, is to us a new phenomenon; it is of importance that this new conception, or this new result of abstraction, should have a name appropriated to it; especially if the circumstance it involves be one which leads to many consequences, or which is likely to be found also in other classes of phenomena. No doubt, in most cases of the kind, the meaning might be conveyed by joining together several words already in use. But when a thing has to be often spoken of, there are more reasons than the saving of time and space, for speaking of it in the most concise manner possible. What darkness would be spread over geometrical demonstrations, if wherever the word *circle* is used, the definition of a circle were inserted instead of it. In mathematics and its applications, where the nature of the processes demands that the attention should be strongly concentrated, but does not require that it should be widely diffused, the importance of concentration also in the expressions has always been duly felt; and a mathematician no sooner finds that he shall often have occasion to speak of the same two things together, than he at once creates a term to express them whenever combined: just as, in his algebraical operations, he substitutes for  $(am + bn) p/q$ , or for  $a/b + b/c + c/d + \text{etc.}$ , the single letter P, Q, or S; not solely to shorten his symbolical expressions, but to simplify the purely intellectual part of his operations, by enabling the mind to give its exclusive attention to the relation between the quantity S and the other quantities which enter into the equation, without being distracted by thinking unnecessarily of the parts of which S is itself composed.



But there is another reason, in addition to that of promoting perspicuity, for giving a brief and compact name to each of the more considerable results of abstraction which are obtained in the course of our intellectual phenomena. By naming them, we fix our attention upon them; we keep them more constantly before the mind. The names are remembered, and being remembered, suggest their definition; while if instead of specific and characteristic names, the meaning had been expressed by putting together a number of other names, that particular combination of words already in common use for other purposes would have had nothing to make itself remembered by. If we want to render a particular combination of ideas permanent in the mind, there is nothing which clinches it like a name specially devoted to express it. If mathematicians had been obliged to speak of "that to which a quantity, in increasing or diminishing, is always approaching nearer, so that the difference becomes less than any assignable quantity, but to which it never becomes exactly equal," instead of expressing all this by the simple phrase, "the limit of a quantity," we should probably have long remained without most of the important truths which have been discovered by means of the relation between quantities of various kinds and their limits. If instead of speaking of *momentum*, it had been necessary to say, "the product of the number of units of velocity in the velocity by the number of units of mass in the mass," many of the dynamical truths now apprehended by means of this complex idea would probably have escaped notice, for want of recalling the idea itself with sufficient readiness and familiarity. And on subjects less remote from the topics of popular discussion, whoever wishes to draw attention to some new or unfamiliar distinction among things, will find no way so sure as to invent or select suitable names for the express purpose of marking it.

A volume devoted to explaining what the writer means by civilization, does not raise so vivid a conception of it as the single expression, that Civilization is a different thing from Cultivation; the compactness of that brief designation for the contrasted quality being an equivalent for a long discussion. So, if we would impress forcibly upon the understanding and memory the distinction between the two different conceptions of a representative government, we can not more effectually do so than by saying that Delegation is not Representation. Hardly any original thoughts

on mental or social subjects ever make their way among mankind, or assume their proper importance in the minds even of their inventors, until aptly-selected words or phrases have, as it were, nailed them down and held them fast.

§ 4. Of the three essential parts of a philosophical language, we have now mentioned two: a terminology suited for describing with precision the individual facts observed; and a name for every common property of any importance or interest, which we detect by comparing those facts; including (as the concretes corresponding to those abstract terms) names for the classes which we artificially construct in virtue of those properties, or as many of them, at least, as we have frequent occasion to predicate any thing of.

But there is a sort of classes, for the recognition of which no such elaborate process is necessary; because each of them is marked out from all others not by some one property, the detection of which may depend on a difficult act of abstraction, but by its properties generally. I mean, the Kinds of things, in the sense which, in this treatise, has been specially attached to that term. By a Kind, it will be remembered, we mean one of those classes which are distinguished from all others not by one or a few definite properties, but by an unknown multitude of them; the combination of properties on which the class is grounded, being a mere index to an indefinite number of other distinctive attributes. The class horse is a Kind, because the things which agree in possessing the characters by which we recognize a horse, agree in a great number of other properties, as we know, and, it can not be doubted, in many more than we know. Animal, again, is a Kind, because no definition that could be given of the name animal could either exhaust the properties common to all animals, or supply premises from which the remainder of those properties could be inferred. But a combination of properties which does not give evidence of the existence of any other independent peculiarities, does not constitute a Kind. White horse, therefore, is not a Kind; because horses which agree in whiteness, do not agree in any thing else, except the qualities common to all horses, and whatever may be the causes or effects of that particular color.

On the principle that there should be a name for every thing which we have frequent occasion to make assertions about, there ought evidently to be a name for every Kind; for as it is the very meaning of a Kind that the individuals composing it have an indefinite multitude of properties in common, it follows that, if not with our present knowledge, yet with that which we may hereafter acquire, the Kind is a subject to which there will have to be applied many predicates. The third component element of a philosophical language, therefore, is that there shall be a name for every Kind. In other words, there must not only be a terminology, but also a nomenclature.

The words Nomenclature and Terminology are employed by most authors almost indiscriminately; Dr. Whewell being, as far as I am aware, the first writer who has regularly assigned to the two words different meanings. The distinction, however, which he has drawn between them being real and important, his example is likely to be followed; and (as is apt to be the case when such innovations in language are felicitously made) a vague sense of the distinction is found to have influenced the employment of the terms in common practice, before the expediency had been pointed out of discriminating them philosophically. Every one would say that the reform effected by Lavoisier and Guyton–Morveau in the language of chemistry consisted in the introduction of a new nomenclature, not of a new terminology. Linear, lanceolate, oval, or oblong, serrated, dentate, or crenate leaves, are expressions forming part of the terminology of botany, while the names “*Viola odorata*,” and “*Ulex Europæus*,” belong to its nomenclature.

A nomenclature may be defined, the collection of the names of all the Kinds with which any branch of knowledge is conversant; or more properly, of all the lowest Kinds, or *infirmæ species* — those which may be subdivided indeed, but not into Kinds, and which generally accord with what in natural history are termed simply species. Science possesses two splendid examples of a systematic nomenclature; that of plants and animals, constructed by Linnæus and his successors, and that of chemistry, which we owe to the illustrious group of chemists who flourished in France toward the close of the eighteenth century. In these two departments, not only has every known species, or lowest Kind, a

name assigned to it, but when new lowest Kinds are discovered, names are at once given to them on a uniform principle. In other sciences the nomenclature is not at present constructed on any system, either because the species to be named are not numerous enough to require one (as in geometry, for example), or because no one has yet suggested a suitable principle for such a system, as in mineralogy; in which the want of a scientifically constructed nomenclature is now the principal cause which retards the progress of the science.

§ 5. A word which carries on its face that it belongs to a nomenclature, seems at first sight to differ from other concrete general names in this — that its meaning does not reside in its connotation, in the attributes implied in it, but in its denotation, that is, in the particular group of things which it is appointed to designate; and can not, therefore, be unfolded by means of a definition, but must be made known in another way. This opinion, however, appears to me erroneous. Words belonging to a nomenclature differ, I conceive, from other words mainly in this, that besides the ordinary connotation, they have a peculiar one of their own: besides connoting certain attributes, they also connote that those attributes are distinctive of a Kind. The term “peroxide of iron,” for example, belonging by its form to the systematic nomenclature of chemistry, bears on its face that it is the name of a peculiar Kind of substance. It moreover connotes, like the name of any other class, some portion of the properties common to the class; in this instance the property of being a compound of iron and the largest dose of oxygen with which iron will combine. These two things, the fact of being such a compound, and the fact of being a Kind, constitute the connotation of the name peroxide of iron. When we say of the substance before us, that it is the peroxide of iron, we thereby assert, first, that it is a compound of iron and a maximum of oxygen, and next, that the substance so composed is a peculiar Kind of substance.

Now, this second part of the connotation of any word belonging to a nomenclature is as essential a portion of its meaning as the first part, while the definition only declares the first; and hence the appearance that the signification of such terms can not be conveyed by a definition: which appearance, however, is fallacious. The name *Viola odorata* denotes a

Kind, of which a certain number of characters, sufficient to distinguish it, are enunciated in botanical works. This enumeration of characters is surely, as in other cases, a definition of the name. No, say some, it is not a definition, for the name *Viola odorata* does not mean those characters; it means that particular group of plants, and the characters are selected from among a much greater number, merely as marks by which to recognize the group. But to this I reply, that the name does not mean that group, for it would be applied to that group no longer than while the group is believed to be an *infima species*; if it were to be discovered that several distinct Kinds have been confounded under this one name, no one would any longer apply the name *Viola odorata* to the whole of the group, but would apply it, if retained at all, to one only of the Kinds retained therein. What is imperative, therefore, is not that the name shall denote one particular collection of objects, but that it shall denote a Kind, and a lowest Kind. The form of the name declares that, happen what will, it is to denote an *infima species*; and that, therefore, the properties which it connotes, and which are expressed in the definition, are to be connoted by it no longer than while we continue to believe that those properties, when found together, indicate a Kind, and that the whole of them are found in no more than one Kind.

With the addition of this peculiar connotation, implied in the form of every word which belongs to a systematic nomenclature; the set of characters which is employed to discriminate each Kind from all other Kinds (and which is a real definition) constitutes as completely as in any other case the whole meaning of the term. It is no objection to say that (as is often the case in natural history) the set of characters may be changed, and another substituted as being better suited for the purpose of distinction, while the word, still continuing to denote the same group or things, is not considered to have changed its meaning. For this is no more than may happen in the case of any other general name: we may, in reforming its connotation, leave its denotation untouched; and it is generally desirable to do so. The connotation, however, is not the less for this the real meaning, for we at once apply the name wherever the characters set down in the definition are found; and that which exclusively guides us in applying the term, must constitute its signification. If we find, contrary to our previous belief, that the characters are not peculiar to one

species, we cease to use the term co-extensively with the characters; but then it is because the other portion of the connotation fails; the condition that the class must be a Kind. The connotation, therefore, is still the meaning; the set of descriptive characters is a true definition; and the meaning is unfolded, not indeed (as in other cases) by the definition alone, but by the definition and the form of the word taken together.

§ 6. We have now analyzed what is implied in the two principal requisites of a philosophical language; first, precision, or definiteness; and, secondly, completeness. Any further remarks on the mode of constructing a nomenclature must be deferred until we treat of Classification; the mode of naming the Kinds of things being necessarily subordinate to the mode of arranging those Kinds into larger classes. With respect to the minor requisites of terminology, some of them are well stated and illustrated in the "Aphorisms concerning the Language of Science," included in Dr. Whewell's *Philosophy of the Inductive Sciences*. These, as being of secondary importance in the peculiar point of view of Logic, I shall not further refer to, but shall confine my observations to one more quality, which, next to the two already treated of, appears to be the most valuable which the language of science can possess. Of this quality a general notion may be conveyed by the following aphorism:

Whenever the nature of the subject permits our reasoning processes to be, without danger, carried on mechanically, the language should be constructed on as mechanical principles as possible; while, in the contrary case, it should be so constructed that there shall be the greatest possible obstacles to a merely mechanical use of it.

I am aware that this maxim requires much explanation, which I shall at once proceed to give. At first, as to what is meant by using a language mechanically. The complete or extreme case of the mechanical use of language, is when it is used without any consciousness of a meaning, and with only the consciousness of using certain visible or audible marks in conformity to technical rules previously laid down. This extreme case is nowhere realized except in the figures of arithmetic, and still more the symbols of algebra, a language unique in its kind, and approaching as nearly to perfection, for the purposes to which it is destined, as can, perhaps, be said of any creation of the human mind. Its perfection consists

in the completeness of its adaptation to a purely mechanical use. The symbols are mere counters, without even the semblance of a meaning apart from the convention which is renewed each time they are employed, and which is altered at each renewal, the same symbol  $a$  or  $x$  being used on different occasions to represent things which (except that, like all things, they are susceptible of being numbered) have no property in common. There is nothing, therefore, to distract the mind from the set of mechanical operations which are to be performed upon the symbols, such as squaring both sides of the equation, multiplying or dividing them by the same or by equivalent symbols, and so forth. Each of these operations, it is true, corresponds to a syllogism; represents one step of a ratiocination relating not to the symbols, but to the things signified by them. But as it has been found practicable to frame a technical form, by conforming to which we can make sure of finding the conclusion of the ratiocination, our end can be completely attained without our ever thinking of any thing but the symbols. Being thus intended to work merely as mechanism, they have the qualities which mechanism ought to have. They are of the least possible bulk, so that they take up scarcely any room, and waste no time in their manipulation; they are compact, and fit so closely together that the eye can take in the whole at once of almost every operation which they are employed to perform.

These admirable properties of the symbolical language of mathematics have made so strong an impression on the minds of many thinkers, as to have led them to consider the symbolical language in question as the ideal type of philosophical language generally; to think that names in general, or (as they are fond of calling them) signs, are fitted for the purposes of thought in proportion as they can be made to approximate to the compactness, the entire unmeaningness, and the capability of being used as counters without a thought of what they represent, which are characteristic of the  $a$  and  $b$ , the  $x$  and  $y$ , of algebra. This notion has led to sanguine views of the acceleration of the progress of science by means which, I conceive, can not possibly conduce to that end, and forms part of that exaggerated estimate of the influence of signs, which has contributed in no small degree to prevent the real laws of our intellectual operations from being rightly understood.

In the first place, a set of signs by which we reason without consciousness of their meaning, can be serviceable, at most, only in our deductive operations. In our direct inductions we can not for a moment dispense with a distinct mental image of the phenomena, since the whole operation turns on a perception of the particulars in which those phenomena agree and differ. But, further, this reasoning by counters is only suitable to a very limited portion even of our deductive processes. In our reasonings respecting numbers, the only general principles which we ever have occasion to introduce are these, Things which are equal to the same thing are equal to one another, and The sums or differences of equal things are equal; with their various corollaries. Not only can no hesitation ever arise respecting the applicability of these principles, since they are true of all magnitudes whatever; but every possible application of which they are susceptible, may be reduced to a technical rule; and such, in fact, the rules of the calculus are. But if the symbols represent any other things than mere numbers, let us say even straight or curve lines, we have then to apply theorems of geometry not true of all lines without exception, and to select those which are true of the lines we are reasoning about. And how can we do this unless we keep completely in mind what particular lines these are? Since additional geometrical truths may be introduced into the ratiocination in any stage of its progress, we can not suffer ourselves, during even the smallest part of it, to use the names mechanically (as we use algebraical symbols) without an image annexed to them. It is only after ascertaining that the solution of a question concerning lines can be made to depend on a previous question concerning numbers, or, in other words, after the question has been (to speak technically) reduced to an equation, that the unmeaning signs become available, and that the nature of the facts themselves to which the investigation relates can be dismissed from the mind. Up to the establishment of the equation, the language in which mathematicians carry on their reasoning does not differ in character from that employed by close reasoners on any other kind of subject.

I do not deny that every correct ratiocination, when thrown into the syllogistic shape, is conclusive from the mere form of the expression, provided none of the terms used be ambiguous; and this is one of the circumstances which have led some writers to think that if all names were so judiciously constructed and so carefully defined as not to admit of any



ambiguity, the improvement thus made in language would not only give to the conclusions of every deductive science the same certainty with those of mathematics, but would reduce all reasonings to the application of a technical form, and enable their conclusiveness to be rationally assented to after a merely mechanical process, as is undoubtedly the case in algebra. But, if we except geometry, the conclusions of which are already as certain and exact as they can be made, there is no science but that of number, in which the practical validity of a reasoning can be apparent to any person who has looked only at the reasoning itself. Whoever has assented to what was said in the last Book concerning the case of the Composition of Causes, and the still stronger case of the entire supersession of one set of laws by another, is aware that geometry and algebra are the only sciences of which the propositions are categorically true; the general propositions of all other sciences are true only hypothetically, supposing that no counteracting cause happens to interfere. A conclusion, therefore, however correctly deduced, in point of form, from admitted laws of nature, will have no other than an hypothetical certainty. At every step we must assure ourselves that no other law of nature has superseded, or intermingled its operation with, those which are the premises of the reasoning; and how can this be done by merely looking at the words? We must not only be constantly thinking of the phenomena themselves, but we must be constantly studying them; making ourselves acquainted with the peculiarities of every case to which we attempt to apply our general principles.

The algebraic notation, considered as a philosophical language, is perfect in its adaptation to the subjects for which it is commonly employed, namely those of which the investigations have already been reduced to the ascertainment of a relation between numbers. But, admirable as it is for its own purpose, the properties by which it is rendered such are so far from constituting it the ideal model of philosophical language in general, that the more nearly the language of any other branch of science approaches to it, the less fit that language is for its own proper functions. On all other subjects, instead of contrivances to prevent our attention from being distracted by thinking of the meaning of our signs, we ought to wish for contrivances to make it impossible that we should ever lose sight of that meaning even for an instant.

With this view, as much meaning as possible should be thrown into the formation of the word itself; the aids of derivation and analogy being made available to keep alive a consciousness of all that is signified by it. In this respect those languages have an immense advantage which form their compounds and derivatives from native roots, like the German, and not from those of a foreign or dead language, as is so much the case with English, French, and Italian; and the best are those which form them according to fixed analogies, corresponding to the relations between the ideas to be expressed. All languages do this more or less, but especially, among modern European languages, the German; while even that is inferior to the Greek, in which the relation between the meaning of a derivative word and that of its primitive is in general clearly marked by its mode of formation, except in the case of words compounded with prepositions, which are often, in both those languages, extremely anomalous.

But all that can be done, by the mode of constructing words, to prevent them from degenerating into sounds passing through the mind without any distinct apprehension of what they signify, is far too little for the necessity of the case. Words, however well constructed originally, are always tending, like coins, to have their inscription worn off by passing from hand to hand; and the only possible mode of reviving it is to be ever stamping it afresh, by living in the habitual contemplation of the phenomena themselves, and not resting in our familiarity with the words that express them. If any one, having possessed himself of the laws of phenomena as recorded in words, whether delivered to him originally by others, or even found out by himself, is content from thenceforth to live among these formulæ, to think exclusively of them, and of applying them to cases as they arise, without keeping up his acquaintance with the realities from which these laws were collected — not only will he continually fail in his practical efforts, because he will apply his formulæ without duly considering whether, in this case and in that, other laws of nature do not modify or supersede them; but the formulæ themselves will progressively lose their meaning to him, and he will cease at last even to be capable of recognizing with certainty whether a case falls within the contemplation of his formula or not. It is, in short, as necessary, on all subjects not mathematical, that the things on which we reason should be

conceived by us in the concrete, and “clothed in circumstances,” as it is in algebra that we should keep all individualizing peculiarities sedulously out of view.

With this remark we close our observations on the Philosophy of Language.

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<sup>222</sup> *History of Scientific Ideas*, ii., 110, 111.

<sup>223</sup> *History of Scientific Ideas*, ii., 111–113.



# CHAPTER VII.

## OF CLASSIFICATION, AS SUBSIDIARY TO INDUCTION.

§ 1. There is, as has been frequently remarked in this work, a classification of things, which is inseparable from the fact of giving them general names. Every name which connotes an attribute, divides, by that very fact, all things whatever into two classes, those which have the attribute and those which have it not; those of which the name can be predicated, and those of which it can not. And the division thus made is not merely a division of such things as actually exist, or are known to exist, but of all such as may hereafter be discovered, and even of all which can be imagined.

On this kind of Classification we have nothing to add to what has previously been said. The Classification which requires to be discussed as a separate act of the mind, is altogether different. In the one, the arrangement of objects in groups, and distribution of them into compartments, is a mere incidental effect consequent on the use of names given for another purpose, namely that of simply expressing some of their qualities. In the other, the arrangement and distribution are the main object, and the naming is secondary to, and purposely conforms itself to, instead of governing, that more important operation.

Classification, thus regarded, is a contrivance for the best possible ordering of the ideas of objects in our minds; for causing the ideas to accompany or succeed one another in such a way as shall give us the greatest command over our knowledge already acquired, and lead most directly to the acquisition of more. The general problem of Classification, in reference to these purposes, may be stated as follows: To provide that things shall be thought of in such groups, and those groups in such an order, as will best conduce to the remembrance and to the ascertainment of their laws.

Classification thus considered, differs from classification in the wider sense, in having reference to real objects exclusively, and not to all that are imaginable: its object being the due co-ordination in our minds of those things only, with the properties of which we have actually occasion to

make ourselves acquainted. But, on the other hand, it embraces *all* really existing objects. We can not constitute any one class properly, except in reference to a general division of the whole of nature; we can not determine the group in which any one object can most conveniently be placed, without taking into consideration all the varieties of existing objects, all at least which have any degree of affinity with it. No one family of plants or animals could have been rationally constituted, except as part of a systematic arrangement of all plants or animals; nor could such a general arrangement have been properly made, without first determining the exact place of plants and animals in a general division of nature.

§ 2. There is no property of objects which may not be taken, if we please, as the foundation for a classification or mental grouping of those objects; and in our first attempts we are likely to select for that purpose properties which are simple, easily conceived, and perceptible on a first view, without any previous process of thought. Thus Tournefort's arrangement of plants was founded on the shape and divisions of the corolla; and that which is commonly called the Linnæan (though Linnæus also suggested another and more scientific arrangement) was grounded chiefly on the number of the stamens and pistils.

But these classifications, which are at first recommended by the facility they afford of ascertaining to what class any individual belongs, are seldom much adapted to the ends of that Classification which is the subject of our present remarks. The Linnæan arrangement answers the purpose of making us think together of all those kinds of plants which possess the same number of stamens and pistils; but to think of them in that manner is of little use, since we seldom have any thing to affirm in common of the plants which have a given number of stamens and pistils. If plants of the class Pentandria, order Monogynia, agreed in any other properties, the habit of thinking and speaking of the plants under a common designation would conduce to our remembering those common properties so far as they were ascertained, and would dispose us to be on the lookout for such of them as were not yet known. But since this is not the case, the only purpose of thought which the Linnæan classification serves is that of causing us to remember, better than we should otherwise have done, the exact number of stamens and pistils of every species of plants. Now, as this

property is of little importance or interest, the remembering it with any particular accuracy is of no moment. And, inasmuch as, by habitually thinking of plants in those groups, we are prevented from habitually thinking of them in groups which have a greater number of properties in common, the effect of such a classification, when systematically adhered to, upon our habits of thought, must be regarded as mischievous.

The ends of scientific classification are best answered, when the objects are formed into groups respecting which a greater number of general propositions can be made, and those propositions more important, than could be made respecting any other groups into which the same things could be distributed. The properties, therefore, according to which objects are classified, should, if possible, be those which are causes of many other properties; or, at any rate, which are sure marks of them. Causes are preferable, both as being the surest and most direct of marks, and as being themselves the properties on which it is of most use that our attention should be strongly fixed. But the property which is the cause of the chief peculiarities of a class, is unfortunately seldom fitted to serve also as the diagnostic of the class. Instead of the cause, we must generally select some of its more prominent effects, which may serve as marks of the other effects and of the cause.

A classification thus formed is properly scientific or philosophical, and is commonly called a Natural, in contradistinction to a Technical or Artificial, classification or arrangement. The phrase Natural Classification seems most peculiarly appropriate to such arrangements as correspond, in the groups which they form, to the spontaneous tendencies of the mind, by placing together the objects most similar in their general aspect; in opposition to those technical systems which, arranging things according to their agreement in some circumstance arbitrarily selected, often throw into the same group objects which in the general aggregate of their properties present no resemblance, and into different and remote groups, others which have the closest similarity. It is one of the most valid recommendations of any classification to the character of a scientific one, that it shall be a natural classification in this sense also; for the test of its scientific character is the number and importance of the properties which can be asserted in common of all objects included in a group; and

properties on which the general aspect of the things depends are, if only on that ground, important, as well as, in most cases, numerous. But, though a strong recommendation, this circumstance is not a *sine qua non*; since the most obvious properties of things may be of trifling importance compared with others that are not obvious. I have seen it mentioned as a great absurdity in the Linnæan classification, that it places (which by-the-way it does not) the violet by the side of the oak; it certainly dissevers natural affinities, and brings together things quite as unlike as the oak and the violet are. But the difference, apparently so wide, which renders the juxtaposition of those two vegetables so suitable an illustration of a bad arrangement, depends, to the common eye, mainly on mere size and texture; now if we made it our study to adopt the classification which would involve the least peril of similar *rapprochements*, we should return to the obsolete division into trees, shrubs, and herbs, which though of primary importance with regard to mere general aspect, yet (compared even with so petty and unobvious a distinction as that into dicotyledons and monocotyledons) answers to so few differences in the other properties of plants, that a classification founded on it (independently of the indistinctness of the lines of demarcation) would be as completely artificial and technical as the Linnæan.

Our natural groups, therefore, must often be founded not on the obvious but on the unobvious properties of things, when these are of greater importance. But in such cases it is essential that there should be some other property or set of properties, more readily recognizable by the observer, which co-exist with, and may be received as marks of, the properties which are the real groundwork of the classification. A natural arrangement, for example, of animals, must be founded in the main on their internal structure, but (as M. Comte remarks) it would be absurd that we should not be able to determine the genus and species of an animal without first killing it. On this ground, the preference, among zoological classifications, is probably due to that of M. De Blainville, founded on the differences in the external integuments; differences which correspond, much more accurately than might be supposed, to the really important varieties, both in the other parts of the structure, and in the habits and history of the animals.

This shows, more strongly than ever, how extensive a knowledge of the properties of objects is necessary for making a good classification of them. And as it is one of the uses of such a classification that by drawing attention to the properties on which it is founded, and which, if the classification be good, are marks of many others, it facilitates the discovery of those others; we see in what manner our knowledge of things, and our classification of them, tend mutually and indefinitely to the improvement of each other.

We said just now that the classification of objects should follow those of their properties which indicate not only the most numerous, but also the most important peculiarities. What is here meant by importance? It has reference to the particular end in view; and the same objects, therefore, may admit with propriety of several different classifications. Each science or art forms its classification of things according to the properties which fall within its special cognizance, or of which it must take account in order to accomplish its peculiar practical end. A farmer does not divide plants, like a botanist, into dicotyledonous and monocotyledonous, but into useful plants and weeds. A geologist divides fossils, not like a zoologist, into families corresponding to those of living species, but into fossils of the paleozoic, mesozoic, and tertiary periods, above the coal and below the coal, etc. Whales are or are not fish according to the purpose for which we are considering them. "If we are speaking of the internal structure and physiology of the animal, we must not call them fish; for in these respects they deviate widely from fishes; they have warm blood, and produce and suckle their young as land quadrupeds do. But this would not prevent our speaking of the *whale-fishery*, and calling such animals *fish* on all occasions connected with this employment; for the relations thus arising depend upon the animal's living in the water, and being caught in a manner similar to other fishes. A plea that human laws which mention fish do not apply to whales, would be rejected at once by an intelligent judge."<sup>224</sup>

These different classifications are all good, for the purposes of their own particular departments of knowledge or practice. But when we are studying objects not for any special practical end, but for the sake of extending our knowledge of the whole of their properties and relations, we



must consider as the most important attributes those which contribute most, either by themselves or by their effects, to render the things like one another, and unlike other things; which give to the class composed of them the most marked individuality; which fill, as it were, the largest space in their existence, and would most impress the attention of a spectator who knew all their properties but was not specially interested in any. Classes formed on this principle may be called, in a more emphatic manner than any others, natural groups.

§ 3. On the subject of these groups Dr. Whewell lays down a theory, grounded on an important truth, which he has, in some respects, expressed and illustrated very felicitously, but also, as it appears to me, with some admixture of error. It will be advantageous, for both these reasons, to extract the statement of his doctrine in the very words he has used.

“Natural groups,” according to this theory,<sup>225</sup> are “given by Type, not by Definition.” And this consideration accounts for that “indefiniteness and indecision which we frequently find in the descriptions of such groups, and which must appear so strange and inconsistent to any one who does not suppose these descriptions to assume any deeper ground of connection than an arbitrary choice of the botanist. Thus in the family of the rose-tree, we are told that the *ovules* are *very rarely* erect, the *stigmata* *usually* simple. Of what use, it might be asked, can such loose accounts be? To which the answer is, that they are not inserted in order to distinguish the species, but in order to describe the family, and the total relations of the ovules and the stigmata of the family are better known by this general statement. A similar observation may be made with regard to the Anomalies of each group, which occur so commonly, that Dr. Lindley, in his *Introduction to the Natural System of Botany*, makes the ‘Anomalies’ an article in each family. Thus, part of the character of the Rosaceæ is, that they have alternate *stipulate* leaves, and that the *albumen* is *obliterated*; but yet in *Lowea*, one of the genera of this family, the stipulæ are *absent*; and the albumen is *present* in another, *Neillia*. This implies, as we have already seen, that the artificial character (or *diagnosis*, as Mr. Lindley calls it) is imperfect. It is, though very nearly, yet not exactly, commensurate

with the natural group; and hence in certain cases this character is made to yield to the general weight of natural affinities.

“These views — of classes determined by characters which can not be expressed in words — of propositions which state, not what happens in all cases, but only usually — of particulars which are included in a class, though they transgress the definition of it, may probably surprise the reader. They are so contrary to many of the received opinions respecting the use of definitions, and the nature of scientific propositions, that they will probably appear to many persons highly illogical and unphilosophical. But a disposition to such a judgment arises in a great measure from this, that the mathematical and mathematico-physical sciences have, in a great degree, determined men’s views of the general nature and form of scientific truth; while Natural History has not yet had time or opportunity to exert its due influence upon the current habits of philosophizing. The apparent indefiniteness and inconsistency of the classifications and definitions of Natural History belongs, in a far higher degree, to all other except mathematical speculations; and the modes in which approximations to exact distinctions and general truths have been made in Natural History, may be worthy our attention, even for the light they throw upon the best modes of pursuing truth of all kinds.

“Though in a Natural group of objects a definition can no longer be of any use as a regulative principle, classes are not therefore left quite loose, without any certain standard or guide. The class is steadily fixed, though not precisely limited; it is given, though not circumscribed; it is determined, not by a boundary-line without, but by a central point within; not by what it strictly excludes, but by what it eminently includes; by an example, not by a precept; in short, instead of a Definition we have a Type for our director.

“A Type is an example of any class, for instance a species of a genus, which is considered as eminently possessing the character of the class. All the species which have a greater affinity with this type-species than with any others, form the genus, and are arranged about it, deviating from it in various directions and different degrees. Thus a genus may consist of several species which approach very near the type, and of which the claim to a place with it is obvious; while there may be other species which

straggle farther from this central knot, and which yet are clearly more connected with it than with any other. And even if there should be some species of which the place is dubious, and which appear to be equally bound to two generic types, it is easily seen that this would not destroy the reality of the generic groups, any more than the scattered trees of the intervening plain prevent our speaking intelligibly of the distinct forests of two separate hills.

“The type-species of every genus, the type-genus of every family, is then, one which possesses all the characters and properties of the genus in a marked and prominent manner. The type of the Rose family has alternate stipulate leaves, wants the albumen, has the ovules not erect, has the stigmata simple, and besides these features, which distinguish it from the exceptions or varieties of its class, it has the features which make it prominent in its class. It is one of those which possess clearly several leading attributes; and thus, though we can not say of any one genus that it *must* be the type of the family, or of any one species that it *must* be the type of the genus, we are still not wholly to seek; the type must be connected by many affinities with most of the others of its group; it must be near the centre of the crowd, and not one of the stragglers.”

In this passage (the latter part of which especially I can not help noticing as an admirable example of philosophic style) Dr. Whewell has stated very clearly and forcibly, but (I think) without making all necessary distinctions, one of the principles of a Natural Classification. What this principle is, what are its limits, and in what manner he seems to me to have overstepped them, will appear when we have laid down another rule of Natural Arrangement, which appears to me still more fundamental.

§ 4. The reader is by this time familiar with the general truth (which I restate so often on account of the great confusion in which it is commonly involved), that there are in nature distinctions of Kind; distinctions not consisting in a given number of definite properties *plus* the effects which follow from those properties, but running through the whole nature, through the attributes generally, of the things so distinguished. Our knowledge of the properties of a Kind is never complete. We are always discovering, and expecting to discover, new ones. Where the distinction between two classes of things is not one of Kind, we expect to find their

properties alike, except where there is some reason for their being different. On the contrary, when the distinction is in Kind, we expect to find the properties different unless there be some cause for their being the same. All knowledge of a Kind must be obtained by observation and experiment upon the Kind itself; no inference respecting its properties from the properties of things not connected with it by Kind, goes for more than the sort of presumption usually characterized as an analogy, and generally in one of its fainter degrees.

Since the common properties of a true Kind, and consequently the general assertions which can be made respecting it, or which are certain to be made hereafter as our knowledge extends, are indefinite and inexhaustible; and since the very first principle of natural classification is that of forming the classes so that the objects composing each may have the greatest number of properties in common; this principle prescribes that every such classification shall recognize and adopt into itself all distinctions of Kind, which exist among the objects it professes to classify. To pass over any distinctions of Kind, and substitute definite distinctions, which, however considerable they may be, do not point to ulterior unknown differences, would be to replace classes with more by classes with fewer attributes in common; and would be subversive of the Natural Method of Classification.

Accordingly all natural arrangements, whether the reality of the distinction of Kinds was felt or not by their framers, have been led, by the mere pursuit of their own proper end, to conform themselves to the distinctions of Kind, so far as these have been ascertained at the time. The species of Plants are not only real Kinds, but are probably, all of them, real lowest Kinds, *Infimæ Species*; which, if we were to subdivide, as of course it is open to us to do, into sub-classes, the subdivision would necessarily be founded on *definite* distinctions, not pointing (apart from what may be known of their causes or effects) to any difference beyond themselves.

In so far as a natural classification is grounded on real Kinds, its groups are certainly not conventional: it is perfectly true that they do not depend upon an arbitrary choice of the naturalist. But it does not follow, nor, I conceive, is it true, that these classes are determined by a type, and not by characters. To determine them by a type would be as sure a way of

missing the Kind, as if we were to select a set of characters arbitrarily. They are determined by characters, but these are not arbitrary. The problem is, to find a few definite characters which point to the multitude of indefinite ones. Kinds are Classes between which there is an impassable barrier; and what we have to seek is, marks whereby we may determine on which side of the barrier an object takes its place. The characters which will best do this should be chosen: if they are also important in themselves, so much the better. When we have selected the characters, we parcel out the objects according to those characters, and not, I conceive, according to resemblance to a type. We do not compose the species *Ranunculus acris*, of all plants which bear a satisfactory degree of resemblance to a model buttercup, but of those which possess certain characters selected as marks by which we might recognize the possibility of a common parentage; and the enumeration of those characters is the definition of the species.

The question next arises, whether, as all Kinds must have a place among the classes, so all the classes in a natural arrangement must be Kinds? And to this I answer, certainly not. The distinctions of Kinds are not numerous enough to make up the whole of a classification. Very few of the genera of plants, or even of the families, can be pronounced with certainty to be Kinds. The great distinctions of Vascular and Cellular, Dicotyledonous or Exogenous and Monocotyledonous or Endogenous plants, are perhaps differences of kind; the lines of demarcation which divide those classes seem (though even on this I would not pronounce positively) to go through the whole nature of the plants. But the different species of a genus, or genera of a family, usually have in common only a limited number of characters. A Rose does not seem to differ from a Rubus, or the Umbelliferæ from the Ranunculaceæ, in much else than the characters botanically assigned to those genera or those families. Unenumerated differences certainly do exist in some cases; there are families of plants which have peculiarities of chemical composition, or yield products having peculiar effects on the animal economy. The Cruciferæ and Fungi contain an unusual proportion of nitrogen; the Labiatae are the chief sources of essential oils, the Solaneæ are very commonly narcotic, etc. In these and similar cases there are possibly distinctions of Kind; but it is by no means indispensable that there should be. Genera and Families may be eminently natural, though marked out

from one another by properties limited in number; provided those properties are important, and the objects contained in each genus or family resemble each other more than they resemble any thing which is excluded from the genus or family.

After the recognition and definition, then, of the *infimæ species*, the next step is to arrange those *infimæ species* into larger groups: making these groups correspond to Kinds wherever it is possible, but in most cases without any such guidance. And in doing this it is true that we are naturally and properly guided, in most cases at least, by resemblance to a type. We form our groups round certain selected Kinds, each of which serves as a sort of exemplar of its group. But though the groups are suggested by types, I can not think that a group when formed is *determined* by the type; that in deciding whether a species belongs to the group, a reference is made to the type, and not to the characters; that the characters “can not be expressed in words.” This assertion is inconsistent with Dr. Whewell’s own statement of the fundamental principle of classification, namely, that “general assertions shall be possible.” If the class did not possess any characters in common, what general assertions would be possible respecting it? Except that they all resemble each other more than they resemble any thing else, nothing whatever could be predicated of the class.

The truth is, on the contrary, that every genus or family is framed with distinct reference to certain characters, and is composed, first and principally, of species which agree in possessing all those characters. To these are added, as a sort of appendix, such other species, generally in small number, as possess *nearly* all the properties selected; wanting some of them one property, some another, and which, while they agree with the rest *almost* as much as these agree with one another, do not resemble in an equal degree any other group. Our conception of the class continues to be grounded on the characters; and the class might be defined, those things which *either* possess that set of characters, *or* resemble the things that do so, more than they resemble any thing else.

And this resemblance itself is not, like resemblance between simple sensations, an ultimate fact, unsusceptible of analysis. Even the inferior degree of resemblance is created by the possession of common characters.

Whatever resembles the genus Rose more than it resembles any other genus, does so because it possesses a greater number of the characters of that genus than of the characters of any other genus. Nor can there be any real difficulty in representing, by an enumeration of characters, the nature and degree of the resemblance which is strictly sufficient to include any object in the class. There are always some properties common to all things which are included. Others there often are, to which some things, which are nevertheless included, are exceptions. But the objects which are exceptions to one character are not exceptions to another; the resemblance which fails in some particulars must be made up for in others. The class, therefore, is constituted by the possession of *all* the characters which are universal, and *most* of those which admit of exceptions. If a plant had the ovules erect, the stigmata divided, possessed the albumen, and was without stipules, it possibly would not be classed among the Rosaceæ. But it may want any one, or more than one of these characters, and not be excluded. The ends of a scientific classification are better answered by including it. Since it agrees so nearly, in its known properties, with the sum of the characters of the class, it is likely to resemble that class more than any other in those of its properties which are still undiscovered.

Not only, therefore, are natural groups, no less than any artificial classes, determined by characters; they are constituted in contemplation of, and by reason of, characters. But it is in contemplation not of those characters only which are rigorously common to all the objects included in the group, but of the entire body of characters, all of which are found in most of those objects, and most of them in all. And hence our conception of the class, the image in our minds which is representative of it, is that of a specimen complete in all the characters; most naturally a specimen which, by possessing them all in the greatest degree in which they are ever found, is the best fitted to exhibit clearly, and in a marked manner, what they are. It is by a mental reference to this standard, not instead of, but in illustration of, the definition of the class, that we usually and advantageously determine whether any individual or species belongs to the class or not. And this, as it seems to me, is the amount of truth contained in the doctrine of Types.

We shall see presently that where the classification is made for the express purpose of a special inductive inquiry, it is not optional, but necessary for fulfilling the conditions of a correct Inductive Method, that we should establish a type-species or genus, namely, the one which exhibits in the most eminent degree the particular phenomenon under investigation. But of this hereafter. It remains, for completing the theory of natural groups, that a few words should be said on the principles of the nomenclature adapted to them.

§ 5. A Nomenclature in science is, as we have said, a system of the names of Kinds. These names, like other class-names, are defined by the enumeration of the characters distinctive of the class. The only merit which a set of names can have beyond this, is to convey, by the mode of their construction, as much information as possible: so that a person who knows the thing, may receive all the assistance which the name can give in remembering what he knows; while he who knows it not, may receive as much knowledge respecting it as the case admits of, by merely being told its name.

There are two modes of giving to the name of a Kind this sort of significance. The best, but which unfortunately is seldom practicable, is when the word can be made to indicate, by its formation, the very properties which it is designed to connote. The name of a Kind does not, of course, connote all the properties of the Kind, since these are inexhaustible, but such of them as are sufficient to distinguish it; such as are sure marks of all the rest. Now, it is very rarely that one property, or even any two or three properties, can answer this purpose. To distinguish the common daisy from all other species of plants would require the specification of many characters. And a name can not, without being too cumbrous for use, give indication, by its etymology or mode of construction, of more than a very small number of these. The possibility, therefore, of an ideally perfect Nomenclature, is probably confined to the one case in which we are happily in possession of something approaching to it — the Nomenclature of elementary Chemistry. The substances, whether simple or compound, with which chemistry is conversant, are Kinds, and, as such, the properties which distinguish each of them from the rest are innumerable; but in the case of compound substances (the



simple ones are not numerous enough to require a systematic nomenclature), there is one property, the chemical composition, which is of itself sufficient to distinguish the Kind; and is (with certain reservations not yet thoroughly understood) a sure mark of all the other properties of the compound. All that was needful, therefore, was to make the name of every compound express, on the first hearing, its chemical composition; that is, to form the name of the compound, in some uniform manner, from the names of the simple substances which enter into it as elements. This was done, most skillfully and successfully, by the French chemists, though their nomenclature has become inadequate to the convenient expression of the very complicated compounds now known to chemists. The only thing left unexpressed by them was the exact proportion in which the elements were combined; and even this, since the establishment of the atomic theory, it has been found possible to express by a simple adaptation of their phraseology.

But where the characters which must be taken into consideration, in order sufficiently to designate the Kind, are too numerous to be all signified in the derivation of the name, and where no one of them is of such preponderant importance as to justify its being singled out to be so indicated, we may avail ourselves of a subsidiary resource. Though we can not indicate the distinctive properties of the Kind, we may indicate its nearest natural affinities, by incorporating into its name the name of the proximate natural group of which it is one of the species. On this principle is founded the admirable binary nomenclature of botany and zoology. In this nomenclature the name of every species consists of the name of the genus, or natural group next above it, with a word added to distinguish the particular species. The last portion of the compound name is sometimes taken from some *one* of the peculiarities in which that species differs from others of the genus; as *Clematis integrifolia*, *Potentilla alba*, *Viola palustris*, *Artemisia vulgaris*; sometimes from a circumstance of an historical nature, as *Narcissus poeticus*, *Potentilla tormentilla* (indicating that the plant is that which was formerly known by the latter name), *Exacum Candollii* (from the fact that De Candolle was its first discoverer); and sometimes the word is purely conventional, as *Thlaspi bursapastoris*, *Ranunculus thora*; it is of little consequence which; since the second, or, as it is usually called, the specific name, could at most express, independently

of convention, no more than a very small portion of the connotation of the term. But by adding to this the name of the superior genus, we may make the best amends we can for the impossibility of so contriving the name as to express all the distinctive characters of the Kind. We make it, at all events, express as many of those characters as are common to the proximate natural group in which the Kind is included. If even those common characters are so numerous or so little familiar as to require a further extension of the same resource, we might, instead of a binary, adopt a ternary nomenclature, employing not only the name of the genus, but that of the next natural group in order of generality above the genus, commonly called the Family. This was done in the mineralogical nomenclature proposed by Professor Mohs. "The names framed by him were not composed of two, but of three elements, designating respectively the Species, the Genus, and the Order; thus he has such species as *Rhombohedral Lime Haloide*, *Octohedral Fluor Haloide*, *Prismatic Hal Baryte*."<sup>226</sup> The binary construction, however, has been found sufficient in botany and zoology, the only sciences in which this general principle has hitherto been successfully adopted in the construction of a nomenclature.

Besides the advantage which this principle of nomenclature possesses, in giving to the names of species the greatest quantity of independent significance which the circumstances of the case admit of, it answers the further end of immensely economizing the use of names, and preventing an otherwise intolerable burden on the memory. When the names of species become extremely numerous, some artifice (as Dr. Whewell<sup>227</sup> observes) becomes absolutely necessary to make it possible to recollect or apply them. "The known species of plants, for example, were ten thousand in the time of Linnæus, and are now probably sixty thousand. It would be useless to endeavor to frame and employ separate names for each of these species. The division of the objects into a subordinated system of classification enables us to introduce a Nomenclature which does not require this enormous number of names. Each of the genera has its name, and the species are marked by the addition of some epithet to the name of the genus. In this manner about seventeen hundred generic names, with a moderate number of specific names, were found by Linnæus sufficient to designate with precision all the species of vegetables known at his time." And though the number of generic names has since greatly increased, it

has not increased in any thing like the proportion of the multiplication of known species.

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<sup>224</sup> *Nov. Org. Renov.*, pp. 286, 287.

<sup>225</sup> *History of Scientific Ideas*, ii., 120–122.

<sup>226</sup> *Nov. Org. Renov.*, p. 274.

<sup>227</sup> *Hist. Sc. Id.*, i. 133.



# CHAPTER VIII.

## OF CLASSIFICATION BY SERIES.

§ 1. Thus far, we have considered the principles of scientific classification so far only as relates to the formation of natural groups; and at this point most of those who have attempted a theory of natural arrangement, including, among the rest, Dr. Whewell, have stopped. There remains, however, another, and a not less important portion of the theory, which has not yet, as far as I am aware, been systematically treated of by any writer except M. Comte. This is, the arrangement of the natural groups into a natural series.<sup>228</sup>

The end of Classification, as an instrument for the investigation of nature, is (as before stated) to make us think of those objects together which have the greatest number of important common properties; and which, therefore, we have oftenest occasion, in the course of our inductions, for taking into joint consideration. Our ideas of objects are thus brought into the order most conducive to the successful prosecution of inductive inquiries generally. But when the purpose is to facilitate some particular inductive inquiry, more is required. To be instrumental to that purpose, the classification must bring those objects together, the simultaneous contemplation of which is likely to throw most light upon the particular subject. That subject being the laws of some phenomenon or some set of connected phenomena; the very phenomenon or set of phenomena in question must be chosen as the groundwork of the classification.

The requisites of a classification intended to facilitate the study of a particular phenomenon, are, first to bring into one class all Kinds of things which exhibit that phenomenon, in whatever variety of forms or degrees; and, secondly, to arrange those Kinds in a series according to the degree in which they exhibit it, beginning with those which exhibit most of it, and terminating with those which exhibit least. The principal example, as yet, of such a classification, is afforded by comparative anatomy and physiology, from which, therefore, our illustrations shall be taken.

§ 2. The object being supposed to be, the investigation of the laws of animal life; the first step, after forming the most distinct conception of the phenomenon itself, possible in the existing state of our knowledge, is to erect into one great class (that of animals) all the known Kinds of beings where that phenomenon presents itself; in however various combinations with other properties, and in however different degrees. As some of these Kinds manifest the general phenomenon of animal life in a very high degree, and others in an insignificant degree, barely sufficient for recognition; we must, in the next place, arrange the various Kinds in a series, following one another according to the degrees in which they severally exhibit the phenomenon; beginning therefore with man, and ending with the most imperfect kinds of zoophytes.

This is merely saying that we should put the instances, from which the law is to be inductively collected, into the order which is implied in one of the four Methods of Experimental Inquiry discussed in the preceding Book; the fourth Method, that of Concomitant Variations. As formerly remarked, this is often the only method to which recourse can be had, with assurance of a true conclusion, in cases in which we have but limited means of effecting, by artificial experiments, a separation of circumstances usually conjoined. The principle of the method is, that facts which increase or diminish together, and disappear together, are either cause and effect, or effects of a common cause. When it has been ascertained that this relation really subsists between the variations, a connection between the facts themselves may be confidently laid down, either as a law of nature or only as an empirical law, according to circumstances.

That the application of this Method must be preceded by the formation of such a series as we have described, is too obvious to need being pointed out; and the mere arrangement of a set of objects in a series, according to the degrees in which they exhibit some fact of which we are seeking the law, is too naturally suggested by the necessities of our inductive operations, to require any lengthened illustration here. But there are cases in which the arrangement required for the special purpose becomes the determining principle of the classification of the same objects for general purposes. This will naturally and properly happen, when those laws of the objects which are sought in the special inquiry enact so

principal a part in the general character and history of those objects — exercise so much influence in determining all the phenomena of which they are either the agents or the theatre — that all other differences existing among the objects are fittingly regarded as mere modifications of the one phenomenon sought; effects determined by the co-operation of some incidental circumstance with the laws of that phenomenon. Thus in the case of animated beings, the differences between one class of animals and another may reasonably be considered as mere modifications of the general phenomenon, animal life; modifications arising either from the different degrees in which that phenomenon is manifested in different animals, or from the intermixture of the effects of incidental causes peculiar to the nature of each, with the effects produced by the general laws of life; those laws still exercising a predominant influence over the result. Such being the case, no other inductive inquiry respecting animals can be successfully carried on, except in subordination to the great inquiry into the universal laws of animal life; and the classification of animals best suited to that one purpose, is the most suitable to all the other purposes of zoological science.

§ 3. To establish a classification of this sort, or even to apprehend it when established, requires the power of recognizing the essential similarity of a phenomenon, in its minuter degrees and obscurer forms, with what is called the *same* phenomenon in the greatest perfection of its development; that is, of identifying with each other all phenomena which differ only in degree, and in properties which we suppose to be caused by difference of degree. In order to recognize this identity, or, in other words, this exact similarity of quality, the assumption of a type-species is indispensable. We must consider as the type of the class, that among the Kinds included in it, which exhibits the properties constitutive of the class, in the highest degree; conceiving the other varieties as instances of degeneracy, as it were, from that type; deviations from it by inferior intensity of the characteristic property or properties. For every phenomenon is best studied (*cæteris paribus*) where it exists in the greatest intensity. It is there that the effects which either depend on it, or depend on the same causes with it, will also exist in the greatest degree. It is there, consequently, and only there, that those effects of it, or joint effects with it, can become fully known to us, so that we may learn to

recognize their smaller degrees, or even their mere rudiments, in cases in which the direct study would have been difficult or even impossible. Not to mention that the phenomenon in its higher degrees may be attended by effects or collateral circumstances which in its smaller degrees do not occur at all, requiring for their production in any sensible amount a greater degree of intensity of the cause than is there met with. In man, for example (the species in which both the phenomenon of animal and that of organic life exist in the highest degree), many subordinate phenomena develop themselves in the course of his animated existence, which the inferior varieties of animals do not show. The knowledge of these properties may nevertheless be of great avail toward the discovery of the conditions and laws of the general phenomenon of life, which is common to man with those inferior animals. And they are, even, rightly considered as properties of animated nature itself; because they may evidently be affiliated to the general laws of animated nature; because we may fairly presume that some rudiments or feeble degrees of those properties would be recognized in all animals by more perfect organs, or even by more perfect instruments, than ours; and because those may be correctly termed properties of a class, which a thing exhibits exactly in proportion as it belongs to the class, that is, in proportion as it possesses the main attributes constitutive of the class.

§ 4. It remains to consider how the internal distribution of the series may most properly take place; in what manner it should be divided into Orders, Families, and Genera.

The main principle of division must of course be natural affinity; the classes formed must be natural groups; and the formation of these has already been sufficiently treated of. But the principles of natural grouping must be applied in subordination to the principle of a natural series. The groups must not be so constituted as to place in the same group things which ought to occupy different points of the general scale. The precaution necessary to be observed for this purpose is, that the *primary* divisions must be grounded not on all distinctions indiscriminately, but on those which correspond to variations in the degree of the main phenomenon. The series of Animated Nature should be broken into parts at the points where the variation in the degree of intensity of the main phenomenon (as

marked by its principal characters, Sensation, Thought, Voluntary Motion, etc.) begins to be attended by conspicuous changes in the miscellaneous properties of the animal. Such well-marked changes take place, for example, where the class Mammalia ends; at the points where Fishes are separated from Insects, Insects from Mollusca, etc. When so formed, the primary natural groups will compose the series by mere juxtaposition, without redistribution; each of them corresponding to a definite portion of the scale. In like manner each family should, if possible, be so subdivided, that one portion of it shall stand higher and the other lower, though of course contiguous, in the general scale; and only when this is impossible is it allowable to ground the remaining subdivisions on characters having no determinable connection with the main phenomenon.

Where the principal phenomenon so far transcends in importance all other properties on which a classification could be grounded, as it does in the case of animated existence, any considerable deviation from the rule last laid down is in general sufficiently guarded against by the first principle of a natural arrangement, that of forming the groups according to the most important characters. All attempts at a scientific classification of animals, since first their anatomy and physiology were successfully studied, have been framed with a certain degree of instinctive reference to a natural series, and have accorded in many more points than they have differed, with the classification which would most naturally have been grounded on such a series. But the accordance has not always been complete; and it still is often a matter of discussion, which of several classifications best accords with the true scale of intensity of the main phenomenon. Cuvier, for example, has been justly criticised for having formed his natural groups, with an undue degree of reference to the mode of alimentation, a circumstance directly connected only with organic life, and not leading to the arrangement most appropriate for the purposes of an investigation of the laws of animal life, since both carnivorous and herbivorous or frugivorous animals are found at almost every degree in the scale of animal perfection. Blainville's classification has been considered by high authorities to be free from this defect; as representing correctly, by the mere order of the principal groups, the successive degeneracy of animal nature from its highest to its most imperfect exemplification.



§ 5. A classification of any large portion of the field of nature in conformity to the foregoing principles, has hitherto been found practicable only in one great instance, that of animals. In the case even of vegetables, the natural arrangement has not been carried beyond the formation of natural groups. Naturalists have found, and probably will continue to find it impossible to form those groups into any series, the terms of which correspond to real gradations in the phenomenon of vegetative or organic life. Such a difference of degree may be traced between the class of Vascular Plants and that of Cellular, which includes lichens, algæ, and other substances whose organization is simpler and more rudimentary than that of the higher order of vegetables, and which therefore approach nearer to mere inorganic nature. But when we rise much above this point, we do not find any sufficient difference in the degree in which different plants possess the properties of organization and life. The dicotyledons are of more complex structure, and somewhat more perfect organization, than the monocotyledons; and some dicotyledonous families, such as the Compositæ, are rather more complex in their organization than the rest. But the differences are not of a marked character, and do not promise to throw any particular light upon the conditions and laws of vegetable life and development. If they did, the classification of vegetables would have to be made, like that of animals, with reference to the scale or series indicated.

Although the scientific arrangements of organic nature afford as yet the only complete example of the true principles of rational classification, whether as to the formation of groups or of series, those principles are applicable to all cases in which mankind are called upon to bring the various parts of any extensive subject into mental co-ordination. They are as much to the point when objects are to be classed for purposes of art or business, as for those of science. The proper arrangement, for example, of a code of laws, depends on the same scientific conditions as the classifications in natural history; nor could there be a better preparatory discipline for that important function, than the study of the principles of a natural arrangement, not only in the abstract, but in their actual application to the class of phenomena for which they were first elaborated, and which are still the best school for learning their use. Of this the great authority on codification, Bentham, was perfectly aware; and his early

*Fragment on Government*, the admirable introduction to a series of writings unequalled in their department, contains clear and just views (as far as they go) on the meaning of a natural arrangement, such as could scarcely have occurred to any one who lived anterior to the age of Linnæus and Bernard de Jussieu.

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<sup>228</sup> Dr. Whewell, in his reply (*Philosophy of Discovery*, p. 270) says that he "stopped short of, or rather passed by, the doctrine of a series of organized beings," because he "thought it bad and narrow philosophy." If he did, it was evidently without understanding this form of the doctrine; for he proceeds to quote a passage from his "History," in which the doctrine he condemns is designated as that of "a mere linear progression in nature, which would place each genus in contact only with the preceding and succeeding ones." Now the series treated of in the text agrees with this linear progression in nothing whatever but in being a progression.



# BOOK V.

## ON FALLACIES.

“Errare non modo affirmando et negando, sed etiam sentiendo, et in tacitâ hominum cogitatione contingit.” — HOBBS, *Computatio sive Logica*, chap. v.

“Il leur semble qu’il n’y a qu’à douter par fantaisie, et qu’il n’y a qu’à dire en général que notre nature est infirme; que notre esprit est plein d’aveuglement: qu’il faut avoir un grand soin de se défaire de ses préjugés, et autres choses semblables. Ils pensent que cela suffit pour ne plus se laisser séduire à ses sens, et pour ne plus se tromper du tout. Il ne suffit pas de dire que l’esprit est foible, il faut lui faire sentir ses foiblesses. Ce n’est pas assez de dire qu’il est sujet à l’erreur, il faut lui découvrir en quoi consistent ses erreurs.” — MALEBRANCHE, *Recherche de la Vérité*.

# CHAPTER I.

## OF FALLACIES IN GENERAL.

§ 1. It is a maxim of the school-men, that “*contrariorum eadem est scientia:*” we never really know what a thing is, unless we are also able to give a sufficient account of its opposite. Conformably to this maxim, one considerable section, in most treatises on Logic, is devoted to the subject of Fallacies; and the practice is too well worthy of observance, to allow of our departing from it. The philosophy of reasoning, to be complete, ought to comprise the theory of bad as well as of good reasoning.

We have endeavored to ascertain the principles by which the sufficiency of any proof can be tested, and by which the nature and amount of evidence needful to prove any given conclusion can be determined beforehand. If these principles were adhered to, then although the number and value of the truths ascertained would be limited by the opportunities, or by the industry, ingenuity, and patience, of the individual inquirer, at least error would not be embraced instead of truth. But the general consent of mankind, founded on their experience, vouches for their being far indeed from even this negative kind of perfection in the employment of their reasoning powers.

In the conduct of life — in the practical business of mankind — wrong inferences, incorrect interpretations of experience, unless after much culture of the thinking faculty, are absolutely inevitable; and with most people, after the highest degree of culture they ever attain, such erroneous inferences, producing corresponding errors in conduct, are lamentably frequent. Even in the speculations to which eminent intellects have systematically devoted themselves, and in reference to which the collective mind of the scientific world is always at hand to aid the efforts and correct the aberrations of individuals, it is only from the more perfect sciences, from those of which the subject-matter is the least complicated, that opinions not resting on a correct induction have at length, generally speaking, been expelled. In the departments of inquiry relating to the more complex phenomena of nature, and especially those of which the

subject is man, whether as a moral and intellectual, a social, or even as a physical being; the diversity of opinions still prevalent among instructed persons, and the equal confidence with which those of the most contrary ways of thinking cling to their respective tenets, are proof not only that right modes of philosophizing are not yet generally adopted on those subjects, but that wrong ones are; that inquirers have not only in general missed the truth, but have often embraced error; that even the most cultivated portion of our species have not yet learned to abstain from drawing conclusions which the evidence does not warrant.

The only complete safeguard against reasoning ill, is the habit of reasoning well; familiarity with the principles of correct reasoning, and practice in applying those principles. It is, however, not unimportant to consider what are the most common modes of bad reasoning; by what appearances the mind is most likely to be seduced from the observance of true principles of induction; what, in short, are the most common and most dangerous varieties of Apparent Evidence, whereby persons are misled into opinions for which there does not exist evidence really conclusive.

A catalogue of the varieties of apparent evidence which are not real evidence, is an enumeration of Fallacies. Without such an enumeration, therefore, the present work would be wanting in an essential point. And while writers who included in their theory of reasoning nothing more than ratiocination, have in consistency with this limitation, confined their remarks to the fallacies which have their seat in that portion of the process of investigation; we, who profess to treat of the whole process, must add to our directions for performing it rightly, warnings against performing it wrongly in any of its parts: whether the ratiocinative or the experimental portion of it be in fault, or the fault lie in dispensing with ratiocination and induction altogether.

§ 2. In considering the sources of unfounded inference, it is unnecessary to reckon the errors which arise, not from a wrong method, nor even from ignorance of the right one, but from a casual lapse, through hurry or inattention, in the application of the true principles of induction. Such errors, like the accidental mistakes in casting up a sum, do not call for philosophical analysis or classification; theoretical considerations can

throw no light upon the means of avoiding them. In the present treatise our attention is required, not to mere inexpertness in performing the operation in the right way (the only remedies for which are increased attention and more sedulous practice), but to the modes of performing it in a way fundamentally wrong; the conditions under which the human mind persuades itself that it has sufficient grounds for a conclusion which it has not arrived at by any of the legitimate methods of induction — which it has not, even carelessly or overhastily, endeavored to test by those legitimate methods.

§ 3. There is another branch of what may be called the Philosophy of Error, which must be mentioned here, though only to be excluded from our subject. The sources of erroneous opinions are twofold, moral and intellectual. Of these, the moral do not fall within the compass of this work. They may be classed under two general heads: Indifference to the attainment of truth, and Bias; of which last the most common case is that in which we are biased by our wishes; but the liability is almost as great to the undue adoption of a conclusion which is disagreeable to us, as of one which is agreeable, if it be of a nature to bring into action any of the stronger passions. Persons of timid character are the more predisposed to believe any statement, the more it is calculated to alarm them. Indeed it is a psychological law, deducible from the most general laws of the mental constitution of man, that any strong passion renders us credulous as to the existence of objects suitable to excite it.

But the moral causes of opinions, though with most persons the most powerful of all, are but remote causes; they do not act directly, but by means of the intellectual causes; to which they bear the same relation that the circumstances called, in the theory of medicine, *predisposing* causes, bear to *exciting* causes. Indifference to truth can not, in and by itself, produce erroneous belief; it operates by preventing the mind from collecting the proper evidences, or from applying to them the test of a legitimate and rigid induction; by which omission it is exposed unprotected to the influence of any species of apparent evidence which offers itself spontaneously, or which is elicited by that smaller quantity of trouble which the mind may be willing to take. As little is Bias a direct source of wrong conclusions. We can not believe a proposition only by

wishing, or only by dreading, to believe it. The most violent inclination to find a set of propositions true, will not enable the weakest of mankind to believe them without a vestige of intellectual grounds — without any, even apparent, evidence. It acts indirectly, by placing the intellectual grounds of belief in an incomplete or distorted shape before his eyes. It makes him shrink from the irksome labor of a rigorous induction, when he has a misgiving that its result may be disagreeable; and in such examination as he does institute, it makes him exert that which *is* in a certain measure voluntary, his attention, unfairly, giving a larger share of it to the evidence which seems favorable to the desired conclusion, a smaller to that which seems unfavorable. It operates, too, by making him look out eagerly for reasons, or apparent reasons, to support opinions which are conformable, or resist those which are repugnant, to his interests or feelings; and when the interests or feelings are common to great numbers of persons, reasons are accepted and pass current, which would not for a moment be listened to in that character if the conclusion had nothing more powerful than its reasons to speak in its behalf. The natural or acquired partialities of mankind are continually throwing up philosophical theories, the sole recommendation of which consists in the premises they afford for proving cherished doctrines, or justifying favorite feelings; and when any one of these theories has been so thoroughly discredited as no longer to serve the purpose, another is always ready to take its place. This propensity, when exercised in favor of any widely-spread persuasion or sentiment, is often decorated with complimentary epithets; and the contrary habit of keeping the judgment in complete subordination to evidence, is stigmatized by various hard names, as skepticism, immorality, coldness, hard-heartedness, and similar expressions according to the nature of the case. But though the opinions of the generality of mankind, when not dependent on mere habit and inculcation, have their root much more in the inclinations than in the intellect, it is a necessary condition to the triumph of the moral bias that it should first pervert the understanding. Every erroneous inference, though originating in moral causes, involves the intellectual operation of admitting insufficient evidence as sufficient; and whoever was on his guard against all kinds of inconclusive evidence which can be mistaken for conclusive, would be in no danger of being led into error even by the strongest bias. There are minds so strongly fortified on

the intellectual side, that they could not blind themselves to the light of truth, however really desirous of doing so; they could not, with all the inclination in the world, pass off upon themselves bad arguments for good ones. If the sophistry of the intellect could be rendered impossible, that of the feelings, having no instrument to work with, would be powerless. A comprehensive classification of all those things which, not being evidence, are liable to appear such to the understanding, will, therefore, of itself include all errors of judgment arising from moral causes, to the exclusion only of errors of practice committed against better knowledge.

To examine, then, the various kinds of apparent evidence which are not evidence at all, and of apparently conclusive evidence which do not really amount to conclusiveness, is the object of that part of our inquiry into which we are about to enter.

The subject is not beyond the compass of classification and comprehensive survey. The things, indeed, which are not evidence of any given conclusion, are manifestly endless, and this negative property, having no dependence on any positive ones, can not be made the groundwork of a real classification. But the things which, not being evidence, are susceptible of being mistaken for it, are capable of a classification having reference to the positive property which they possess of appearing to be evidence. We may arrange them, at our choice, on either of two principles; according to the cause which makes them appear to be evidence, not being so; or according to the particular kind of evidence which they simulate. The Classification of Fallacies which will be attempted in the ensuing chapter, is founded on these considerations jointly.





# CHAPTER II.

## CLASSIFICATION OF FALLACIES.

§ 1. In attempting to establish certain general distinctions which shall mark out from one another the various kinds of Fallacious Evidence, we propose to ourselves an altogether different aim from that of several eminent thinkers, who have given, under the name of Political or other Fallacies, a mere enumeration of a certain number of erroneous opinions; false general propositions which happen to be often met with; *loci communes* of bad arguments on some particular subject. Logic is not concerned with the false opinions which people happen to entertain, but with the manner in which they come to entertain them. The question is not, what facts have at any time been erroneously supposed to be proof of certain other facts, but what property in the facts it was which led any one to this mistaken supposition.

When a fact is supposed, though incorrectly, to be evidentiary of, or a mark of, some other fact, there must be a cause of the error; the supposed evidentiary fact must be connected in some particular manner with the fact of which it is deemed evidentiary — must stand in some particular relation to it, without which relation it would not be regarded in that light. The relation may either be one resulting from the simple contemplation of the two facts side by side with one another, or it may depend on some process of mind, by which a previous association has been established between them. Some peculiarity of relation, however, there must be; the fact which can, even by the wildest aberration, be supposed to prove another fact, must stand in some special position with regard to it; and if we could ascertain and define that special position, we should perceive the origin of the error.

We can not regard one fact as evidentiary of another, unless we believe that the two are always, or in the majority of cases, conjoined. If we believe A to be evidentiary of B, if when we see A we are inclined to infer B from it, the reason is because we believe that wherever A is, B also either always or for the most part exists, either as an antecedent, a consequent,

or a concomitant. If when we see A we are inclined not to expect B— if we believe A to be evidentiary of the absence of B— it is because we believe that where A is, B either is never, or at least seldom, found. Erroneous conclusions, in short, no less than correct conclusions, have an invariable relation to a general formula, either expressed or tacitly implied. When we infer some fact from some other fact which does not really prove it, we either have admitted, or, if we maintained consistency, ought to admit, some groundless general proposition respecting the conjunction of the two phenomena.

For every property, therefore, in facts, or in our mode of considering facts, which leads us to believe that they are habitually conjoined when they are not, or that they are not when in reality they are, there is a corresponding kind of Fallacy; and an enumeration of fallacies would consist in a specification of those properties in facts, and those peculiarities in our mode of considering them, which give rise to this erroneous opinion.

§ 2. To begin, then; the supposed connection, or repugnance, between the two facts, may either be a conclusion from evidence (that is, from some other proposition or propositions), or may be admitted without any such ground; admitted, as the phrase is, on its own evidence; embraced as self-evident, as an axiomatic truth. This gives rise to the first great distinction, that between Fallacies of Inference and Fallacies of Simple Inspection. In the latter division must be included not only all cases in which a proposition is believed and held for true, literally without any extrinsic evidence, either of specific experience or general reasoning; but those more frequent cases in which simple inspection creates a *presumption* in favor of a proposition; not sufficient for belief, but sufficient to cause the strict principles of a regular induction to be dispensed with, and creating a predisposition to believe it on evidence which would be seen to be insufficient if no such presumption existed. This class, comprehending the whole of what may be termed Natural Prejudices, and which I shall call indiscriminately Fallacies of Simple Inspection or Fallacies *a priori*, shall be placed at the head of our list.

Fallacies of Inference, or erroneous conclusions from supposed evidence, must be subdivided according to the nature of the apparent

evidence from which the conclusions are drawn; or (what is the same thing) according to the particular kind of sound argument which the fallacy in question simulates. But there is a distinction to be first drawn, which does not answer to any of the divisions of sound arguments, but arises out of the nature of bad ones. We may know exactly what our evidence is, and yet draw a false conclusion from it; we may conceive precisely what our premises are, what alleged matters of fact, or general principles, are the foundation of our inference; and yet, because the premises are false, or because we have inferred from them what they will not support, our conclusion may be erroneous. But a case, perhaps even more frequent, is that in which the error arises from not conceiving our premises with due clearness, that is (as shown in the preceding Book<sup>229</sup>), with due fixity: forming one conception of our evidence when we collect or receive it, and another when we make use of it; or unadvisedly, and in general unconsciously, substituting, as we proceed, different premises in the place of those with which we set out, or a different conclusion for that which we undertook to prove. This gives existence to a class of fallacies which may be justly termed (in a phrase borrowed from Bentham) Fallacies of Confusion; comprehending, among others, all those which have their source in language, whether arising from the vagueness or ambiguity of our terms, or from casual associations with them.

When the fallacy is not one of Confusion, that is, when the proposition believed, and the evidence on which it is believed, are steadily apprehended and unambiguously expressed, there remain to be made two cross divisions. The Apparent Evidence may be either particular facts, or foregone generalizations; that is, the process may simulate either simple Induction or Deduction; and again, the evidence, whether consisting of supposed facts or of general propositions, may be false in itself, or, being true, may fail to bear out the conclusion attempted to be founded on it. This gives us first, Fallacies of Induction and Fallacies of Deduction, and then a subdivision of each of these, according as the supposed evidence is false, or true but inconclusive.

Fallacies of Induction, where the facts on which the induction proceeds are erroneous, may be termed Fallacies of Observation. The term is not strictly accurate, or, rather, not accurately co-extensive with the

class of fallacies which I propose to designate by it. Induction is not always grounded on facts immediately observed, but sometimes on facts inferred; and when these last are erroneous, the error may not be, in the literal sense of the term, an instance of bad observation, but of bad inference. It will be convenient, however, to make only one class of all the inductions of which the error lies in not sufficiently ascertaining the facts on which the theory is grounded; whether the cause of failure be malobservation, or simple non-observation, and whether the malobservation be direct, or by means of intermediate marks which do not prove what they are supposed to prove. And in the absence of any comprehensive term to denote the ascertainment, by whatever means, of the facts on which an induction is grounded, I will venture to retain for this class of fallacies, under the explanation now given, the title of Fallacies of Observation.

The other class of inductive fallacies, in which the facts are correct, but the conclusion not warranted by them, are properly denominated Fallacies of Generalization; and these, again, fall into various subordinate classes or natural groups, some of which will be enumerated in their proper place.

When we now turn to Fallacies of Deduction, namely those modes of incorrect argumentation in which the premises, or some of them, are general propositions, and the argument a ratiocination; we may of course subdivide these also into two species similar to the two preceding, namely, those which proceed on false premises, and those of which the premises, though true, do not support the conclusion. But of these species, the first must necessarily fall under some one of the heads already enumerated. For the error must be either in those premises which are general propositions, or in those which assert individual facts. In the former case it is an Inductive Fallacy, of one or the other class; in the latter it is a Fallacy of Observation; unless, in either case, the erroneous premise has been assumed on simple inspection, in which case the fallacy is *a priori*. Or, finally, the premises, of whichever kind they are, may never have been conceived in so distinct a manner as to produce any clear consciousness by what means they were arrived at; as in the case of what is called reasoning in a circle; and then the fallacy is one of Confusion.

There remain, therefore, as the only class of fallacies having properly their seat in deduction, those in which the premises of the ratiocination do not bear out its conclusion; the various cases, in short, of vicious argumentation, provided against by the rules of the syllogism. We shall call these, Fallacies of Ratiocination.

§ 3. We must not, however, expect to find that men's actual errors always, or even commonly, fall so unmistakably under some one of these classes, as to be incapable of being referred to any other. Erroneous arguments do not admit of such a sharply cut division as valid arguments do. An argument fully stated, with all its steps distinctly set out, in language not susceptible of misunderstanding, must, if it be erroneous, be so in some one of these five modes unequivocally; or indeed of the first four, since the fifth, on such a supposition, would vanish. But it is not in the nature of bad reasoning to express itself thus unambiguously. When a sophist, whether he is imposing on himself or attempting to impose on others, can be constrained to throw his sophistry into so distinct a form, it needs, in a large proportion of cases, no further exposure.

In all arguments, everywhere but in the schools, some of the links are suppressed; *a fortiori* when the arguer either intends to deceive, or is a lame and inexpert thinker, little accustomed to bring his reasoning processes to any test; and it is in those steps of the reasoning which are made in this tacit and half-conscious, or even wholly unconscious manner, that the error oftenest lurks. In order to detect the fallacy, the proposition thus silently assumed must be supplied; but the reasoner, most likely, has never really asked himself what he was assuming; his confuter, unless permitted to extort it from him by the Socratic mode of interrogation, must himself judge what the suppressed premise ought to be in order to support the conclusion. And hence, in the words of Archbishop Whately, "it must be often a matter of doubt, or, rather, of arbitrary choice, not only to which genus each *kind* of fallacy should be referred, but even to which kind to refer any one *individual* fallacy; for since, in any course of argument, *one* premise is usually suppressed, it frequently happens in the case of a fallacy, that the hearers are left to the alternative of supplying *either* a premise which is *not true*, or *else*, one which *does not prove* the conclusion; *e.g.*, if a man expatiates on the distress of the country, and

thence argues that the government is tyrannical, we must suppose him to assume *either* that 'every distressed country is under a tyranny,' which is a manifest falsehood, *or* merely that 'every country under a tyranny is distressed,' which, however true, proves nothing, the middle term being undistributed." The former would be ranked, in our distribution, among fallacies of generalization, the latter among those of ratiocination. "Which are we to suppose the speaker meant us to understand? Surely" (if he understood himself) "just whichever each of his hearers might happen to prefer: some might assent to the false premise; others allow the unsound syllogism."

Almost all fallacies, therefore, might in strictness be brought under our fifth class, Fallacies of Confusion. A fallacy can seldom be absolutely referred to any of the other classes; we can only say, that if all the links were filled up which should be capable of being supplied in a valid argument, it would either stand thus (forming a fallacy of one class), or thus (a fallacy of another); or at furthest we may say, that the conclusion is most *likely* to have originated in a fallacy of such and such a class. Thus, in the illustration just quoted, the error committed may be traced with most probability to a fallacy of generalization; that of mistaking an uncertain mark, or piece of evidence, for a certain one; concluding from an effect to some one of its possible causes, when there are others which would have been equally capable of producing it.

Yet, though the five classes run into each other, and a particular error often seems to be arbitrarily assigned to one of them rather than to any of the rest, there is considerable use in so distinguishing them. We shall find it convenient to set apart, as Fallacies of Confusion, those of which confusion is the most obvious characteristic; in which no other cause can be assigned for the mistake committed, than neglect or inability to state the question properly, and to apprehend the evidence with definiteness and precision. In the remaining four classes I shall place not only the cases in which the evidence is clearly seen to be what it is, and yet a wrong conclusion drawn from it, but also those in which, although there be confusion, the confusion is not the sole cause of the error, but there is some shadow of a ground for it in the nature of the evidence itself. And in distributing these cases of partial confusion among the four classes, I shall,

when there can be any hesitation as to the precise seat of the fallacy, suppose it to be in that part of the process in which, from the nature of the case, and the tendencies of the human mind, an error would in the particular circumstances be the most probable.

After these observations we shall proceed, without further preamble, to consider the five classes in their order.

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<sup>229</sup> *Supra*, p. 137.



# CHAPTER III.

## FALLACIES OF SIMPLE INSPECTION; OR *A PRIORI* FALLACIES.

§ 1. The tribe of errors of which we are to treat in the first instance, are those in which no actual inference takes place at all; the proposition (it can not in such cases be called a conclusion) being embraced, not as proved, but as requiring no proof; as a self-evident truth; or else as having such intrinsic verisimilitude, that external evidence not in itself amounting to proof, is sufficient in aid of the antecedent presumption.

An attempt to treat this subject comprehensively would be a transgression of the bounds prescribed to this work, since it would necessitate the inquiry which, more than any other, is the grand question of what is called metaphysics, viz., What are the propositions which may reasonably be received without proof? That there must be some such propositions all are agreed, since there can not be an infinite series of proof, a chain suspended from nothing. But to determine what these propositions are, is the *opus magnum* of the more recondite mental philosophy. Two principal divisions of opinion on the subject have divided the schools of philosophy from its first dawn. The one recognizes no ultimate premises but the facts of our subjective consciousness; our sensations, emotions, intellectual states of mind, and volitions. These, and whatever by strict rules of induction can be derived from these, it is possible, according to this theory, for us to know; of all else we must remain in ignorance. The opposite school hold that there are other existences, suggested indeed to our minds by these subjective phenomena, but not inferable from them, by any process either of deduction or of induction; which, however, we must, by the constitution of our mental nature, recognize as realities; and realities, too, of a higher order than the phenomena of our consciousness, being the efficient causes and necessary substrata of all Phenomena. Among these entities they reckon Substances, whether matter or spirit; from the dust under our feet to the soul, and from that to Deity. All these, according to them, are preternatural or



supernatural beings, having no likeness in experience, though experience is entirely a manifestation of their agency. Their existence, together with more or less of the laws to which they conform in their operations, are, on this theory, apprehended and recognized as real by the mind itself intuitively; experience (whether in the form of sensation or of mental feeling) having no other part in the matter than as affording facts which are consistent with these necessary postulates of reason, and which are explained and accounted for by them.

As it is foreign to the purpose of the present treatise to decide between these conflicting theories, we are precluded from inquiring into the existence, or defining the extent and limits, of knowledge *a priori*, and from characterizing the kind of correct assumption which the fallacy of incorrect assumption, now under consideration, simulates. Yet since it is allowed on both sides that such assumptions are often made improperly, we may find it practicable, without entering into the ultimate metaphysical grounds of the discussion, to state some speculative propositions, and suggest some practical cautions, respecting the forms in which such unwarranted assumptions are most likely to be made.

§ 2. In the cases in which, according to the thinkers of the ontological school, the mind apprehends, by intuition, things, and the laws of things, not cognizable by our sensitive faculty; those intuitive, or supposed intuitive, perceptions are undistinguishable from what the opposite school are accustomed to call ideas of the mind. When they themselves say that they perceive the things by an immediate act of a faculty given for that purpose by their Creator, it would be said of them by their opponents that they find an idea or conception in their own minds, and from the idea or conception, infer the existence of a corresponding objective reality. Nor would this be an unfair statement, but a mere version into other words of the account given by many of themselves; and one to which the more clear-sighted of them might, and generally do, without hesitation, subscribe. Since, therefore, in the cases which lay the strongest claims to be examples of knowledge *a priori*, the mind proceeds from the idea of a thing to the reality of the thing itself, we can not be surprised by finding that illicit assumptions *a priori* consist in doing the same thing erroneously; in mistaking subjective facts for objective, laws of the

percipient mind for laws of the perceived object, properties of the ideas or conceptions for properties of the things conceived.

Accordingly, a large proportion of the erroneous thinking which exists in the world proceeds on a tacit assumption, that the same order must obtain among the objects in nature which obtains among our ideas of them. That if we always think of two things together, the two things must always exist together. That if one thing makes us think of another as preceding or following it, that other must precede it or follow it in actual fact. And conversely, that when we can not conceive two things together they can not exist together, and that their combination may, without further evidence, be rejected from the list of possible occurrences.

Few persons, I am inclined to think, have reflected on the great extent to which this fallacy has prevailed, and prevails, in the actual beliefs and actions of mankind. For a first illustration of it we may refer to a large class of popular superstitions. If any one will examine in what circumstances most of those things agree, which in different ages and by different portions of the human race have been considered as omens or prognostics of some interesting event, whether calamitous or fortunate; they will be found very generally characterized by this peculiarity, that they cause the mind to *think* of that, of which they are therefore supposed to forbode the actual occurrence. "Talk of the devil and he will appear," has passed into a proverb. Talk of the devil, that is, raise the idea, and the reality will follow. In times when the appearance of that personage in a visible form was thought to be no unfrequent occurrence, it has doubtless often happened to persons of vivid imagination and susceptible nerves, that talking of the devil has caused them to fancy they saw him; as even in our more incredulous days, listening to ghost stories predisposes us to see ghosts; and thus, as a prop to the *a priori* fallacy, there might come to be added an auxiliary fallacy of malobservation, with one of false generalization grounded on it. Fallacies of different orders often herd or cluster together in this fashion, one smoothing the way for another. But the origin of the superstition is evidently that which we have assigned. In like manner, it has been universally considered unlucky to speak of misfortune.

The day on which any calamity happened has been considered an unfortunate day, and there has been a feeling everywhere, and in some nations a religious obligation, against transacting any important business on that day. For on such a day our thoughts are likely to be of misfortune. For a similar reason, any untoward occurrence in commencing an undertaking has been considered ominous of failure; and often, doubtless, has really contributed to it by putting the persons engaged in the enterprise more or less out of spirits; but the belief has equally prevailed where the disagreeable circumstance was, independently of superstition, too insignificant to depress the spirits by any influence of its own. All know the story of Cæsar's accidentally stumbling in the act of landing on the African coast; and the presence of mind with which he converted the direful presage into a favorable one by exclaiming, "Africa, I embrace thee." Such omens, it is true, were often conceived as warnings of the future, given by a friendly or a hostile deity; but this very superstition grew out of a pre-existing tendency; the god was supposed to send, as an indication of what was to come, something which people were already disposed to consider in that light. So in the case of lucky or unlucky names. Herodotus tells us how the Greeks, on the way to Mycale, were encouraged in their enterprise by the arrival of a deputation from Samos, one of the members of which was named Hegesistratus, the leader of armies.

Cases may be pointed out in which something which could have no real effect but to make persons *think* of misfortune, was regarded not merely as a prognostic, but as something approaching to an actual cause of it. The εὐφήμει of the Greeks, and *favete linguis*, or *bona verba quæso*, of the Romans, evince the care with which they endeavored to repress the utterance of any word expressive or suggestive of ill fortune; not from notions of delicate politeness, to which their general mode of conduct and feeling had very little reference, but from *bona fide* alarm lest the event so suggested to the imagination should in fact occur. Some vestige of a similar superstition has been known to exist among uneducated persons even in our own day: it is thought an unchristian thing to talk of, or suppose, the death of any person while he is alive. It is known how careful the Romans were to avoid, by an indirect mode of speech, the utterance of any word directly expressive of death or other calamity; how instead of *mortuus est* they said *vixit*; and "be the event fortunate or *otherwise*"

instead of *adverse*. The name Maleventum, of which Salmasius so sagaciously detected the Thessalian origin (Μαλόεις, Μαλοέντος), they changed into the highly propitious denomination, Beneventum; Egesta into Segesta; and Epidamnus, a name so interesting in its associations to the reader of Thucydides, they exchanged for Dyrrhachium, to escape the perils of a word suggestive of *damnum* or detriment.

“If a hare cross the highway,” says Sir Thomas Browne,<sup>230</sup> “there are few above threescore that are not perplexed thereat; which notwithstanding is but an augural terror, according to that received expression, *Inauspicatum dat iter oblatus lepus*. And the ground of the conceit was probably no greater than this, that a fearful animal passing by us portended unto us something to be feared; as upon the like consideration the meeting of a fox presaged some future imposture.” Such superstitions as these last must be the result of study; they are too recondite for natural or spontaneous growth. But when the attempt was once made to construct a science of predictions, any association, though ever so faint or remote, by which an object could be connected in however far-fetched a manner with ideas either of prosperity or of danger and misfortune, was enough to determine its being classed among good or evil omens.

An example of rather a different kind from any of these, but falling under the same principle, is the famous attempt on which so much labor and ingenuity were expended by the alchemists, to make gold potable. The motive to this was a conceit that potable gold could be no other than the universal medicine; and why gold? Because it was so precious. It must have all marvelous properties as a physical substance, because the mind was already accustomed to marvel at it.

From a similar feeling, “every substance,” says Dr. Paris,<sup>231</sup> “whose origin is involved in mystery, has at different times been eagerly applied to the purposes of medicine. Not long since, one of those showers which are now known to consist of the excrements of insects, fell in the north of Italy; the inhabitants regarded it as manna, or some supernatural panacea, and they swallowed it with such avidity, that it was only by extreme address that a small quantity was obtained for a chemical examination.” The superstition, in this instance, though doubtless partly of a religious

character, probably in part also arose from the prejudice that a wonderful thing must of course have wonderful properties.

§ 3. The instances of *a priori* fallacy which we have hitherto cited belong to the class of vulgar errors, and do not now, nor in any but a rude age ever could, impose upon minds of any considerable attainments. But those to which we are about to proceed, have been, and still are, all but universally prevalent among thinkers. The same disposition to give objectivity to a law of the mind — to suppose that what is true of our ideas of things must be true of the things themselves — exhibits itself in many of the most accredited modes of philosophical investigation, both on physical and on metaphysical subjects. In one of its most undisguised manifestations, it embodies itself in two maxims, which lay claim to axiomatic truth: Things which we can not think of together, can not co-exist; and Things which we can not help thinking of together, must co-exist. I am not sure that the maxims were ever expressed in these precise words, but the history both of philosophy and of popular opinions abounds with exemplifications of both forms of the doctrine.

To begin with the latter of them: Things which we can not think of except together, must exist together. This is assumed in the generally received and accredited mode of reasoning which concludes that A must accompany B in point of fact, because “it is involved in the idea.” Such thinkers do not reflect that the idea, being a result of abstraction, ought to conform to the facts, and can not make the facts conform to it. The argument is at most admissible as an appeal to authority; a surmise, that what is now part of the idea, must, before it became so, have been found by previous inquirers in the facts. Nevertheless, the philosopher who more than all others made professions of rejecting authority, Descartes, constructed his system on this very basis. His favorite device for arriving at truth, even in regard to outward things, was by looking into his own mind for it. “Credidi me,” says his celebrated maxim, “pro regulâ generali sumere posse, omne id quod valdè dilucidè et distinctè concipiebam, verum esse;” whatever can be very clearly conceived must certainly exist; that is, as he afterward explains it, if the idea includes existence. And on this ground he infers that geometrical figures really exist, because they can be distinctly conceived. Whenever existence is “involved in an idea,” a

thing conformable to the idea must really exist; which is as much as to say, whatever the idea contains must have its equivalent in the thing; and what we are not able to leave out of the idea can not be absent from the reality.<sup>232</sup> This assumption pervades the philosophy not only of Descartes, but of all the thinkers who received their impulse mainly from him, in particular the two most remarkable among them, Spinoza and Leibnitz, from whom the modern German metaphysical philosophy is essentially an emanation. I am indeed disposed to think that the fallacy now under consideration has been the cause of two-thirds of the bad philosophy, and especially of the bad metaphysics, which the human mind has never ceased to produce. Our general ideas contain nothing but what has been put into them, either by our passive experience, or by our active habits of thought; and the metaphysicians in all ages, who have attempted to construct the laws of the universe by reasoning from our supposed necessities of thought, have always proceeded, and only could proceed, by laboriously finding in their own minds what they themselves had formerly put there, and evolving from their ideas of things what they had first involved in those ideas. In this way all deeply-rooted opinions and feelings are enabled to create apparent demonstrations of their truth and reasonableness, as it were, out of their own substance.

The other form of the fallacy: Things which we can not think of together can not exist together — including as one of its branches, that what we can not think of as existing can not exist at all — may thus be briefly expressed: Whatever is inconceivable must be false.

Against this prevalent doctrine I have sufficiently argued in a former Book,<sup>233</sup> and nothing is required in this place but examples. It was long held that Antipodes were impossible because of the difficulty which was found in conceiving persons with their heads in the same direction as our feet. And it was one of the received arguments against the Copernican system, that we can not conceive so great a void space as that system supposes to exist in the celestial regions. When men's imaginations had always been used to conceive the stars as firmly set in solid spheres, they naturally found much difficulty in imagining them in so different, and, as it doubtless appeared to them, so precarious a situation. But they had no right to mistake the limitation (whether natural, or, as it in fact proved,

only artificial) of their own faculties, for an inherent limitation of the possible modes of existence in the universe.

It may be said in objection, that the error in these cases was in the minor premise, not the major; an error of fact, not of principle; that it did not consist in supposing that what is inconceivable can not be true, but in supposing antipodes to be inconceivable, when present experience proves that they can be conceived. Even if this objection were allowed, and the proposition that what is inconceivable can not be true were suffered to remain unquestioned as a speculative truth, it would be a truth on which no practical consequence could ever be founded, since, on this showing, it is impossible to affirm of any proposition, not being a contradiction in terms, that it is inconceivable. Antipodes were really, not fictitiously, inconceivable to our ancestors: they are indeed conceivable to us; and as the limits of our power of conception have been so largely extended, by the extension of our experience and the more varied exercise of our imagination, so may posterity find many combinations perfectly conceivable to them which are inconceivable to us. But, as beings of limited experience, we must always and necessarily have limited conceptive powers; while it does not by any means follow that the same limitation obtains in the possibilities of Nature, nor even in her actual manifestations.

Rather more than a century and a half ago it was a scientific maxim, disputed by no one, and which no one deemed to require any proof, that “a thing can not act where it is not.”<sup>234</sup> With this weapon the Cartesians waged a formidable war against the theory of gravitation, which, according to them, involving so obvious an absurdity, must be rejected *in limine*: the sun could not possibly act upon the earth, not being there. It was not surprising that the adherents of the old systems of astronomy should urge this objection against the new; but the false assumption imposed equally on Newton himself, who, in order to turn the edge of the objection, imagined a subtle ether which filled up the space between the sun and the earth, and by its intermediate agency was the proximate cause of the phenomena of gravitation. “It is inconceivable,” said Newton, in one of his letters to Dr. Bentley,<sup>235</sup> “that inanimate brute matter should, without the mediation of something else, which is not material, operate upon and

affect other matter *without mutual contact*. . . . That gravity should be innate, inherent, and essential to matter, so that one body may act on another, at a distance, through a vacuum, without the mediation of any thing else, by and through which their action and force may be conveyed from one to another, is to me so great an absurdity, that I believe no man, who in philosophical matters has a competent faculty of thinking, can ever fall into it." This passage should be hung up in the cabinet of every cultivator of science who is ever tempted to pronounce a fact impossible because it appears to him inconceivable. In our own day one would be more tempted, though with equal injustice, to reverse the concluding observation, and consider the seeing any absurdity at all in a thing so simple and natural, to be what really marks the absence of "a competent faculty of thinking." No one now feels any difficulty in conceiving gravity to be, as much as any other property is, "inherent and essential to matter," nor finds the comprehension of it facilitated in the smallest degree by the supposition of an ether (though some recent inquirers do give this as an explanation of it); nor thinks it at all incredible that the celestial bodies can and do act where they, in actual bodily presence, are not. To us it is not more wonderful that bodies should act upon one another "without mutual contact," than that they should do so when in contact; we are familiar with both these facts, and we find them equally inexplicable, but equally easy to believe. To Newton, the one, because his imagination was familiar with it, appeared natural and a matter of course, while the other, for the contrary reason, seemed too absurd to be credited.

It is strange that any one, after such a warning, should rely implicitly on the evidence *a priori* of such propositions as these, that matter can not think; that space, or extension, is infinite; that nothing can be made out of nothing (*ex nihilo nihil fit*). Whether these propositions are true or not this is not the place to determine, nor even whether the questions are soluble by the human faculties. But such doctrines are no more self-evident truths, than the ancient maxim that a thing can not act where it is not, which probably is not now believed by any educated person in Europe.<sup>236</sup> Matter can not think; why? because we *can not conceive* thought to be annexed to any arrangement of material particles. Space is infinite, because having never known any part of it which had not other parts beyond it, we *can not conceive* an absolute termination. *Ex nihilo nihil fit*, because having never



known any physical product without a pre-existing physical material, we *can not*, or think we can not, *imagine* a creation out of nothing. But these things may in themselves be as conceivable as gravitation without an intervening medium, which Newton thought too great an absurdity for any person of a competent faculty of philosophical thinking to admit: and even supposing them not conceivable, this, for aught we know, may be merely one of the limitations of our very limited minds, and not in nature at all.

No writer has more directly identified himself with the fallacy now under consideration, or has embodied it in more distinct terms, than Leibnitz. In his view, unless a thing was not merely conceivable, but even explainable, it could not exist in nature. All *natural* phenomena, according to him, must be susceptible of being accounted for *a priori*. The only facts of which no explanation could be given but the will of God, were miracles properly so called. “Je reconnais,” says he,<sup>237</sup> “qu’il n’est pas permis de nier ce qu’on n’entend pas; mais j’ajoute qu’on a droit de nier (au moins dans l’ordre naturel) ce que absolument n’est point intelligible ni explicable. Je soutiens aussi . . . qu’enfin la conception des créatures n’est pas la mesure du pouvoir de Dieu, mais que leur conceptivité, ou force de concevoir, est la mesure du pouvoir de la nature, tout ce qui est conforme à l’ordre naturel pouvant être conçu ou entendu par quelque créature.”

Not content with assuming that nothing can be true which we are unable to conceive, scientific inquirers have frequently given a still further extension to the doctrine, and held that, even of things not altogether inconceivable, that which we can conceive with the greatest ease is likeliest to be true. It was long an admitted axiom, and is not yet entirely discredited, that “nature always acts by the simplest means,” *i.e.*, by those which are most easily conceivable.<sup>238</sup> A large proportion of all the errors ever committed in the investigation of the laws of nature, have arisen from the assumption that the most familiar explanation or hypothesis must be the truest.

One of the most instructive facts in scientific history is the pertinacity with which the human mind clung to the belief that the heavenly bodies must move in circles, or be carried round by the revolution of spheres; merely because those were in themselves the simplest suppositions: though, to make them accord with the facts which were ever contradicting

them more and more, it became necessary to add sphere to sphere and circle to circle, until the original simplicity was converted into almost inextricable complication.

§ 4. We pass to another *a priori* fallacy or natural prejudice, allied to the former, and originating, as that does, in the tendency to presume an exact correspondence between the laws of the mind and those of things external to it. The fallacy may be enunciated in this general form — Whatever can be thought of apart exists apart: and its most remarkable manifestation consists in the personification of abstractions. Mankind in all ages have had a strong propensity to conclude that wherever there is a name, there must be a distinguishable separate entity corresponding to the name; and every complex idea which the mind has formed for itself by operating upon its conceptions of individual things, was considered to have an outward objective reality answering to it. Fate, Chance, Nature, Time, Space, were real beings, nay, even gods. If the analysis of qualities in the earlier part of this work be correct, names of qualities and names of substances stand for the very same sets of facts or phenomena; *whiteness* and *a white thing* are only different phrases, required by convenience for speaking of the same external fact under different relations. Not such, however, was the notion which this verbal distinction suggested of old, either to the vulgar or to the scientific. Whiteness was an entity, inhering or sticking in the white substance: and so of all other qualities. So far was this carried, that even concrete general terms were supposed to be, not names of indefinite numbers of individual substances, but names of a peculiar kind of entities termed Universal Substances. Because we can think and speak of man in general, that is, of all persons in so far as possessing the common attributes of the species, without fastening our thoughts permanently on some one individual person; therefore man in general was supposed to be, not an aggregate of individual persons, but an abstract or universal man, distinct from these.

It may be imagined what havoc metaphysicians trained in these habits made with philosophy, when they came to the largest generalizations of all. *Substantiæ Secundæ* of any kind were bad enough, but such *Substantiæ Secundæ* as τὸ ὄν, for example, and τὸ ἔν, standing for peculiar entities supposed to be inherent in all things which *exist*, or in all which are said to

be *one*, were enough to put an end to all intelligible discussion; especially since, with a just perception that the truths which philosophy pursues are *general* truths, it was soon laid down that these general substances were the only subjects of science, being immutable, while individual substances cognizable by the senses, being in a perpetual flux, could not be the subject of real knowledge. This misapprehension of the import of general language constitutes Mysticism, a word so much oftener written and spoken than understood. Whether in the Vedas, in the Platonists, or in the Hegelians, mysticism is neither more nor less than ascribing objective existence to the subjective creations of our own faculties, to ideas or feelings of the mind; and believing that by watching and contemplating these ideas of its own making, it can read in them what takes place in the world without.

§ 5. Proceeding with the enumeration of *a priori* fallacies, and endeavoring to arrange them with as much reference as possible to their natural affinities, we come to another, which is also nearly allied to the fallacy preceding the last, standing in the same relation to one variety of it as the fallacy last mentioned does to the other. This, too, represents nature as under incapacities corresponding to those of our intellect; but instead of only asserting that nature can not do a thing because we can not conceive it done, goes the still greater length of averring that nature does a particular thing, on the sole ground that we can see no reason why she should not. Absurd as this seems when so plainly stated, it is a received principle among scientific authorities for demonstrating *a priori* the laws of physical phenomena. A phenomenon must follow a certain law, because we see no reason why it should deviate from that law in one way rather than in another. This is called the Principle of the Sufficient Reason;<sup>239</sup> and by means of it philosophers often flatter themselves that they are able to establish, without any appeal to experience, the most general truths of experimental physics.

Take, for example, two of the most elementary of all laws, the law of inertia and the first law of motion. A body at rest can not, it is affirmed, begin to move unless acted upon by some external force; because, if it did, it must either move up or down, forward or backward, and so forth; but if no outward force acts upon it, there can be *no reason* for its moving up

rather than down, or down rather than up, etc., *ergo*, it will not move at all.

This reasoning I conceive to be entirely fallacious, as indeed Dr. Brown, in his treatise on Cause and Effect, has shown with great acuteness and justness of thought. We have before remarked, that almost every fallacy may be referred to different genera by different modes of filling up the suppressed steps; and this particular one may, at our option, be brought under *petitio principii*. It supposes that nothing can be a “sufficient reason” for a body’s moving in one particular direction, except some external force. But this is the very thing to be proved. Why not some *internal* force? Why not the law of the thing’s own nature? Since these philosophers think it necessary to prove the law of inertia, they of course do not suppose *it* to be self-evident; they must, therefore, be of opinion that previously to all proof, the supposition of a body’s moving by internal impulse is an admissible hypothesis; but if so, why is not the hypothesis also admissible, that the internal impulse acts naturally in some one particular direction, not in another? If spontaneous motion might have been the law of matter, why not spontaneous motion toward the sun, toward the earth, or toward the zenith? Why not, as the ancients supposed, toward a particular place in the universe, appropriated to each particular kind of substance? Surely it is not allowable to say that spontaneity of motion is credible in itself, but not credible if supposed to take place in any determinate direction.

Indeed, if any one chose to assert that all bodies when uncontrolled set out in a direct line toward the North Pole, he might equally prove his point by the principle of the Sufficient Reason. By what right is it assumed that a state of rest is the particular state which can not be deviated from without special cause? Why not a state of motion, and of some particular sort of motion? Why may we not say that the natural state of a horse left to himself is to amble, because otherwise he must either trot, gallop, or stand still, and because we know no reason why he should do one of these rather than another? If this is to be called an unfair use of the “sufficient reason,” and the other a fair one, there must be a tacit assumption that a state of rest is more natural to a horse than a state of ambling. If this means that it is the state which the animal will assume when left to himself, that is the

very point to be proved; and if it does not mean this, it can only mean that a state of rest is the simplest state, and therefore the most likely to prevail in nature, which is one of the fallacies or natural prejudices we have already examined.

So again of the First Law of Motion; that a body once moving will, if left to itself, continue to move uniformly in a straight line. An attempt is made to prove this law by saying, that if not, the body must deviate either to the right or to the left, and that there is no reason why it should do one more than the other. But who could know, antecedently to experience, whether there was a reason or not? Might it not be the nature of bodies, or of some particular bodies, to deviate toward the right? or if the supposition is preferred, toward the east, or south? It was long thought that bodies, terrestrial ones at least, had a natural tendency to deflect downward; and there is no shadow of any thing objectionable in the supposition, except that it is not true. The pretended proof of the law of motion is even more manifestly untenable than that of the law of inertia, for it is flagrantly inconsistent; it assumes that the continuance of motion in the direction first taken is more natural than deviation either to the right or to the left, but denies that one of these can possibly be more natural than the other. All these fancies of the possibility of knowing what is natural or not natural by any other means than experience, are, in truth, entirely futile. The real and only proof of the laws of motion, or of any other law of the universe, is experience; it is simply that no other suppositions explain or are consistent with the facts of universal nature.

Geometers have, in all ages, been open to the imputation of endeavoring to prove the most general facts of the outward world by sophistical reasoning, in order to avoid appeals to the senses. Archimedes, says Professor Playfair,<sup>240</sup> established some of the elementary propositions of statics by a process in which he “borrows no principle from experiment, but establishes his conclusion entirely by reasoning *a priori*. He assumes, indeed, that equal bodies, at the ends of the equal arms of a lever, will balance one another; and also that a cylinder or parallelopiped of homogeneous matter, will be balanced about its centre of magnitude. These, however, are not inferences from experience; they are, properly speaking, conclusions deduced from the principle of the Sufficient

Reason.” And to this day there are few geometers who would not think it far more scientific to establish these or any other premises in this way, than to rest their evidence on that familiar experience which in the case in question might have been so safely appealed to.

§ 6. Another natural prejudice, of most extensive prevalence, and which had a great share in producing the errors fallen into by the ancients in their physical inquiries, was this: That the differences in nature must correspond to our received distinctions: that effects which we are accustomed, in popular language, to call by different names, and arrange in different classes, must be of different natures, and have different causes. This prejudice, so evidently of the same origin with those already treated of, marks more especially the earliest stage of science, when it has not yet broken loose from the trammels of every-day phraseology. The extraordinary prevalence of the fallacy among the Greek philosophers may be accounted for by their generally knowing no other language than their own; from which it was a consequence that their ideas followed the accidental or arbitrary combinations of that language, more completely than can happen among the moderns to any but illiterate persons. They had great difficulty in distinguishing between things which their language confounded, or in putting mentally together things which it distinguished; and could hardly combine the objects in nature, into any classes but those which were made for them by the popular phrases of their own country; or at least could not help fancying those classes to be natural and all others arbitrary and artificial. Accordingly, scientific investigation among the Greek schools of speculation and their followers in the Middle Ages, was little more than a mere sifting and analyzing of the notions attached to common language. They thought that by determining the meaning of words, they could become acquainted with facts. “They took for granted,” says Dr. Whewell,<sup>241</sup> “that philosophy must result from the relations of those notions which are involved in the common use of language, and they proceeded to seek it by studying such notions.” In his next chapter, Dr. Whewell has so well illustrated and exemplified this error, that I shall take the liberty of quoting him at some length.

“The propensity to seek for principles in the common usages of language may be discerned at a very early period. Thus we have an

example of it in a saying which is reported of Thales, the founder of Greek philosophy. When he was asked, 'What is the *greatest* thing?' he replied '*Place*; for all other things are *in* the world, but the world is *in* it.' In Aristotle we have the consummation of this mode of speculation. The usual point from which he starts in his inquiries is, that *we say* thus or thus in common language. Thus, when he has to discuss the question whether there be, in any part of the universe, a void, or space in which there is nothing, he inquires first in how many senses we say that one thing is *in* another. He enumerates many of these; we say the part is in the whole, as the finger is *in* the hand; again we say, the species is in the genus, as man is included *in* animal; again, the government of Greece is *in* the king; and various other senses are described and exemplified, but of all these *the most proper* is when we say a thing is *in* a vessel, and generally *in place*. He next examines what *place* is, and comes to this conclusion, that 'if about a body there be another body including it, it is in place, and if not, not.' A body moves when it changes its place; but he adds, that if water be in a vessel, the vessel being at rest, the parts of the water may still move, for they are included by each other; so that while the whole does not change its place, the parts may change their place in a circular order. Proceeding then to the question of a *void*, he as usual examines the different senses in which the term is used, and adopts as the most proper, *place without matter*, with no useful result.

"Again, in a question concerning mechanical action, he says, 'When a man moves a stone by pushing it with a stick, *we* say both that the man moves the stone, and that the stick moves the stone, but the latter *more properly*.'

"Again, we find the Greek philosophers applying themselves to extract their dogmas from the most general and abstract notions which they could detect; for example, from the conception of the Universe as One or as Many things. They tried to determine how far we may, or must, combine with these conceptions that of a whole, of parts, of number, of limits, of place, of beginning or end, of full or void, of rest or motion, of cause and effect, and the like. The analysis of such conceptions with such a view, occupies, for instance, almost the whole of Aristotle's Treatise on the Heavens."

The following paragraph merits particular attention: “Another mode of reasoning, very widely applied in these attempts, was the *doctrine of contrarities*, in which it was assumed that adjectives or substances which are in common language, or in some abstract mode of conception, opposed to each other, must point at some fundamental antithesis in nature, which it is important to study. Thus Aristotle says that the Pythagoreans, from the contrasts which number suggests, collected ten principles — Limited and Unlimited, Odd and Even, One and Many, Right and Left, Male and Female, Rest and Motion, Straight and Curved, Light and Darkness, Good and Evil, Square and Oblong. . . . Aristotle himself deduced the doctrine of four elements and other dogmas by oppositions of the same kind.”

Of the manner in which, from premises obtained in this way, the ancients attempted to deduce laws of nature, an example is given in the same work a few pages further on. “Aristotle decides that there is no void on such arguments as this. In a void there could be no difference of up and down; for as in nothing there are no differences, so there are none in a privation or negation; but a void is merely a privation or negation of matter; therefore, in a void, bodies could not move up and down, which it is in their nature to do. It is easily seen” (Dr. Whewell very justly adds) “that such a mode of reasoning elevates the familiar forms of language, and the intellectual connections of terms, to a supremacy over facts; making truth depend upon whether terms are or are not privative, and whether we say that bodies fall *naturally*.”

The propensity to assume that the same relations obtain between objects themselves, which obtain between our ideas of them, is here seen in the extreme stage of its development. For the mode of philosophizing, exemplified in the foregoing instances, assumes no less than that the proper way of arriving at knowledge of nature, is to study nature itself subjectively; to apply our observation and analysis not to the facts, but to the common notions entertained of the facts.

Many other equally striking examples may be given of the tendency to assume that things which for the convenience of common life are placed in different classes, must differ in every respect. Of this nature was the universal and deeply-rooted prejudice of antiquity and the Middle Ages, that celestial and terrestrial phenomena must be essentially different, and



could in no manner or degree depend on the same laws. Of the same kind, also, was the prejudice against which Bacon contended, that nothing produced by nature could be successfully imitated by man: “Calorem solis et ignis toto genere differre; ne scilicet homines putent se per opera ignis, aliquid simile iis quæ in Natura fiunt, educere et formare posse;” and again, “Compositionem tantum opus Hominis, Mistionem vero opus solius Naturæ esse: ne scilicet homines sperent aliquam ex arte Corporum naturalium generationem aut transformationem.”<sup>242</sup> The grand distinction in the ancient scientific speculations, between natural and violent motions, though not without a plausible foundation in the appearances themselves, was doubtless greatly recommended to adoption by its conformity to this prejudice.

§ 7. From the fundamental error of the scientific inquirers of antiquity, we pass, by a natural association, to a scarcely less fundamental one of their great rival and successor, Bacon. It has excited the surprise of philosophers that the detailed system of inductive logic, which this extraordinary man labored to construct, has been turned to so little direct use by subsequent inquirers, having neither continued, except in a few of its generalities, to be recognized as a theory, nor having conducted in practice to any great scientific results. But this, though not unfrequently remarked, has scarcely received any plausible explanation; and some, indeed, have preferred to assert that all rules of induction are useless, rather than suppose that Bacon’s rules are grounded on an insufficient analysis of the inductive process. Such, however, will be seen to be the fact, as soon as it is considered, that Bacon entirely overlooked Plurality of Causes. All his rules tacitly imply the assumption, so contrary to all we now know of nature, that a phenomenon can not have more than one cause.

When he is inquiring into what he terms the *forma calidi aut frigidi, gravis aut levis, sicci aut humidii*, and the like, he never for an instant doubts that there is some one thing, some invariable condition or set of conditions, which is present in all cases of heat, or cold, or whatever other phenomenon he is considering; the only difficulty being to find what it is; which accordingly he tries to do by a process of elimination, rejecting or excluding, by negative instances, whatever is not the *forma* or cause, in

order to arrive at what is. But, that this *forma* or cause is *one* thing, and that it is the same in all hot objects, he has no more doubt of, than another person has that there is always some cause *or other*. In the present state of knowledge it could not be necessary, even if we had not already treated so fully of the question, to point out how widely this supposition is at variance with the truth. It is particularly unfortunate for Bacon that, falling into this error, he should have fixed almost exclusively upon a class of inquiries in which it was especially fatal; namely, inquiries into the causes of the sensible qualities of objects. For his assumption, groundless in every case, is false in a peculiar degree with respect to those sensible qualities. In regard to scarcely any of them has it been found possible to trace any unity of cause, any set of conditions invariably accompanying the quality. The conjunctions of such qualities with one another constitute the variety of Kinds, in which, as already remarked, it has not been found possible to trace any law. Bacon was seeking for what did not exist. The phenomenon of which he sought for the one cause has oftenest no cause at all, and when it has, depends (as far as hitherto ascertained) on an unassignable variety of distinct causes.

And on this rock every one must split, who represents to himself as the first and fundamental problem of science to ascertain what is the cause of a given effect, rather than what are the effects of a given cause. It was shown, in an early stage of our inquiry into the nature of Induction,<sup>243</sup> how much more ample are the resources which science commands for the latter than for the former inquiry, since it is upon the latter only that we can throw any direct light by means of experiment; the power of artificially producing an effect, implying a previous knowledge of at least one of its causes. If we discover the causes of effects, it is generally by having previously discovered the effects of causes; the greatest skill in devising crucial instances for the former purpose may only end, as Bacon's physical inquiries did, in no result at all. Was it that his eagerness to acquire the power of producing for man's benefit effects of practical importance to human life, rendering him impatient of pursuing that end by a circuitous route, made even him, the champion of experiment, prefer the direct mode, though one of mere observation, to the indirect, in which alone experiment was possible? Or had even Bacon not entirely cleared his mind from the notion of the ancients, that "*rerum cognoscere causas*" was the

sole object of philosophy, and that to inquire into the *effects* of things belonged to servile and mechanical arts?

It is worth remarking that, while the only efficient mode of cultivating speculative science was missed from an undue contempt of manual operations, the false speculative views thus engendered gave in their turn a false direction to such practical and mechanical aims as were suffered to exist. The assumption universal among the ancients and in the Middle Ages, that there were *principles* of heat and cold, dryness and moisture, etc., led directly to a belief in alchemy; in a transmutation of substances, a change from one Kind into another. Why should it not be possible to make gold? Each of the characteristic properties of gold has its *forma*, its essence, its set of conditions, which if we could discover, and learn how to realize, we could superinduce that particular property upon any other substance, upon wood, or iron, or lime, or clay. If, then, we could effect this with respect to every one of the essential properties of the precious metal, we should have converted the other substance into gold. Nor did this, if once the premises were granted, appear to transcend the real powers of mankind. For daily experience showed that almost every one of the distinctive sensible properties of any object, its consistence, its color, its taste, its smell, its shape, admitted of being totally changed by fire, or water, or some other chemical agent. The *formæ* of all those qualities seeming, therefore, to be within human power either to produce or to annihilate, not only did the transmutation of substances appear abstractedly possible, but the employment of the power, at our choice, for practical ends, seemed by no means hopeless.<sup>244</sup>

A prejudice, universal in the ancient world, and from which Bacon was so far from being free, that it pervaded and vitiated the whole practical part of his system of logic, may with good reason be ranked high in the order of Fallacies of which we are now treating.

§ 8. There remains one *a priori* fallacy or natural prejudice, the most deeply-rooted, perhaps, of all which we have enumerated; one which not only reigned supreme in the ancient world, but still possesses almost undisputed dominion over many of the most cultivated minds; and some of the most remarkable of the numerous instances by which I shall think it necessary to exemplify it, will be taken from recent thinkers. This is, that

the conditions of a phenomenon must, or at least probably will, resemble the phenomenon itself.

Conformably to what we have before remarked to be of frequent occurrence, this fallacy might without much impropriety have been placed in a different class, among Fallacies of Generalization; for experience does afford a certain degree of countenance to the assumption. The cause does, in very many cases, resemble its effect; like produces like. Many phenomena have a direct tendency to perpetuate their own existence, or to give rise to other phenomena similar to themselves. Not to mention forms actually moulded on one another, as impressions on wax and the like, in which the closest resemblance between the effect and its cause is the very law of the phenomenon; all motion tends to continue itself, with its own velocity, and in its own original direction; and the motion of one body tends to set others in motion, which is indeed the most common of the modes in which the motions of bodies originate. We need scarcely refer to contagion, fermentation, and the like; or to the production of effects by the growth or expansion of a germ or rudiment resembling on a smaller scale the completed phenomenon, as in the growth of a plant or animal from an embryo, that embryo itself deriving its origin from another plant or animal of the same kind. Again, the thoughts or reminiscences, which are effects of our past sensations, resemble those sensations; feelings produce similar feelings by way of sympathy; acts produce similar acts by involuntary or voluntary imitation. With so many appearances in its favor, no wonder if a presumption naturally grew up, that causes must *necessarily* resemble their effects, and that like could *only* be produced by like.

This principle of fallacy has usually presided over the fantastical attempts to influence the course of nature by conjectural means, the choice of which was not directed by previous observation and experiment. The guess almost always fixed upon some means which possessed features of real or apparent resemblance to the end in view. If a charm was wanted, as by Ovid's Medea, to prolong life, all long-lived animals, or what were esteemed such, were collected and brewed into a broth:

nec defuit illic

Squamea Cinyphii tenuis membrana chelydri

Vivacisque jecur cervi: quibus insuper addit  
Ora caputque novem cornicis sæcula passæ.

A similar notion was embodied in the celebrated medical theory called the “Doctrine of Signatures,” “which is no less,” says Dr. Paris,<sup>245</sup> “than a belief that every natural substance which possesses any medicinal virtue indicates by an obvious and well-marked external character the disease for which it is a remedy, or the object for which it should be employed.” This outward character was generally some feature of resemblance, real or fantastical, either to the effect it was supposed to produce, or to the phenomenon over which its power was thought to be exercised. “Thus the lungs of a fox must be a specific for asthma, because that animal is remarkable for its strong powers of respiration. Turmeric has a brilliant yellow color, which indicates that it has the power of curing the jaundice; for the same reason, poppies must relieve diseases of the head; Agaricus those of the bladder; *Cassia fistula* the affections of the intestines, and Aristolochia the disorders of the uterus: the polished surface and stony hardness which so eminently characterize the seeds of the *Lithospermum officinale* (common gromwell) were deemed a certain indication of their efficacy in calculous and gravelly disorders; for a similar reason, the roots of the *Saxifraga granulata* (white saxifrage) gained reputation in the cure of the same disease; and the *Euphrasia* (eye-bright) acquired fame, as an application in complaints of the eye, because it exhibits a black spot in its corolla resembling the pupil. The blood-stone, the *Heliotropium* of the ancients, from the occasional small specks or points of a blood-red color exhibited on its green surface, is even at this very day employed in many parts of England and Scotland to stop a bleeding from the nose; and nettle tea continues a popular remedy for the cure of *Urticaria*. It is also asserted that some substances bear the *signatures* of the humors, as the petals of the red rose that of the blood, and the roots of rhubarb and the flowers of saffron that of the bile.”

The early speculations respecting the chemical composition of bodies were rendered abortive by no circumstance more than by their invariably taking for granted that the properties of the elements must resemble those of the compounds which were formed from them.

To descend to more modern instances; it was long thought, and was stoutly maintained by the Cartesians and even by Leibnitz against the Newtonian system (nor did Newton himself, as we have seen, contest the assumption, but eluded it by an arbitrary hypothesis), that nothing (of a physical nature at least) could account for motion, except previous motion; the impulse or impact of some other body. It was very long before the scientific world could prevail upon itself to admit attraction and repulsion (*i.e.*, spontaneous tendencies of particles to approach or recede from one another) as ultimate laws, no more requiring to be accounted for than impulse itself, if indeed the latter were not, in truth, resolvable into the former. From the same source arose the innumerable hypotheses devised to explain those classes of motion which appeared more mysterious than others because there was no obvious mode of attributing them to impulse, as for example the voluntary motions of the human body. Such were the interminable systems of vibrations propagated along the nerves, or animal spirits rushing up and down between the muscles and the brain; which, if the facts could have been proved, would have been an important addition to our knowledge of physiological laws; but the mere invention, or arbitrary supposition of them, could not unless by the strongest delusion be supposed to render the phenomena of animal life more comprehensible, or less mysterious. Nothing, however, seemed satisfactory, but to make out that motion was caused by motion; by something like itself. If it was not one kind of motion, it must be another. In like manner it was supposed that the physical qualities of objects must arise from some similar quality, or perhaps only some quality bearing the same name, in the particles or atoms of which the objects were composed; that a sharp taste, for example, must arise from sharp particles. And reversing the inference, the effects produced by a phenomenon must, it was supposed, resemble in their physical attributes the phenomenon itself. The influences of the planets were supposed to be analogous to their visible peculiarities: Mars, being of a red color, portended fire and slaughter; and the like.

Passing from physics to metaphysics, we may notice among the most remarkable fruits of this *a priori* fallacy two closely analogous theories, employed in ancient and modern times to bridge over the chasm between the world of mind and that of matter; the *species sensibiles* of the

Epicureans, and the modern doctrine of perception by means of ideas. These theories are indeed, probably, indebted for their existence not solely to the fallacy in question, but to that fallacy combined with another natural prejudice already adverted to, that a thing can not act where it is not. In both doctrines it is assumed that the phenomenon which takes place *in us* when we see or touch an object, and which we regard as an effect of that object, or rather of its presence to our organs, must of necessity resemble very closely the outward object itself. To fulfill this condition, the Epicureans supposed that objects were constantly projecting in all directions impalpable images of themselves, which entered at the eyes and penetrated to the mind; while modern metaphysicians, though they rejected this hypothesis, agreed in deeming it necessary to suppose that not the thing itself, but a mental image or representation of it, was the direct object of perception. Dr. Reid had to employ a world of argument and illustration to familiarize people with the truth, that the sensations or impressions on our minds need not necessarily be copies of, or bear any resemblance to, the causes which produce them; in opposition to the natural prejudice which led people to assimilate the action of bodies upon our senses, and through them upon our minds, to the transfer of a given form from one object to another by actual moulding. The works of Dr. Reid are even now the most effectual course of study for detaching the mind from the prejudice of which this was an example. And the value of the service which he thus rendered to popular philosophy is not much diminished, although we may hold, with Brown, that he went too far in imputing the “ideal theory” as an actual tenet, to the generality of the philosophers who preceded him, and especially to Locke and Hume; for if they did not themselves consciously fall into the error, unquestionably they often led their readers into it.

The prejudice, that the conditions of a phenomenon must resemble the phenomenon, is occasionally exaggerated, at least verbally, into a still more palpable absurdity; the conditions of the thing are spoken of as if they *were* the very thing itself. In Bacon’s model inquiry, which occupies so great a space in the *Novum Organum*, the *inquisitio in formam calidi*, the conclusion which he favors is that heat is a kind of motion; meaning of course not the feeling of heat, but the conditions of the feeling; meaning, therefore, only that wherever there is heat, there must first be a particular

kind of motion; but he makes no distinction in his language between these two ideas, expressing himself as if heat, and the conditions of heat, were one and the same thing. So the elder Darwin, in the beginning of his *Zoonomia*, says, "The word *idea* has various meanings in the writers of metaphysics; it is here used simply for those notions of external things which our organs of sense bring us acquainted with originally" (thus far the proposition, though vague, is unexceptionable in meaning), "and is defined a contraction, a motion, or configuration, of the fibres which constitute the immediate organ of sense." Our *notions*, a configuration of the fibres! What kind of logician must he be who thinks that a phenomenon is *defined* to be the condition on which he supposes it to depend? Accordingly he says soon after, not that our ideas are caused by, or consequent on, certain organic phenomena, but "our ideas *are* animal motions of the organs of sense." And this confusion runs through the four volumes of the *Zoonomia*; the reader never knows whether the writer is speaking of the effect, or of its supposed cause; of the idea, a state of mental consciousness, or of the state of the nerves and brain which he considers it to presuppose.

I have given a variety of instances in which the natural prejudice, that causes and their effects must resemble one another, has operated in practice so as to give rise to serious errors. I shall now go further, and produce from writings even of the present or very recent times, instances in which this prejudice is laid down as an established principle. M. Victor Cousin, in the last of his celebrated lectures on Locke, enunciates the maxim in the following unqualified terms: "Tout ce qui est vrai de l'effet, est vrai de la cause." A doctrine to which, unless in some peculiar and technical meaning of the words cause and effect, it is not to be imagined that any person would literally adhere; but he who could so write must be far enough from seeing that the very reverse might be the effect; that there is nothing impossible in the supposition that no one property which is true of the effect might be true of the cause. Without going quite so far in point of expression, Coleridge, in his *Biographia Literaria*,<sup>246</sup> affirms as an "evident truth," that "the law of causality holds only between homogeneous things, *i.e.*, things having some common property," and therefore "can not extend from one world into another, its opposite;" hence, as mind and matter have no common property, mind can not act



upon matter, nor matter upon mind. What is this but the *a priori* fallacy of which we are speaking? The doctrine, like many others of Coleridge, is taken from Spinoza, in the first book of whose *Ethica (De Deo)* it stands as the Third Proposition, “Quæ res nihil commune inter se habent, earum una alterius causa esse non potest,” and is there proved from two so-called axioms, equally gratuitous with itself; but Spinoza ever systematically consistent, pursued the doctrine to its inevitable consequence, the materiality of God.

The same conception of impossibility led the ingenious and subtle mind of Leibnitz to his celebrated doctrine of a pre-established harmony. He, too, thought that mind could not act upon matter, nor matter upon mind, and that the two, therefore, must have been arranged by their Maker like two clocks, which, though unconnected with one another, strike simultaneously, and always point to the same hour. Malebranche’s equally famous theory of Occasional Causes was another form of the same conception; instead of supposing the clocks originally arranged to strike together, he held that when the one strikes, God interposes, and makes the other strike in correspondence with it.

Descartes, in like manner, whose works are a rich mine of almost every description of *a priori* fallacy, says that the Efficient Cause must at least have all the perfections of the effect, and for this singular reason: “Si enim ponamus aliquid in ideâ reperiri quod non fuerit in ejus causâ, hoc igitur habet a nihilo;” of which it is scarcely a parody to say, that if there be pepper in the soup there must be pepper in the cook who made it, since otherwise the pepper would be without a cause. A similar fallacy is committed by Cicero, in his second book *De Finibus*, where, speaking in his own person against the Epicureans, he charges them with inconsistency in saying that the pleasures of the mind had their origin from those of the body, and yet that the former were more valuable, as if the effect could surpass the cause. “Animi voluptas oritur propter voluptatem corporis, et major est animi voluptas quam corporis? ita fit ut gratulator, lætior sit quam is cui gratulatur.” Even that, surely, is not an impossibility; a person’s good fortune has often given more pleasure to others than it gave to the person himself.

Descartes, with no less readiness, applies the same principle the converse way, and infers the nature of the effects from the assumption that they must, in this or that property or in all their properties, resemble their cause. To this class belong his speculations, and those of so many others after him, tending to infer the order of the universe, not from observation, but by *a priori* reasoning from supposed qualities of the Godhead. This sort of inference was probably never carried to a greater length than it was in one particular instance by Descartes, when, as a proof of one of his physical principles, that the quantity of motion in the universe is invariable, he had recourse to the immutability of the Divine Nature. Reasoning of a very similar character is, however, nearly as common now as it was in his time, and does duty largely as a means of fencing off disagreeable conclusions. Writers have not yet ceased to oppose the theory of divine benevolence to the evidence of physical facts, to the principle of population for example. And people seem in general to think that they have used a very powerful argument, when they have said, that to suppose some proposition true, would be a reflection on the goodness or wisdom of the Deity. Put into the simplest possible terms, their argument is, "If it had depended on me, I would not have made the proposition true, therefore it is not true." Put into other words, it stands thus: "God is perfect, therefore (what I think) perfection must obtain in nature." But since in reality every one feels that nature is very far from perfect, the doctrine is never applied consistently. It furnishes an argument which (like many others of a similar character) people like to appeal to when it makes for their own side. Nobody is convinced by it, but each appears to think that it puts religion on his side of the question, and that it is a useful weapon of offense for wounding an adversary.

Although several other varieties of *a priori* fallacy might probably be added to those here specified, these are all against which it seems necessary to give any special caution. Our object is to open, without attempting or affecting to exhaust, the subject. Having illustrated, therefore, this first class of Fallacies at sufficient length, I shall proceed to the second.

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<sup>230</sup> *Vulgar Errors*, book v., chap. 21.

<sup>231</sup> *Pharmacologia*, Historical Introduction, p. 16.

<sup>232</sup> The author of one of the Bridgewater Treatises has fallen, as it seems to me, into a similar fallacy when, after arguing in rather a curious way to prove that matter may exist without any of the known properties of matter, and may therefore be changeable, he concludes that it can not be eternal, because "eternal (passive) existence necessarily involves incapability of change." I believe it would be difficult to point out any other connection between the facts of eternity and unchangeableness, than a strong association between the two ideas. Most of the *a priori* arguments, both religious and anti-religious, on the origin of things, are fallacies drawn from the same source.

<sup>233</sup> *Supra*, book ii., chap. v., § 6, and chap. vii., § 1, 2, 3, 4. See also *Examination of Sir William Hamilton's Philosophy*, chap. vi. and elsewhere.

<sup>234</sup> It seems that this doctrine was, before the time I have mentioned, disputed by some thinkers. Dr. Ward mentions Scotus, Vasquez, Biel, Francis Lugo, and Valentia.

<sup>235</sup> I quote this passage from Playfair's celebrated *Dissertation on the Progress of Mathematical and Physical Science*.

<sup>236</sup> This statement I must now correct, as too unqualified. The maxim in question was maintained with full conviction by no less an authority than Sir William Hamilton. See my *Examination*, chap. xxiv.

<sup>237</sup> *Nouveaux Essais sur l'Entendement Humain — Avant-propos*. (Œuvres, Paris ed., 1842, vol. i., p. 19.)

<sup>238</sup> This doctrine also was accepted as true, and conclusions were grounded on it, by Sir William Hamilton. See *Examination*, chap. xxiv.

<sup>239</sup> Not that of Leibnitz, but the principle commonly appealed to under that name by mathematicians.

<sup>240</sup> *Dissertation*, p. 27.

<sup>241</sup> *Hist. Ind. Sc.*, Book i., chap. i.

<sup>242</sup> *Novum Organum*, Aph. 75.

<sup>243</sup> *Supra*, book iii., chap. vii., § 4.

<sup>244</sup> It is hardly needful to remark that nothing is here intended to be said against the possibility at some future period of making gold — by first discovering it to be a compound, and putting together its different elements

or ingredients. But this is a totally different idea from that of the seekers of the grand arcanum.

<sup>245</sup> *Pharmacologia*, pp. 43–45.

<sup>246</sup> Vol. i., chap. 8.



# CHAPTER IV.

## FALLACIES OF OBSERVATION.

§ 1. From the Fallacies which are properly Prejudices, or presumptions antecedent to, and superseding, proof, we pass to those which lie in the incorrect performance of the proving process. And as Proof, in its widest extent, embraces one or more, or all, of three processes, Observation, Generalization, and Deduction, we shall consider in their order the errors capable of being committed in these three operations. And first, of the first mentioned.

A fallacy of misobservation may be either negative or positive; either Non-observation or Mal-observation. It is non-observation, when all the error consists in overlooking, or neglecting, facts or particulars which ought to have been observed. It is mal-observation, when something is not simply unseen, but seen wrong; when the fact or phenomenon, instead of being recognized for what it is in reality, is mistaken for something else.

§ 2. Non-observation may either take place by overlooking instances, or by overlooking some of the circumstances of a given instance. If we were to conclude that a fortune-teller was a true prophet, from not adverting to the cases in which his predictions had been falsified by the event, this would be non-observation of instances; but if we overlooked or remained ignorant of the fact that in cases where the predictions had been fulfilled, he had been in collusion with some one who had given him the information on which they were grounded, this would be non-observation of circumstances.

The former case, in so far as the act of induction from insufficient evidence is concerned, does not fall under this second class of Fallacies, but under the third, Fallacies of Generalization. In every such case, however, there are two defects or errors instead of one; there is the error of treating the insufficient evidence as if it were sufficient, which is a Fallacy of the third class; and there is the insufficiency itself; the not having better evidence; which, when such evidence, or, in other words, when other instances, were to be had, is Non-observation; and the erroneous

inference, so far as it is to be attributed to this cause, is a Fallacy of the second class.

It belongs not to our purpose to treat of non-observation as arising from casual inattention, from general slovenliness of mental habits, want of due practice in the use of the observing faculties, or insufficient interest in the subject. The question pertinent to logic is — Granting the want of complete competency in the observer, on what point is that insufficiency on his part likely to lead him wrong? or rather, what sorts of instances, or of circumstances in any given instance, are most likely to escape the notice of observers generally; of mankind at large.

§ 3. First, then, it is evident that when the instances on one side of a question are more likely to be remembered and recorded than those on the other; especially if there be any strong motive to preserve the memory of the first, but not of the latter; these last are likely to be overlooked, and escape the observation of the mass of mankind. This is the recognized explanation of the credit given, in spite of reason and evidence, to many classes of impostors; to quack-doctors, and fortune-tellers in all ages; to the “cunning man” of modern times, and the oracles of old. Few have considered the extent to which this fallacy operates in practice, even in the teeth of the most palpable negative evidence. A striking example of it is the faith which the uneducated portion of the agricultural classes, in this and other countries, continue to repose in the prophecies as to weather supplied by almanac-makers; though every season affords to them numerous cases of completely erroneous prediction; but as every season also furnishes some cases in which the prediction is fulfilled, this is enough to keep up the credit of the prophet, with people who do not reflect on the number of instances requisite for what we have called, in our inductive terminology, the Elimination of Chance; since a certain number of casual coincidences not only may but will happen, between any two unconnected events.

Coleridge, in one of the essays in the *Friend*, has illustrated the matter we are now considering, in discussing the origin of a proverb, “which, differently worded, is to be found in all the languages of Europe,” viz., “Fortune favors fools.” He ascribes it partly to the “tendency to exaggerate all effects that seem disproportionate to their visible cause, and all

circumstances that are in any way strongly contrasted with our notions of the persons under them.” Omitting some explanations which would refer the error to mal-observation, or to the other species of non-observation (that of circumstances), I take up the quotation further on. “Unforeseen coincidences may have greatly helped a man, yet if they have done for him only what possibly from his own abilities he might have effected for himself, his good luck will excite less attention, and the instances be less remembered. That clever men should attain their objects seems natural, and we neglect the circumstances that perhaps produced that success of themselves without the intervention of skill or foresight; but we dwell on the fact and remember it, as something strange, when the same happens to a weak or ignorant man. So too, though the latter should fail in his undertakings from concurrences that might have happened to the wisest man, yet his failure being no more than might have been expected and accounted for from his folly, it lays no hold on our attention, but fleets away among the other undistinguished waves in which the stream of ordinary life murmurs by us, and is forgotten. Had it been as true as it was notoriously false, that those all-embracing discoveries, which have shed a dawn of *science* on the *art* of chemistry, and give no obscure promise of some one great constitutive law, in the light of which dwell dominion and the power of prophecy; if these discoveries, instead of having been, as they really were, preconcerted by meditation, and evolved out of his own intellect, had occurred by a set of lucky *accidents* to the illustrious father and founder of philosophic alchemy; if they had presented themselves to Professor Davy exclusively in consequence of his *luck* in possessing a particular galvanic battery; if this battery, as far as Davy was concerned, had itself been an *accident*, and not (as in point of fact it was) desired and obtained by him for the purpose of insuring the testimony of experience to his principles, and in order to bind down material nature under the inquisition of reason, and force from her, as by torture, unequivocal answers to *prepared* and *preconceived* questions — yet still they would not have been talked of or described as instances of *luck*, but as the natural results of his admitted genius and known skill. But should an accident have disclosed similar discoveries to a mechanic at Birmingham or Sheffield, and if the man should grow rich in consequence, and partly by the envy of his neighbors and partly with good reason, be considered by

them as a man *below par* in the general powers of his understanding; then, 'Oh, what a lucky fellow! Well, Fortune *does* favor fools — that's for certain! It is always so!' And forthwith the exclaimer relates half a dozen similar instances. Thus accumulating the one sort of facts and never collecting the other, we do, as poets in their diction, and quacks of all denominations do in their reasoning, put a part for the whole."

This passage very happily sets forth the manner in which, under the loose mode of induction which proceeds *per enumerationem simplicem*, not seeking for instances of such a kind as to be decisive of the question, but generalizing from any which occur, or rather which are remembered, opinions grow up with the apparent sanction of experience, which have no foundation in the laws of nature at all. "Itaque recte respondit ille" (we may say with Bacon<sup>247</sup>), "qui cum suspensa tabula in templo ei monstraretur eorum, qui vota solverant, quod naufragii periculo elapsi sint, atque interrogando premeretur, anne tum quidem Deorum numen agnosceret, quæsivit denuo, *At ubi sunt illi depicti qui post vota nuncupata perierunt?* Eadem ratio est fere omnis superstitionis, ut in Astrologicis, in Somniis, Ominibus, Nemesibus, et hujusmodi; in quibus, homines delectati hujusmodi vanitatibus, advertunt eventus, ubi implentur; ast ubi fallunt, licet multo frequentius, tamen negligunt, et prætereunt." And he proceeds to say that, independently of the love of the marvelous, or any other bias in the inclinations, there is a natural tendency in the intellect itself to this kind of fallacy; since the mind is more moved by affirmative instances, though negative ones are of most use in philosophy: "Is tamen humano intellectui error est proprius et perpetuus, ut magis moveatur et excitetur Affirmativis quam Negativis; cum rite et ordine æquum se utrique præbere debeat; quin contra, in omni Axiomate vero constituendo, major vis est instantiæ negativæ."

But the greatest of all causes of non-observation is a preconceived opinion. This it is which, in all ages, has made the whole race of mankind, and every separate section of it, for the most part unobservant of all facts, however abundant, even when passing under their own eyes, which are contradictory to any first appearance, or any received tenet. It is worth while to recall occasionally to the oblivious memory of mankind some of the striking instances in which opinions that the simplest experiment



would have shown to be erroneous, continued to be entertained because nobody ever thought of trying that experiment. One of the most remarkable of these was exhibited in the Copernican controversy. The opponents of Copernicus argued that the earth did not move, because if it did, a stone let fall from the top of a high tower would not reach the ground at the foot of the tower, but at a little distance from it, in a contrary direction to the earth's course; in the same manner (said they) as, if a ball is let drop from the mast-head while the ship is in full sail, it does not fall exactly at the foot of the mast, but nearer to the stern of the vessel. The Copernicans would have silenced these objectors at once if they had *tried* dropping a ball from the mast-head, since they would have found that it does fall exactly at the foot, as the theory requires; but no; they admitted the spurious fact, and struggled vainly to make out a difference between the two cases. "The ball was no *part* of the ship — and the motion forward was not *natural*, either to the ship or to the ball. The stone, on the other hand, let fall from the top of the tower, was a *part* of the earth; and therefore, the diurnal and annular revolutions which were *natural* to the earth, were also *natural* to the stone; the stone would, therefore, retain the same motion with the tower, and strike the ground precisely at the bottom of it."<sup>248</sup>

Other examples, scarcely less striking, are recorded by Dr. Whewell,<sup>249</sup> where imaginary laws of nature have continued to be received as real, merely because no person had steadily looked at facts which almost every one had the opportunity of observing. "A vague and loose mode of looking at facts very easily observable, left men for a long time under the belief that a body ten times as heavy as another falls ten times as fast; that objects immersed in water are always magnified, without regard to the form of the surface; that the magnet exerts an irresistible force; that crystal is always found associated with ice; and the like. These and many others are examples how blind and careless man can be even in observation of the plainest and commonest appearances; and they show us that the mere faculties of perception, although constantly exercised upon innumerable objects, may long fail in leading to any exact knowledge."

If even on physical facts, and these of the most obvious character, the observing faculties of mankind can be to this degree the passive slaves of

their preconceived impressions, we need not be surprised that this should be so lamentably true as all experience attests it to be, on things more nearly connected with their stronger feelings — on moral, social, and religious subjects. The information which an ordinary traveler brings back from a foreign country, as the result of the evidence of his senses, is almost always such as exactly confirms the opinions with which he set out. He has had eyes and ears for such things only as he expected to see. Men read the sacred books of their religion, and pass unobserved therein multitudes of things utterly irreconcilable with even their own notions of moral excellence. With the same authorities before them, different historians, alike innocent of intentional misrepresentation, see only what is favorable to Protestants or Catholics, royalists or republicans, Charles I. or Cromwell; while others, having set out with the preconception that extremes must be in the wrong, are incapable of seeing truth and justice when these are wholly on one side.

The influence of a preconceived theory is well exemplified in the superstitions of barbarians respecting the virtues of medicaments and charms. The negroes, among whom coral, as of old among ourselves, is worn as an amulet, affirm, according to Dr. Paris,<sup>250</sup> that its color “is always affected by the state of health of the wearer, it becoming paler in disease.” On a matter open to universal observation, a general proposition which has not the smallest vestige of truth is received as a result of experience; the preconceived opinion preventing, it would seem, any observation whatever on the subject.

§ 4. For illustration of the first species of non-observation, that of Instances, what has now been stated may suffice. But there may also be non-observation of some material circumstances, in instances which have not been altogether overlooked — nay, which may be the very instances on which the whole superstructure of a theory has been founded. As, in the cases hitherto examined, a general proposition was too rashly adopted, on the evidence of particulars, true indeed, but insufficient to support it; so in the cases to which we now turn, the particulars themselves have been imperfectly observed, and the singular propositions on which the generalization is grounded, or some at least of those singular propositions, are false.

Such, for instance, was one of the mistakes committed in the celebrated phlogistic theory; a doctrine which accounted for combustion by the extrication of a substance called phlogiston, supposed to be contained in all combustible matter. The hypothesis accorded tolerably well with superficial appearances; the ascent of flame naturally suggests the escape of a substance; and the visible residuum of ashes, in bulk and weight, generally falls extremely short of the combustible material. The error was, non-observation of an important portion of the actual residue, namely, the gaseous products of combustion. When these were at last noticed and brought into account, it appeared to be a universal law, that all substances gain instead of losing weight by undergoing combustion; and after the usual attempt to accommodate the old theory to the new fact by means of an arbitrary hypothesis (that phlogiston had the quality of positive levity instead of gravity), chemists were conducted to the true explanation, namely, that instead of a substance separated, there was, on the contrary, a substance absorbed.

Many of the absurd practices which have been deemed to possess medicinal efficacy, have been indebted for their reputation to non-observance of some accompanying circumstance which was the real agent in the cures ascribed to them. Thus, of the sympathetic powder of Sir Kenelm Digby: "Whenever any wound had been inflicted, this powder was applied to the weapon that had inflicted it, which was, moreover, covered with ointment, and dressed two or three times a day. The wound itself, in the mean time, was directed to be brought together, and carefully bound up with clean linen rags, but, *above all, to be let alone* for seven days, at the end of which period the bandages were removed, when the wound was generally found perfectly united. The triumph of the cure was decreed to the mysterious agency of the sympathetic powder which had been so assiduously applied to the weapon, whereas it is hardly necessary to observe that the promptness of the cure depended on the total exclusion of air from the wound, and upon the sanative operations of nature not having received any disturbance from the officious interference of art. The result, beyond all doubt, furnished the first hint which led surgeons to the improved practice of healing wounds by what is technically called the *first intention*."<sup>251</sup> "In all records," adds Dr. Paris, of "extraordinary cures performed by mysterious agents, there is a great desire to conceal the

remedies and other curative means which were simultaneously administered with them; thus Oribasius commends in high terms a necklace of Pæony root for the cure of epilepsy; but we learn that he always took care to accompany its use with copious evacuations, although he assigns to them no share of credit in the cure. In later times we have a good specimen of this species of deception, presented to us in a work on scrofula by Mr. Morley, written, as we are informed, for the sole purpose of restoring the much-injured character and use of the Vervain; in which the author directs the root of this plant to be tied with a yard of white satin ribbon around the neck, where it is to remain until the patient is cured; but mark — during this interval he calls to his aid the most active medicines in the materia medica.”<sup>252</sup>  
.....

In other cases, the cures really produced by rest, regimen, and amusement have been ascribed to the medicinal, or occasionally to the supernatural, means which were put in requisition. “The celebrated John Wesley, while he commemorates the triumph of sulphur and supplication over his bodily infirmity, forgets to appreciate the resuscitating influence of four months’ repose from his apostolic labors; and such is the disposition of the human mind to place confidence in the operation of mysterious agents, that we find him more disposed to attribute his cure to a brown paper plaster of egg and brimstone, than to Dr. Fothergill’s salutary prescription of country air, rest, asses’ milk, and horse exercise.”<sup>253</sup>  
.....

In the following example, the circumstance overlooked was of a somewhat different character. “When the yellow fever raged in America, the practitioners trusted exclusively to the copious use of mercury; at first this plan was deemed so universally efficacious, that, in the enthusiasm of the moment, it was triumphantly proclaimed that death never took place after the mercury had evinced its effect upon the system: all this was very true, but it furnished no proof of the efficacy of that metal, since the disease in its aggravated form was so rapid in its career, that it swept away its victims long before the system could be brought under mercurial influence, while in its milder shape it passed off equally well without any assistance from art.”<sup>254</sup>  
.....

In these examples the circumstance overlooked was cognizable by the senses. In other cases, it is one the knowledge of which could only be arrived at by reasoning; but the fallacy may still be classed under the head to which, for want of a more appropriate name, we have given the appellation Fallacies of Non-observation. It is not the nature of the faculties which ought to have been employed, but the non-employment of them, which constitutes this Natural Order of Fallacies. Wherever the error is negative, not positive; wherever it consists especially in *overlooking*, in being ignorant or unmindful of some fact which, if known and attended to, would have made a difference in the conclusion arrived at; the error is properly placed in the Class which we are considering. In this Class, there is not, as in all other fallacies there is, a positive misestimate of evidence actually had. The conclusion would be just, if the portion which is seen of the case were the whole of it; but there is another portion overlooked, which vitiates the result.

For instance, there is a remarkable doctrine which has occasionally found a vent in the public speeches of unwise legislators, but which only in one instance that I am aware of has received the sanction of a philosophical writer, namely, M. Cousin, who in his preface to the *Gorgias* of Plato, contending that punishment must have some other and higher justification than the prevention of crime, makes use of this argument — that if punishment were only for the sake of example, it would be indifferent whether we punished the innocent or the guilty, since the punishment, considered as an example, is equally efficacious in either case. Now we must, in order to go along with this reasoning, suppose, that the person who feels himself under temptation, observing somebody punished, concludes himself to be in danger of being punished likewise, and is terrified accordingly. But it is forgotten that if the person punished is supposed to be innocent, or even if there be any doubt of his guilt, the spectator will reflect that his own danger, whatever it may be, is not contingent on his guiltiness, but threatens him equally if he remains innocent, and how, therefore, is he deterred from guilt by the apprehension of such punishment? M. Cousin supposes that people will be dissuaded from guilt by whatever renders the condition of the guilty more perilous, forgetting that the condition of the innocent (also one of the elements in the calculation) is, in the case supposed, made perilous in

precisely an equal degree. This is a fallacy of overlooking; or of non-observation, within the intent of our classification.

Fallacies of this description are the great stumbling-block to correct thinking in political economy. The economical workings of society afford numerous cases in which the effects of a cause consist of two sets of phenomena: the one immediate, concentrated, obvious to all eyes, and passing, in common apprehension, for the whole effect; the other widely diffused, or lying deeper under the surface, and which is exactly contrary to the former. Take, for instance, the common notion so plausible at the first glance, of the encouragement given to industry by lavish expenditure. A, who spends his whole income, and even his capital, in expensive living, is supposed to give great employment to labor. B, who lives on a small portion, and invests the remainder in the funds, is thought to give little or no employment. For every body sees the gains which are made by A's tradesmen, servants, and others, while his money is spending. B's savings, on the contrary, pass into the hands of the person whose stock he purchased, who with it pays a debt he owed to some banker, who lends it again to some merchant or manufacturer; and the capital being laid out in hiring spinners and weavers, or carriers and the crews of merchant vessels, not only gives immediate employment to at least as much industry as A employs during the whole of his career, but coming back with increase by the sale of the goods which have been manufactured or imported, forms a fund for the employment of the same and perhaps a greater quantity of labor in perpetuity. But the observer does not see, and therefore does not consider, what becomes of B's money; he does see what is done with A's; he observes the amount of industry which A's profusion feeds; he observes not the far greater quantity which it prevents from being fed; and thence the prejudice, universal to the time of Adam Smith, that prodigality encourages industry, and parsimony is a discouragement to it.

The common argument against free trade was a fallacy of the same nature. The purchaser of British silk encourages British industry; the purchaser of Lyons silk encourages only French; the former conduct is patriotic, the latter ought to be prevented by law. The circumstance is overlooked, that the purchaser of any foreign commodity necessarily causes, directly or indirectly, the export of an equivalent value of some

article of home production (beyond what would otherwise be exported), either to the same foreign country or to some other; which fact, though from the complication of the circumstances it can not always be verified by specific observation, no observation can possibly be brought to contradict, while the evidence of reasoning on which it rests is irrefragable. The fallacy is, therefore, the same as in the preceding case, that of seeing a part only of the phenomena, and imagining that part to be the whole; and may be ranked among Fallacies of Non-observation.

§ 5. To complete the examination of the second of our five classes, we have now to speak of Mal-observation; in which the error does not lie in the fact that something is unseen, but that something seen is seen wrong.

Perception being infallible evidence of whatever is really perceived, the error now under consideration can be committed no otherwise than by mistaking for conception what is, in fact, inference. We have formerly shown how intimately the two are blended in almost every thing which is called observation, and still more in every Description.<sup>255</sup> What is actually on any occasion perceived by our senses being so minute in amount, and generally so unimportant a portion of the state of facts which we wish to ascertain or to communicate; it would be absurd to say that either in our observations, or in conveying their result to others, we ought not to mingle inference with fact; all that can be said is, that when we do so we ought to be aware of what we are doing, and to know what part of the assertion rests on consciousness, and is therefore indisputable, what part on inference, and is therefore questionable.

One of the most celebrated examples of a universal error produced by mistaking an inference for the direct evidence of the senses, was the resistance made, on the ground of common sense, to the Copernican system. People fancied they *saw* the sun rise and set, the stars revolve in circles round the pole. We now know that they saw no such thing; what they really saw was a set of appearances, equally reconcilable with the theory they held and with a totally different one. It seems strange that such an instance as this of the testimony of the senses pleaded with the most entire conviction in favor of something which was a mere inference of the judgment, and, as it turned out, a false inference, should not have opened the eyes of the bigots of common sense, and inspired them with a more

modest distrust of the competency of mere ignorance to judge the conclusions of cultivated thought.

In proportion to any person's deficiency of knowledge and mental cultivation is, generally, his inability to discriminate between his inferences and the perceptions on which they were grounded. Many a marvelous tale, many a scandalous anecdote, owes its origin to this incapacity. The narrator relates, not what he saw or heard, but the impression which he derived from what he saw or heard, and of which perhaps the greater part consisted of inference, though the whole is related, not as inference but as matter of fact. The difficulty of inducing witnesses to restrain within any moderate limits the intermixture of their inferences with the narrative of their perceptions, is well known to experienced cross-examiners; and still more is this the case when ignorant persons attempt to describe any natural phenomenon. "The simplest narrative," says Dugald Stewart,<sup>256</sup> "of the most illiterate observer involves more or less of hypothesis; nay, in general, it will be found that, in proportion to his ignorance, the greater is the number of conjectural principles involved in his statements. A village apothecary (and, if possible, in a still greater degree, an experienced nurse) is seldom able to describe the plainest case, without employing a phraseology of which every word is a theory: whereas a simple and genuine specification of the phenomena which mark a particular disease; a specification unsophisticated by fancy, or by preconceived opinions, may be regarded as unequivocal evidence of a mind trained by long and successful study to the most difficult of all arts, that of the faithful *interpretation* of nature."

The universality of the confusion between perceptions and the inferences drawn from them, and the rarity of the power to discriminate the one from the other, ceases to surprise us when we consider that in the far greater number of instances the actual perceptions of our senses are of no importance or interest to us except as marks from which we infer something beyond them. It is not the color and superficial extension perceived by the eye that are important to us, but the object, of which those visible appearances testify the presence; and where the sensation itself is indifferent, as it generally is, we have no motive to attend particularly to it, but acquire a habit of passing it over without distinct



consciousness, and going on at once to the inference. So that to know what the sensation actually was, is a study in itself, to which painters, for example, have to train themselves by special and long-continued discipline and application. In things farther removed from the dominion of the outward senses, no one who has not great experience in psychological analysis is competent to break this intense association; and when such analytic habits do not exist in the requisite degree, it is hardly possible to mention any of the habitual judgments of mankind on subjects of a high degree of abstraction, from the being of a God and the immortality of the soul down to the multiplication table, which are not, or have not been, considered as matter of direct intuition. So strong is the tendency to ascribe an intuitive character to judgments which are mere inferences, and often false ones. No one can doubt that many a deluded visionary has actually believed that he was directly inspired from Heaven, and that the Almighty had conversed with him face to face; which yet was only, on his part, a conclusion drawn from appearances to his senses, or feelings in his internal consciousness, which afforded no warrant for any such belief. A caution, therefore, against this class of errors, is not only needful but indispensable; though to determine whether, on any of the great questions of metaphysics, such errors are actually committed, belongs not to this place, but, as I have so often said, to a different science.

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<sup>247</sup> *Nov. Org.*, Aph. 46.

<sup>248</sup> *Playfair's Dissertation*, sect. 4.

<sup>249</sup> *Nov. Org. Renov.*, p. 61.

<sup>250</sup> *Pharmacologia*, p. 21.

<sup>251</sup> *Pharmacologia*, pp. 23, 24.

<sup>252</sup> *Ibid.*, p. 28.

<sup>253</sup> *Ibid.*, p. 62.

<sup>254</sup> *Ibid.*, pp. 61, 62.

<sup>255</sup> *Supra*, p. 450.

<sup>256</sup> *Elements of the Philosophy of the Mind*, vol. ii., chap. 4, sect. 5.



# CHAPTER V.

## FALLACIES OF GENERALIZATION.

§ 1. The class of Fallacies of which we are now to speak, is the most extensive of all; embracing a greater number and variety of unfounded inferences than any of the other classes, and which it is even more difficult to reduce to sub-classes or species. If the attempt made in the preceding books to define the principles of well-grounded generalization has been successful, all generalizations not conformable to those principles might, in a certain sense, be brought under the present class; when, however, the rules are known and kept in view, but a casual lapse committed in the application of them, this is a blunder, not a fallacy. To entitle an error of generalization to the latter epithet, it must be committed on principle; there must lie in it some erroneous general conception of the inductive process; the legitimate mode of drawing conclusions from observation and experiment must be fundamentally misconceived.

Without attempting any thing so chimerical as an exhaustive classification of all the misconceptions which can exist on the subject, let us content ourselves with noting, among the cautions which might be suggested, a few of the most useful and needful.

§ 2. In the first place, there are certain kinds of generalization which, if the principles already laid down be correct, *must* be groundless; experience can not afford the necessary conditions for establishing them by a correct induction. Such, for instance, are all inferences from the order of nature existing on the earth, or in the solar system, to that which may exist in remote parts of the universe; where the phenomena, for aught we know, may be entirely different, or may succeed one another according to different laws, or even according to no fixed law at all. Such, again, in matters dependent on causation, are all universal negatives, all propositions that assert impossibility. The non-existence of any given phenomenon, however uniformly experience may as yet have testified to the fact, proves at most that no cause, adequate to its production, has yet manifested itself; but that no such causes exist in nature can only be

inferred if we are so foolish as to suppose that we know all the forces in nature. The supposition would at least be premature while our acquaintance with some even of those which we do know is so extremely recent. And however much our knowledge of nature may hereafter be extended, it is not easy to see how that knowledge could ever be complete, or how, if it were, we could ever be assured of its being so.

The only laws of nature which afford sufficient warrant for attributing impossibility (even with reference to the existing order of nature, and to our own region of the universe) are, first, those of number and extension, which are paramount to the laws of the succession of phenomena, and not exposed to the agency of counteracting causes; and, secondly, the universal law of causality itself. That no valuation in any effect or consequent will take place while the whole of the antecedents remain the same, may be affirmed with full assurance. But, that the addition of some new antecedent might not entirely alter and subvert the accustomed consequent, or that antecedents competent to do this do not exist in nature, we are in no case empowered positively to conclude.

§ 3. It is next to be remarked that all generalizations which profess, like the theories of Thales, Democritus, and others of the early Greek speculators, to resolve all things into some one element, or like many modern theories, to resolve phenomena radically different into the same, are necessarily false. By radically different phenomena I mean impressions on our senses which differ in quality, and not merely in degree. On this subject what appeared necessary was said in the chapter on the Limits to the Explanation of Laws of Nature; but as the fallacy is even in our own times a common one, I shall touch on it somewhat further in this place.

When we say that the force which retains the planets in their orbits is resolved into gravity, or that the force which makes substances combine chemically is resolved into electricity, we assert in the one case what is, and in the other case what might, and probably will ultimately, be a legitimate result of induction. In both these cases motion is resolved into motion. The assertion is, that a case of motion, which was supposed to be special, and to follow a distinct law of its own, conforms to and is included in the general law which regulates another class of motions. But, from these and similar generalizations, countenance and currency have been

given to attempts to resolve, not motion into motion, but heat into motion, light into motion, sensation itself into motion; states of consciousness into states of the nervous system, as in the ruder forms of the materialist philosophy; vital phenomena into mechanical or chemical processes, as in some schools of physiology.

Now I am far from pretending that it may not be capable of proof, or that it is not an important addition to our knowledge if proved, that certain motions in the particles of bodies are the *conditions* of the production of heat or light; that certain assignable physical modifications of the nerves may be the conditions not only of our sensations or emotions, but even of our thoughts; that certain mechanical and chemical conditions may, in the order of nature, be sufficient to determine to action the physiological laws of life. All I insist upon, in common with every thinker who entertains any clear idea of the logic of science, is, that it shall not be supposed that by proving these things one step would be made toward a real explanation of heat, light, or sensation; or that the generic peculiarity of those phenomena can be in the least degree evaded by any such discoveries, however well established. Let it be shown, for instance, that the most complex series of physical causes and effects succeed one another in the eye and in the brain to produce a sensation of color; rays falling on the eye, refracted, converging, crossing one another, making an inverted image on the retina, and after this a motion — let it be a vibration, or a rush of nervous fluid, or whatever else you are pleased to suppose, along the optic nerve — a propagation of this motion to the brain itself, and as many more different motions as you choose; still, at the end of these motions, there is something which is not motion, there is a feeling or sensation of color. Whatever number of motions we may be able to interpolate, and whether they be real or imaginary, we shall still find, at the end of the series, a motion antecedent and a color consequent. The mode in which any one of the motions produces the next, may possibly be susceptible of explanation by some general law of motion: but the mode in which the last motion produces the sensation of color, can not be explained by any law of motion; it is the law of color: which is, and must always remain, a peculiar thing. Where our consciousness recognizes between two phenomena an inherent distinction; where we are sensible of a difference which is not merely of degree, and feel that no adding one of the phenomena to itself

would produce the other; any theory which attempts to bring either under the laws of the other must be false; though a theory which merely treats the one as a cause or condition of the other, may possibly be true.

§ 4. Among the remaining forms of erroneous generalization, several of those most worthy of and most requiring notice have fallen under our examination in former places, where, in investigating the rules of correct induction, we have had occasion to advert to the distinction between it and some common mode of the incorrect. In this number is what I have formerly called the natural Induction of uninquiring minds, the induction of the ancients, which proceeds *per enumerationem simplicem*: “This, that, and the other A are B, I can not think of any A which is not B, therefore every A is B.” As a final condemnation of this rude and slovenly mode of generalization, I will quote Bacon’s emphatic denunciation of it; the most important part, as I have more than once ventured to assert, of the permanent service rendered by him to philosophy. “Inductio quæ procedit per enumerationem simplicem, res puerilis est, et precario concludit” (concludes only *by your leave*, or provisionally), “et periculo exponitur ab instantiâ contradictoriâ, et plerumque secundum pauciora quam par est, et *ex his tantummodo quæ præsto sunt pronunciat*. At Inductio quæ ad inventionem et demonstrationem Scientiarum et Artium erit utilis, Naturam separare debet, per rejectiones et exclusiones debitas; ac deinde post negativas tot quot sufficiunt, super affirmativas concludere.”

I have already said that the mode of Simple Enumeration is still the common and received method of Induction in whatever relates to man and society. Of this a very few instances, more by way of memento than of instruction, may suffice. What, for example, is to be thought of all the “common-sense” maxims for which the following may serve as the universal formula, “Whatsoever has never been, will never be.” As for example: negroes have never been as civilized as whites sometimes are, therefore it is impossible they should be so. Women, as a class, are supposed not to have hitherto been equal in intellect to men, therefore they are necessarily inferior. Society can not prosper without this or the other institution; *e.g.*, in Aristotle’s time, without slavery; in later times, without an established priesthood, without artificial distinctions of rank,

etc. One poor person in a thousand, educated, while the nine hundred and ninety-nine remain uneducated, has usually aimed at raising himself out of his class, therefore education makes people dissatisfied with the condition of a laborer. Bookish men, taken from speculative pursuits and set to work on something they know nothing about, have generally been found or thought to do it ill; therefore philosophers are unfit for business, etc., etc. All these are inductions by simple enumeration. Reasons having some reference to the canons of scientific investigation have been attempted to be given, however unsuccessfully, for some of these propositions; but to the multitude of those who parrot them, the *enumeratio simplex, ex his tantummodo quæ præsto sunt pronuncians*, is the sole evidence. Their fallacy consists in this, that they are inductions without elimination: there has been no real comparison of instances, nor even ascertainment of the material facts in any given instance. There is also the further error, of forgetting that such generalizations, even if well established, could not be ultimate truths, but must be results of laws much more elementary; and therefore, until deduced from such, could at most be admitted as empirical laws, holding good within the limits of space and time by which the particular observations that suggested the generalization were bounded.

This error, of placing mere empirical laws, and laws in which there is no direct evidence of causation, on the same footing of certainty as laws of cause and effect, an error which is at the root of perhaps the greater number of bad inductions, is exemplified only in its grossest form in the kind of generalizations to which we have now referred. These, indeed, do not possess even the degree of evidence which pertains to a well-ascertained empirical law; but admit of refutation on the empirical ground itself, without ascending to casual laws. A little reflection, indeed, will show that mere negations can only form the ground of the lowest and least valuable kind of empirical law. A phenomenon has never been noticed; this only proves that the conditions of that phenomenon have not yet occurred in experience, but does not prove that they may not occur hereafter. There is a better kind of empirical law than this, namely, when a phenomenon which is observed presents within the limits of observation a series of gradations, in which a regularity, or something like a mathematical law, is perceptible; from which, therefore, something may be

rationaly presumed as to those terms of the series which are beyond the limits of observation. But in negation there are no gradations, and no series; the generalizations, therefore, which deny the possibility of any given condition of man and society merely because it has never yet been witnessed, can not possess this higher degree of validity even as empirical laws. What is more, the minuter examination which that higher order of empirical laws presupposes, being applied to the subject-matter of these, not only does not confirm but actually refutes them. For in reality the past history of Man and Society, instead of exhibiting them as immovable, unchangeable, incapable of ever presenting new phenomena, shows them, on the contrary, to be, in many most important particulars, not only changeable, but actually undergoing a progressive change. The empirical law, therefore, best expressive, in most cases, of the genuine result of observation, would be, not that such and such a phenomenon will continue unchanged, but that it will continue to change in some particular manner.

Accordingly, while almost all generalizations relating to Man and Society, antecedent to the last fifty or sixty years, have erred in the gross way which we have attempted to characterize, namely, by implicitly assuming that nature and society will forever revolve in the same orbit, and exhibit essentially the same phenomena; which is also the vulgar error of the ostentatiously practical, the votaries of so-called common sense, in our day, especially in Great Britain; the more thinking minds of the present age, having applied a more minute analysis to the past records of our race, have for the most part adopted a contrary opinion, that the human species is in a state of necessary progression, and that from the terms of the series which are past we may infer positively those which are yet to come. Of this doctrine, considered as a philosophical tenet, we shall have occasion to speak more fully in the concluding Book. If not, in all its forms, free from error, it is at least free from the gross and error which we previously exemplified. But, in all except the most eminently philosophical minds, it is infected with precisely the same *kind* of fallacy as that is. For we must remember that even this other and better generalization, the progressive change in the condition of the human species, is, after all, but an empirical law; to which, too, it is not difficult to point out exceedingly large exceptions; and even if these could be got rid of, either by disputing the facts or by explaining and limiting the theory, the general objection



remains valid against the supposed law, as applicable to any other than what, in our third book, were termed Adjacent Cases. For not only is it no ultimate, but not even a causal law. Changes do indeed take place in human affairs, but every one of those changes depends on determinate causes; the “progressiveness of the species” is not a cause, but a summary expression for the general result of all the causes. So soon as, by a quite different sort of induction, it shall be ascertained what causes have produced these successive changes, from the beginning of history, in so far as they have really taken place, and by what causes of a contrary tendency they have been occasionally checked or entirely counteracted, we may then be prepared to predict the future with reasonable foresight; we may be in possession of the real *law* of the future; and may be able to declare on what circumstances the continuance of the same onward movement will eventually depend. But this it is the error of many of the more advanced thinkers, in the present age, to overlook; and to imagine that the empirical law collected from a mere comparison of the condition of our species at different past times, is a real law, is *the* law of its changes, not only past but also to come. The truth is, that the causes on which the phenomena of the moral world depend, are in every age, and almost in every country, combined in some different proportion; so that it is scarcely to be expected that the general result of them all should conform very closely, in its details at least, to any uniformly progressive series. And all generalizations which affirm that mankind have a tendency to grow better or worse, richer or poorer, more cultivated or more barbarous, that population increases faster than subsistence, or subsistence than population, that inequality of fortune has a tendency to increase or to break down, and the like, propositions of considerable value as empirical laws within certain (but generally rather narrow) limits, are in reality true or false according to times and circumstances.

What we have said of empirical generalizations from times past to times still to come, holds equally true of similar generalizations from present times to times past; when persons whose acquaintance with moral and social facts is confined to their own age, take the men and the things of that age for the type of men and things in general, and apply without scruple to the interpretation of the events of history, the empirical laws which represent sufficiently for daily guidance the common phenomena of

human nature at that time and in that particular state of society. If examples are wanted, almost every historical work, until a very recent period, abounded in them. The same may be said of those who generalize empirically from the people of their own country to the people of other countries, as if human beings felt, judged, and acted everywhere in the same manner.

§ 5. In the foregoing instances, the distinction is confounded between empirical laws, which express merely the customary order of the succession of effects, and the laws of causation on which the effects depend. There may, however, be incorrect generalization when this mistake is not committed; when the investigation takes its proper direction, that of causes, and the result erroneously obtained purports to be a really causal law.

The most vulgar form of this fallacy is that which is commonly called *post hoc, ergo propter hoc*, or, *cum hoc, ergo propter hoc*. As when it was inferred that England owed her industrial pre-eminence to her restrictions on commerce; as when the old school of financiers, and some speculative writers, maintained that the national debt was one of the causes of national prosperity; as when the excellence of the Church, of the Houses of Lords and Commons, of the procedure of the law courts, etc., were inferred from the mere fact that the country had prospered under them. In such cases as these, if it can be rendered probable by other evidence that the supposed causes have some tendency to produce the effect ascribed to them, the fact of its having been produced, though only in one instance, is of some value as a verification by specific experience; but in itself it goes scarcely any way at all toward establishing such a tendency, since, admitting the effect, a hundred other antecedents could show an equally strong title of *that* kind to be considered as the cause.

In these examples we see bad generalization *a posteriori*, or empiricism properly so called; causation inferred from casual conjunction, without either due elimination, or any presumption arising from known properties of the supposed agent. But bad generalization *a priori* is fully as common; which is properly called false theory; conclusions drawn, by way of deduction, from properties of some one agent which is known or supposed to be present, all other co-existing agents being overlooked. As

the former is the error of sheer ignorance, so the latter is especially that of semi-instructed minds; and is mainly committed in attempting to explain complicated phenomena by a simpler theory than their nature admits of. As when one school of physicians sought for the universal principle of all disease in “lentor and morbid viscosity of the blood,” and imputing most bodily derangements to mechanical obstructions, thought to cure them by mechanical remedies;<sup>257</sup> while another, the chemical school, “acknowledged no source of disease but the presence of some hostile acid or alkali, or some deranged condition in the chemical composition of the fluid or solid parts,” and conceived, therefore, that “all remedies must act by producing chemical changes in the body.” We find Tournefort busily engaged in testing every vegetable juice, in order to discover in it some traces of an acid or alkaline ingredient, which might confer upon it medicinal activity. The fatal errors into which such an hypothesis was liable to betray the practitioner, received an awful illustration in the history of the memorable fever that raged at Leyden in the year 1699, and which consigned two-thirds of the population of that city to an untimely grave; an event which in a great measure depended upon the Professor Sylvius de la Boe, who having just embraced the chemical doctrines of Van Helmont, assigned the origin of the distemper to a prevailing acid, and declared that its cure could alone [only] be effected by the copious administration of absorbent and testaceous medicines.<sup>258</sup>

These aberrations in medical theory have their exact parallels in politics. All the doctrines which ascribe absolute goodness to particular forms of government, particular social arrangements, and even to particular modes of education, without reference to the state of civilization and the various distinguishing characters of the society for which they are intended, are open to the same objection — that of assuming one class of influencing circumstances to be the paramount rulers of phenomena which depend in an equal or greater degree on many others. But on these considerations it is the less necessary that we should now dwell, as they will occupy our attention more largely in the concluding Book.

§ 6. The last of the modes of erroneous generalization to which I shall advert, is that to which we may give the name of False Analogies. This Fallacy stands distinguished from those already treated of by the

peculiarity that it does not even simulate a complete and conclusive induction, but consists in the misapplication of an argument which is at best only admissible as an inconclusive presumption, where real proof is unattainable.

An argument from analogy, is an inference that what is true in a certain case is true in a case known to be somewhat similar, but not known to be exactly parallel, that is, to be similar in all the material circumstances. An object has the property B: another object is not known to have that property, but resembles the first in a property A, not known to be connected with B; and the conclusion to which the analogy points, is that this object has the property B also. As, for example, that the planets are inhabited, because the earth is so. The planets resemble the earth in describing elliptical orbits round the sun, in being attracted by it and by one another, in being nearly spherical, revolving on their axes, etc.; and, as we have now reason to believe from the revelations of the spectroscope, are composed, in great part at least, of similar materials; but it is not known that any of these properties, or all of them together, are the conditions on which the possession of inhabitants is dependent, or are marks of those conditions. Nevertheless, so long as we do not know what the conditions are, they *may* be connected by some law of nature with those common properties; and to the extent of that possibility the planets are more likely to be inhabited than if they did not resemble the earth at all. This non-assignable and generally small increase of probability, beyond what would otherwise exist, is all the evidence which a conclusion can derive from analogy. For if we have the slightest reason to suppose any real connection between the two properties A and B, the argument is no longer one of analogy. If it had been ascertained (I purposely put an absurd supposition) that there was a connection by causation between the fact of revolving on an axis and the existence of animated beings, or if there were any reasonable ground for even suspecting such a connection, a probability would arise of the existence of inhabitants in the planets, which might be of any degree of strength, up to a complete induction; but we should then infer the fact from the ascertained or presumed law of causation, and not from the analogy of the earth.

The name analogy, however, is sometimes employed by extension to denote those arguments of an inductive character but not amounting to a real induction, which are employed to strengthen the argument drawn from a simple resemblance. Though A, the property common to the two cases, can not be shown to be the cause or effect of B, the analogical reasoner will endeavor to show that there is some less close degree of connection between them; that A is one of a set of conditions from which, when all united, B would result; or is an occasional effect of some cause which has been known also to produce B; and the like. Any of which things, if shown, would render the existence of B by so much more probable, than if there had not been even that amount of known connection between B and A.

Now an error or fallacy of analogy may occur in two ways. Sometimes it consists in employing an argument of either of the above kinds with correctness indeed, but overrating its probative force. This very common aberration is sometimes supposed to be particularly incident to persons distinguished for their imagination; but in reality it is the characteristic intellectual vice of those whose imaginations are barren, either from want of exercise, natural defect, or the narrowness of their range of ideas. To such minds objects present themselves clothed in but few properties; and as, therefore, few analogies between one object and another occur to them, they almost invariably overrate the degree of importance of those few: while one whose fancy takes a wider range, perceives and remembers so many analogies tending to conflicting conclusions, that he is much less likely to lay undue stress on any of them. We always find that those are the greatest slaves to metaphorical language who have but one set of metaphors.

But this is only one of the modes of error in the employment of arguments of analogy. There is another, more properly deserving the name of fallacy; namely, when resemblance in one point is inferred from resemblance in another point, though there is not only no evidence to connect the two circumstances by way of causation, but the evidence tends positively to disconnect them. This is properly the Fallacy of False Analogies.

As a first instance, we may cite that favorite argument in defense of absolute power, drawn from the analogy of paternal government in a family, which government, however much in need of control, is not and can not be controlled by the children themselves, while they remain children. Paternal government, says the argument, works well; therefore, despotic government in a state will work well. I waive, as not pertinent in this place, all that could be said in qualification of the alleged excellence of paternal government. However this might be, the argument from the family to the state would not the less proceed on a false analogy; implying that the beneficial working of parental government depends, in the family, on the only point which it has in common with political despotism, namely, irresponsibility. Whereas it depends, when real, not on that but on two other circumstances of the case, the affection of the parent for the children, and the superiority of the parent in wisdom and experience; neither of which properties can be reckoned on, or are at all likely to exist, between a political despot and his subjects; and when either of these circumstances fails even in the family, and the influence of the irresponsibility is allowed to work uncorrected, the result is any thing but good government. This, therefore, is a false analogy.

Another example is the not uncommon *dictum* that bodies politic have youth, maturity, old age, and death, like bodies natural; that after a certain duration of prosperity, they tend spontaneously to decay. This also is a false analogy, because the decay of the vital powers in an animated body can be distinctly traced to the natural progress of those very changes of structure which, in their earlier stages, constitutes its growth to maturity; while in the body politic the progress of those changes can not, generally speaking, have any effect but the still further continuance of growth: it is the stoppage of that progress, and the commencement of retrogression, that alone would constitute decay. Bodies politic die, but it is of disease, or violent death; they have no old age.

The following sentence from Hooker's *Ecclesiastical Polity* is an instance of a false analogy from physical bodies to what are called bodies politic. "As there could be in natural bodies no motion of any thing unless there were some which moveth all things, and continueth immovable; even so in politic societies there must be some unpunishable, or else no man

shall suffer punishment.” There is a double fallacy here, for not only the analogy, but the premise from which it is drawn, is untenable. The notion that there must be something immovable which moves all other things, is the old scholastic error of a *primum mobile*.

The following instance I quote from Archbishop Whately’s *Rhetoric*: “It would be admitted that a great and permanent diminution in the quantity of some useful commodity, such as corn, or coal, or iron, throughout the world, would be a serious and lasting loss; and again, that if the fields and coal-mines yielded regularly double quantities, with the same labor, we should be so much the richer; hence it might be inferred, that if the quantity of gold and silver in the world were diminished one-half, or were doubled, like results would follow; the utility of these metals, for the purposes of coin, being very great. Now there are many points of resemblance and many of difference, between the precious metals on the one hand, and corn, coal, etc., on the other; but the important circumstance to the supposed argument is, that the *utility* of gold and silver (as coin, which is far the chief) *depends on their value*, which is regulated by their scarcity; or rather, to speak strictly, by the difficulty of obtaining them; whereas, if corn and coal were ten times as abundant (*i.e.*, more easily obtained), a bushel of either would still be as useful as now. But if it were twice as easy to procure gold as it is, a sovereign would be twice as large; if only half as easy, it would be of the size of a half-sovereign, and this (besides the trifling circumstance of the cheapness or dearness of gold ornaments) would be all the difference. The analogy, therefore, fails in the point essential to the argument.”

The same author notices, after Bishop Copleston, the case of False Analogy which consists in inferring from the similarity in many respects between the metropolis of a country and the heart of the animal body, that the increased size of the metropolis is a disease.

Some of the false analogies on which systems of physics were confidently grounded in the time of the Greek philosophers, are such as we now call fanciful, not that the resemblances are not often real, but that it is long since any one has been inclined to draw from them the inferences which were then drawn. Such, for instance, are the curious speculations of the Pythagoreans on the subject of numbers. Finding that the distances of

the planets bore, or seemed to bear, to one another a proportion not varying much from that of the divisions of the monochord, they inferred from it the existence of an inaudible music, that of the spheres; as if the music of a harp had depended solely on the numerical proportions, and not on the material, nor even on the existence of any material, any strings at all. It has been similarly imagined that certain combinations of numbers, which were found to prevail in some natural phenomena, must run through the whole of nature: as that there must be four elements, because there are four possible combinations of hot and cold, wet and dry; that there must be seven planets, because there were seven metals, and even because there were seven days of the week. Kepler himself thought that there could be only six planets, because there were only five regular solids. With these we may class the reasonings, so common in the speculations of the ancients, founded on a supposed *perfection* in nature; meaning by nature the customary order of events as they take place of themselves without human interference. This also is a rude guess at an analogy supposed to pervade all phenomena, however dissimilar. Since what was thought to be perfection appeared to obtain in some phenomena, it was inferred (in opposition to the plainest evidence) to obtain in all. "We always suppose that which is better to take place in nature, if it be possible," says Aristotle; and the vaguest and most heterogeneous qualities being confounded together under the notion of being *better*, there was no limit to the wildness of the inferences. Thus, because the heavenly bodies were "perfect," they must move in circles and uniformly. For "they" (the Pythagoreans) "would not allow," says Geminus,<sup>259</sup> "of any such disorder among divine and eternal things, as that they should sometimes move quicker and sometimes slower, and sometimes stand still; for no one would tolerate such anomaly in the movements even of a man, who was decent and orderly. The occasions of life, however, are often reasons for men going quicker or slower; but in the incorruptible nature of the stars, it is not possible that any cause can be alleged of quickness or slowness." It is seeking an argument of analogy very far, to suppose that the stars must observe the rules of decorum in gait and carriage prescribed for themselves by the long-bearded philosophers satirized by Lucian.

As late as the Copernican controversy it was urged as an argument in favor of the true theory of the solar system, that it placed the fire, the



noblest element, in the centre of the universe. This was a remnant of the notion that the order of nature must be perfect, and that perfection consisted in conformity to rules of precedency in dignity, either real or conventional. Again, reverting to numbers: certain numbers were *perfect*, therefore those numbers must obtain in the great phenomena of nature. Six was a perfect number, that is, equal to the sum of all its factors; an additional reason why there must be exactly six planets. The Pythagoreans, on the other hand, attributed perfection to the number ten; but agreed in thinking that the perfect number must be somehow realized in the heavens; and knowing only of nine heavenly bodies, to make up the enumeration, they asserted “that there was an *antichthon*, or counter-earth, on the other side of the sun, invisible to us.”<sup>260</sup> Even Huygens was persuaded that when the number of the heavenly bodies had reached twelve, it could not admit of any further increase. Creative power could not go beyond that sacred number.

Some curious instances of false analogy are to be found in the arguments of the Stoics to prove the equality of all crimes, and the equal wretchedness of all who had not realized their idea of perfect virtue. Cicero, toward the end of his Fourth Book, *De Finibus*, states some of these as follows: “Ut, inquit, in fidibus plurimis, si nulla earum ita contenta numeris sit, ut concentum servare possit, omnes æque incontentæ sunt; sic peccata, quia discrepant, æque discrepant; paria sunt igitur.” To which Cicero himself aptly answers, “æque contingit omnibus fidibus, ut incontentæ sint; illud non continuo, ut æque incontentæ.” The Stoic resumes: “Ut enim, inquit, gubernator æque peccat, si palearum navem evertit, et si auri; item æque peccat qui parentem, et qui servum, injuriâ verberat;” assuming, that because the magnitude of the interest at stake makes no difference in the mere defect of skill, it can make none in the moral defect: a false analogy. Again, “Quis ignorat, si plures ex alto emergere velint, propius fore eos quidem ad respirandum, qui ad summam jam aquam appropinquant, sed nihilo magis respirare posse, quam eos, qui sunt in profundo? Nihil ergo adjuvat procedere, et progredi in virtute, quominus miserrimus sit, antequam ad eam pervenerit, quoniam in aquâ nihil adjuvat: et quoniam catuli, qui jam despecturi sunt, cæci æque, et ii qui modo nati; Platonem quoque necesse est, quoniam nondum videbat sapientiam, æque cæcum animo, ac Phalarim fuisse.”

Cicero, in his own person, combats these false analogies by other analogies tending to an opposite conclusion. “Ista similia non sunt, Cato. . . . Illa sunt similia; hebes acies est cuiusdam oculorum: corpore alius languescit: hi curatione adhibita levantur in dies: alter valet plus quotidie: alter videt. Hi similes sunt omnibus, qui virtuti student; levantur vitiis, levantur erroribus.”

§ 7. In these and all other arguments drawn from remote analogies, and from metaphors, which are cases of analogy, it is apparent (especially when we consider the extreme facility of raising up contrary analogies and conflicting metaphors) that, so far from the metaphor or analogy proving any thing, the applicability of the metaphor is the very thing to be made out. It has to be shown that in the two cases asserted to be analogous, the same law is really operating; that between the known resemblance and the inferred one there is some connection by means of causation. Cicero and Cato might have bandied opposite analogies forever; it rested with each of them to prove by just induction, or at least to render probable, that the case resembled the one set of analogous cases and not the other, in the circumstances on which the disputed question really hinged. Metaphors, for the most part, therefore, assume the proposition which they are brought to prove: their use is, to aid the apprehension of it; to make clearly and vividly comprehended what it is that the person who employs the metaphor is proposing to make out; and sometimes also, by what media he proposes to do so. For an apt metaphor, though it can not prove, often suggests the proof.

For instance, when D’Alembert (I believe) remarked that in certain governments only two creatures find their way to the highest places, the eagle and the serpent, the metaphor not only conveys with great vividness the assertion intended, but contributes toward substantiating it, by suggesting, in a lively manner, the means by which the two opposite characters thus typified effect their rise. When it is said that a certain person misunderstands another because the lesser of two objects can not comprehend the greater, the application of what is true in the literal sense of the word *comprehend*, to its metaphorical sense, points to the fact which is the ground and justification of the assertion, viz., that one mind can not thoroughly understand another unless it can contain it in itself,

that is, unless it possesses all that is contained in the other. When it is urged as an argument for education, that if the soil is left uncultivated, weeds will spring up, the metaphor, though no proof, but a statement of the thing to be proved, states it in terms which, by suggesting a parallel case, put the mind upon the track of the real proof. For, the reason why weeds grow in an uncultivated soil, is that the seeds of worthless products exist everywhere, and can germinate and grow in almost all circumstances, while the reverse is the case with those which are valuable; and this being equally true of mental products, this mode of conveying an argument, independently of its rhetorical advantages, has a logical value; since it not only suggests the grounds of the conclusion, but points to another case in which those grounds have been found, or at least deemed to be, sufficient.

On the other hand, when Bacon, who is equally conspicuous in the use and abuse of figurative illustration, says that the stream of time has brought down to us only the least valuable part of the writings of the ancients, as a river carries froth and straws floating on its surface, while more weighty objects sink to the bottom; this, even if the assertion illustrated by it were true, would be no good illustration, there being no parity of cause. The levity by which substances float on a stream, and the levity which is synonymous with worthlessness, have nothing in common except the name; and (to show how little value there is in the metaphor) we need only change the word into *buoyancy*, to turn the semblance of argument involved in Bacon's illustration against himself.

A metaphor, then, is not to be considered as an argument, but as an assertion that an argument exists; that a parity subsists between the case from which the metaphor is drawn and that to which it is applied. This parity may exist though the two cases be apparently very remote from one another; the only resemblance existing between them may be a resemblance of relations, an analogy in Ferguson's and Archbishop Whately's sense: as in the preceding instance, in which an illustration from agriculture was applied to mental cultivation.

§ 8. To terminate the subject of Fallacies of Generalization, it remains to be said, that the most fertile source of them is bad classification: bringing together in one group, and under one name, things which have no common properties, or none but such as are too unimportant to allow

general propositions of any considerable value to be made respecting the class. The misleading effect is greatest, when a word which in common use expresses some definite fact, is extended by slight links of connection to cases in which that fact does not exist, but some other or others, only slightly resembling it. Thus Bacon,<sup>261</sup> in speaking of the *Idola* or Fallacies arising from notions *temere et inæqualiter à rebus abstractæ*, exemplifies them by the notion of Humidum or Wet, so familiar in the physics of antiquity and of the Middle Ages. “Invenietur verbum istud, Humidum, nihil aliud quam nota confusa diversarum actionum, quæ nullam constantiam aut reductionem patiuntur. Significat enim, et quod circa aliud corpus facile se circumfundit; et quod in se est indeterminabile, nec consistere potest; et quod facile cedit undique; et quod facile se dividit et dispergit; et quod facile se unit et colligit; et quod facile fluit, et in motu ponitur; et quod alteri corpori facile adhæret, idque madefacit; et quod facile reducitur in liquidum, sive colliquatur, cum antea consisteret. Itaque quum ad hujus nominis prædicationem et impositionem ventum sit; si alia accipias, flamma humida est; si alia accipias, aer humidus non est; si alia, pulvis minutus humidus est; si alia, vitrum humidum est: ut facile appareat, istam notionem ex aquâ tantum, et communibus et vulgaribus liquoribus, absque ullâ debitâ verificatione, temere abstractam esse.”

Bacon himself is not exempt from a similar accusation when inquiring into the nature of heat: where he occasionally proceeds like one who, seeking for the cause of hardness, after examining that quality in iron, flint, and diamond, should expect to find that it is something which can be traced also in hard water, a hard knot, and a hard heart.

The word κίνησις in the Greek philosophy, and the words Generation and Corruption, both then and long afterward, denoted such a multitude of heterogeneous phenomena, that any attempt at philosophizing in which those words were used was almost as necessarily abortive as if the word *hard* had been taken to denote a class including all the things mentioned above. Κίνησις, for instance, which properly signified motion, was taken to denote not only all motion but even all change: ἀλλοίωσις being recognized as one of the modes of κίνησις. The effect was, to connect with every form of ἀλλοίωσις or change, ideas drawn from motion in the proper and literal sense, and which had no real connection with any other kind of κίνησις

than that. Aristotle and Plato labored under a continual embarrassment from this misuse of terms. But if we proceed further in this direction we shall encroach upon the Fallacy of Ambiguity, which belongs to a different class, the last in order of our classification, Fallacies of Confusion.

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<sup>257</sup> "Thus Fourcroy," says Dr. Paris, "explained the operation of mercury by its specific gravity, and the advocates of this doctrine favored the general introduction of the preparations of iron, especially in scirrhus of the spleen or liver, upon the same hypothetical principle; for, say they, whatever is most forcible in removing the obstruction must be the most proper instrument of cure: such is steel, which, besides the attenuating power with which it is furnished, has still a greater force in this case from the gravity of its particles, which, being seven times specifically heavier than any vegetable, acts in proportion with a stronger impulse, and therefore is a more powerful deobstruent. This may be taken as a specimen of the style in which these mechanical physicians reasoned and practiced." — *Pharmacologia*, pp. 38, 39.

<sup>258</sup> *Pharmacologia*, pp. 39, 40.

<sup>259</sup> I quote from Dr. Whewell's *Hist. Ind. Sc.*, 3d ed., i., 129.

<sup>260</sup> *Hist. Ind. Sc.*, i., 52.

<sup>261</sup> *Nov. Org.*, Aph. 60.



# CHAPTER VI.

## FALLACIES OF RATIOCINATION.

§ 1. We have now, in our progress through the classes of Fallacies, arrived at those to which, in the common books of logic, the appellation is in general exclusively appropriated; those which have their seat in the ratiocinative or deductive part of the investigation of truth. Of these fallacies it is the less necessary for us to insist at any length, as they have been most satisfactorily treated in a work familiar to almost all, in this country at least, who feel any interest in these speculations, Archbishop Whately's *Logic*. Against the more obvious forms of this class of fallacies, the rules of the syllogism are a complete protection. Not (as we have so often said) that ratiocination can not be good unless it be in the form of a syllogism; but that, by showing it in that form, we are sure to discover if it be bad, or at least if it contain any fallacy of this class.

§ 2. Among Fallacies of Ratiocination, we ought perhaps to include the errors committed in processes which have the appearance only, not the reality, of an inference from premises; the fallacies connected with the conversion and æquipollency of propositions. I believe errors of this description to be far more frequently committed than is generally supposed, or than their extreme obviousness might seem to admit of. For example, the simple conversion of a universal affirmative proposition, All A are B, therefore all B are A, I take to be a very common form of error: though committed, like many other fallacies, oftener in the silence of thought than in express words, for it can scarcely be clearly enunciated without being detected. And so with another form of fallacy, not substantially different from the preceding: the erroneous conversion of an hypothetical proposition. The proper converse of an hypothetical proposition is this: If the consequent be false, the antecedent is false; but this, If the consequent be true, the antecedent is true, by no means holds good, but is an error corresponding to the simple conversion of a universal affirmative. Yet hardly any thing is more common than for people, in their private thoughts, to draw this inference. As when the conclusion is

accepted, which it so often is, for proof of the premises. That the premises can not be true if the conclusion is false, is the unexceptionable foundation of the legitimate mode of reasoning called *reductio ad absurdum*. But people continually think and express themselves, as if they also believed that the premises can not be false if the conclusion is true. The truth, or supposed truth, of the inferences which follow from a doctrine, often enables it to find acceptance in spite of gross absurdities in it. How many philosophical systems which had scarcely any intrinsic recommendation, have been received by thoughtful men because they were supposed to lend additional support to religion, morality, some favorite view of politics, or some other cherished persuasion: not merely because their wishes were thereby enlisted on its side, but because its leading to what they deemed sound conclusions appeared to them a strong presumption in favor of its truth: though the presumption, when viewed in its true light, amounted only to the absence of that particular evidence of falsehood, which would have resulted from its leading by correct inference to something already known to be false.

Again, the very frequent error in conduct, of mistaking reverse of wrong for right, is the practical form of a logical error with respect to the Opposition of Propositions. It is committed for want of the habit of distinguishing the *contrary* of a proposition from the *contradictory* of it, and of attending to the logical canon, that contrary propositions, though they can not both be true, may both be false. If the error were to express itself in words, it would run distinctly counter to this canon. It generally, however, does not so express itself, and to compel it to do so is the most effectual method of detecting and exposing it.

§ 3. Among Fallacies of Ratiocination are to be ranked, in the first place, all the cases of vicious syllogism laid down in the books. These generally resolve themselves into having more than three terms to the syllogism, either avowedly, or in the covert mode of an undistributed middle term, or an *illicit process* of one of the two extremes. It is not, indeed, very easy fully to convict an argument of falling under any one of these vicious cases in particular; for the reason already more than once referred to, that the premises are seldom formally set out: if they were, the fallacy would impose upon nobody; and while they are not, it is almost

always to a certain degree optional in what manner the suppressed link shall be filled up. The rules of the syllogism are rules for compelling a person to be aware of the whole of what he must undertake to defend if he persists in maintaining his conclusion. He has it almost always in his power to make his syllogism good by introducing a false premise; and hence it is scarcely ever possible decidedly to affirm that any argument involves a bad syllogism: but this detracts nothing from the value of the syllogistic rules, since it is by them that a reasoner is compelled distinctly to make his election what premises he is prepared to maintain. The election made, there is generally so little difficulty in seeing whether the conclusion follows from the premises set out, that we might without much logical impropriety have merged this fourth class of fallacies in the fifth, or Fallacies of Confusion.

§ 4. Perhaps, however, the commonest, and certainly the most dangerous fallacies of this class, are those which do not lie in a single syllogism, but slip in between one syllogism and another in a chain of argument, and are committed by *changing the premises*. A proposition is proved, or an acknowledged truth laid down, in the first part of an argumentation, and in the second a further argument is founded not on the same proposition, but on some other, resembling it sufficiently to be mistaken for it. Instances of this fallacy will be found in almost all the argumentative discourses of unprecise thinkers; and we need only here advert to one of the obscurer forms of it, recognized by the school-men as the fallacy *à dicto secundum quid ad dictum simpliciter*. This is committed when, in the premises, a proposition is asserted with a qualification, and the qualification lost sight of in the conclusion; or oftener, when a limitation or condition, though not asserted, is necessary to the truth of the proposition, but is forgotten when that proposition comes to be employed as a premise. Many of the bad arguments in vogue belong to this class of error. The premise is some admitted truth, some common maxim, the reasons or evidence for which have been forgotten, or are not thought of at the time, but if they had been thought of would have shown the necessity of so limiting the premise that it would no longer have supported the conclusion drawn from it.



Of this nature is the fallacy in what is called, by Adam Smith and others, the Mercantile Theory in Political Economy. That theory sets out from the common maxim, that whatever brings in money enriches; or that every one is rich in proportion to the quantity of money he obtains. From this it is concluded that the value of any branch of trade, or of the trade of the country altogether, consists in the balance of money it brings in; that any trade which carries more money out of the country than it draws into it is a losing trade; that therefore money should be attracted into the country and kept there, by prohibitions and bounties; and a train of similar corollaries. All for want of reflecting that if the riches of an individual are in proportion to the quantity of money he can command, it is because that is the measure of his power of purchasing money's worth; and is therefore subject to the proviso that he is not debarred from employing his money in such purchases. The premise, therefore, is only true *secundum quid*; but the theory assumes it to be true absolutely, and infers that increase of money is increase of riches, even when produced by means subversive of the condition under which alone money can be riches.

A second instance is, the argument by which it used to be contended, before the commutation of tithe, that tithes fell on the landlord, and were a deduction from rent; because the rent of tithe-free land was always higher than that of land of the same quality, and the same advantages of situation, subject to tithe. Whether it be true or not that a tithe falls on rent, a treatise on Logic is not the place to examine; but it is certain that this is no proof of it. Whether the proposition be true or false, tithe-free land must, by the necessity of the case, pay a higher rent. For if tithes do not fall on rent, it must be because they fall on the consumer; because they raise the price of agricultural produce. But if the produce be raised in price, the farmer of tithe-free as well as the farmer of tithed land gets the benefit. To the latter the rise is but a compensation for the tithe he pays; to the first, who pays none, it is clear gain, and therefore enables him, and if there be freedom of competition, forces him, to pay so much more rent to his landlord. The question remains, to what class of fallacies this belongs. The premise is, that the owner of tithed land receives less rent than the owner of tithe-free land; the conclusion is, that therefore he receives less than he himself would receive if tithe were abolished. But the premise is only true conditionally; the owner of tithed land receives less than what

the owner of tithe-free land is enabled to receive *when other lands are tithed*; while the conclusion is applied to a state of circumstances in which that condition fails, and in which, by consequence, the premise will not be true. The fallacy, therefore, is *à dicto secundum quid ad dictum simpliciter*.

A third example is the opposition sometimes made to legitimate interferences of government in the economical affairs of society, grounded on a misapplication of the maxim, that an individual is a better judge than the government of what is for his own pecuniary interest. This objection was urged to Mr. Wakefield's principle of colonization; the concentration of the settlers, by fixing such a price on unoccupied land as may preserve the most desirable proportion between the quantity of land in culture and the laboring population. Against this it was argued, that if individuals found it for their advantage to occupy extensive tracts of land, they, being better judges of their own interest than the legislature (which can only proceed on general rules), ought not to be restrained from doing so. But in this argument it was forgotten that the fact of a person's taking a large tract of land is evidence only that it is his interest to take as much as other people, but not that it might not be for his interest to content himself with less, if he could be assured that other people would do so too; an assurance which nothing but a government regulation can give. If all other people took much, and he only a little, he would reap none of the advantages derived from the concentration of the population and the consequent possibility of procuring labor for hire, but would have placed himself, without equivalent, in a situation of voluntary inferiority. The proposition, therefore, that the quantity of land which people will take when left to themselves is that which is most for their interest to take, is true only *secundum quid*: it is only their interest while they have no guarantee for the conduct of one another. But the arrangement disregards the limitation, and takes the proposition for true *simpliciter*.

One of the conditions oftenest dropped, when what would otherwise be a true proposition is employed as a premise for proving others, is the condition of *time*. It is a principle of political economy that prices, profits, wages, etc., "always find their level;" but this is often interpreted as if it meant that they are always, or generally, *at* their level, while the truth is,

as Coleridge epigrammatically expresses it, that they are always *finding* their level, “which might be taken as a paraphrase or ironical definition of a storm.”

Under the same head of fallacy (*à dicto secundum quid ad dictum simpliciter*) might be placed all the errors which are vulgarly called misapplications of abstract truths; that is, where a principle, true (as the common expression is) *in the abstract*, that is, all modifying causes being supposed absent, is reasoned on as if it were true absolutely, and no modifying circumstance could ever by possibility exist. This very common form of error it is not requisite that we should exemplify here, as it will be particularly treated of hereafter in its application to the subjects on which it is most frequent and most fatal, those of politics and society.<sup>262</sup>

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<sup>262</sup> “An advocate,” says Mr. De Morgan (*Formal Logic*, p. 270), “is sometimes guilty of the argument *à dicto secundum quid ad dictum simpliciter*: it is his business to do for his client all that his client might *honestly* do for himself. Is not the word in italics frequently omitted? *Might* any man honestly try to do for himself all that counsel frequently try to do for him? We are often reminded of the two men who stole the leg of mutton; one could swear he had not got it, the other that he had not taken it. The counsel is doing his duty by his client, the client has left the matter to his counsel. Between the unexecuted intention of the client, and the unintended execution of the counsel, there may be a wrong done, and, if we are to believe the usual maxims, no wrong-doer.”

The same writer justly remarks (p. 251) that there is a converse fallacy, *à dicto simpliciter ad dictum secundum quid*, called by the scholastic logicians *fallacia accidentis*; and another which may be called *à dicto secundum quid ad dictum secundum alterum quid* (p. 265). For apt instances of both, I must refer the reader to Mr. De Morgan’s able chapter on Fallacies.



# CHAPTER VII.

## FALLACIES OF CONFUSION.

§ 1. Under this fifth and last class it is convenient to arrange all those fallacies in which the source of error is not so much a false estimate of the probative force of known evidence, as an indistinct, indefinite, and fluctuating conception of what the evidence is.

At the head of these stands that multitudinous body of fallacious reasonings in which the source of error is the ambiguity of terms: when something which is true if a word be used in a particular sense, is reasoned on as if it were true in another sense. In such a case there is not a mal-estimation of evidence, because there is not properly any evidence to the point at all; there is evidence, but to a different point, which from a confused apprehension of the meaning of the terms used, is supposed to be the same. This error will naturally be oftener committed in our ratiocinations than in our direct inductions, because in the former we are deciphering our own or other people's notes, while in the latter we have the things themselves present, either to the senses or to the memory. Except, indeed, when the induction is not from individual cases to a generality, but from generalities to a still higher generalization; in that case the fallacy of ambiguity may affect the inductive process as well as the ratiocinative. It occurs in ratiocination in two ways: when the middle term is ambiguous, or when one of the terms of the syllogism is taken in one sense in the premises, and in another sense in the conclusion.

Some good exemplifications of this fallacy are given by Archbishop Whately. "One case," says he, "which may be regarded as coming under the head of Ambiguous Middle, is (what I believe logical writers mean by '*Fallacia Figuræ Dictionis*') the fallacy built on the grammatical structure of language, from men's usually taking for granted that *paronymous* (or *conjugate*) words, *i.e.*, those belonging to each other, as the substantive, adjective, verb, etc., of the same root, have a precisely corresponding meaning; which is by no means universally the case. Such a fallacy could not indeed be even exhibited in strict logical form, which would preclude

even the attempt at it, since it has two middle terms in sound as well as sense. But nothing is more common in practice than to vary continually the terms employed, with a view to grammatical convenience; nor is there any thing unfair in such a practice, as long as the *meaning* is preserved unaltered; *e.g.*, ‘murder should be punished with death; this man is a murderer, therefore he deserves to die,’ etc. Here we proceed on the assumption (in this case just) that to commit murder, and to be a murderer — to deserve death, and to be one who ought to die, are, respectively, equivalent expressions; and it would frequently prove a heavy inconvenience to be debarred this kind of liberty; but the abuse of it gives rise to the Fallacy in question; *e.g.*, *projectors* are unfit to be trusted; this man has formed a *project*, therefore he is unfit to be trusted: here the sophist proceeds on the hypothesis that he who forms a *project* must be a *projector*: whereas the bad sense that commonly attaches to the latter word, is not at all implied in the former. This fallacy may often be considered as lying not in the Middle, but in one of the terms of the Conclusion; so that the conclusion drawn shall not be, in reality, at all warranted by the premises, though it will appear to be so, by means of the grammatical affinity of the words; *e.g.*, to be acquainted with the guilty is a *presumption* of guilt; this man is so acquainted, therefore we may *presume* that he is guilty: this argument proceeds on the supposition of an exact correspondence between *presume* and *presumption*, which, however, does not really exist; for ‘presumption’ is commonly used to express a kind of *slight suspicion*; whereas, ‘to presume’ amounts to actual belief. There are innumerable instances of a non-correspondence in paronymous words, similar to that above instanced; as between *art* and *artful*, *design* and *designing*, *faith* and *faithful*, etc.; and the more slight the variation of the meaning, the more likely is the fallacy to be successful; for when the words have become so widely removed in sense as ‘pity’ and ‘pitiful,’ every one would perceive such a fallacy, nor would it be employed but in jest.<sup>263</sup>

“The present Fallacy is nearly allied to, or rather, perhaps, may be regarded as a branch of, that founded on *etymology* — viz., when a term is used, at one time in its customary, and at another in its etymological sense. Perhaps no example of this can be found that is more extensively and mischievously employed than in the case of the word *representative*:

assuming that its right meaning must correspond exactly with the strict and original sense of the verb ‘represent,’ the sophist persuades the multitude that a member of the House of Commons is bound to be guided in all points by the opinion of his constituents; and, in short, to be merely their *spokesman*; whereas law and custom, which in this case may be considered as fixing the meaning of the term, require no such thing, but enjoin the representative to act according to the best of his *own* judgment, and on his own responsibility.”

The following are instances of great practical importance, in which arguments are habitually founded on a verbal ambiguity.

The mercantile public are frequently led into this fallacy by the phrase “scarcity of money.” In the language of commerce, “money” has two meanings: *currency*, or the circulating medium; and *capital seeking investment*, especially investment on loan. In this last sense the word is used when the “money market” is spoken of, and when the “value of money” is said to be high or low, the rate of interest being meant. The consequence of this ambiguity is, that as soon as scarcity of money in the latter of these senses begins to be felt — as soon as there is difficulty of obtaining loans, and the rate of interest is high — it is concluded that this must arise from causes acting upon the quantity of money in the other and more popular sense; that the circulating medium must have diminished in quantity, or ought to be increased. I am aware that, independently of the double meaning of the term, there are in the facts themselves some peculiarities, giving an apparent support to this error; but the ambiguity of the language stands on the very threshold of the subject, and intercepts all attempts to throw light upon it.

Another ambiguous expression which continually meets us in the political controversies of the present time, especially in those which relate to organic changes, is the phrase “influence of property” — which is sometimes used for the influence of respect for superior intelligence or gratitude for the kind offices which persons of large property have it so much in their power to bestow; at other times for the influence of fear; fear of the worst sort of power, which large property also gives to its possessor, the power of doing mischief to dependents. To confound these two, is the standing fallacy of ambiguity brought against those who seek to purify the

electoral system from corruption and intimidation. Persuasive influence, acting through the conscience of the voter, and carrying his heart and mind with it, is beneficial — therefore (it is pretended) coercive influence, which compels him to forget that he is a moral agent, or to act in opposition to his moral convictions, ought not to be placed under restraint.

Another word which is often turned into an instrument of the fallacy of ambiguity, is Theory. In its most proper acceptation, theory means the completed result of philosophical induction from experience. In that sense, there are erroneous as well as true theories, for induction may be incorrectly performed, but theory of some sort is the necessary result of knowing any thing of a subject, and having put one's knowledge into the form of general propositions for the guidance of practice. In this, the proper sense of the word, Theory is the explanation of practice. In another and a more vulgar sense, theory means any mere fiction of the imagination, endeavoring to conceive how a thing may possibly have been produced, instead of examining how it was produced. In this sense only are theory and theorists unsafe guides; but because of this, ridicule or discredit is attempted to be attached to theory in its proper sense, that is, to legitimate generalization, the end and aim of all philosophy; and a conclusion is represented as worthless, just because that has been done which, if done correctly, constitutes the highest worth that a principle for the guidance of practice can possess, namely, to comprehend in a few words the real law on which a phenomenon depends, or some property or relation which is universally true of it.

“The Church” is sometimes understood to mean the clergy alone, sometimes the whole body of believers, or at least of communicants. The declamations respecting the inviolability of church property are indebted for the greater part of their apparent force to this ambiguity. The clergy, being called the church, are supposed to be the real owners of what is called church property; whereas they are in truth only the managing members of a much larger body of proprietors, and enjoy on their own part a mere usufruct, not extending beyond a life interest.

The following is a Stoical argument taken from Cicero, *De Finibus*, book the third: “Quod est bonum, omne laudabile est. Quod autem

laudabile est, omne honestum est. Bonum igitur quod est, honestum est.” Here the ambiguous word is *laudabile*, which in the minor premise means any thing which mankind are accustomed, on good grounds, to admire or value; as beauty, for instance, or good fortune: but in the major, it denotes exclusively moral qualities. In much the same manner the Stoics endeavored logically to justify as philosophical truths, their figurative and rhetorical expressions of ethical sentiment: as that the virtuous man is alone free, alone beautiful, alone a king, etc. Whoever has virtue has Good (because it has been previously determined not to call any thing else good); but, again, Good necessarily includes freedom, beauty, and even kingship, all these being good things; therefore whoever has virtue has all these.

The following is an argument of Descartes to prove, in his *a priori* manner, the being of a God. The conception, says he, of an infinite Being proves the real existence of such a being. For if there is not really any such being, *I* must have made the conception; but if I could make it, I can also unmake it; which evidently is not true; therefore there must be, externally to myself, an archetype, from which the conception was derived. In this argument (which, it may be observed, would equally prove the real existence of ghosts and of witches) the ambiguity is in the pronoun *I*, by which, in one place, is to be understood my *will*, in another the *laws of my nature*. If the conception, existing as it does in my mind, had no original without, the conclusion would unquestionably follow that *I* made it; that is, the laws of my nature must have somehow evolved it: but that my *will* made it, would not follow. Now when Descartes afterward adds that I can not unmake the conception, he means that I can not get rid of it by an act of my will: which is true, but is not the proposition required. I can as much unmake this conception as I can any other: no conception which I have once had, can I ever dismiss by mere volition; but what some of the laws of my nature have produced, other laws, or those same laws in other circumstances, may, and often do, subsequently efface.

Analogous to this are some of the ambiguities in the free-will controversy; which, as they will come under special consideration in the concluding Book, I only mention *memoriæ causâ*. In that discussion, too, the word *I* is often shifted from one meaning to another, at one time



standing for my volitions, at another time for the actions which are the consequences of them, or the mental dispositions from which they proceed. The latter ambiguity is exemplified in an argument of Coleridge (in his *Aids to Reflection*), in support of the freedom of the will. It is not true, he says, that a man is governed by motives; “the man makes the motive, not the motive the man;” the proof being that “what is a strong motive to one man is no motive at all to another.” The premise is true, but only amounts to this, that different persons have different degrees of susceptibility to the same motive; as they have also to the same intoxicating liquid, which, however, does not prove that they are free to be drunk or not drunk, whatever quantity of the fluid they may drink. What is proved is, that certain mental conditions in the person himself must co-operate, in the production of the act, with the external inducement; but those mental conditions also are the effect of causes; and there is nothing in the argument to prove that they can arise without a cause — that a spontaneous determination of the will, without any cause at all, ever takes place, as the free-will doctrine supposes.

The double use, in the free-will controversy, of the word Necessity, which sometimes stands only for Certainty, at other times for Compulsion; sometimes for what *can not* be prevented, at other times only for what we have reason to be assured *will not*; we shall have occasion hereafter to pursue to some of its ulterior consequences.

A most important ambiguity, both in common and in metaphysical language, is thus pointed out by Archbishop Whately in the Appendix to his Logic: “*Same* (as well as *One*, *Identical*, and other words derived from them) is used frequently in a sense very different from its primary one, as applicable to a *single* object; being employed to denote great *similarity*. When several objects are undistinguishably alike, *one single description* will apply equally to any of them; and thence they are said to be all of *one and the same* nature, appearance, etc. As, *e.g.*, when we say ‘this house is built of the *same* stone with such another,’ we only mean that the stones are undistinguishable in their qualities; not that the one building was pulled down, and the other constructed with the materials. Whereas *sameness*, in the primary sense, does not even necessarily imply similarity; for if we say of any man that he is greatly altered since such a time, we

understand, and indeed imply by the very expression, that he is *one person*, though different in several qualities. It is worth observing also, that Same, in the secondary sense, admits, according to popular usage, of degrees: we speak of two things being *nearly* the same, but not entirely: personal identity does not admit of degrees. Nothing, perhaps, has contributed more to the error of Realism than inattention to this ambiguity. When several persons are said to have *one and the same* opinion, thought, or idea, many men, overlooking the true simple statement of the case, which is, that they are *all thinking alike*, look for something more abstruse and mystical, and imagine there must be some *One Thing*, in the primary sense, though not an individual which is present at once in the mind of each of these persons; and thence readily sprung Plato's theory of Ideas, each of which was, according to him, one real, eternal object, existing entire and complete in each of the individual objects that are known by one name."

It is, indeed, not a matter of inference, but of authentic history, that Plato's doctrine of Ideas, and the Aristotelian doctrine (in this respect similar to the Platonic) of substantial forms and second substances, grew up in the precise way here pointed out; from the supposed necessity of finding, in things which were said to have the *same* nature, or the *same* qualities, something which was the *same* in the very sense in which a man is the same as himself. All the idle speculations respecting τὸ ὄν, τὸ ἔν, τὸ ὁμοιον, and similar abstractions, so common in the ancient and in some modern schools of thought, sprang from the same source. The Aristotelian logicians saw, however, one case of the ambiguity, and provided against it with their peculiar felicity in the invention of technical language, when they distinguished things which differed both *specie* and *numero*, from those which differed *numero tantum*, that is, which were exactly alike (in some particular respect at least) but were distinct individuals. An extension of this distinction to the two meanings of the word Same, namely, things which are the same *specie tantum*, and a thing which is the same *numero* as well as *specie*, would have prevented the confusion which has been a source of so much darkness and such an abundance of positive error in metaphysical philosophy.

One of the most singular examples of the length to which a thinker of eminence may be led away by an ambiguity of language, is afforded by this very case. I refer to the famous argument by which Bishop Berkeley flattered himself that he had forever put an end to “skepticism, atheism, and irreligion.” It is briefly as follows: I thought of a thing yesterday; I ceased to think of it; I think of it again to-day. I had, therefore, in my mind yesterday an *idea* of the object; I have also an idea of it to-day; this idea is evidently not another, but the very same idea. Yet an intervening time elapsed in which I had it not. Where was the idea during this interval? It must have been somewhere; it did not cease to exist; otherwise the idea I had yesterday could not be the *same* idea; no more than the man I see alive to-day can be the same whom I saw yesterday if the man has died in the mean while. Now an idea can not be conceived to exist anywhere except in a mind; and hence there must exist a Universal Mind, in which all ideas have their permanent residence during the intervals of their conscious presence in our own minds.

It is evident that Berkeley here confounded sameness *numero* with sameness *specie*, that is, with exact resemblance, and assumed the former where there was only the latter; not perceiving that when we say we have the same thought to-day which we had yesterday, we do not mean the same individual thought, but a thought exactly similar: as we say that we have the same illness which we had last year, meaning only the same sort of illness.

In one remarkable instance the scientific world was divided into two furiously hostile parties by an ambiguity of language affecting a branch of science which, more completely than most others, enjoys the advantage of a precise and well-defined terminology. I refer to the famous dispute respecting the *vis viva*, the history of which is given at large in Professor Playfair’s Dissertation. The question was, whether the *force* of a moving body was proportional (its mass being given) to its velocity simply, or to the square of its velocity: and the ambiguity was in the word Force. “One of the effects,” says Playfair, “produced by a moving body is proportional to the square of the velocity, while another is proportional to the velocity simply:” from whence clearer thinkers were subsequently led to establish a double measure of the efficiency of a moving power, one being called *vis*

*viva*, and the other *momentum*. About the facts, both parties were from the first agreed: the only question was, with which of the two effects the term *force* should be, or could most conveniently be, associated. But the disputants were by no means aware that this was all; they thought that force was one thing, the production of effects another; and the question, by which set of effects the force which produced both the one and the other should be measured, was supposed to be a question not of terminology, but of fact.

The ambiguity of the word Infinite is the real fallacy in the amusing logical puzzle of Achilles and the Tortoise, a puzzle which has been too hard for the ingenuity or patience of many philosophers, and which no less a thinker than Sir William Hamilton considered as insoluble; as a sound argument, though leading to a palpable falsehood. The fallacy, as Hobbes hinted, lies in the tacit assumption that whatever is infinitely divisible is infinite; but the following solution (to the invention of which I have no claim) is more precise and satisfactory.

The argument is, let Achilles run ten times as fast as the tortoise, yet if the tortoise has the start, Achilles will never overtake him. For suppose them to be at first separated by an interval of a thousand feet: when Achilles has run these thousand feet, the tortoise will have got on a hundred; when Achilles has run those hundred, the tortoise will have run ten, and so on forever: therefore Achilles may run forever without overtaking the tortoise.

Now the “forever,” in the conclusion, means, for any length of time that can be supposed; but in the premises, “ever” does not mean any *length* of time; it means any *number of subdivisions* of time. It means that we may divide a thousand feet by ten, and that quotient again by ten, and so on as often as we please; that there never needs be an end to the subdivisions of the distance, nor consequently to those of the time in which it is performed. But an unlimited number of subdivisions may be made of that which is itself limited. The argument proves no other infinity of duration than may be embraced within five minutes. As long as the five minutes are not expired, what remains of them may be divided by ten, and again by ten, as often as we like, which is perfectly compatible with their being only five minutes altogether. It proves, in short, that to pass through

this finite space requires a time which is infinitely divisible, but not an infinite time; the confounding of which distinction Hobbes had already seen to be the gist of the fallacy.

The following ambiguities of the word *right* (in addition to the obvious and familiar one of a right and the *adjective* right) are extracted from a forgotten paper of my own, in a periodical:

“Speaking morally, you are said to have a right to do a thing, if all persons are morally bound not to hinder you from doing it. But, in another sense, to have a right to do a thing is the opposite of having *no* right to do it, *i.e.*, of being under a moral obligation to forbear doing it. In this sense, to say that you have a right to do a thing, means that you may do it without any breach of duty on your part; that other persons not only ought not to hinder you, but have no cause to think worse of you for doing it. This is a perfectly distinct proposition from the preceding. The right which you have by virtue of a duty incumbent upon other persons, is obviously quite a different thing from a right consisting in the absence of any duty incumbent upon yourself. Yet the two things are perpetually confounded. Thus, a man will say he has a right to publish his opinions; which may be true in this sense, that it would be a breach of duty in any other person to interfere and prevent the publication: but he assumes thereupon that, in publishing his opinions, he himself violates no duty; which may either be true or false, depending, as it does, on his having taken due pains to satisfy himself, first, that the opinions are true, and next, that their publication in this manner, and at this particular juncture, will probably be beneficial to the interests of truth on the whole.

“The second ambiguity is that of confounding a right of any kind, with a right to enforce that right by resisting or punishing a violation of it. People will say, for example, that they have a right to good government, which is undeniably true, it being the moral duty of their governors to govern them well. But in granting this, you are supposed to have admitted their right or liberty to turn out their governors, and perhaps to punish them, for having failed in the performance of this duty; which, far from being the same thing, is by no means universally true, but depends on an immense number of varying circumstances,” requiring to be conscientiously weighed before adopting or acting on such a resolution.

This last example is (like others which have been cited) a case of fallacy within fallacy; it involves not only the second of the two ambiguities pointed out, but the first likewise.

One not unusual form of the Fallacy of Ambiguous Terms is known technically as the Fallacy of Composition and Division; when the same term is collective in the premises, distributive in the conclusion, or *vice versa*; or when the middle term is collective in one premise, distributive in the other. As if one were to say (I quote from Archbishop Whately), "All the angles of a triangle are equal to two right angles: A B C is an angle of a triangle; therefore A B C is equal to two right angles. . . . There is no fallacy more common, or more likely to deceive, than the one now before us. The form in which it is most usually employed is to establish some truth, separately, concerning *each single* member of a certain class, and thence to infer the same of the *whole collectively*." As in the argument one sometimes hears, to prove that the world could do without great men. If Columbus (it is said) had never lived, America would still have been discovered, at most only a few years later; if Newton had never lived, some other person would have discovered the law of gravitation; and so forth. Most true: these things would have been done, but in all probability not till some one had again been found with the qualities of Columbus or Newton. Because any one great man might have had his place supplied by other great men, the argument concludes that all great men could have been dispensed with. The term "great men" is distributive in the premises and collective in the conclusion.

"Such also is the fallacy which probably operates on most adventurers in lotteries; *e.g.*, 'the gaining of a high prize is no uncommon occurrence; and what is no uncommon occurrence may reasonably be expected; therefore the gaining of a high prize may reasonably be expected;' the conclusion, when applied to the individual (as in practice it is), must be understood in the sense of 'reasonably expected *by a certain individual*;' therefore for the major premise to be true, the middle term must be understood to mean, 'no uncommon occurrence to some one *particular* person;' whereas for the minor (which has been placed first) to be true, you must understand it of 'no uncommon occurrence to *some one or other*;' and thus you will have the Fallacy of Composition.

“This is a Fallacy with which men are extremely apt to deceive *themselves*; for when a multitude of particulars are presented to the mind, many are too weak or too indolent to take a comprehensive view of them, but confine their attention to each single point, by turns; and then decide, infer, and act accordingly; *e.g.*, the imprudent spendthrift, finding that he is able to afford this, *or* that, *or* the other expense, forgets that *all of them together* will ruin him.” The debauchee destroys his health by successive acts of intemperance, because no *one* of those acts would be of itself sufficient to do him any serious harm. A sick person reasons with himself, “one, and another, and another, of my symptoms do not prove that I have a fatal disease;” and practically concludes that all taken together do not prove it.

§ 2. We have now sufficiently exemplified one of the principal Genera in this Order of Fallacies; where, the source of error being the ambiguity of terms, the premises are verbally what is required to support the conclusion, but not really so. In the second great Fallacy of Confusion they are neither verbally nor really sufficient, though, from their multiplicity and confused arrangement, and still oftener from defect of memory, they are not seen to be what they are. The fallacy I mean is that of *Petitio Principii*, or begging the question; including the more complex and not uncommon variety of it, which is termed Reasoning in a Circle.

*Petitio Principii*, as defined by Archbishop Whately, is the fallacy “in which the premise either appears manifestly to be the same as the conclusion, or is actually proved from the conclusion, or is such as would naturally and properly so be proved.” By the last clause I presume is meant, that it is not susceptible of any other proof; for otherwise, there would be no fallacy. To deduce from a proposition propositions from which it would itself more naturally be deduced, is often an allowable deviation from the usual didactic order; or at most, what, by an adaptation of a phrase familiar to mathematicians, may be called a logical *inelegance*.<sup>264</sup>

The employment of a proposition to prove that on which it is itself dependent for proof, by no means implies the degree of mental imbecility which might at first be supposed. The difficulty of comprehending how this fallacy could possibly be committed, disappears when we reflect that

all persons, even the instructed, hold a great number of opinions without exactly recollecting how they came by them. Believing that they have at some former time verified them by sufficient evidence, but having forgotten what the evidence was, they may easily be betrayed into deducing from them the very propositions which are alone capable of serving as premises for their establishment. “As if,” says Archbishop Whately, “one should attempt to prove the being of a God from the authority of Holy Writ;” which might easily happen to one with whom both doctrines, as fundamental tenets of his religious creed, stand on the same ground of familiar and traditional belief.

Arguing in a circle, however, is a stronger case of the fallacy, and implies more than the mere passive reception of a premise by one who does not remember how it is to be proved. It implies an actual attempt to prove two propositions reciprocally from one another; and is seldom resorted to, at least in express terms, by any person in his own speculations, but is committed by those who, being hard pressed by an adversary, are forced into giving reasons for an opinion of which, when they began to argue, they had not sufficiently considered the grounds. As in the following example from Archbishop Whately: “Some mechanics attempt to prove (what they ought to lay down as a probable but doubtful hypothesis)<sup>265</sup> that every particle of matter gravitates equally: ‘why?’ ‘because those bodies which contain more particles ever gravitate more strongly, *i.e.*, are heavier:’ ‘but (it may be urged) those which are heaviest are not always more bulky;’ ‘no, but they contain more particles, though more closely condensed:’ ‘how do you know that?’ ‘because they are heavier:’ ‘how does that prove it?’ ‘because all particles of matter gravitating equally, that mass which is specifically the heavier must needs have the more of them in the same space.’ ” It appears to me that the fallacious reasoner, in his private thoughts, would not be likely to proceed beyond the first step. He would acquiesce in the sufficiency of the reason first given, “bodies which contain more particles are heavier.” It is when he finds this questioned, and is called upon to prove it, without knowing how, that he tries to establish his premise by supposing proved what he is attempting to prove by it. The most effectual way, in fact, of exposing a *petitio principii*, when circumstances allow of it, is by challenging the



reasoner to prove his premises; which if he attempts to do, he is necessarily driven into arguing in a circle.

It is not uncommon, however, for thinkers, and those not of the lowest description, to be led even in their own thoughts, not indeed into formally proving each of two propositions from the other, but into admitting propositions which can only be so proved. In the preceding example the two together form a complete and consistent, though hypothetical, explanation of the facts concerned. And the tendency to mistake mutual coherency for truth — to trust one's safety to a strong chain though it has no point of support — is at the bottom of much which, when reduced to the strict forms of argumentation, can exhibit itself no otherwise than as reasoning in a circle. All experience bears testimony to the enthralling effect of neat concatenation in a system of doctrines, and the difficulty with which people admit the persuasion that any thing which holds so well together can possibly fall.

Since every case where a conclusion which can only be proved from certain premises is used for the proof of those premises, is a case of *petitio principii*, that fallacy includes a very great proportion of all incorrect reasoning. It is necessary, for completing our view of the fallacy, to exemplify some of the disguises under which it is accustomed to mask itself, and to escape exposure.

A proposition would not be admitted by any person in his senses as a corollary from itself, unless it were expressed in language which made it seem different. One of the commonest modes of so expressing it, is to present the proposition itself in abstract terms, as a proof of the same proposition expressed in concrete language. This is a very frequent mode, not only of pretended proof, but of pretended explanation; and is parodied when Molière (*Le Malade Imaginaire*) makes one of his absurd physicians say,

Mihi a docto doctore,  
Demandatur causam et rationem quare  
Opium facit dormire.  
A quoi respondeo,  
Quia est in eo  
Virtus dormitiva,

Cujus est natura  
Sensus assoupire.

The words Nature and Essence are grand instruments of this mode of begging the question, as in the well-known argument of the scholastic theologians, that the mind thinks always, because the *essence* of the mind is to think. Locke had to point out, that if by essence is here meant some property which must manifest itself by actual exercise at all times, the premise is a direct assumption of the conclusion; while if it only means that to think is the distinctive property of a mind, there is no connection between the premise and the conclusion, since it is not necessary that a distinctive property should be perpetually in action.

The following is one of the modes in which these abstract terms, Nature and Essence, are used as instruments of this fallacy. Some particular properties of a thing are selected, more or less arbitrarily, to be termed its nature or essence; and when this has been done, these properties are supposed to be invested with a kind of indefeasibleness; to have become paramount to all the other properties of the thing, and incapable of being prevailed over or counteracted by them. As when Aristotle, in a passage already cited, “decides that there is no void on such arguments as this: in a void there could be no difference of up and down; for as in nothing there are no differences, so there are none in a privation or negation; but a void is merely a privation or negation of matter; therefore, in a void, bodies could not move up and down, which it is in their *nature* to do.”<sup>266</sup> In other words, it is in the *nature* of bodies to move up and down, *ergo* any physical fact which supposes them not so to move, can not be authentic. This mode of reasoning, by which a bad generalization is made to overrule all facts which contradict it, is *Petitio Principii* in one of its most palpable forms.

None of the modes of assuming what should be proved are in more frequent use than what are termed by Bentham “question-begging appellatives;” names which beg the question under the disguise of stating it. The most potent of these are such as have a laudatory or vituperative character. For instance, in politics, the word Innovation. The dictionary meaning of this term being merely “a change to something new,” it is difficult for the defenders even of the most salutary improvement to deny

that it is an innovation; yet the word having acquired in common usage a vituperative connotation in addition to its dictionary meaning, the admission is always construed as a large concession to the disadvantage of the thing proposed.

The following passage from the argument in refutation of the Epicureans, in the second book of Cicero, "De Finibus," affords a fine example of this sort of fallacy: "Et quidem illud ipsum non nimium probo (et tantum patior) philosophum loqui de cupiditatibus finiendis. An potest cupiditas finiri? tollenda est, atque extrahenda radicitus. Quis est enim, in quo sit cupiditas, quin recte cupidus dici possit? Ergo et avarus erit, sed finite: adulter, verum habebit modum: et luxuriosus eodem modo. Qualis ista philosophia est, quæ non interitum afferat pravitatis, sed sit contenta mediocritate vitiorum?" The question was, whether certain desires, when kept within bounds, are vices or not; and the argument decides the point by applying to them a word (*cupiditas*) which *implies* vice. It is shown, however, in the remarks which follow, that Cicero did not intend this as a serious argument, but as a criticism on what he deemed an inappropriate expression. "Rem ipsam prorsus probo: elegantiam desidero. Appellet hæc *desideria naturæ*; cupiditatis nomen servet alio," etc. But many persons, both ancient and modern, have employed this, or something equivalent to it, as a real and conclusive argument. We may remark that the passage respecting *cupiditas* and *cupidus* is also an example of another fallacy already noticed, that of Paronymous Terms.

Many more of the arguments of the ancient moralists, and especially of the Stoics, fall within the definition of *Petitio Principii*. In the "De Finibus," for example, which I continue to quote as being probably the best extant exemplification at once of the doctrines and the methods of the schools of philosophy existing at that time; of what value as arguments are such pleas as those of Cato in the third book: That if virtue were not happiness, it could not be a thing to *boast* of: That if death or pain were evils, it would be impossible not to fear them, and it could not, therefore, be laudable to despise them, etc. In one way of viewing these arguments, they may be regarded as appeals to the authority of the general sentiment of mankind which had stamped its approval upon certain actions and characters by the phrases referred to; but that such could have been the

meaning intended is very unlikely, considering the contempt of the ancient philosophers for vulgar opinion. In any other sense they are clear cases of *Petitio Principii*, since the word laudable, and the idea of boasting, imply principles of conduct; and practical maxims can only be proved from speculative truths, namely, from the properties of the subject-matter, and can not, therefore, be employed to prove those properties. As well might it be argued that a government is good because we ought to support it, or that there is a God because it is our duty to pray to him.

It is assumed by all the disputants in the “*De Finibus*” as the foundation of the inquiry into the *summum bonum*, that “*sapiens semper beatus est.*” Not simply that wisdom gives the best chance of happiness, or that wisdom consists in knowing what happiness is, and by what things it is promoted; these propositions would not have been enough for them; but that the sage always is, and must of necessity be, happy. The idea that wisdom could be consistent with unhappiness, was always rejected as inadmissible: the reason assigned by one of the interlocutors, near the beginning of the third book, being, that if the wise could be unhappy, there was little use in pursuing wisdom. But by unhappiness they did not mean pain or suffering; to that it was granted that the wisest person was liable in common with others: he was happy, because in possessing wisdom he had the most valuable of all possessions, the most to be sought and prized of all things, and to possess the most valuable thing was to be the most happy. By laying it down, therefore, at the commencement of the inquiry, that the sage must be happy, the disputed question respecting the *summum bonum* was in fact begged; with the further assumption, that pain and suffering, so far as they can co-exist with wisdom, are not unhappiness, and are no evil.

The following are additional instances of *Petitio Principii*, under more or less of disguise.

Plato, in the *Sophistes*, attempts to prove that things may exist which are incorporeal, by the argument that justice and wisdom are incorporeal, and justice and wisdom must be something. Here, if by *something* be meant, as Plato did in fact mean, a thing capable of existing in and by itself, and not as a quality of some other thing, he begs the question in asserting that justice and wisdom must be something; if he means any

thing else, his conclusion is not proved. This fallacy might also be classed under ambiguous middleterm; *something*, in the one premise, meaning some substance, in the other merely some object of thought, whether substance or attribute.

It was formerly an argument employed in proof of what is now no longer a popular doctrine, the infinite divisibility of matter, that every portion of matter however small, must at least have an upper and an under surface. Those who used this argument did not see that it assumed the very point in dispute, the impossibility of arriving at a minimum of thickness; for if there be a minimum, its upper and under surface will of course be one; it will be itself a surface, and no more. The argument owes its very considerable plausibility to this, that the premise does actually seem more obvious than the conclusion, though really identical with it. As expressed in the premise, the proposition appeals directly and in concrete language to the incapacity of the human imagination for conceiving a minimum. Viewed in this light, it becomes a case of the *a priori* fallacy or natural prejudice, that whatever can not be conceived can not exist. Every fallacy of Confusion (it is almost unnecessary to repeat) will, if cleared up, become a fallacy of some other sort; and it will be found of deductive or ratiocinative fallacies generally, that when they mislead, there is mostly, as in this case, a fallacy of some other description lurking under them, by virtue of which chiefly it is that the verbal juggle, which is the outside or body of this kind of fallacy, passes undetected.

Euler's Algebra, a book otherwise of great merit, but full, to overflowing, of logical errors in respect to the foundation of the science, contains the following argument to prove that *minus* multiplied by *minus* gives *plus*, a doctrine the opprobrium of all mere mathematicians, and which Euler had not a glimpse of the true method of proving. He says *minus* multiplied by *minus* can not give *minus*; for *minus* multiplied by *plus* gives *minus*, and *minus* multiplied by *minus* can not give the same product as *minus* multiplied by *plus*. Now one is obliged to ask, why *minus* multiplied by *minus* must give any product at all? and if it does, why its product can not be the same as that of *minus* multiplied by *plus*? for this would seem, at the first glance, not more absurd than that *minus* by *minus* should give the same as *plus* by *plus*, the proposition which Euler prefers

to it. The premise requires proof, as much as the conclusion; nor can it be proved, except by that more comprehensive view of the nature of multiplication, and of algebraic processes in general, which would also supply a far better proof of the mysterious doctrine which Euler is here endeavoring to demonstrate.

A striking instance of reasoning in a circle is that of some ethical writers, who first take for their standard of moral truth what, being the general, they deem to be the natural or instinctive sentiments and perceptions of mankind, and then explain away the numerous instances of divergence from their assumed standard, by representing them as cases in which the perceptions are unhealthy. Some particular mode of conduct or feeling is affirmed to be *unnatural*; why? because it is abhorrent to the universal and natural sentiments of mankind. Finding no such sentiment in yourself, you question the fact; and the answer is (if your antagonist is polite), that you are an exception, a peculiar case. But neither (say you) do I find in the people of some other country, or of some former age, any such feeling of abhorrence; “ay, but their feelings were sophisticated and unhealthy.”

One of the most notable specimens of reasoning in a circle is the doctrine of Hobbes, Rousseau, and others, which rests the obligations by which human beings are bound as members of society, on a supposed social compact. I waive the consideration of the fictitious nature of the compact itself; but when Hobbes, through the whole *Leviathan*, elaborately deduces the obligation of obeying the sovereign, not from the necessity or utility of doing so, but from a promise supposed to have been made by our ancestors, on renouncing savage life and agreeing to establish political society, it is impossible not to retort by the question, Why are we bound to keep a promise made for us by others? or why bound to keep a promise at all? No satisfactory ground can be assigned for the obligation, except the mischievous consequences of the absence of faith and mutual confidence among mankind. We are, therefore, brought round to the interests of society, as the ultimate ground of the obligation of a promise; and yet those interests are not admitted to be a sufficient justification for the existence of government and law. Without a promise it is thought that we should not be bound to that which is implied in all modes of living in

society, namely, to yield a general obedience to the laws therein established; and so necessary is the promise deemed, that if none has actually been made, some additional safety is supposed to be given to the foundations of society by feigning one.

§ 3. Two principal subdivisions of the class of Fallacies of Confusion having been disposed of; there remains a third, in which the confusion is not, as in the Fallacy of Ambiguity, in misconceiving the import of the premises, nor, as in *Petitio Principii*, in forgetting what the premises are, but in mistaking the conclusion which is to be proved. This is the fallacy of *Ignoratio Elenchi*, in the widest sense of the phrase; also called by Archbishop Whately the Fallacy of Irrelevant Conclusion. His examples and remarks are highly worthy of citation.

“Various kinds of propositions are, according to the occasion, substituted for the one of which proof is required; sometimes the particular for the universal; sometimes a proposition with different terms; and various are the contrivances employed to effect and to conceal this substitution, and to make the conclusion which the sophist has drawn, answer practically the same purpose as the one he ought to have established. We say, ‘practically the same purpose,’ because it will very often happen that some *emotion* will be excited, some sentiment impressed on the mind (by a dexterous employment of this fallacy), such as shall bring men into the *disposition* requisite for your purpose; though they may not have assented to, or even stated distinctly in their own minds, the *proposition* which it was your business to establish. Thus if a sophist has to defend one who has been guilty of some *serious* offense, which he wishes to extenuate, though he is unable distinctly to prove that it is not such, yet if he can succeed in *making the audience laugh* at some casual matter, he has gained practically the same point. So also if any one has pointed out the extenuating circumstances in some particular case of offense, so as to show that it differs widely from the generality of the same class, the sophist, if he finds himself unable to disprove these circumstances, may do away the force of them, by simply *referring the action to that very class*, which no one can deny that it belongs to, and the very name of which will excite a feeling of disgust sufficient to counteract the extenuation; *e.g.*, let it be a case of *peculation*, and that many

*mitigating* circumstances have been brought forward which can not be denied; the sophistical opponent will reply, 'Well, but after all, the man is a *rogue*, and there is an end of it;' now in reality this was (by hypothesis) never the question; and the mere assertion of what was never denied *ought* not, in fairness, to be regarded as decisive; but, practically, the odiousness of the word, arising in great measure from the association of those very circumstances which belong to most of the class, but which we have supposed to be *absent* in *this particular* instance, excites precisely that feeling of disgust which, in effect, destroys the force of the defense. In like manner we may refer to this head all cases of improper appeal to the passions, and every thing else which is mentioned by Aristotle as extraneous to the matter in hand (ἔξω τοῦ πράγματος)."

Again, "instead of proving that 'this prisoner has committed an atrocious fraud,' you prove that the fraud he is accused of is atrocious; instead of proving (as in the well-known tale of Cyrus and the two coats) that the taller boy had a right to force the other boy to exchange coats with him, you prove that the exchange would have been advantageous to both; instead of proving that the poor ought to be relieved in this way rather than in that, you prove that the poor ought to be relieved; instead of proving that the irrational agent — whether a brute or a madman — can never be deterred from any act by apprehension of punishment (as, for instance, a dog from sheep-biting, by fear of being beaten), you prove that the beating of one dog does not operate as an *example* to *other* dogs, etc.

"It is evident that Ignoratio Elenchi may be employed as well for the apparent refutation of your opponent's proposition, as for the apparent establishment of your own; for it is substantially the same thing, to prove what was not denied or to disprove what was not asserted. The latter practice is not less common, and it is more offensive, because it frequently amounts to a personal affront, in attributing to a person opinions, etc., which he perhaps holds in abhorrence. Thus, when in a discussion one party vindicates, on the ground of general expediency, a particular instance of resistance to government in a case of intolerable oppression, the opponent may gravely maintain, 'that we ought not to do evil that good may come;' a proposition which of course had never been denied, the point in dispute being, 'whether resistance in this particular case *were* doing evil



or not.’ Or again, by way of disproving the assertion of the right of private judgment in religion, one may hear a grave argument to prove that ‘it is impossible every one can be *right in his judgment*.’ ”

The works of controversial writers are seldom free from this fallacy. The attempts, for instance, to disprove the population doctrines of Malthus, have been mostly cases of *ignoratio elenchi*. Malthus has been supposed to be refuted if it could be shown that in some countries or ages population has been nearly stationary; as if he had asserted that population always increases in a given ratio, or had not expressly declared that it increases only in so far as it is not restrained by prudence, or kept down by poverty and disease. Or, perhaps, a collection of facts is produced to prove that in some one country the people are better off with a dense population than they are in another country with a thin one; or that the people have become more numerous and better off at the same time. As if the assertion were that a dense population could not possibly be well off; as if it were not part of the very doctrine, and essential to it, that where there is a more abundant production there may be a greater population without any increase of poverty, or even with a diminution of it.

The favorite argument against Berkeley’s theory of the non-existence of matter, and the most popularly effective, next to a “grin”<sup>267</sup> — an argument, moreover, which is not confined to “coxcombs,” nor to men like Samuel Johnson, whose greatly overrated ability certainly did not lie in the direction of metaphysical speculation, but is the stock argument of the Scotch school of metaphysicians — is a palpable *Ignoratio Elenchi*. The argument is perhaps as frequently expressed by gesture as by words, and one of its commonest forms consists in knocking a stick against the ground. This short and easy confutation overlooks the fact, that in denying matter, Berkeley did not deny any thing to which our senses bear witness, and therefore can not be answered by any appeal to them. His skepticism related to the supposed substratum, or hidden cause of the appearances perceived by our senses; the evidence of which, whatever may be thought of its conclusiveness, is certainly not the evidence of sense. And it will always remain a signal proof of the want of metaphysical profundity of Reid, Stewart, and, I am sorry to add, of Brown, that they should have persisted in asserting that Berkeley, if he believed his own doctrine, was

bound to walk into the kennel, or run his head against a post. As if persons who do not recognize an occult cause of their sensations could not possibly believe that a fixed order subsists among the sensations themselves. Such a want of comprehension of the distinction between a thing and its sensible manifestation, or, in metaphysical language, between the noumenon and the phenomenon, would be impossible to even the dullest disciple of Kant or Coleridge.

It would be easy to add a greater number of examples of this fallacy, as well as of the others which I have attempted to characterize. But a more copious exemplification does not seem to be necessary; and the intelligent reader will have little difficulty in adding to the catalogue from his own reading and experience. We shall, therefore, here close our exposition of the general principles of logic, and proceed to the supplementary inquiry which is necessary to complete our design.

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<sup>263</sup> An example of this fallacy is the popular error that *strong* drink must be a cause of *strength*. There is here fallacy within fallacy; for granting that the words "strong" and "strength" were not (as they are) applied in a totally different sense to fermented liquors and to the human body, there would still be involved the error of supposing that an effect must be like its cause; that the conditions of a phenomenon are likely to resemble the phenomenon itself; which we have already treated of as an *a priori* fallacy of the first rank. As well might it be supposed that a strong poison will make the person who takes it strong.

<sup>264</sup> In his later editions, Archbishop Whately confines the name of *Petio Principii* "to those cases in which one of the premises either is manifestly the same in sense with the conclusion, or is actually proved from it, or is such as the persons you are addressing are not likely to know, or to admit, except as an inference from the conclusion; as, *e.g.*, if any one should infer the authenticity of a certain history, from its recording such and such facts, the reality of which rests on the evidence of that history."

<sup>265</sup> No longer even a probable hypothesis, since the establishment of the atomic theory; it being now certain that the integral particles of different substances gravitate unequally. It is true that these particles, though real *minima* for the purposes of chemical combination, may not be the ultimate particles of the substance; and this doubt alone renders the hypothesis admissible, even as an hypothesis.

<sup>266</sup> *Hist. Ind. Sc.*, i., 34.

267 "And coxcombs vanquish Berkeley with a grin."



# BOOK VI.

## ON THE LOGIC OF THE MORAL SCIENCES.

“Si l’homme peut prédire, avec une assurance presque entière, les phénomènes dont il connaît les lois; si lors même qu’elles lui sont inconnues, il peut, d’après l’expérience, prévoir avec une grande probabilité les événements de l’avenir; pourquoi regarderait-on comme une entreprise chimérique, celle de tracer avec quelque vraisemblance le tableau des destinées futures de l’espèce humaine, d’après les résultats de son histoire? Le seul fondement de croyance dans les sciences naturelles, est cette idée, que les lois générales, connues ou ignorées, qui règlent les phénomènes de l’univers, sont nécessaires et constantes; et par quelle raison ce principe serait-il moins vrai pour le développement des facultés intellectuelles et morales de l’homme, que pour les autres opérations de la nature? Enfin, puisque des opinions formées d’après l’expérience . . . sont la seule règle de la conduite des hommes les plus sages, pourquoi interdirait-on au philosophe d’appuyer ses conjectures sur cette même base, pourvu qu’il ne leur attribue pas une certitude supérieure à celle qui peut naître du nombre, de la constance, de l’exacitude des observations?”  
— CONDORCET, *Esquisse d’un Tableau Historique des Progrès de l’Esprit Humain*.

# CHAPTER I.

## INTRODUCTORY REMARKS.

§ 1. Principles of Evidence and Theories of Method are not to be constructed *a priori*. The laws of our rational faculty, like those of every other natural agency, are only learned by seeing the agent at work. The earlier achievements of science were made without the conscious observance of any Scientific Method; and we should never have known by what process truth is to be ascertained, if we had not previously ascertained many truths. But it was only the easier problems which could be thus resolved: natural sagacity, when it tried its strength against the more difficult ones, either failed altogether, or, if it succeeded here and there in obtaining a solution, had no sure means of convincing others that its solution was correct. In scientific investigation, as in all other works of human skill, the way of obtaining the end is seen as it were instinctively by superior minds in some comparatively simple case, and is then, by judicious generalization, adapted to the variety of complex cases. We learn to do a thing in difficult circumstances, by attending to the manner in which we have spontaneously done the same thing in easier ones.

This truth is exemplified by the history of the various branches of knowledge which have successively, in the ascending order of their complication, assumed the character of sciences; and will doubtless receive fresh confirmation from those of which the final scientific constitution is yet to come, and which are still abandoned to the uncertainties of vague and popular discussion. Although several other sciences have emerged from this state at a comparatively recent date, none now remain in it except those which relate to man himself, the most complex and most difficult subject of study on which the human mind can be engaged.

Concerning the physical nature of man, as an organized being — though there is still much uncertainty and much controversy, which can only be terminated by the general acknowledgment and employment of stricter rules of induction than are commonly recognized — there is,

however, a considerable body of truths which all who have attended to the subject consider to be fully established; nor is there now any radical imperfection in the method observed in the department of science by its most distinguished modern teachers. But the laws of Mind, and, in even a greater degree, those of Society, are so far from having attained a similar state of even partial recognition, that it is still a controversy whether they are capable of becoming subjects of science in the strict sense of the term: and among those who are agreed on this point, there reigns the most irreconcilable diversity on almost every other. Here, therefore, if anywhere, the principles laid down in the preceding Books may be expected to be useful.

If on matters so much the most important with which human intellect can occupy itself a more general agreement is ever to exist among thinkers; if what has been pronounced “the proper study of mankind” is not destined to remain the only subject which Philosophy can not succeed in rescuing from Empiricism; the same process through which the laws of many simpler phenomena have by general acknowledgment been placed beyond dispute, must be consciously and deliberately applied to those more difficult inquiries. If there are some subjects on which the results obtained have finally received the unanimous assent of all who have attended to the proof, and others on which mankind have not yet been equally successful; on which the most sagacious minds have occupied themselves from the earliest date, and have never succeeded in establishing any considerable body of truths, so as to be beyond denial or doubt; it is by generalizing the methods successfully followed in the former inquiries, and adapting them to the latter, that we may hope to remove this blot on the face of science. The remaining chapters are an endeavor to facilitate this most desirable object.

§ 2. In attempting this, I am not unmindful how little can be done toward it in a mere treatise on Logic, or how vague and unsatisfactory all precepts of Method must necessarily appear when not practically exemplified in the establishment of a body of doctrine. Doubtless, the most effectual mode of showing how the sciences of Ethics and Politics may be constructed would be to construct them: a task which, it needs scarcely be said, I am not about to undertake. But even if there were no other

examples, the memorable one of Bacon would be sufficient to demonstrate, that it is sometimes both possible and useful to point out the way, though without being one's self prepared to adventure far into it. And if more were to be attempted, this at least is not a proper place for the attempt.

In substance, whatever can be done in a work like this for the Logic of the Moral Sciences, has been or ought to have been accomplished in the five preceding Books; to which the present can be only a kind of supplement or appendix, since the methods of investigation applicable to moral and social science must have been already described, if I have succeeded in enumerating and characterizing those of science in general. It remains, however, to examine which of those methods are more especially suited to the various branches of moral inquiry; under what peculiar facilities or difficulties they are there employed; how far the unsatisfactory state of those inquiries is owing to a wrong choice of methods, how far to want of skill in the application of right ones; and what degree of ultimate success may be attained or hoped for by a better choice or more careful employment of logical processes appropriate to the case. In other words, whether moral sciences exist, or can exist; to what degree of perfection they are susceptible of being carried; and by what selection or adaptation of the methods brought to view in the previous part of this work that degree of perfection is attainable.

At the threshold of this inquiry we are met by an objection, which, if not removed, would be fatal to the attempt to treat human conduct as a subject of science. Are the actions of human beings, like all other natural events, subject to invariable laws? Does that constancy of causation, which is the foundation of every scientific theory of successive phenomena, really obtain among them? This is often denied; and for the sake of systematic completeness, if not from any very urgent practical necessity, the question should receive a deliberate answer in this place. We shall devote to the subject a chapter apart.



# CHAPTER II.

## OF LIBERTY AND NECESSITY.

§ 1. The question, whether the law of causality applies in the same strict sense to human actions as to other phenomena, is the celebrated controversy concerning the freedom of the will; which, from at least as far back as the time of Pelagius, has divided both the philosophical and the religious world. The affirmative opinion is commonly called the doctrine of Necessity, as asserting human volitions and actions to be necessary and inevitable. The negative maintains that the will is not determined, like other phenomena, by antecedents, but determines itself; that our volitions are not, properly speaking, the effects of causes, or at least have no causes which they uniformly and implicitly obey.

I have already made it sufficiently apparent that the former of these opinions is that which I consider the true one; but the misleading terms in which it is often expressed, and the indistinct manner in which it is usually apprehended, have both obstructed its reception, and perverted its influence when received. The metaphysical theory of free-will, as held by philosophers (for the practical feeling of it, common in a greater or less degree to all mankind, is in no way inconsistent with the contrary theory), was invented because the supposed alternative of admitting human actions to be *necessary* was deemed inconsistent with every one's instinctive consciousness, as well as humiliating to the pride and even degrading to the moral nature of man. Nor do I deny that the doctrine, as sometimes held, is open to these imputations; for the misapprehension in which I shall be able to show that they originate, unfortunately is not confined to the opponents of the doctrine, but is participated in by many, perhaps we might say by most, of its supporters.

§ 2. Correctly conceived, the doctrine called Philosophical Necessity is simply this: that, given the motives which are present to an individual's mind, and given likewise the character and disposition of the individual, the manner in which he will act might be unerringly inferred; that if we knew the person thoroughly, and knew all the inducements which are



acting upon him, we could foretell his conduct with as much certainty as we can predict any physical event. This proposition I take to be a mere interpretation of universal experience, a statement in words of what every one is internally convinced of. No one who believed that he knew thoroughly the circumstances of any case, and the characters of the different persons concerned, would hesitate to foretell how all of them would act. Whatever degree of doubt he may in fact feel, arises from the uncertainty whether he really knows the circumstances, or the character of some one or other of the persons, with the degree of accuracy required; but by no means from thinking that if he did know these things, there could be any uncertainty what the conduct would be. Nor does this full assurance conflict in the smallest degree with what is called our feeling of freedom. We do not feel ourselves the less free, because those to whom we are intimately known are well assured how we shall will to act in a particular case. We often, on the contrary, regard the doubt what our conduct will be, as a mark of ignorance of our character, and sometimes even resent it as an imputation. The religious metaphysicians who have asserted the freedom of the will, have always maintained it to be consistent with divine foreknowledge of our actions: and if with divine, then with any other foreknowledge. We may be free, and yet another may have reason to be perfectly certain what use we shall make of our freedom. It is not, therefore, the doctrine that our volitions and actions are invariable consequents of our antecedent states of mind, that is either contradicted by our consciousness, or felt to be degrading.

But the doctrine of causation, when considered as obtaining between our volitions and their antecedents, is almost universally conceived as involving more than this. Many do not believe, and very few practically feel, that there is nothing in causation but invariable, certain, and unconditional sequence. There are few to whom mere constancy of succession appears a sufficiently stringent bond of union for so peculiar a relation as that of cause and effect. Even if the reason repudiates, the imagination retains, the feeling of some more intimate connection, of some peculiar tie, or mysterious constraint exercised by the antecedent over the consequent. Now this it is which, considered as applying to the human will, conflicts with our consciousness, and revolts our feelings. We are certain that, in the case of our volitions, there is not this mysterious

constraint. We know that we are not compelled, as by a magical spell, to obey any particular motive. We feel, that if we wished to prove that we have the power of resisting the motive, we could do so (that wish being, it needs scarcely be observed, a *new antecedent*); and it would be humiliating to our pride, and (what is of more importance) paralyzing to our desire of excellence, if we thought otherwise. But neither is any such mysterious compulsion now supposed, by the best philosophical authorities, to be exercised by any other cause over its effect. Those who think that causes draw their effects after them by a mystical tie, are right in believing that the relation between volitions and their antecedents is of another nature. But they should go farther, and admit that this is also true of all other effects and their antecedents. If such a tie is considered to be involved in the word Necessity, the doctrine is not true of human actions; but neither is it then true of inanimate objects. It would be more correct to say that matter is not bound by necessity, than that mind is so.

That the free-will metaphysicians, being mostly of the school which rejects Hume's and Brown's analysis of Cause and Effect, should miss their way for want of the light which that analysis affords, can not surprise us. The wonder is, that the necessitarians, who usually admit that philosophical theory, should in practice equally lose sight of it. The very same misconception of the doctrine called Philosophical Necessity, which prevents the opposite party from recognizing its truth, I believe to exist more or less obscurely in the minds of most necessitarians, however they may in words disavow it. I am much mistaken if they habitually feel that the necessity which they recognize in actions is but uniformity of order, and capability of being predicted. They have a feeling as if there were at bottom a stronger tie between the volitions and their causes; as if, when they asserted that the will is governed by the balance of motives, they meant something more cogent than if they had only said, that whoever knew the motives, and our habitual susceptibilities to them, could predict how we should will to act. They commit, in opposition to their own scientific system, the very same mistake which their adversaries commit in obedience to theirs; and in consequence do really in some instances suffer those depressing consequences which their opponents erroneously impute to the doctrine itself.

§ 3. I am inclined to think that this error is almost wholly an effect of the associations with a word, and that it would be prevented, by forbearing to employ, for the expression of the simple fact of causation, so extremely inappropriate a term as Necessity. That word, in its other acceptations, involves much more than mere uniformity of sequence: it implies irresistibleness. Applied to the will, it only means that, the given cause will be followed by the effect, subject to all possibilities of counteraction by other causes; but in common use it stands for the operation of those causes exclusively which are supposed too powerful to be counteracted at all. When we say that all human actions take place of necessity, we only mean that they will certainly happen if nothing prevents; when we say that dying of want, to those who can not get food, is a necessity, we mean that it will certainly happen whatever may be done to prevent it. The application of the same term to the agencies on which human actions depend, as is used to express those agencies of nature which are really uncontrollable, can not fail, when habitual, to create a feeling of uncontrollableness in the former also. This, however, is a mere illusion. There are physical sequences which we call necessary, as death for want of food or air; there are others which, though as much cases of causation as the former, are not said to be necessary, as death from poison, which an antidote, or the use of the stomach-pump, will sometimes avert. It is apt to be forgotten by people's feelings, even if remembered by their understandings, that human actions are in this last predicament: they are never (except in some cases of mania) ruled by any one motive with such absolute sway that there is no room for the influence of any other. The causes, therefore, on which action depends, are never uncontrollable; and any given effect is only necessary provided that the causes tending to produce it are not controlled. That whatever happens, could not have happened otherwise, unless something had taken place which was capable of preventing it, no one surely needs hesitate to admit. But to call this by the name Necessity is to use the term in a sense so different from its primitive and familiar meaning, from that which it bears in the common occasions of life, as to amount almost to a play upon words. The associations derived from the ordinary sense of the term will adhere to it in spite of all we can do; and though the doctrine of Necessity, as stated by most who hold it, is very remote from fatalism, it is

probable that most necessitarians are fatalists, more or less, in their feelings.

A fatalist believes, or half believes (for nobody is a consistent fatalist), not only that whatever is about to happen will be the infallible result of the causes which produce it (which is the true necessitarian doctrine), but moreover that there is no use in struggling against it; that it will happen, however we may strive to prevent it. Now, a necessitarian, believing that our actions follow from our characters, and that our characters follow from our organization, our education, and our circumstances, is apt to be, with more or less of consciousness on his part, a fatalist as to his own actions, and to believe that his nature is such, or that his education and circumstances have so moulded his character, that nothing can now prevent him from feeling and acting in a particular way, or at least that no effort of his own can hinder it. In the words of the sect which in our own day has most perseveringly inculcated and most perversely misunderstood this great doctrine, his character is formed *for* him, and not *by* him; therefore his wishing that it had been formed differently is of no use; he has no power to alter it. But this is a grand error. He has, to a certain extent, a power to alter his character. Its being, in the ultimate resort, formed for him, is not inconsistent with its being, in part, formed *by* him as one of the intermediate agents. His character is formed by his circumstances (including among these his particular organization); but his own desire to mould it in a particular way, is one of those circumstances, and by no means one of the least influential. We can not, indeed, directly will to be different from what we are. But neither did those who are supposed to have formed our characters directly will that we should be what we are. Their will had no direct power except over their own actions. They made us what they did make us, by willing, not the end, but the requisite means; and we, when our habits are not too inveterate, can, by similarly willing the requisite means, make ourselves different. If they could place us under the influence of certain circumstances, we, in like manner, can place ourselves under the influence of other circumstances. We are exactly as capable of making our own character, *if we will*, as others are of making it for us.

Yes (answers the Owenite), but these words, "if we will," surrender the whole point: since the will to alter our own character is given us, not by any efforts of ours, but by circumstances which we can not help, it comes to us either from external causes, or not at all. Most true: if the Owenite stops here, he is in a position from which nothing can expel him. Our character is formed by us as well as for us; but the wish which induces us to attempt to form it is formed for us; and how? Not, in general, by our organization, nor wholly by our education, but by our experience; experience of the painful consequences of the character we previously had; or by some strong feeling of admiration or aspiration, accidentally aroused. But to think that we have no power of altering our character, and to think that we shall not use our power unless we desire to use it, are very different things, and have a very different effect on the mind. A person who does not wish to alter his character, can not be the person who is supposed to feel discouraged or paralyzed by thinking himself unable to do it. The depressing effect of the fatalist doctrine can only be felt where there is a wish to do what that doctrine represents as impossible. It is of no consequence what we think forms our character, when we have no desire of our own about forming it; but it is of great consequence that we should not be prevented from forming such a desire by thinking the attainment impracticable, and that if we have the desire, we should know that the work is not so irrevocably done as to be incapable of being altered.

And indeed, if we examine closely, we shall find that this feeling, of our being able to modify our own character *if we wish*, is itself the feeling of moral freedom which we are conscious of. A person feels morally free who feels that his habits or his temptations are not his masters, but he theirs; who, even in yielding to them, knows that he could resist; that were he desirous of altogether throwing them off, there would not be required for that purpose a stronger desire than he knows himself to be capable of feeling. It is of course necessary, to render our consciousness of freedom complete, that we should have succeeded in making our character all we have hitherto attempted to make it; for if we have wished and not attained, we have, to that extent, not power over our own character; we are not free. Or at least, we must feel that our wish, if not strong enough to alter our character, is strong enough to conquer our character when the two are

brought into conflict in any particular case of conduct. And hence it is said with truth, that none but a person of confirmed virtue is completely free.

The application of so improper a term as Necessity to the doctrine of cause and effect in the matter of human character, seems to me one of the most signal instances in philosophy of the abuse of terms, and its practical consequences one of the most striking examples of the power of language over our associations. The subject will never be generally understood until that objectionable term is dropped. The free-will doctrine, by keeping in view precisely that portion of the truth which the word Necessity puts out of sight, namely the power of the mind to co-operate in the formation of its own character, has given to its adherents a practical feeling much nearer to the truth than has generally (I believe) existed in the minds of necessitarians. The latter may have had a stronger sense of the importance of what human beings can do to shape the characters of one another; but the free-will doctrine has, I believe, fostered in its supporters a much stronger spirit of self-culture.

§ 4. There is still one fact which requires to be noticed (in addition to the existence of a power of self-formation) before the doctrine of the causation of human actions can be freed from the confusion and misapprehensions which surround it in many minds. When the will is said to be determined by motives, a motive does not mean always, or solely, the anticipation of a pleasure or of a pain. I shall not here inquire whether it be true that, in the commencement, all our voluntary actions are mere means consciously employed to obtain some pleasure or avoid some pain. It is at least certain that we gradually, through the influence of association, come to desire the means without thinking of the end; the action itself becomes an object of desire, and is performed without reference to any motive beyond itself. Thus far, it may still be objected that, the action having through association become pleasurable, we are, as much as before, moved to act by the anticipation of a pleasure, namely, the pleasure of the action itself. But granting this, the matter does not end here. As we proceed in the formation of habits, and become accustomed to will a particular act or a particular course of conduct because it is pleasurable, we at last continue to will it without any reference to its being pleasurable. Although, from some change in us or in our circumstances, we have ceased

to find any pleasure in the action, or perhaps to anticipate any pleasure as the consequence of it, we still continue to desire the action, and consequently to do it. In this manner it is that habits of hurtful excess continue to be practiced although they have ceased to be pleasurable; and in this manner also it is that the habit of willing to persevere in the course which he has chosen, does not desert the moral hero, even when the reward, however real, which he doubtless receives from the consciousness of well-doing, is any thing but an equivalent for the sufferings he undergoes, or the wishes which he may have to renounce.

A habit of willing is commonly called a purpose; and among the causes of our volitions, and of the actions which flow from them, must be reckoned not only likings and aversions, but also purposes. It is only when our purposes have become independent of the feelings of pain or pleasure from which they originally took their rise, that we are said to have a confirmed character. "A character," says Novalis, "is a completely fashioned will:" and the will, once so fashioned, may be steady and constant, when the passive susceptibilities of pleasure and pain are greatly weakened or materially changed.

With the corrections and explanations now given, the doctrine of the causation of our volitions by motives, and of motives by the desirable objects offered to us, combined with our particular susceptibilities of desire, may be considered, I hope, as sufficiently established for the purposes of this treatise.<sup>268</sup>.....

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<sup>268</sup> Some arguments and explanations, supplementary to those in the text, will be found in *An Examination of Sir William Hamilton's Philosophy*, chap. xxvi.



# CHAPTER III.

## THAT THERE IS, OR MAY BE, A SCIENCE OF HUMAN NATURE.

§ 1. It is a common notion, or at least it is implied in many common modes of speech, that the thoughts, feelings, and actions of sentient beings are not a subject of science, in the same strict sense in which this is true of the objects of outward nature. This notion seems to involve some confusion of ideas, which it is necessary to begin by clearing up.

Any facts are fitted, in themselves, to be a subject of science which follow one another according to constant laws, although those laws may not have been discovered, nor even be discoverable by our existing resources. Take, for instance, the most familiar class of meteorological phenomena, those of rain and sunshine. Scientific inquiry has not yet succeeded in ascertaining the order of antecedence and consequence among these phenomena, so as to be able, at least in our regions of the earth, to predict them with certainty, or even with any high degree of probability. Yet no one doubts that the phenomena depend on laws, and that these must be derivative laws resulting from known ultimate laws, those of heat, electricity, vaporization, and elastic fluids. Nor can it be doubted that if we were acquainted with all the antecedent circumstances, we could, even from those more general laws, predict (saving difficulties of calculation) the state of the weather at any future time. Meteorology, therefore, not only has in itself every natural requisite for being, but actually is, a science; though, from the difficulty of observing the facts on which the phenomena depend (a difficulty inherent in the peculiar nature of those phenomena), the science is extremely imperfect; and were it perfect, might probably be of little avail in practice, since the data requisite for applying its principles to particular instances would rarely be procurable.

A case may be conceived, of an intermediate character, between the perfection of science and this its extreme imperfection. It may happen that the greater causes, those on which the principal part of the phenomena



depends, are within the reach of observation and measurement; so that if no other causes intervened, a complete explanation could be given not only of the phenomena in general, but of all the variations and modifications which it admits of. But inasmuch as other, perhaps many other causes, separately insignificant in their effects, co-operate or conflict in many or in all cases with those greater causes, the effect, accordingly, presents more or less of aberration from what would be produced by the greater causes alone. Now if these minor causes are not so constantly accessible, or not accessible at all, to accurate observation, the principal mass of the effect may still, as before, be accounted for, and even predicted; but there will be variations and modifications which we shall not be competent to explain thoroughly, and our predictions will not be fulfilled accurately, but only approximately.

It is thus, for example, with the theory of the tides. No one doubts that Tidology (as Dr. Whewell proposes to call it) is really a science. As much of the phenomena as depends on the attraction of the sun and moon is completely understood, and may, in any, even unknown, part of the earth's surface, be foretold with certainty; and the far greater part of the phenomena depends on those causes. But circumstances of a local or casual nature, such as the configuration of the bottom of the ocean, the degree of confinement from shores, the direction of the wind, etc., influence, in many or in all places, the height and time of the tide; and a portion of these circumstances being either not accurately knowable, not precisely measurable, or not capable of being certainly foreseen, the tide in known places commonly varies from the calculated result of general principles by some difference that we can not explain, and in unknown ones may vary from it by a difference that we are not able to foresee or conjecture. Nevertheless, not only is it certain that these variations depend on causes, and follow their causes by laws of unerring uniformity; not only, therefore, is tidology a science, like meteorology, but it is, what hitherto at least meteorology is not, a science largely available in practice. General laws may be laid down respecting the tides, predictions may be founded on those laws, and the result will in the main, though often not with complete accuracy, correspond to the predictions.

And this is what is or ought to be meant by those who speak of sciences which are not *exact* sciences. Astronomy was once a science, without being an exact science. It could not become exact until not only the general course of the planetary motions, but the perturbations also, were accounted for, and referred to their causes. It has become an exact science, because its phenomena have been brought under laws comprehending the whole of the causes by which the phenomena are influenced, whether in a great or only in a trifling degree, whether in all or only in some cases, and assigning to each of those causes the share of effect which really belongs to it. But in the theory of the tides the only laws as yet accurately ascertained are those of the causes which affect the phenomenon in all cases, and in a considerable degree; while others which affect it in some cases only, or, if in all, only in a slight degree, have not been sufficiently ascertained and studied to enable us to lay down their laws; still less to deduce the completed law of the phenomenon, by compounding the effects of the greater with those of the minor causes. Tidology, therefore, is not yet an exact science; not from any inherent incapacity of being so, but from the difficulty of ascertaining with complete precision the real derivative uniformities. By combining, however, the exact laws of the greater causes, and of such of the minor ones as are sufficiently known, with such empirical laws or such approximate generalizations respecting the miscellaneous variations as can be obtained by specific observation, we can lay down general propositions which will be true in the main, and on which, with allowance for the degree of their probable inaccuracy, we may safely ground our expectations and our conduct.

§ 2. The science of human nature is of this description. It falls far short of the standard of exactness now realized in Astronomy; but there is no reason that it should not be as much a science as Tidology is, or as Astronomy was when its calculations had only mastered the main phenomena, but not the perturbations.

The phenomena with which this science is conversant being the thoughts, feelings, and actions of human beings, it would have attained the ideal perfection of a science if it enabled us to foretell how an individual would think, feel, or act throughout life, with the same certainty with

which astronomy enables us to predict the places and the occultations of the heavenly bodies. It needs scarcely be stated that nothing approaching to this can be done. The actions of individuals could not be predicted with scientific accuracy, were it only because we can not foresee the whole of the circumstances in which those individuals will be placed. But further, even in any given combination of (present) circumstances, no assertion, which is both precise and universally true, can be made respecting the manner in which human beings will think, feel, or act. This is not, however, because every person's modes of thinking, feeling, and acting do not depend on causes; nor can we doubt that if, in the case of any individual, our data could be complete, we even now know enough of the ultimate laws by which mental phenomena are determined, to enable us in many cases to predict, with tolerable certainty, what, in the greater number of supposable combinations of circumstances, his conduct or sentiments would be. But the impressions and actions of human beings are not solely the result of their present circumstances, but the joint result of those circumstances and of the characters of the individuals; and the agencies which determine human character are so numerous and diversified (nothing which has happened to the person throughout life being without its portion of influence), that in the aggregate they are never in any two cases exactly similar. Hence, even if our science of human nature were theoretically perfect, that is, if we could calculate any character as we can calculate the orbit of any planet, *from given data*; still, as the data are never all given, nor ever precisely alike in different cases, we could neither make positive predictions, nor lay down universal propositions.

Inasmuch, however, as many of those effects which it is of most importance to render amenable to human foresight and control are determined, like the tides, in an incomparably greater degree by general causes, than by all partial causes taken together; depending in the main on those circumstances and qualities which are common to all mankind, or at least to large bodies of them, and only in a small degree on the idiosyncrasies of organization or the peculiar history of individuals; it is evidently possible with regard to all such effects, to make predictions which will *almost* always be verified, and general propositions which are almost always true. And whenever it is sufficient to know how the great

majority of the human race, or of some nation or class of persons, will think, feel, and act, these propositions are equivalent to universal ones. For the purposes of political and social science this is sufficient. As we formerly remarked,<sup>269</sup> an approximate generalization is, in social inquiries, for most practical purposes equivalent to an exact one; that which is only probable when asserted of individual human beings indiscriminately selected, being certain when affirmed of the character and collective conduct of masses.

It is no disparagement, therefore, to the science of Human Nature, that those of its general propositions which descend sufficiently into detail to serve as a foundation for predicting phenomena in the concrete, are for the most part only approximately true. But in order to give a genuinely scientific character to the study, it is indispensable that these approximate generalizations, which in themselves would amount only to the lowest kind of empirical laws, should be connected deductively with the laws of nature from which they result; should be resolved into the properties of the causes on which the phenomena depend. In other words, the science of Human Nature may be said to exist in proportion as the approximate truths, which compose a practical knowledge of mankind, can be exhibited as corollaries from the universal laws of human nature on which they rest; whereby the proper limits of those approximate truths would be shown, and we should be enabled to deduce others for any new state of circumstances, in anticipation of specific experience.

The proposition now stated is the text on which the two succeeding chapters will furnish the comment.

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<sup>269</sup> *Supra*, p. 424.



# CHAPTER IV.

## OF THE LAWS OF MIND.

§ 1. What the Mind is, as well as what Matter is, or any other question respecting Things in themselves, as distinguished from their sensible manifestations, it would be foreign to the purposes of this treatise to consider. Here, as throughout our inquiry, we shall keep clear of all speculations respecting the mind's own nature, and shall understand by the laws of mind those of mental Phenomena; of the various feelings or states of consciousness of sentient beings. These, according to the classification we have uniformly followed, consist of Thoughts, Emotions, Volitions, and Sensations; the last being as truly states of Mind as the three former. It is usual, indeed, to speak of sensations as states of body, not of mind. But this is the common confusion, of giving one and the same name to a phenomenon and to the approximate cause or conditions of the phenomenon. The immediate antecedent of a sensation is a state of body, but the sensation itself is a state of mind. If the word Mind means any thing, it means that which feels. Whatever opinion we hold respecting the fundamental identity or diversity of matter and mind, in any case the distinction between mental and physical facts, between the internal and the external world, will always remain, as a matter of classification; and in that classification, sensations, like all other feelings, must be ranked as mental phenomena. The mechanism of their production, both in the body itself and in what is called outward nature, is all that can with any propriety be classed as physical.

The phenomena of mind, then, are the various feelings of our nature, both those improperly called physical and those peculiarly designated as mental; and by the laws of mind, I mean the laws according to which those feelings generate one another.

§ 2. All states of mind are immediately caused either by other states of mind, or by states of body. When a state of mind is produced by a state of mind, I call the law concerned in the case a law of Mind. When a state of

mind is produced directly by a state of body, the law is a law of Body, and belongs to physical science.

With regard to those states of mind which are called sensations, all are agreed that these have for their immediate antecedents, states of body. Every sensation has for its proximate cause some affection of the portion of our frame called the nervous system, whether this affection originates in the action of some external object, or in some pathological condition of the nervous organization itself. The laws of this portion of our nature — the varieties of our sensations, and the physical conditions on which they proximately depend — manifestly belong to the province of Physiology.

Whether the remainder of our mental states are similarly dependent on physical conditions, is one of the *vexatæ questiones* in the science of human nature. It is still disputed whether our thoughts, emotions, and volitions are generated through the intervention of material mechanism; whether we have organs of thought and of emotion, in the same sense in which we have organs of sensation. Many eminent physiologists hold the affirmative. These contend that a thought (for example) is as much the result of nervous agency, as a sensation; that some particular state of our nervous system, in particular of that central portion of it called the brain, invariably precedes, and is presupposed by, every state of our consciousness. According to this theory, one state of mind is never really produced by another: all are produced by states of body. When one thought seems to call up another by association, it is not really a thought which recalls a thought; the association did not exist between the two thoughts, but between the two states of the brain or nerves which preceded the thoughts: one of those states recalls the other, each being attended in its passage by the particular state of consciousness which is consequent on it. On this theory the uniformities of succession among states of mind would be mere derivative uniformities, resulting from the laws of succession of the bodily states which cause them. There would be no original mental laws, no Laws of Mind in the sense in which I use the term, at all; and mental science would be a mere branch, though the highest and most recondite branch, of the science of physiology. M. Comte, accordingly, claims the scientific cognizance of moral and intellectual phenomena exclusively for physiologists; and not only denies to

Psychology, or Mental Philosophy properly so called, the character of a science, but places it, in the chimerical nature of its objects and pretensions, almost on a par with astrology.

But, after all has been said which can be said, it remains incontestable that there exist uniformities of succession among states of mind, and that these can be ascertained by observation and experiment. Further, that every mental state has a nervous state for its immediate antecedent and proximate cause, though extremely probable, can not hitherto be said to be proved, in the conclusive manner in which this can be proved of sensations; and even were it certain, yet every one must admit that we are wholly ignorant of the characteristics of these nervous states; we know not, and at present have no means of knowing, in what respect one of them differs from another; and our only mode of studying their successions or co-existences must be by observing the successions and co-existences of the mental states, of which they are supposed to be the generators or causes. The successions, therefore, which obtain among mental phenomena, do not admit of being deduced from the physiological laws of our nervous organization; and all real knowledge of them must continue, for a long time at least, if not always, to be sought in the direct study, by observation and experiment, of the mental successions themselves. Since, therefore, the order of our mental phenomena must be studied in those phenomena, and not inferred from the laws of any phenomena more general, there is a distinct and separate Science of Mind.

The relations, indeed, of that science to the science of physiology must never be overlooked or undervalued. It must by no means be forgotten that the laws of mind may be derivative laws resulting from laws of animal life, and that their truth, therefore, may ultimately depend on physical conditions; and the influence of physiological states or physiological changes in altering or counteracting the mental successions, is one of the most important departments of psychological study. But, on the other hand, to reject the resource of psychological analysis, and construct the theory of the mind solely on such data as physiology at present affords, seems to me as great an error in principle, and an even more serious one in practice. Imperfect as is the science of mind, I do not scruple to affirm that it is in a considerably more advanced state than the portion of physiology

which corresponds to it; and to discard the former for the latter appears, to me an infringement of the true canons of inductive philosophy, which must produce, and which does produce, erroneous conclusions in some very important departments of the science of human nature.

§ 3. The subject, then, of Psychology is the uniformities of succession, the laws, whether ultimate or derivative, according to which one mental state succeeds another; is caused by, or at least, is caused to follow, another. Of these laws some are general, others more special. The following are examples of the most general laws:

First. Whenever any state of consciousness has once been excited in us, no matter by what cause, an inferior degree of the same state of consciousness, a state of consciousness resembling the former, but inferior in intensity, is capable of being reproduced in us, without the presence of any such cause as excited it at first. Thus, if we have once seen or touched an object, we can afterward think of the object though it be absent from our sight or from our touch. If we have been joyful or grieved at some event, we can think of or remember our past joy or grief, though no new event of a happy or painful nature has taken place. When a poet has put together a mental picture of an imaginary object, a Castle of Indolence, a Una, or a Hamlet, he can afterward think of the ideal object he has created, without any fresh act of intellectual combination. This law is expressed by saying, in the language of Hume, that every mental *impression* has its *idea*.

Secondly. These ideas, or secondary mental states, are excited by our impressions, or by other ideas, according to certain laws which are called Laws of Association. Of these laws the first is, that similar ideas tend to excite one another. The second is, that when two impressions have been frequently experienced (or even thought of) either simultaneously or in immediate succession, then whenever one of these impressions, or the idea of it, recurs, it tends to excite the idea of the other. The third law is, that greater intensity in either or both of the impressions is equivalent, in rendering them excitable by one another, to a greater frequency of conjunction. These are the laws of ideas, on which I shall not enlarge in this place, but refer the reader to works professedly psychological, in particular to Mr. James Mill's *Analysis of the Phenomena of the Human*



*Mind*, where the principal laws of association, along with many of their applications, are copiously exemplified, and with a masterly hand.<sup>270</sup>.....

These simple or elementary Laws of Mind have been ascertained by the ordinary methods of experimental inquiry; nor could they have been ascertained in any other manner. But a certain number of elementary laws having thus been obtained, it is a fair subject of scientific inquiry how far those laws can be made to go in explaining the actual phenomena. It is obvious that complex laws of thought and feeling not only may, but must, be generated from these simple laws. And it is to be remarked, that the case is not always one of Composition of Causes: the effect of concurring causes is not always precisely the sum of the effects of those causes when separate, nor even always an effect of the same kind with them. Reverting to the distinction which occupies so prominent a place in the theory of induction, the laws of the phenomena of mind are sometimes analogous to mechanical, but sometimes also to chemical laws. When many impressions or ideas are operating in the mind together, there sometimes takes place a process of a similar kind to chemical combination. When impressions have been so often experienced in conjunction, that each of them calls up readily and instantaneously the ideas of the whole group, those ideas sometimes melt and coalesce into one another, and appear not several ideas, but one; in the same manner as, when the seven prismatic colors are presented to the eye in rapid succession, the sensation produced is that of white. But as in this last case it is correct to say that the seven colors when they rapidly follow one another *generate* white, but not that they actually *are* white; so it appears to me that the Complex Idea, formed by the blending together of several simpler ones, should, when it really appears simple (that is, when the separate elements are not consciously distinguishable in it), be said to *result from*, or *be generated by*, the simple ideas, not to *consist* of them. Our idea of an orange really *consists* of the simple ideas of a certain color, a certain form, a certain taste and smell, etc., because we can, by interrogating our consciousness, perceive all these elements in the idea. But we can not perceive, in so apparently simple a feeling as our perception of the shape of an object by the eye, all that multitude of ideas derived from other senses, without which it is well ascertained that no such visual perception would ever have had existence; nor, in our idea of Extension, can we discover those elementary ideas of

resistance, derived from our muscular frame, in which it has been conclusively shown that the idea originates. These, therefore, are cases of mental chemistry; in which it is proper to say that the simple ideas generate, rather than that they compose, the complex ones.

With respect to all the other constituents of the mind, its beliefs, its abstruser conceptions, its sentiments, emotions, and volitions, there are some (among whom are Hartley and the author of the *Analysis*) who think that the whole of these are generated from simple ideas of sensation, by a chemistry similar to that which we have just exemplified. These philosophers have made out a great part of their case, but I am not satisfied that they have established the whole of it. They have shown that there is such a thing as mental chemistry; that the heterogeneous nature of a feeling A, considered in relation to B and C, is no conclusive argument against its being generated from B and C. Having proved this, they proceed to show, that where A is found, B and C were, or may have been present, and why, therefore, they ask, should not A have been generated from B and C? But even if this evidence were carried to the highest degree of completeness which it admits of; if it were shown (which hitherto it has not, in all cases, been) that certain groups of associated ideas not only might have been, but actually were, present whenever the more recondite mental feeling was experienced; this would amount only to the Method of Agreement, and could not prove causation until confirmed by the more conclusive evidence of the Method of Difference. If the question be whether Belief is a mere case of close association of ideas, it would be necessary to examine experimentally if it be true that any ideas whatever, provided they are associated with the required degree of closeness, give rise to belief. If the inquiry be into the origin of moral feelings, the feeling for example of moral reprobation, it is necessary to compare all the varieties of actions or states of mind which are ever morally disapproved, and see whether in all these cases it can be shown, or reasonably surmised, that the action or state of mind had become connected by association, in the disapproving mind, with some particular class of hateful or disgusting ideas; and the method employed is, thus far, that of Agreement. But this is not enough. Supposing this proved, we must try further by the Method of Difference, whether this particular kind of hateful or disgusting ideas, when it becomes associated with an action previously indifferent, will

render that action a subject of moral disapproval. If this question can be answered in the affirmative, it is shown to be a law of the human mind, that an association of that particular description is the generating cause of moral reprobation. That all this is the case has been rendered extremely probable, but the experiments have not been tried with the degree of precision necessary for a complete and absolutely conclusive induction.<sup>271</sup>

It is further to be remembered, that even if all which this theory of mental phenomena contends for could be proved, we should not be the more enabled to resolve the laws of the more complex feelings into those of the simpler ones. The generation of one class of mental phenomena from another, whenever it can be made out, is a highly interesting fact in psychological chemistry; but it no more supersedes the necessity of an experimental study of the generated phenomenon, than a knowledge of the properties of oxygen and sulphur enables us to deduce those of sulphuric acid without specific observation and experiment. Whatever, therefore, may be the final issue of the attempt to account for the origin of our judgments, our desires, or our volitions, from simpler mental phenomena, it is not the less imperative to ascertain the sequences of the complex phenomena themselves, by special study in conformity to the canons of Induction. Thus, in respect to Belief, psychologists will always have to inquire what beliefs we have by direct consciousness, and according to what laws one belief produces another; what are the laws in virtue of which one thing is recognized by the mind, either rightly or erroneously, as evidence of another thing. In regard to Desire, they will have to examine what objects we desire naturally, and by what causes we are made to desire things originally indifferent, or even disagreeable to us; and so forth. It may be remarked that the general laws of association prevail among these more intricate states of mind, in the same manner as among the simpler ones. A desire, an emotion, an idea of the higher order of abstraction, even our judgments and volitions, when they have become habitual, are called up by association, according to precisely the same laws as our simple ideas.

§ 4. In the course of these inquiries, it will be natural and necessary to examine how far the production of one state of mind by another is influenced by any assignable state of body. The commonest observation

shows that different minds are susceptible in very different degrees to the action of the same psychological causes. The idea, for example, of a given desirable object will excite in different minds very different degrees of intensity of desire. The same subject of meditation, presented to different minds, will excite in them very unequal degrees of intellectual action. These differences of mental susceptibility in different individuals may be, first, original and ultimate facts; or, secondly, they may be consequences of the previous mental history of those individuals; or, thirdly and lastly, they may depend on varieties of physical organization. That the previous mental history of the individuals must have some share in producing or in modifying the whole of their mental character, is an inevitable consequence of the laws of mind; but that differences of bodily structure also co-operate, is the opinion of all physiologists, confirmed by common experience. It is to be regretted that hitherto this experience, being accepted in the gross, without due analysis, has been made the groundwork of empirical generalizations most detrimental to the progress of real knowledge.

It is certain that the natural differences which really exist in the mental predispositions or susceptibilities of different persons are often not unconnected with diversities in their organic constitution. But it does not therefore follow that these organic differences must in all cases influence the mental phenomena directly and immediately. They often affect them through the medium of their psychological causes. For example, the idea of some particular pleasure may excite in different persons, even independently of habit or education, very different strengths of desire, and this may be the effect of their different degrees or kinds of nervous susceptibility; but these organic differences, we must remember, will render the pleasurable sensation itself more intense in one of these persons than in the other; so that the idea of the pleasure will also be an intenser feeling, and will, by the operation of mere mental laws, excite an intenser desire, without its being necessary to suppose that the desire itself is directly influenced by the physical peculiarity. As in this, so in many cases, such differences in the kind or in the intensity of the physical sensations as must necessarily result from differences of bodily organization, will of themselves account for many differences not only in the degree, but even in the kind, of the other mental phenomena. So true is

this, that even different *qualities* of mind, different types of mental character, will naturally be produced by mere differences of intensity in the sensations generally; as is well pointed out in the able essay on Dr. Priestley, by Mr. Martineau, mentioned in a former chapter:

“The sensations which form the elements of all knowledge are received either simultaneously or successively: when several are received simultaneously, as the smell, the taste, the color, the form, etc., of a fruit, their association together constitutes our idea of an *object*; when received successively, their association makes up the idea of an *event*. Any thing, then, which favors the associations of synchronous ideas will tend to produce a knowledge of objects, a perception of qualities; while any thing which favors association in the successive order, will tend to produce a knowledge of events, of the order of occurrences, and of the connection of cause and effect: in other words, in the one case a perceptive mind, with a discriminate feeling of the pleasurable and painful properties of things, a sense of the grand and the beautiful will be the result: in the other, a mind attentive to the movements and phenomena, a ratiocinative and philosophic intellect. Now it is an acknowledged principle, that all sensations experienced during the presence of any vivid impression become strongly associated with it, and with each other; and does it not follow that the synchronous feelings of a sensitive constitution (*i.e.*, the one which has vivid impressions) will be more intimately blended than in a differently formed mind? If this suggestion has any foundation in truth, it leads to an inference not unimportant; that where nature has endowed an individual with great original susceptibility, he will probably be distinguished by fondness for natural history, a relish for the beautiful and great, and moral enthusiasm; where there is but a mediocrity of sensibility, a love of science, of abstract truth, with a deficiency of taste and of fervor, is likely to be the result.”

We see from this example, that when the general laws of mind are more accurately known, and, above all, more skillfully applied to the detailed explanation of mental peculiarities, they will account for many more of those peculiarities than is ordinarily supposed. Unfortunately the reaction of the last and present generation against the philosophy of the eighteenth century has produced a very general neglect of this great

department of analytical inquiry; of which, consequently, the recent progress has been by no means proportional to its early promise. The majority of those who speculate on human nature prefer dogmatically to assume that the mental differences which they perceive, or think they perceive, among human beings, are ultimate facts, incapable of being either explained or altered, rather than take the trouble of fitting themselves, by the requisite processes of thought, for referring those mental differences to the outward causes by which they are for the most part produced, and on the removal of which they would cease to exist. The German school of metaphysical speculation, which has not yet lost its temporary predominance in European thought, has had this among many other injurious influences; and at the opposite extreme of the psychological scale, no writer, either of early or of recent date, is chargeable in a higher degree with this aberration from the true scientific spirit, than M. Comte.

It is certain that, in human beings at least, differences in education and in outward circumstances are capable of affording an adequate explanation of by far the greatest portion of character; and that the remainder may be in great part accounted for by physical differences in the sensations produced in different individuals by the same external or internal cause. There are, however, some mental facts which do not seem to admit of these modes of explanation. Such, to take the strongest case, are the various instincts of animals, and the portion of human nature which corresponds to those instincts. No mode has been suggested, even by way of hypothesis, in which these can receive any satisfactory, or even plausible, explanation from psychological causes alone; and there is great reason to think that they have as positive, and even as direct and immediate, a connection with physical conditions of the brain and nerves as any of our mere sensations have. A supposition which (it is perhaps not superfluous to add) in no way conflicts with the indisputable fact that these instincts may be modified to any extent, or entirely conquered, in human beings, and to no inconsiderable extent even in some of the domesticated animals, by other mental influences, and by education.

Whether organic causes exercise a direct influence over any other classes of mental phenomena, is hitherto as far from being ascertained as

is the precise nature of the organic conditions even in the case of instincts. The physiology, however, of the brain and nervous system is in a state of such rapid advance, and is continually bringing forth such new and interesting results, that if there be really a connection between mental peculiarities and any varieties cognizable by our senses in the structure of the cerebral and nervous apparatus, the nature of that connection is now in a fair way of being found out. The latest discoveries in cerebral physiology appear to have proved that any such connection which may exist is of a radically different character from that contended for by Gall and his followers, and that, whatever may hereafter be found to be the true theory of the subject, phrenology at least is untenable.

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<sup>270</sup> When this chapter was written, Professor Bain had not yet published even the first part ("The Senses and the Intellect") of his profound Treatise on the Mind. In this the laws of association have been more comprehensively stated and more largely exemplified than by any previous writer; and the work, having been completed by the publication of "The Emotions and the Will," may now be referred to as incomparably the most complete analytical exposition of the mental phenomena, on the basis of a legitimate Induction, which has yet been produced. More recently still, Mr. Bain has joined with me in appending to a new edition of the "Analysis," notes intended to bring up the analytic science of Mind to its latest improvements.

Many striking applications of the laws of association to the explanation of complex mental phenomena are also to be found in Mr. Herbert Spencer's "Principles of Psychology."

<sup>271</sup> In the case of the moral sentiments the place of direct experiment is to a considerable extent supplied by historical experience, and we are able to trace with a tolerable approach to certainty the particular associations by which those sentiments are engendered. This has been attempted, so far as respects the sentiment of justice, in a little work by the present author, entitled *Utilitarianism*.



# CHAPTER V.

## OF ETHOLOGY, OR THE SCIENCE OF THE FORMATION OF CHARACTER.

§ 1. The laws of mind as characterized in the preceding chapter, compose the universal or abstract portion of the philosophy of human nature; and all the truths of common experience, constituting a practical knowledge of mankind, must, to the extent to which they are truths, be results or consequences of these. Such familiar maxims, when collected *a posteriori* from observation of life, occupy among the truths of the science the place of what, in our analysis of Induction, have so often been spoken of under the title of Empirical Laws.

An Empirical Law (it will be remembered) is a uniformity, whether of succession or of co-existence, which holds true in all instances within our limits of observation, but is not of a nature to afford any assurance that it would hold beyond those limits; either because the consequent is not really the effect of the antecedent, but forms part along with it of a chain of effects flowing from prior causes not yet ascertained, or because there is ground to believe that the sequence (though a case of causation) is resolvable into simpler sequences, and, depending therefore on a concurrence of several natural agencies, is exposed to an unknown multitude of possibilities of counteraction. In other words, an empirical law is a generalization, of which, not content with finding it true, we are obliged to ask, why is it true? knowing that its truth is not absolute, but dependent on some more general conditions, and that it can only be relied on in so far as there is ground of assurance that those conditions are realized.

Now, the observations concerning human affairs collected from common experience are precisely of this nature. Even if they were universally and exactly true within the bounds of experience, which they never are, still they are not the ultimate laws of human action; they are not the principles of human nature, but results of those principles under the circumstances in which mankind have happened to be placed. When the



Psalmist “said in his haste that all men are liars,” he enunciated what in some ages and countries is borne out by ample experience; but it is not a law of man’s nature to lie; though it is one of the consequences of the laws of human nature, that lying is nearly universal when certain external circumstances exist universally, especially circumstances productive of habitual distrust and fear. When the character of the old is asserted to be cautious, and of the young impetuous, this, again, is but an empirical law; for it is not because of their youth that the young are impetuous, nor because of their age that the old are cautious. It is chiefly, if not wholly, because the old, during their many years of life, have generally had much experience of its various evils, and having suffered or seen others suffer much from incautious exposure to them, have acquired associations favorable to circumspection; while the young, as well from the absence of similar experience as from the greater strength of the inclinations which urge them to enterprise, engage themselves in it more readily. Here, then, is the *explanation* of the empirical law; here are the conditions which ultimately determine whether the law holds good or not. If an old man has not been oftener than most young men in contact with danger and difficulty, he will be equally incautious; if a youth has not stronger inclinations than an old man, he probably will be as little enterprising. The empirical law derives whatever truth it has from the causal laws of which it is a consequence. If we know those laws, we know what are the limits to the derivative law; while, if we have not yet accounted for the empirical law — if it rests only on observation — there is no safety in applying it far beyond the limits of time, place, and circumstance in which the observations were made.

The really scientific truths, then, are not these empirical laws, but the causal laws which explain them. The empirical laws of those phenomena which depend on known causes, and of which a general theory can therefore be constructed, have, whatever may be their value in practice, no other function in science than that of verifying the conclusions of theory. Still more must this be the case when most of the empirical laws amount, even within the limits of observation, only to approximate generalizations.

§ 2. This, however, is not, so much as is sometimes supposed, a peculiarity of the sciences called moral. It is only in the simplest branches

of science that empirical laws are ever exactly true; and not always in those. Astronomy, for example, is the simplest of all the sciences which explain, in the concrete, the actual course of natural events. The causes or forces on which astronomical phenomena depend, are fewer in number than those which determine any other of the great phenomena of nature. Accordingly, as each effect results from the conflict of but few causes, a great degree of regularity and uniformity might be expected to exist among the effects; and such is really the case: they have a fixed order, and return in cycles. But propositions which should express, with absolute correctness, all the successive positions of a planet until the cycle is completed, would be of almost unmanageable complexity, and could be obtained from theory alone. The generalizations which can be collected on the subject from direct observation, even such as Kepler's law, are mere approximations; the planets, owing to their perturbations by one another, do not move in exact ellipses. Thus even in astronomy, perfect exactness in the mere empirical laws is not to be looked for; much less, then, in more complex subjects of inquiry.

The same example shows how little can be inferred against the universality or even the simplicity of the ultimate laws, from the impossibility of establishing any but approximate empirical laws of the effects. The laws of causation according to which a class of phenomena are produced may be very few and simple, and yet the effects themselves may be so various and complicated that it shall be impossible to trace any regularity whatever completely through them. For the phenomena in question may be of an eminently modifiable character; insomuch that innumerable circumstances are capable of influencing the effect, although they may all do it according to a very small number of laws. Suppose that all which passes in the mind of man is determined by a few simple laws; still, if those laws be such that there is not one of the facts surrounding a human being, or of the events which happen to him, that does not influence in some mode or degree his subsequent mental history, and if the circumstances of different human beings are extremely different, it will be no wonder if very few propositions can be made respecting the details of their conduct or feelings, which will be true of all mankind.

Now, without deciding whether the ultimate laws of our mental nature are few or many, it is at least certain that they are of the above description. It is certain that our mental states, and our mental capacities and susceptibilities, are modified, either for a time or permanently, by every thing which happens to us in life. Considering, therefore, how much these modifying causes differ in the case of any two individuals, it would be unreasonable to expect that the empirical laws of the human mind, the generalizations which can be made respecting the feelings or actions of mankind without reference to the causes that determine them, should be any thing but approximate generalizations. They are the common wisdom of common life, and as such are invaluable; especially as they are mostly to be applied to cases not very dissimilar to those from which they were collected. But when maxims of this sort, collected from Englishmen, come to be applied to Frenchmen, or when those collected from the present day are applied to past or future generations, they are apt to be very much at fault. Unless we have resolved the empirical law into the laws of the causes on which it depends, and ascertained that those causes extend to the case which we have in view, there can be no reliance placed in our inferences. For every individual is surrounded by circumstances different from those of every other individual; every nation or generation of mankind from every other nation or generation: and none of these differences are without their influence in forming a different type of character. There is, indeed, also a certain general resemblance; but peculiarities of circumstances are continually constituting exceptions even to the propositions which are true in the great majority of cases.

Although, however, there is scarcely any mode of feeling or conduct which is, in the absolute sense, common to all mankind; and though the generalizations which assert that any given variety of conduct or feeling will be found universally (however nearly they may approximate to truth within given limits of observation), will be considered as scientific propositions by no one who is at all familiar with scientific investigation; yet all modes of feeling and conduct met with among mankind have causes which produce them; and in the propositions which assign those causes will be found the explanation of the empirical laws, and the limiting principle of our reliance on them. Human beings do not all feel and act alike in the same circumstances; but it is possible to determine what

makes one person, in a given position, feel or act in one way, another in another; how any given mode of feeling and conduct, compatible with the general laws (physical and mental) of human nature, has been, or may be, formed. In other words, mankind have not one universal character, but there exist universal laws of the Formation of Character. And since it is by these laws, combined with the facts of each particular case, that the whole of the phenomena of human action and feeling are produced, it is on these that every rational attempt to construct the science of human nature in the concrete, and for practical purposes, must proceed.

§ 3. The laws, then, of the formation of character being the principal object of scientific inquiry into human nature, it remains to determine the method of investigation best fitted for ascertaining them. And the logical principles according to which this question is to be decided, must be those which preside over every other attempt to investigate the laws of very complex phenomena. For it is evident that both the character of any human being, and the aggregate of the circumstances by which that character has been formed, are facts of a high order of complexity. Now to such cases we have seen that the Deductive Method, setting out from general laws, and verifying their consequences by specific experience, is alone applicable. The grounds of this great logical doctrine have formerly been stated; and its truth will derive additional support from a brief examination of the specialties of the present case.

There are only two modes in which laws of nature can be ascertained — deductively and experimentally; including under the denomination of experimental inquiry, observation as well as artificial experiment. Are the laws of the formation of character susceptible of a satisfactory investigation by the method of experimentation? Evidently not; because, even if we suppose unlimited power of varying the experiment (which is abstractedly possible, though no one but an Oriental despot has that power, or, if he had, would probably be disposed to exercise it), a still more essential condition is wanting — the power of performing any of the experiments with scientific accuracy.

The instances requisite for the prosecution of a directly experimental inquiry into the formation of character, would be a number of human beings to bring up and educate, from infancy to mature age. And to

perform any one of these experiments with scientific propriety, it would be necessary to know and record every sensation or impression received by the young pupil from a period long before it could speak; including its own notions respecting the sources of all those sensations and impressions. It is not only impossible to do this completely, but even to do so much of it as should constitute a tolerable approximation. One apparently trivial circumstance which eluded our vigilance might let in a train of impressions and associations sufficient to vitiate the experiment as an authentic exhibition of the effects flowing from given causes. No one who has sufficiently reflected on education is ignorant of this truth; and whoever has not, will find it most instructively illustrated in the writings of Rousseau and Helvetius on that great subject.

Under this impossibility of studying the laws of the formation of character by experiments purposely contrived to elucidate them, there remains the resource of simple observation. But if it be impossible to ascertain the influencing circumstances with any approach to completeness even when we have the shaping of them ourselves, much more impossible is it when the cases are further removed from our observation, and altogether out of our control. Consider the difficulty of the very first step — of ascertaining what actually is the character of the individual, in each particular case that we examine. There is hardly any person living concerning some essential part of whose character there are not differences of opinion even among his intimate acquaintances; and a single action, or conduct continued only for a short time, goes a very little way toward ascertaining it. We can only make our observations in a rough way and *en masse*; not attempting to ascertain completely in any given instance, what character has been formed, and still less by what causes; but only observing in what state of previous circumstances it is found that certain marked mental qualities or deficiencies *oftenest* exist. These conclusions, besides that they are mere approximate generalizations, deserve no reliance, even as such, unless the instances are sufficiently numerous to eliminate not only chance, but every assignable circumstance in which a number of the cases examined may happen to have resembled one another. So numerous and various, too, are the circumstances which form individual character, that the consequence of any particular combination is hardly ever some definite and strongly marked character,

always found where that combination exists, and not otherwise. What is obtained, even after the most extensive and accurate observation, is merely a comparative result; as, for example, that in a given number of Frenchmen, taken indiscriminately, there will be found more persons of a particular mental tendency, and fewer of the contrary tendency, than among an equal number of Italians or English, similarly taken; or thus: of a hundred Frenchmen and an equal number of Englishmen, fairly selected, and arranged according to the degree in which they possess a particular mental characteristic, each number, 1, 2, 3, etc., of the one series, will be found to possess more of that characteristic than the corresponding number of the other. Since, therefore, the comparison is not one of kinds, but of ratios and degrees; and since, in proportion as the differences are slight, it requires a greater number of instances to eliminate chance, it can not often happen to any one to know a sufficient number of cases with the accuracy requisite for making the sort of comparison last mentioned; less than which, however, would not constitute a real induction. Accordingly, there is hardly one current opinion respecting the characters of nations, classes, or descriptions of persons, which is universally acknowledged as indisputable.<sup>272</sup>.....

And finally, if we could even obtain by way of experiment a much more satisfactory assurance of these generalizations than is really possible, they would still be only empirical laws. They would show, indeed, that there was some connection between the type of character formed and the circumstances existing in the case; but not what the precise connection was, nor to which of the peculiarities of those circumstances the effect was really owing. They could only, therefore, be received as results of causation, requiring to be resolved into the general laws of the causes: until the determination of which, we could not judge within what limits the derivative laws might serve as presumptions in cases yet unknown, or even be depended on as permanent in the very cases from which they were collected. The French people had, or were supposed to have, a certain national character; but they drive out their royal family and aristocracy, alter their institutions, pass through a series of extraordinary events for the greater part of a century, and at the end of that time their character is found to have undergone important changes. A long list of mental and moral differences are observed, or supposed to exist between men and

women; but at some future and, it may be hoped, not distant period, equal freedom and an equally independent social position come to be possessed by both, and their differences of character are either removed or totally altered.

But if the differences which we think we observe between French and English, or between men and women, can be connected with more general laws; if they be such as might be expected to be produced by the differences of government, former customs, and physical peculiarities in the two nations, and by the diversities of education, occupations, personal independence, and social privileges, and whatever original differences there may be in bodily strength and nervous sensibility between the two sexes; then, indeed, the coincidence of the two kinds of evidence justifies us in believing that we have both reasoned rightly and observed rightly. Our observation, though not sufficient as proof, is ample as verification. And having ascertained not only the empirical laws, but the causes, of the peculiarities, we need be under no difficulty in judging how far they may be expected to be permanent, or by what circumstances they would be modified or destroyed.

§ 4. Since then it is impossible to obtain really accurate propositions respecting the formation of character from observation and experiment alone, we are driven perforce to that which, even if it had not been the indispensable, would have been the most perfect, mode of investigation, and which it is one of the principal aims of philosophy to extend; namely, that which tries its experiments not on the complex facts, but on the simple ones of which they are compounded; and after ascertaining the laws of the causes, the composition of which gives rise to the complex phenomena, then considers whether these will not explain and account for the approximate generalizations which have been framed empirically respecting the sequences of those complex phenomena. The laws of the formation of character are, in short, derivative laws, resulting from the general laws of mind, and are to be obtained by deducing them from those general laws by supposing any given set of circumstances, and then considering what, according to the laws of mind, will be the influence of those circumstances on the formation of character.

A science is thus formed, to which I would propose to give the name of Ethology, or the Science of Character, from ἠθος, a word more nearly corresponding to the term “character” as I here use it, than any other word in the same language. The name is perhaps etymologically applicable to the entire science of our mental and moral nature; but if, as is usual and convenient, we employ the name Psychology for the science of the elementary laws of mind, Ethology will serve for the ulterior science which determines the kind of character produced in conformity to those general laws by any set of circumstances, physical and moral. According to this definition, Ethology is the science which corresponds to the art of education in the widest sense of the term, including the formation of national or collective character as well as individual. It would indeed be vain to expect (however completely the laws of the formation of character might be ascertained) that we could know so accurately the circumstances of any given case as to be able positively to predict the character that would be produced in that case. But we must remember that a degree of knowledge far short of the power of actual prediction is often of much practical value. There may be great power of influencing phenomena, with a very imperfect knowledge of the causes by which they are in any given instance determined. It is enough that we know that certain means have a *tendency* to produce a given effect, and that others have a tendency to frustrate it. When the circumstances of an individual or of a nation are in any considerable degree under our control, we may, by our knowledge of tendencies, be enabled to shape those circumstances in a manner much more favorable to the ends we desire, than the shape which they would of themselves assume. This is the limit of our power; but within this limit the power is a most important one.

This science of Ethology may be called the Exact Science of Human Nature; for its truths are not, like the empirical laws which depend on them, approximate generalizations, but real laws. It is, however (as in all cases of complex phenomena), necessary to the exactness of the propositions, that they should be hypothetical only, and affirm tendencies, not facts. They must not assert that something will always, or certainly, happen; but only that such and such will be the effect of a given cause, so far as it operates uncounteracted. It is a scientific proposition, that bodily strength tends to make men courageous; not that it always makes them so:



that an interest on one side of a question tends to bias the judgment; not that it invariably does so: that experience tends to give wisdom; not that such is always its effect. These propositions, being assertive only of tendencies, are not the less universally true because the tendencies may be frustrated.

§ 5. While, on the one hand, Psychology is altogether, or principally, a science of observation and experiment, Ethology, as I have conceived it, is, as I have already remarked, altogether deductive. The one ascertains the simple laws of Mind in general, the other traces their operation in complex combinations of circumstances. Ethology stands to Psychology in a relation very similar to that in which the various branches of natural philosophy stand to mechanics. The principles of Ethology are properly the middle principles, the *axiomata media* (as Bacon would have said) of the science of mind: as distinguished, on the one hand, from the empirical laws resulting from simple observation, and, on the other, from the highest generalizations.

And this seems a suitable place for a logical remark, which, though of general application, is of peculiar importance in reference to the present subject. Bacon has judiciously observed that the *axiomata media* of every science principally constitute its value. The lowest generalizations, until explained by and resolved into the middle principles of which they are the consequences, have only the imperfect accuracy of empirical laws; while the most general laws are *too* general, and include too few circumstances, to give sufficient indication of what happens in individual cases, where the circumstances are almost always immensely numerous. In the importance, therefore, which Bacon assigns, in every science, to the middle principles, it is impossible not to agree with him. But I conceive him to have been radically wrong in his doctrine respecting the mode in which these *axiomata media* should be arrived at; though there is no one proposition laid down in his works for which he has been more extravagantly eulogized. He enunciates as a universal rule that induction should proceed from the lowest to the middle principles, and from those to the highest, never reversing that order, and, consequently, leaving no room for the discovery of new principles by way of deduction at all. It is not to be conceived that a man of his sagacity could have fallen into this mistake if

there had existed in his time, among the sciences which treat of successive phenomena, one single instance of a deductive science, such as mechanics, astronomy, optics, acoustics, etc., now are. In those sciences it is evident that the higher and middle principles are by no means derived from the lowest, but the reverse. In some of them the very highest generalizations were those earliest ascertained with any scientific exactness; as, for example (in mechanics), the laws of motion. Those general laws had not, indeed, at first the acknowledged universality which they acquired after having been successfully employed to explain many classes of phenomena to which they were not originally seen to be applicable; as when the laws of motion were employed, in conjunction with other laws, to explain deductively the celestial phenomena. Still, the fact remains, that the propositions which were afterward recognized as the most general truths of the science were, of all its accurate generalizations, those earliest arrived at. Bacon's greatest merit can not therefore consist, as we are so often told that it did, in exploding the vicious method pursued by the ancients of flying to the highest generalizations first, and deducing the middle principles from them; since this is neither a vicious nor an exploded, but the universally accredited method of modern science, and that to which it owes its greatest triumphs. The error of ancient speculation did not consist in making the largest generalizations first, but in making them without the aid or warrant of rigorous inductive methods, and applying them deductively without the needful use of that important part of the Deductive Method termed Verification.

The order in which truths of the various degrees of generality should be ascertained can not, I apprehend, be prescribed by any unbending rule. I know of no maxim which can be laid down on the subject, but to obtain those first in respect to which the conditions of a real induction can be first and most completely realized. Now, wherever our means of investigation can reach causes, without stopping at the empirical laws of the effects, the simplest cases, being those in which fewest causes are simultaneously concerned, will be most amenable to the inductive process; and these are the cases which elicit laws of the greatest comprehensiveness. In every science, therefore, which has reached the stage at which it becomes a science of causes, it will be usual as well as desirable first to obtain the highest generalizations, and then deduce the more special ones from them.

Nor can I discover any foundation for the Baconian maxim, so much extolled by subsequent writers, except this: That before we attempt to explain deductively from more general laws any new class of phenomena, it is desirable to have gone as far as is practicable in ascertaining the empirical laws of those phenomena; so as to compare the results of deduction, not with one individual instance after another, but with general propositions expressive of the points of agreement which have been found among many instances. For if Newton had been obliged to verify the theory of gravitation, not by deducing from it Kepler's laws, but by deducing all the observed planetary positions which had served Kepler to establish those laws, the Newtonian theory would probably never have emerged from the state of an hypothesis.<sup>273</sup>

The applicability of these remarks to the special case under consideration can not admit of question. The science of the formation of character is a science of causes. The subject is one to which those among the canons of induction, by which laws of causation are ascertained, can be rigorously applied. It is, therefore, both natural and advisable to ascertain the simplest, which are necessarily the most general, laws of causation first, and to deduce the middle principles from them. In other words, Ethology, the deductive science, is a system of corollaries from Psychology, the experimental science.

§ 6. Of these, the earlier alone has been, as yet, really conceived or studied as a science; the other, Ethology, is still to be created. But its creation has at length become practicable. The empirical laws, destined to verify its deductions, have been formed in abundance by every successive age of humanity; and the premises for the deductions are now sufficiently complete. Excepting the degree of uncertainty which still exists as to the extent of the natural differences of individual minds, and the physical circumstances on which these may be dependent (considerations which are of secondary importance when we are considering mankind in the average, or *en masse*), I believe most competent judges will agree that the general laws of the different constituent elements of human nature are even now sufficiently understood to render it possible for a competent thinker to deduce from those laws, with a considerable approach to certainty, the particular type of character which would be formed in

mankind generally by any assumed set of circumstances. A science of Ethology, founded on the laws of Psychology, is therefore possible; though little has yet been done, and that little not at all systematically, toward forming it. The progress of this important but most imperfect science will depend on a double process: first, that of deducing theoretically the ethological consequences of particular circumstances of position, and comparing them with the recognized results of common experience; and, secondly, the reverse operation; increased study of the various types of human nature that are to be found in the world; conducted by persons not only capable of analyzing and recording the circumstances in which these types severally prevail, but also sufficiently acquainted with psychological laws to be able to explain and account for the characteristics of the type, by the peculiarities of the circumstances: the residuum alone, when there proves to be any, being set down to the account of congenital predispositions.

For the experimental or *a posteriori* part of this process, the materials are continually accumulating by the observation of mankind. So far as thought is concerned, the great problem of Ethology is to deduce the requisite middle principles from the general laws of Psychology. The subject to be studied is, the origin and sources of all those qualities in human beings which are interesting to us, either as facts to be produced, to be avoided, or merely to be understood; and the object is, to determine, from the general laws of mind, combined with the general position of our species in the universe, what actual or possible combinations of circumstances are capable of promoting or of preventing the production of those qualities. A science which possesses middle principles of this kind, arranged in the order, not of causes, but of the effects which it is desirable to produce or to prevent, is duly prepared to be the foundation of the corresponding Art. And when Ethology shall be thus prepared, practical education will be the mere transformation of those principles into a parallel system of precepts, and the adaptation of these to the sum total of the individual circumstances which exist in each particular case.

It is hardly necessary again to repeat that, as in every other deductive science, verification *a posteriori* must proceed *pari passu* with deduction *a priori*. The inference given by theory as to the type of character which

would be formed by any given circumstances must be tested by specific experience of those circumstances whenever obtainable; and the conclusions of the science as a whole must undergo a perpetual verification and correction from the general remarks afforded by common experience respecting human nature in our own age, and by history respecting times gone by. The conclusions of theory can not be trusted, unless confirmed by observation; nor those of observation, unless they can be affiliated to theory, by deducing them from the laws of human nature, and from a close analysis of the circumstances of the particular situation. It is the accordance of these two kinds of evidence separately taken — the consilience of *a priori* reasoning and specific experience — which forms the only sufficient ground for the principles of any science so “immersed in matter,” dealing with such complex and concrete phenomena, as Ethology.

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<sup>272</sup> The most favorable cases for making such approximate generalizations are what may be termed collective instances; where we are fortunately enabled to see the whole class respecting which we are inquiring in action at once, and, from the qualities displayed by the collective body, are able to judge what must be the qualities of the majority of the individuals composing it. Thus the character of a nation is shown in its acts as a nation; not so much in the acts of its government, for those are much influenced by other causes; but in the current popular maxims, and other marks of the general direction of public opinion; in the character of the persons or writings that are held in permanent esteem or admiration; in laws and institutions, so far as they are the work of the nation itself, or are acknowledged and supported by it; and so forth. But even here there is a large margin of doubt and uncertainty. These things are liable to be influenced by many circumstances; they are partially determined by the distinctive qualities of that nation or body of persons, but partly also by external causes which would influence any other body of persons in the same manner. In order, therefore, to make the experiment really complete, we ought to be able to try it without variation upon other nations: to try how Englishmen would act or feel if placed in the same circumstances in which we have supposed Frenchmen to be placed; to apply, in short, the Method of Differences as well as that of Agreement. Now these experiments we can not try, nor even approximate to.

<sup>273</sup> “To which,” says Dr. Whewell, “we may add, that it is certain, from the history of the subject, that in that case the hypothesis would never have been framed at all.”

Dr. Whewell (*Philosophy of Discovery*, pp. 277–282) defends Bacon’s rule against the preceding strictures. But his defense consists only in asserting and exemplifying a proposition which I had myself stated, viz., that though the largest generalizations may be the earliest made, they are not at first seen in their entire generality, but acquire it by degrees, as they are found to explain one class after another of phenomena. The laws of motion, for example, were not known to extend to the celestial regions, until the motions of the celestial bodies had been deduced from them. This, however, does not in any way affect the fact, that the middle principles of astronomy, the central force, for example, and the law of the inverse square, could not have been discovered, if the laws of motion, which are so much more universal, had not been known first. On Bacon’s system of step-by-step generalization, it would be impossible in any science to ascend higher than the empirical laws; a remark which Dr. Whewell’s own Inductive Tables, referred to by him in support of his argument, amply bear out.



# CHAPTER VI.

## GENERAL CONSIDERATIONS ON THE SOCIAL SCIENCE.

§ 1. Next after the science of individual man comes the science of man in society — of the actions of collective masses of mankind, and the various phenomena which constitute social life.

If the formation of individual character is already a complex subject of study, this subject must be, in appearance at least, still more complex; because the number of concurrent causes, all exercising more or less influence on the total effect, is greater, in the proportion in which a nation, or the species at large, exposes a larger surface to the operation of agents, psychological and physical, than any single individual. If it was necessary to prove, in opposition to an existing prejudice, that the simpler of the two is capable of being a subject of science, the prejudice is likely to be yet stronger against the possibility of giving a scientific character to the study of Politics, and of the phenomena of Society. It is, accordingly, but of yesterday that the conception of a political or social science has existed anywhere but in the mind of here and there an insulated thinker, generally very ill prepared for its realization: though the subject itself has of all others engaged the most general attention, and been a theme of interested and earnest discussions, almost from the beginning of recorded time.

The condition, indeed, of politics as a branch of knowledge was, until very lately, and has scarcely even yet ceased to be, that which Bacon animadverted on, as the natural state of the sciences while their cultivation is abandoned to practitioners; not being carried on as a branch of speculative inquiry, but only with a view to the exigencies of daily practice, and the *fructifera experimenta*, therefore, being aimed at, almost to the exclusion of the *lucifera*. Such was medical investigation, before physiology and natural history began to be cultivated as branches of general knowledge. The only questions examined were, what diet is wholesome, or what medicine will cure some given disease; without any previous systematic inquiry into the laws of nutrition, and of the healthy

and morbid action of the different organs, on which laws the effect of any diet or medicine must evidently depend. And in politics the questions which engaged general attention were similar: Is such an enactment, or such a form of government, beneficial or the reverse — either universally, or to some particular community? without any previous inquiry into the general conditions by which the operation of legislative measures, or the effects produced by forms of government, are determined. Students in politics thus attempted to study the pathology and therapeutics of the social body, before they had laid the necessary foundation in its physiology; to cure disease without understanding the laws of health. And the result was such as it must always be when persons, even of ability, attempt to deal with the complex questions of a science before its simpler and more elementary truths have been established.

No wonder that, when the phenomena of society have so rarely been contemplated in the point of view characteristic of science, the philosophy of society should have made little progress; should contain few general propositions sufficiently precise and certain for common inquirers to recognize in them a scientific character. The vulgar notion accordingly is, that all pretension to lay down general truths on politics and society is quackery; that no universality and no certainty are attainable in such matters. What partly excuses this common notion is, that it is really not without foundation in one particular sense. A large proportion of those who have laid claim to the character of philosophic politicians have attempted not to ascertain universal sequences, but to frame universal precepts. They have imagined some one form of government, or system of laws, to fit all cases — a pretension well meriting the ridicule with which it is treated by practitioners, and wholly unsupported by the analogy of the art to which, from the nature of its subject, that of politics must be the most nearly allied. No one now supposes it possible that one remedy can cure all diseases, or even the same disease in all constitutions and habits of body.

It is not necessary even to the perfection of a science, that the corresponding art should possess universal, or even general, rules. The phenomena of society might not only be completely dependent on known causes, but the mode of action of all those causes might be reducible to



laws of considerable simplicity, and yet no two cases might admit of being treated in precisely the same manner. So great might be the variety of circumstances on which the results in different cases depend, that the art might not have a single general precept to give, except that of watching the circumstances of the particular case, and adapting our measures to the effects which, according to the principles of the science, result from those circumstances. But although, in so complicated a class of subjects, it is impossible to lay down practical maxims of universal application, it does not follow that the phenomena do not conform to universal laws.

§ 2. All phenomena of society are phenomena of human nature, generated by the action of outward circumstances upon masses of human beings; and if, therefore, the phenomena of human thought, feeling, and action are subject to fixed laws, the phenomena of society can not but conform to fixed laws, the consequence of the preceding. There is, indeed, no hope that these laws, though our knowledge of them were as certain and as complete as it is in astronomy, would enable us to predict the history of society, like that of the celestial appearances, for thousands of years to come. But the difference of certainty is not in the laws themselves, it is in the data to which these laws are to be applied. In astronomy the causes influencing the result are few, and change little, and that little according to known laws; we can ascertain what they are now, and thence determine what they will be at any epoch of a distant future. The data, therefore, in astronomy are as certain as the laws themselves. The circumstances, on the contrary, which influence the condition and progress of society are innumerable, and perpetually changing; and though they all change in obedience to causes, and therefore to laws, the multitude of the causes is so great as to defy our limited powers of calculation. Not to say that the impossibility of applying precise numbers to facts of such a description would set an impassable limit to the possibility of calculating them beforehand, even if the powers of the human intellect were otherwise adequate to the task.

But, as before remarked, an amount of knowledge quite insufficient for prediction, may be most valuable for guidance. The science of society would have attained a very high point of perfection if it enabled us, in any given condition of social affairs, in the condition, for instance, of Europe or

any European country at the present time, to understand by what causes it had, in any and every particular, been made what it was; whether it was tending to any, and to what, changes; what effects each feature of its existing state was likely to produce in the future; and by what means any of those effects might be prevented, modified, or accelerated, or a different class of effects superinduced. There is nothing chimerical in the hope that general laws, sufficient to enable us to answer these various questions for any country or time with the individual circumstances of which we are well acquainted, do really admit of being ascertained; and that the other branches of human knowledge, which this undertaking presupposes, are so far advanced that the time is ripe for its commencement. Such is the object of the Social Science.

That the nature of what I consider the true method of the science may be made more palpable, by first showing what that method is not, it will be expedient to characterize briefly two radical misconceptions of the proper mode of philosophizing on society and government, one or other of which is, either explicitly or more often unconsciously, entertained by almost all who have meditated or argued respecting the logic of politics, since the notion of treating it by strict rules, and on Baconian principles, has been current among the more advanced thinkers. These erroneous methods, if the word method can be applied to erroneous tendencies arising from the absence of any sufficiently distinct conception of method, may be termed the Experimental, or Chemical, mode of investigation, and the Abstract, or Geometrical, mode. We shall begin with the former.



# CHAPTER VII.

## OF THE CHEMICAL, OR EXPERIMENTAL, METHOD IN THE SOCIAL SCIENCE.

§ 1. The laws of the phenomena of society are, and can be, nothing but the laws of the actions and passions of human beings united together in the social state. Men, however, in a state of society are still men; their actions and passions are obedient to the laws of individual human nature. Men are not, when brought together, converted into another kind of substance, with different properties; as hydrogen and oxygen are different from water, or as hydrogen, oxygen, carbon, and azote, are different from nerves, muscles, and tendons. Human beings in society have no properties but those which are derived from, and may be resolved into, the laws of the nature of individual man. In social phenomena the Composition of Causes is the universal law.

Now, the method of philosophizing which may be termed chemical overlooks this fact, and proceeds as if the nature of man as an individual were not concerned at all, or were concerned in a very inferior degree, in the operations of human beings in society. All reasoning in political or social affairs, grounded on principles of human nature, is objected to by reasoners of this sort, under such names as “abstract theory.” For the direction of their opinions and conduct, they profess to demand, in all cases without exception, specific experience.

This mode of thinking is not only general with practitioners in politics, and with that very numerous class who (on a subject which no one, however ignorant, thinks himself incompetent to discuss) profess to guide themselves by common sense rather than by science; but is often countenanced by persons with greater pretensions to instruction — persons who, having sufficient acquaintance with books and with the current ideas to have heard that Bacon taught mankind to follow experience, and to ground their conclusions on facts instead of metaphysical dogmas, think that, by treating political facts in as directly experimental a method as chemical facts, they are showing themselves

true Baconians, and proving their adversaries to be mere syllogizers and school-men. As, however, the notion of the applicability of experimental methods to political philosophy can not co-exist with any just conception of these methods themselves, the kind of arguments from experience which the chemical theory brings forth as its fruits (and which form the staple, in this country especially, of parliamentary and hustings oratory), are such as, at no time since Bacon, would have been admitted to be valid in chemistry itself, or in any other branch of experimental science. They are such as these: that the prohibition of foreign commodities must conduce to national wealth, because England has flourished under it, or because countries in general which have adopted it have flourished; that our laws, or our internal administration, or our constitution, are excellent for a similar reason; and the eternal arguments from historical examples, from Athens or Rome, from the fires in Smithfield or the French Revolution.

I will not waste time in contending against modes of argumentation which no person with the smallest practice in estimating evidence could possibly be betrayed into; which draw conclusions of general application from a single unanalyzed instance, or arbitrarily refer an effect to some one among its antecedents, without any process of elimination or comparison of instances. It is a rule both of justice and of good sense to grapple not with the absurdest, but with the most reasonable form of a wrong opinion. We shall suppose our inquirer acquainted with the true conditions of experimental investigation, and competent in point of acquirements for realizing them, so far as they can be realized. He shall know as much of the facts of history as mere erudition can teach — as much as can be proved by testimony, without the assistance of any theory; and if those mere facts, properly collated, can fulfill the conditions of a real induction, he shall be qualified for the task.

But that no such attempt can have the smallest chance of success, has been abundantly shown in the tenth chapter of the Third Book.<sup>274</sup> We there examined whether effects which depend on a complication of causes can be made the subject of a true induction by observation and experiment; and concluded, on the most convincing grounds, that they can not. Since, of all effects, none depend on so great a complication of causes

as social phenomena, we might leave our case to rest in safety on that previous showing. But a logical principle as yet so little familiar to the ordinary run of thinkers, requires to be insisted on more than once, in order to make the due impression; and the present being the case which of all others exemplifies it the most strongly, there will be advantage in restating the grounds of the general maxim, as applied to the specialties of the class of inquiries now under consideration.

§ 2. The first difficulty which meets us in the attempt to apply experimental methods for ascertaining the laws of social phenomena, is that we are without the means of making artificial experiments. Even if we could contrive experiments at leisure, and try them without limit, we should do so under immense disadvantage; both from the impossibility of ascertaining and taking note of all the facts of each case, and because (those facts being in a perpetual state of change), before sufficient time had elapsed to ascertain the result of the experiment, some material circumstances would always have ceased to be the same. But it is unnecessary to consider the logical objections which would exist to the conclusiveness of our experiments, since we palpably never have the power of trying any. We can only watch those which nature produces, or which are produced for other reasons. We can not adapt our logical means to our wants, by varying the circumstances as the exigencies of elimination may require. If the spontaneous instances, formed by contemporary events and by the successions of phenomena recorded in history, afford a sufficient variation of circumstances, an induction from specific experience is attainable; otherwise not. The question to be resolved is, therefore, whether the requisites for induction respecting the causes of political effects or the properties of political agents, are to be met with in history? including under the term, contemporary history. And in order to give fixity to our conceptions, it will be advisable to suppose this question asked in reference to some special subject of political inquiry or controversy; such as that frequent topic of debate in the present century, the operation of restrictive and prohibitory commercial legislation upon national wealth. Let this, then, be the scientific question to be investigated by specific experience.

§ 3. In order to apply to the case the most perfect of the methods of experimental inquiry, the Method of Difference, we require to find two instances which tally in every particular except the one which is the subject of inquiry. If two nations can be found which are alike in all natural advantages and disadvantages; whose people resemble each other in every quality, physical and moral, spontaneous and acquired; whose habits, usages, opinions, laws, and institutions are the same in all respects, except that one of them has a more protective tariff, or in other respects interferes more with the freedom of industry; if one of these nations is found to be rich and the other poor, or one richer than the other, this will be an *experimentum crucis*: a real proof by experience, which of the two systems is most favorable to national riches. But the supposition that two such instances can be met with is manifestly absurd. Nor is such a concurrence even abstractedly possible. Two nations which agreed in every thing except their commercial policy would agree also in that. Differences of legislation are not inherent and ultimate diversities; are not properties of Kinds. They are effects of pre-existing causes. If the two nations differ in this portion of their institutions, it is from some difference in their position, and thence in their apparent interests, or in some portion or other of their opinions, habits, and tendencies; which opens a view of further differences without any assignable limit, capable of operating on their industrial prosperity, as well as on every other feature of their condition, in more ways than can be enumerated or imagined. There is thus a demonstrated impossibility of obtaining, in the investigations of the social science, the conditions required for the most conclusive form of inquiry by specific experience.

In the absence of the direct, we may next try, as in other cases, the supplementary resource, called in a former place the Indirect Method of Difference; which, instead of two instances differing in nothing but the presence or absence of a given circumstance, compares two *classes* of instances respectively agreeing in nothing but the presence of a circumstance on the one side and its absence on the other. To choose the most advantageous case conceivable (a case far too advantageous to be ever obtained), suppose that we compare one nation which has a restrictive policy with two or more nations agreeing in nothing but in permitting free trade. We need not now suppose that either of these nations agrees with the first in all its circumstances; one may agree with it

in some of its circumstances, and another in the remainder. And it may be argued, that if these nations remain poorer than the restrictive nation, it can not be for want either of the first or of the second set of circumstances, but it must be for want of the protective system. If (we might say) the restrictive nation had prospered from the one set of causes, the first of the free-trade nations would have prospered equally; if by reason of the other, the second would; but neither has; therefore the prosperity was owing to the restrictions. This will be allowed to be a very favorable specimen of an argument from specific experience in politics, and if this be inconclusive, it would not be easy to find another preferable to it.

Yet, that it is inconclusive, scarcely requires to be pointed out. Why must the prosperous nation have prospered from one cause exclusively? National prosperity is always the collective result of a multitude of favorable circumstances; and of these, the restrictive nation may unite a greater number than either of the others, though it may have all of those circumstances in common with either one or the other of them. Its prosperity may be partly owing to circumstances common to it with one of those nations, and partly with the other, while they, having each of them only half the number of favorable circumstances, have remained inferior. So that the closest imitation which can be made, in the social science, of a legitimate induction from direct experience, gives but a specious semblance of conclusiveness, without any real value.

§ 4. The Method of Difference in either of its forms being thus completely out of the question, there remains the Method of Agreement. But we are already aware of how little value this method is, in cases admitting Plurality of Causes; and social phenomena are those in which the plurality prevails in the utmost possible extent.

Suppose that the observer makes the luckiest hit which could be given by any conceivable combination of chances; that he finds two nations which agree in no circumstance whatever, except in having a restrictive system, and in being prosperous; or a number of nations, all prosperous, which have no antecedent circumstances common to them all but that of having a restrictive policy. It is unnecessary to go into the consideration of the impossibility of ascertaining from history, or even from contemporary observation, that such is really the fact; that the nations agree in no other

circumstance capable of influencing the case. Let us suppose this impossibility vanquished, and the fact ascertained that they agree only in a restrictive system as an antecedent, and industrial prosperity as a consequent. What degree of presumption does this raise that the restrictive system caused the prosperity? One so trifling as to be equivalent to none at all. That some one antecedent is the cause of a given effect, because all other antecedents have been found capable of being eliminated, is a just inference, only if the effect can have but one cause. If it admits of several, nothing is more natural than that each of these should separately admit of being eliminated. Now, in the case of political phenomena, the supposition of unity of cause is not only wide of the truth, but at an immeasurable distance from it. The causes of every social phenomenon which we are particularly interested about, security, wealth, freedom, good government, public virtue, general intelligence, or their opposites, are infinitely numerous, especially the external or remote causes, which alone are, for the most part, accessible to direct observation. No one cause suffices of itself to produce any of these phenomena; while there are countless causes which have some influence over them, and may co-operate either in their production or in their prevention. From the mere fact, therefore, of our having been able to eliminate some circumstance, we can by no means infer that this circumstance was not instrumental to the effect in some of the very instances from which we have eliminated it. We can conclude that the effect is sometimes produced without it; but not that, when present, it does not contribute its share.

Similar objections will be found to apply to the Method of Concomitant Variations. If the causes which act upon the state of any society produced effects differing from one another in kind; if wealth depended on one cause, peace on another, a third made people virtuous, a fourth intelligent; we might, though unable to sever the causes from one another, refer to each of them that property of the effect which waxed as it waxed, and which waned as it waned. But every attribute of the social body is influenced by innumerable causes; and such is the mutual action of the co-existing elements of society, that whatever affects any one of the more important of them, will by that alone, if it does not affect the others directly, affect them indirectly. The effects, therefore, of different agents not being different in quality, while the quantity of each is the mixed result



of all the agents, the variations of the aggregate can not bear a uniform proportion to those of any one of its component parts.

§ 5. There remains the Method of Residues; which appears, on the first view, less foreign to this kind of inquiry than the three other methods, because it only requires that we should accurately note the circumstances of some one country, or state of society. Making allowance, thereupon, for the effect of all causes whose tendencies are known, the residue which those causes are inadequate to explain may plausibly be imputed to the remainder of the circumstances which are known to have existed in the case. Something similar to this is the method which Coleridge<sup>275</sup> describes himself as having followed in his political essays in the *Morning Post*. "On every great occurrence I endeavored to discover in past history the event that most nearly resembled it. I procured, whenever it was possible, the contemporary historians, memorialists, and pamphleteers. Then fairly subtracting the points of difference from those of likeness, as the balance favored the former or the latter, I conjectured that the result would be the same or different. As, for instance, in the series of essays entitled 'A Comparison of France under Napoleon with Rome under the first Cæsars,' and in those which followed, 'on the probable final restoration of the Bourbons.' The same plan I pursued at the commencement of the Spanish Revolution, and with the same success, taking the war of the United Provinces with Philip II. as the groundwork of the comparison." In this inquiry he no doubt employed the Method of Residues; for, in "subtracting the points of difference from those of likeness," he doubtless weighed, and did not content himself with numbering, them: he doubtless took those points of agreement only which he presumed from their own nature to be capable of influencing the effect, and, allowing for that influence, concluded that the remainder of the result would be referable to the points of difference.

Whatever may be the efficacy of this method, it is, as we long ago remarked, not a method of pure observation and experiment; it concludes, not from a comparison of instances, but from the comparison of an instance with the result of a previous deduction. Applied to social phenomena, it presupposes that the causes from which part of the effect proceeded are already known; and as we have shown that these can not

have been known by specific experience, they must have been learned by deduction from principles of human nature; experience being called in only as a supplementary resource, to determine the causes which produced an unexplained residue. But if the principles of human nature may be had recourse to for the establishment of some political truths, they may for all. If it be admissible to say, England must have prospered by reason of the prohibitory system, because after allowing for all the other tendencies which have been operating, there is a portion of prosperity still to be accounted for; it must be admissible to go to the same source for the effect of the prohibitory system, and examine what account the laws of human motives and actions will enable us to give of *its* tendencies. Nor, in fact, will the experimental argument amount to any thing, except in verification of a conclusion drawn from those general laws. For we may subtract the effect of one, two, three, or four causes, but we shall never succeed in subtracting the effect of all causes except one; while it would be a curious instance of the dangers of too much caution if, to avoid depending on *a priori* reasoning concerning the effect of a single cause, we should oblige ourselves to depend on as many separate *a priori* reasonings as there are causes operating concurrently with that particular cause in some given instance.

We have now sufficiently characterized the gross misconception of the mode of investigation proper to political phenomena, which I have termed the Chemical Method. So lengthened a discussion would not have been necessary, if the claim to decide authoritatively on political doctrines were confined to persons who had competently studied any one of the higher departments of physical science. But since the generality of those who reason on political subjects, satisfactorily to themselves and to a more or less numerous body of admirers, know nothing whatever of the methods of physical investigation beyond a few precepts which they continue to parrot after Bacon, being entirely unaware that Bacon's conception of scientific inquiry has done its work, and that science has now advanced into a higher stage, there are probably many to whom such remarks as the foregoing may still be useful. In an age in which chemistry itself, when attempting to deal with the more complex chemical sequences — those of the animal or even the vegetable organism — has found it necessary to become, and has succeeded in becoming, a Deductive Science, it is not to be apprehended

that any person of scientific habits, who has kept pace with the general progress of the knowledge of nature, can be in danger of applying the methods of elementary chemistry to explore the sequences of the most complex order of phenomena in existence.

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<sup>274</sup> *Supra*, page 317 to the end of the chapter.

<sup>275</sup> *Biographia Literaria*, i., 214.



# CHAPTER VIII.

## OF THE GEOMETRICAL, OR ABSTRACT, METHOD.

§ 1. The misconception discussed in the preceding chapter is, as we said, chiefly committed by persons not much accustomed to scientific investigation: practitioners in politics, who rather employ the commonplaces of philosophy to justify their practice than seek to guide their practice by philosophic principles; or imperfectly educated persons, who, in ignorance of the careful selection and elaborate comparison of instances required for the formation of a sound theory, attempt to found one upon a few coincidences which they have casually noticed.

The erroneous method of which we are now to treat is, on the contrary, peculiar to thinking and studious minds. It never could have suggested itself but to persons of some familiarity with the nature of scientific research; who, being aware of the impossibility of establishing, by casual observation or direct experimentation, a true theory of sequences so complex as are those of the social phenomena, have recourse to the simpler laws which are immediately operative in those phenomena, and which are no other than the laws of the nature of the human beings therein concerned. These thinkers perceive (what the partisans of the chemical or experimental theory do not) that the science of society must necessarily be deductive. But, from an insufficient consideration of the specific nature of the subject-matter — and often because (their own scientific education having stopped short in too early a stage) geometry stands in their minds as the type of all deductive science — it is to geometry, rather than to astronomy and natural philosophy, that they unconsciously assimilate the deductive science of society.

Among the differences between geometry (a science of co-existent facts, altogether independent of the laws of the succession of phenomena), and those physical Sciences of Causation which have been rendered deductive, the following is one of the most conspicuous: That geometry affords no room for what so constantly occurs in mechanics and its applications, the case of conflicting forces; of causes which counteract or

modify one another. In mechanics we continually find two or more moving forces producing, not motion, but rest; or motion in a different direction from that which would have been produced by either of the generating forces. It is true that the effect of the joint forces is the same when they act simultaneously, as if they had acted one after another, or by turns; and it is in this that the difference between mechanical and chemical laws consists. But still the effects, whether produced by successive or by simultaneous action, do, wholly or in part, cancel one another: what the one force does, the other, partly, or altogether undoes. There is no similar state of things in geometry. The result which follows from one geometrical principle has nothing that conflicts with the result which follows from another. What is proved true from one geometrical theorem, what would be true if no other geometrical principles existed, can not be altered and made no longer true by reason of some other geometrical principle. What is once proved true is true in all cases, whatever supposition may be made in regard to any other matter.

Now a conception similar to this last would appear to have been formed of the social science, in the minds of the earlier of those who have attempted to cultivate it by a deductive method. Mechanics would be a science very similar to geometry, if every motion resulted from one force alone, and not from a conflict of forces. In the geometrical theory of society, it seems to be supposed that this is really the case with the social phenomena; that each of them results always from only one force, one single property of human nature.

At the point which we have now reached, it can not be necessary to say any thing either in proof or in illustration of the assertion that such is not the true character of the social phenomena. There is not, among these most complex and (for that reason) most modifiable of all phenomena, any one over which innumerable forces do not exercise influence; which does not depend on a conjunction of very many causes. We have not, therefore, to prove the notion in question to be an error, but to prove that the error has been committed; that so mistaken a conception of the mode in which the phenomena of society are produced has actually been ascertained.

§ 2. One numerous division of the reasoners who have treated social facts according to geometrical methods, not admitting any modification of

one law by another, must for the present be left out of consideration, because in them this error is complicated with, and is the effect of, another fundamental misconception, of which we have already taken some notice, and which will be further treated of before we conclude. I speak of those who deduce political conclusions not from laws of nature, not from sequences of phenomena, real or imaginary, but from unbending practical maxims. Such, for example, are all who found their theory of politics on what is called abstract right, that is to say, on universal precepts; a pretension of which we have already noticed the chimerical nature. Such, in like manner, are those who make the assumption of a social contract, or any other kind of original obligation, and apply it to particular cases by mere interpretation. But in this the fundamental error is the attempt to treat an art like a science, and to have a deductive art; the irrationality of which will be shown in a future chapter. It will be proper to take our exemplification of the geometrical theory from those thinkers who have avoided this additional error, and who entertain, so far, a juster idea of the nature of political inquiry.

We may cite, in the first instance, those who assume as the principle of their political philosophy that government is founded on fear; that the dread of each other is the one motive by which human beings were originally brought into a state of society, and are still held in it. Some of the earlier scientific inquirers into politics, in particular Hobbes, assumed this proposition, not by implication, but avowedly, as the foundation of their doctrine, and attempted to build a complete philosophy of politics thereupon. It is true that Hobbes did not find this one maxim sufficient to carry him through the whole of his subject, but was obliged to eke it out by the double sophism of an original contract. I call this a double sophism; first, as passing off a fiction for a fact, and, secondly, assuming a practical principle, or precept, as the basis of a theory; which is a *petitio principii*, since (as we noticed in treating of that Fallacy) every rule of conduct, even though it be so binding a one as the observance of a promise, must rest its own foundations on the theory of the subject; and the theory, therefore, can not rest upon it.

§ 3. Passing over less important instances, I shall come at once to the most remarkable example afforded by our own times of the geometrical

method in politics; emanating from persons who are well aware of the distinction between science and art; who knew that rules of conduct must follow, not precede, the ascertainment of laws of nature, and that the latter, not the former, is the legitimate field for the application of the deductive method. I allude to the interest-philosophy of the Bentham school.

The profound and original thinkers who are commonly known under this description, founded their general theory of government on one comprehensive premise, namely, that men's actions are always determined by their interests. There is an ambiguity in this last expression; for, as the same philosophers, especially Bentham, gave the name of an interest to any thing which a person likes, the proposition may be understood to mean only this, that men's actions are always determined by their wishes. In this sense, however, it would not bear out any of the consequences which these writers drew from it; and the word, therefore, in their political reasonings, must be understood to mean (which is also the explanation they themselves, on such occasions gave of it) what is commonly termed private, or worldly, interest.

Taking the doctrine, then, in this sense, an objection presents itself *in limine* which might be deemed a fatal one, namely, that so sweeping a proposition is far from being universally true. Human beings are not governed in all their actions by their worldly interests. This, however, is by no means so conclusive an objection as it at first appears; because in politics we are for the most part concerned with the conduct, not of individual persons, but either of a series of persons (as a succession of kings), or a body or mass of persons, as a nation, an aristocracy, or a representative assembly. And whatever is true of a large majority of mankind, may without much error be taken for true of any succession of persons, considered as a whole, or of any collection of persons in which the act of the majority becomes the act of the whole body. Although, therefore, the maxim is sometimes expressed in a manner unnecessarily paradoxical, the consequences drawn from it will hold equally good if the assertion be limited as follows: Any succession of persons, or the majority of any body of persons, will be governed in the bulk of their conduct by their personal interests. We are bound to allow to this school of thinkers the benefit of

this more rational statement of their fundamental maxim, which is also in strict conformity to the explanations which, when considered to be called for, have been given by themselves.

The theory goes on to infer, quite correctly, that if the actions of mankind are determined in the main by their selfish interests, the only rulers who will govern according to the interest of the governed, are those whose selfish interests are in accordance with it. And to this is added a third proposition, namely, that no rulers have their selfish interest identical with that of the governed, unless it be rendered so by accountability, that is, by dependence on the will of the governed. In other words (and as the result of the whole), that the desire of retaining or the fear of losing their power, and whatever is thereon consequent, is the sole motive which can be relied on for producing on the part of rulers a course of conduct in accordance with the general interest.

We have thus a fundamental theorem of political science, consisting of three syllogisms, and depending chiefly on two general premises, in each of which a certain effect is considered as determined only by one cause, not by a concurrence of causes. In the one, it is assumed that the actions of average rulers are determined solely by self-interest; in the other, that the sense of identity of interest with the governed, is produced and producible by no other cause than responsibility.

Neither of these propositions is by any means true; the last is extremely wide of the truth.

It is not true that the actions even of average rulers are wholly, or any thing approaching to wholly, determined by their personal interest, or even by their own opinion of their personal interest. I do not speak of the influence of a sense of duty, or feelings of philanthropy, motives never to be mainly relied on, though (except in countries or during periods of great moral debasement) they influence almost all rulers in some degree, and some rulers in a very great degree. But I insist only on what is true of all rulers, viz., that the character and course of their actions is largely influenced (independently of personal calculation) by the habitual sentiments and feelings, the general modes of thinking and acting, which prevail throughout the community of which they are members; as well as by the feelings, habits, and modes of thought which characterize the



particular class in that community to which they themselves belong. And no one will understand or be able to decipher their system of conduct, who does not take all these things into account. They are also much influenced by the maxims and traditions which have descended to them from other rulers, their predecessors; which maxims and traditions have been known to retain an ascendancy during long periods, even in opposition to the private interests of the rulers for the time being. I put aside the influence of other less general causes. Although, therefore, the private interest of the rulers or of the ruling class is a very powerful force, constantly in action, and exercising the most important influence upon their conduct, there is also, in what they do, a large portion which that private interest by no means affords a sufficient explanation of; and even the particulars which constitute the goodness or badness of their government, are in some, and no small degree, influenced by those among the circumstances acting upon them, which can not, with any propriety, be included in the term self-interest.

Turning now to the other proposition, that responsibility to the governed is the only cause capable of producing in the rulers a sense of identity of interest with the community, this is still less admissible as a universal truth, than even the former. I am not speaking of perfect identity of interest, which is an impracticable chimera; which, most assuredly, responsibility to the people does not give. I speak of identity in essentials; and the essentials are different at different places and times. There are a large number of cases in which those things which it is most for the general interest that the rulers should do, are also those which they are prompted to do by their strongest personal interest, the consolidation of their power. The suppression, for instance, of anarchy and resistance to law — the complete establishment of the authority of the central government, in a state of society like that of Europe in the Middle Ages — is one of the strongest interests of the people, and also of the rulers simply because they are the rulers; and responsibility on their part could not strengthen, though in many conceivable ways it might weaken, the motives prompting them to pursue this object. During the greater part, of the reign of Queen Elizabeth, and of many other monarchs who might be named, the sense of identity of interest between the sovereign and the majority of the people was probably stronger than it usually is in responsible

governments; every thing that the people had most at heart, the monarch had at heart too. Had Peter the Great, or the rugged savages whom he began to civilize, the truest inclination toward the things which were for the real interest of those savages?

I am not here attempting to establish a theory of government, and am not called upon to determine the proportional weight which ought to be given to the circumstances which this school of geometrical politicians left out of their system, and those which they took into it. I am only concerned to show that their method was unscientific; not to measure the amount of error which may have affected their practical conclusions.

It is but justice to them, however, to remark, that their mistake was not so much one of substance as of form, and consisted in presenting in a systematic shape, and as the scientific treatment of a great philosophical question, what should have passed for that which it really was, the mere polemics of the day. Although the actions of rulers are by no means wholly determined by their selfish interests, it is chiefly as a security against those selfish interests that constitutional checks are required; and for that purpose such checks, in England, and the other nations of modern Europe, can in no manner be dispensed with. It is likewise true, that in these same nations, and in the present age, responsibility to the governed is the only means practically available to create a feeling of identity of interest, in the cases, and on the points, where that feeling does not sufficiently exist. To all this, and to the arguments which may be founded on it in favor of measures for the correction of our representative system, I have nothing to object; but I confess my regret, that the small though highly important portion of the philosophy of government, which was wanted for the immediate purpose of serving the cause of parliamentary reform, should have been held forth by thinkers of such eminence as a complete theory.

It is not to be imagined possible, nor is it true in point of fact, that these philosophers regarded the few premises of their theory as including all that is required for explaining social phenomena, or for determining the choice of forms of government and measures of legislation and administration. They were too highly instructed, of too comprehensive intellect, and some of them of too sober and practical a character, for such an error. They would have applied, and did apply, their principles with

innumerable allowances. But it is not allowances that are wanted. There is little chance of making due amends in the superstructure of a theory for the want of sufficient breadth in its foundations. It is unphilosophical to construct a science out of a few of the agencies by which the phenomena are determined, and leave the rest to the routine of practice or the sagacity of conjecture. We either ought not to pretend to scientific forms, or we ought to study all the determining agencies equally, and endeavor, so far as it can be done, to include all of them within the pale of the science; else we shall infallibly bestow a disproportionate attention upon those which our theory takes into account, while we misestimate the rest, and probably underrate their importance. That the deductions should be from the whole and not from a part only of the laws of nature that are concerned, would be desirable even if those omitted were so insignificant in comparison with the others, that they might, for most purposes and on most occasions, be left out of the account. But this is far indeed from being true in the social science. The phenomena of society do not depend, in essentials, on some one agency or law of human nature, with only inconsiderable modifications from others. The whole of the qualities of human nature influence those phenomena, and there is not one which influences them in a small degree. There is not one, the removal or any great alteration of which would not materially affect the whole aspect of society, and change more or less the sequences of social phenomena generally.

The theory which has been the subject of these remarks is, in this country at least, the principal contemporary example of what I have styled the geometrical method of philosophizing in the social science; and our examination of it has, for this reason, been more detailed than would otherwise have been suitable to a work like the present. Having now sufficiently illustrated the two erroneous methods, we shall pass without further preliminary to the true method; that which proceeds (conformably to the practice of the more complex physical sciences) deductively indeed, but by deduction from many, not from one or a very few, original premises; considering each effect as (what it really is) an aggregate result of many causes, operating sometimes through the same, sometimes through different mental agencies, or laws of human nature.



# CHAPTER IX.

## OF THE PHYSICAL, OR CONCRETE DEDUCTIVE, METHOD.

§ 1. After what has been said to illustrate the nature of the inquiry into social phenomena, the general character of the method proper to that inquiry is sufficiently evident, and needs only to be recapitulated, not proved. However complex the phenomena, all their sequences and co-existences result from the laws of the separate elements. The effect produced, in social phenomena, by any complex set of circumstances, amounts precisely to the sum of the effects of the circumstances taken singly; and the complexity does not arise from the number of the laws themselves, which is not remarkably great, but from the extraordinary number and variety of the data or elements — of the agents which, in obedience to that small number of laws, co-operate toward the effect. The Social Science, therefore (which, by a convenient barbarism, has been termed Sociology), is a deductive science; not, indeed, after the model of geometry, but after that of the more complex physical sciences. It infers the law of each effect from the laws of causation on which that effect depends; not, however, from the law merely of one cause, as in the geometrical method, but by considering all the causes which conjunctly influence the effect, and compounding their laws with one another. Its method, in short, is the Concrete Deductive Method: that of which astronomy furnishes the most perfect, natural philosophy a somewhat less perfect, example, and the employment of which, with the adaptations and precautions required by the subject, is beginning to regenerate physiology.

Nor does it admit of doubt, that similar adaptations and precautions are indispensable in sociology. In applying to that most complex of all studies what is demonstrably the sole method capable of throwing the light of science even upon phenomena of a far inferior degree of complication, we ought to be aware that the same superior complexity which renders the instrument of Deduction more necessary, renders it also more precarious;

and we must be prepared to meet, by appropriate contrivances, this increase of difficulty.

The actions and feelings of human beings in the social state, are, no doubt, entirely governed by psychological and ethological laws: whatever influence any cause exercises upon the social phenomena, it exercises through those laws. Supposing therefore the laws of human actions and feelings to be sufficiently known, there is no extraordinary difficulty in determining from those laws, the nature of the social effects which any given cause tends to produce. But when the question is that of compounding several tendencies together, and computing the aggregate result of many co-existent causes; and especially when, by attempting to predict what will actually occur in a given case, we incur the obligation of estimating and compounding the influences of all the causes which happen to exist in that case, we attempt a task to proceed far in which, surpasses the compass of the human faculties.

If all the resources of science are not sufficient to enable us to calculate, *a priori*, with complete precision, the mutual action of three bodies gravitating toward one another, it may be judged with what prospect of success we should endeavor to calculate the result of the conflicting tendencies which are acting in a thousand different directions and promoting a thousand different changes at a given instant in a given society; although we might and ought to be able, from the laws of human nature, to distinguish correctly enough the tendencies themselves, so far as they depend on causes accessible to our observation; and to determine the direction which each of them, if acting alone, would impress upon society, as well as, in a general way at least, to pronounce that some of these tendencies are more powerful than others.

But, without dissembling the necessary imperfections of the *a priori* method when applied to such a subject, neither ought we, on the other hand; to exaggerate them. The same objections which apply to the Method of Deduction in this its most difficult employment, apply to it, as we formerly showed,<sup>276</sup> in its easiest; and would even there have been insuperable, if there had not existed, as was then fully explained, an appropriate remedy. This remedy consists in the process which, under the name of Verification, we have characterized as the third essential

constituent part of the Deductive Method; that of collating the conclusions of the ratiocination either with the concrete phenomena themselves, or, when such are obtainable, with their empirical laws. The ground of confidence in any concrete deductive science is not the *a priori* reasoning itself, but the accordance between its results and those of observation *a posteriori*. Either of these processes, apart from the other, diminishes in value as the subject increases in complication, and this is in so rapid a ratio as soon to become entirely worthless; but the reliance to be placed in the concurrence of the two sorts of evidence, not only does not diminish in any thing like the same proportion, but is not necessarily much diminished at all. Nothing more results than a disturbance in the order of precedency of the two processes, sometimes amounting to its actual inversion: insomuch that instead of deducing our conclusions by reasoning, and verifying them by observation, we in some cases begin by obtaining them provisionally from specific experience, and afterward connect them with the principles of human nature by *a priori* reasonings, which reasonings are thus a real Verification.

The only thinker who, with a competent knowledge of scientific methods in general, has attempted to characterize the Method of Sociology, M. Comte, considers this inverse order as inseparably inherent in the nature of sociological speculation. He looks upon the social science as essentially consisting of generalizations from history, verified, not originally suggested, by deduction from the laws of human nature. Though there is a truth contained in this opinion, of which I shall presently endeavor to show the importance, I can not but think that this truth is enunciated in too unlimited a manner, and that there is considerable scope in sociological inquiry for the direct, as well as for the inverse, Deductive Method.

It will, in fact, be shown in the next chapter, that there is a kind of sociological inquiries to which, from their prodigious complication, the method of direct deduction is altogether inapplicable, while by a happy compensation it is precisely in these cases that we are able to obtain the best empirical laws: to these inquiries, therefore, the Inverse Method is exclusively adapted. But there are also, as will presently appear, other cases in which it is impossible to obtain from direct observation any thing

worthy the name of an empirical law; and it fortunately happens that these are the very cases in which the Direct Method is least affected by the objection which undoubtedly must always affect it in a certain degree.

We shall begin, then, by looking at the Social Science as a science of direct Deduction, and considering what can be accomplished in it, and under what limitations, by that mode of investigation. We shall, then, in a separate chapter, examine and endeavor to characterize the inverse process.

§ 2. It is evident, in the first place, that Sociology, considered as a system of deductions *a priori*, can not be a science of positive predictions, but only of tendencies. We may be able to conclude, from the laws of human nature applied to the circumstances of a given state of society, that a particular cause will operate in a certain manner unless counteracted; but we can never be assured to what extent or amount it will so operate, or affirm with certainty that it will not be counteracted; because we can seldom know, even approximately, all the agencies which may co-exist with it, and still less calculate the “collective result” of so many combined elements. The remark, however, must here be once more repeated, that knowledge insufficient for prediction may be most valuable for guidance. It is not necessary for the wise conduct of the affairs of society, no more than of any one’s private concerns, that we should be able to foresee infallibly the results of what we do. We must seek our objects by means which may perhaps be defeated, and take precautions against dangers which possibly may never be realized. The aim of practical politics is to surround any given society with the greatest possible number of circumstances of which the tendencies are beneficial, and to remove or counteract, as far as practicable, those of which the tendencies are injurious. A knowledge of the tendencies only, though without the power of accurately predicting their conjunct result, gives us to a considerable extent this power.

It would, however, be an error to suppose that even with respect to tendencies we could arrive in this manner at any great number of propositions which will be true in all societies without exception. Such a supposition would be inconsistent with the eminently modifiable nature of the social phenomena, and the multitude and variety of the circumstances



by which they are modified — circumstances never the same, or even nearly the same, in two different societies, or in two different periods of the same society. This would not be so serious an obstacle if, though the causes acting upon society in general are numerous, those which influence any one feature of society were limited in number; for we might then insulate any particular social phenomenon, and investigate its laws without disturbance from the rest. But the truth is the very opposite of this. Whatever affects, in an appreciable degree, any one element of the social state, affects through it all the other elements. The mode of production of all social phenomena is one great case of Intermixture of Laws. We can never either understand in theory or command in practice the condition of a society in any one respect, without taking into consideration its condition in all other respects. There is no social phenomenon which is not more or less influenced by every other part of the condition of the same society, and therefore by every cause which is influencing any other of the contemporaneous social phenomena. There is, in short, what physiologists term a *consensus*, similar to that existing among the various organs and functions of the physical frame of man and the more perfect animals; and constituting one of the many analogies which have rendered universal such expressions as the “body politic” and “body natural.” It follows from this *consensus*, that unless two societies could be alike in all the circumstances which surround and influence them (which would imply their being alike in their previous history), no portion whatever of the phenomena will, unless by accident, precisely correspond; no one cause will produce exactly the same effects in both. Every cause, as its effect spreads through society, comes somewhere in contact with different sets of agencies, and thus has its effects on some of the social phenomena differently modified; and these differences, by their reaction, produce a difference even in those of the effects which would otherwise have been the same. We can never, therefore, affirm with certainty that a cause which has a particular tendency in one people or in one age will have exactly the same tendency in another, without referring back to our premises, and performing over again for the second age or nation, that analysis of the whole of its influencing circumstances which we had already performed for the first. The deductive science of society will not lay down a theorem, asserting in a universal manner the effect of any cause;

but will rather teach us how to frame the proper theorem for the circumstances of any given case. It will not give the laws of society in general, but the means of determining the phenomena of any given society from the particular elements or data of that society.

All the general propositions which can be framed by the deductive science, are therefore, in the strictest sense of the word, hypothetical. They are grounded on some suppositious set of circumstances, and declare how some given cause would operate in those circumstances, supposing that no others were combined with them. If the set of circumstances supposed have been copied from those of any existing society, the conclusions will be true of that society, provided, and in as far as, the effect of those circumstances shall not be modified by others which have not been taken into the account. If we desire a nearer approach to concrete truth, we can only aim at it by taking, or endeavoring to take, a greater number of individualizing circumstances into the computation.

Considering, however, in how accelerating a ratio the uncertainty of our conclusions increases as we attempt to take the effect of a greater number of concurrent causes into our calculations, the hypothetical combinations of circumstances on which we construct the general theorems of the science, can not be made very complex, without so rapidly accumulating a liability to error as must soon deprive our conclusions of all value. This mode of inquiry, considered as a means of obtaining general propositions, must, therefore, on pain of frivolity, be limited to those classes of social facts which, though influenced like the rest by all sociological agents, are under the *immediate* influence, principally at least, of a few only.

§ 3. Notwithstanding the universal *consensus* of the social phenomena, whereby nothing which takes place in any part of the operations of society is without its share of influence on every other part; and notwithstanding the paramount ascendancy which the general state of civilization and social progress in any given society must hence exercise over all the partial and subordinate phenomena; it is not the less true that different species of social facts are in the main dependent, immediately and in the first resort, on different kinds of causes; and therefore not only may with advantage, but must, be studied apart: just as in the natural body

we study separately the physiology and pathology of each of the principal organs and tissues, though every one is acted upon by the state of all the others; and though the peculiar constitution and general state of health of the organism co-operates with, and often preponderates over, the local causes, in determining the state of any particular organ.

On these considerations is grounded the existence of distinct and separate, though not independent, branches or departments of sociological speculation.

There is, for example, one large class of social phenomena in which the immediately determining causes are principally those which act through the desire of wealth, and in which the psychological law mainly concerned is the familiar one, that a greater gain is preferred to a smaller. I mean, of course, that portion of the phenomena of society which emanate from the industrial, or productive, operations of mankind; and from those of their acts through which the distribution of the products of those industrial operations takes place, in so far as not effected by force, or modified by voluntary gift. By reasoning from that one law of human nature, and from the principal outward circumstances (whether universal or confined to particular states of society) which operate upon the human mind through that law, we may be enabled to explain and predict this portion of the phenomena of society, so far as they depend on that class of circumstances only; overlooking the influence of any other of the circumstances of society; and therefore neither tracing back the circumstances which we do take into account, to their possible origin in some other facts in the social state, nor making allowance for the manner in which any of those other circumstances may interfere with, and counteract or modify, the effect of the former. A department of science may thus be constructed, which has received the name of Political Economy.

The motive which suggests the separation of this portion of the social phenomena from the rest, and the creation of a distinct branch of science relating to them is — that they do *mainly* depend, at least in the first resort, on one class of circumstances only; and that even when other circumstances interfere, the ascertainment of the effect due to the one class of circumstances alone, is a sufficiently intricate and difficult

business to make it expedient to perform it once for all, and then allow for the effect of the modifying circumstances; especially as certain fixed combinations of the former are apt to recur often, in conjunction with ever-varying circumstances of the latter class.

Political Economy, as I have said on another occasion, concerns itself only with “such of the phenomena of the social state as take place in consequence of the pursuit of wealth. It makes entire abstraction of every other human passion or motive; except those which may be regarded as perpetually antagonizing principles to the desire of wealth, namely, aversion to labor, and desire of the present enjoyment of costly indulgences. These it takes, to a certain extent, into its calculations, because these do not merely, like our other desires, occasionally conflict with the pursuit of wealth, but accompany it always as a drag or impediment, and are therefore inseparably mixed up in the consideration of it. Political Economy considers mankind as occupied solely in acquiring and consuming wealth; and aims at showing what is the course of action into which mankind, living in a state of society, would be impelled, if that motive, except in the degree in which it is checked by the two perpetual counter-motives above adverted to, were absolute ruler of all their actions. Under the influence of this desire, it shows mankind accumulating wealth, and employing that wealth in the production of other wealth; sanctioning by mutual agreement the institution of property; establishing laws to prevent individuals from encroaching upon the property of others by force or fraud; adopting various contrivances for increasing the productiveness of their labor; settling the division of the produce by agreement, under the influence of competition (competition itself being governed by certain laws, which laws are therefore the ultimate regulators of the division of the produce); and employing certain expedients (as money, credit, etc.) to facilitate the distribution. All these operations, though many of them are really the result of a plurality of motives, are considered by political economy as flowing solely from the desire of wealth. The science then proceeds to investigate the laws which govern these several operations, under the supposition that man is a being who is determined, by the necessity of his nature, to prefer a greater portion of wealth to a smaller, in all cases, without any other exception than that constituted by the two counter-motives already specified. Not that any political economist was

ever so absurd as to suppose that mankind are really thus constituted, but because this is the mode in which science must necessarily proceed. When an effect depends on a concurrence of causes, these causes must be studied one at a time, and their laws separately investigated, if we wish, through the causes, to obtain the power of either predicting or controlling the effect; since the law of the effect is compounded of the laws of all the causes which determine it. The law of the centripetal and that of the projectile force must have been known, before the motions of the earth and planets could be explained, or many of them predicted. The same is the case with the conduct of man in society. In order to judge how he will act under the variety of desires and aversions which are concurrently operating upon him, we must know how he would act under the exclusive influence of each one in particular. There is, perhaps, no action of a man's life in which he is neither under the immediate nor under the remote influence of any impulse but the mere desire of wealth. With respect to those parts of human conduct of which wealth is not even the principal object, to these political economy does not pretend that its conclusions are applicable. But there are also certain departments of human affairs, in which the acquisition of wealth is the main and acknowledged end. It is only of these that political economy takes notice. The manner in which it necessarily proceeds is that of treating the main and acknowledged end as if it were the sole end; which, of all hypotheses equally simple, is the nearest to the truth. The political economist inquires, what are the actions which would be produced by this desire, if within the departments in question it were unimpeded by any other. In this way a nearer approximation is obtained than would otherwise be practicable to the real order of human affairs in those departments. This approximation has then to be corrected by making proper allowance for the effects of any impulses of a different description, which can be shown to interfere with the result in any particular case. Only in a few of the most striking cases (such as the important one of the principle of population) are these corrections interpolated into the expositions of political economy itself; the strictness of purely scientific arrangement being thereby somewhat departed from, for the sake of practical utility. So far as it is known, or may be presumed, that the conduct of mankind in the pursuit of wealth is under the collateral influence of any other of the properties of our nature than the desire of

obtaining the greatest quantity of wealth with the least labor and self-denial, the conclusions of political economy will so far fail of being applicable to the explanation or prediction of real events, until they are modified by a correct allowance for the degree of influence exercised by the other cause.”<sup>277</sup>  
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Extensive and important practical guidance may be derived, in any given state of society, from general propositions such as those above indicated; even though the modifying influence of the miscellaneous causes which the theory does not take into account, as well as the effect of the general social changes in progress, be provisionally overlooked. And though it has been a very common error of political economists to draw conclusions from the elements of one state of society, and apply them to other states in which many of the elements are not the same, it is even then not difficult, by tracing back the demonstrations, and introducing the new premises in their proper places, to make the same general course of argument which served for the one case, serve for the others too.

For example, it has been greatly the custom of English political economists to discuss the laws of the distribution of the produce of industry, on a supposition which is scarcely realized anywhere out of England and Scotland, namely, that the produce is “shared among three classes, altogether distinct from one another, laborers, capitalists, and landlords; and that all these are free agents, permitted in law and in fact to set upon their labor, their capital, and their land, whatever price they are able to get for it. The conclusions of the science, being all adapted to a society thus constituted, require to be revised whenever they are applied to any other. They are inapplicable where the only capitalists are the landlords, and the laborers are their property, as in slave countries. They are inapplicable where the almost universal landlord is the state, as in India. They are inapplicable where the agricultural laborer is generally the owner both of the land itself and of the capital, as frequently in France, or of the capital only, as in Ireland.” But though it may often be very justly objected to the existing race of political economists “that they attempt to construct a permanent fabric out of transitory materials; that they take for granted the immutability of arrangements of society, many of which are in their nature fluctuating or progressive, and enunciate with as little

qualification as if they were universal and absolute truths, propositions which are perhaps applicable to no state of society except the particular one in which the writer happened to live;" this does not take away the value of the propositions, considered with reference to the state of society from which they were drawn. And even as applicable to other states of society, "it must not be supposed that the science is so incomplete and unsatisfactory as this might seem to prove. Though many of its conclusions are only locally true, its method of investigation is applicable universally; and as whoever has solved a certain number of algebraic equations, can without difficulty solve all others of the same kind, so whoever knows the political economy of England, or even of Yorkshire, knows that of all nations, actual or possible, provided he have good sense enough not to expect the same conclusion to issue from varying premises." Whoever has mastered with the degree of precision which is attainable the laws which, under free competition, determine the rent, profits, and wages, received by landlords, capitalists, and laborers, in a state of society in which the three classes are completely separate, will have no difficulty in determining the very different laws which regulate the distribution of the produce among the classes interested in it in any of the states of cultivation and landed property set forth in the foregoing extract.<sup>278</sup>

§ 4. I would not here undertake to decide what other hypothetical or abstract sciences similar to Political Economy, may admit of being carved out of the general body of the social science; what other portions of the social phenomena are in a sufficiently close and complete dependence, in the first resort, on a peculiar class of causes, to make it convenient to create a preliminary science of those causes; postponing the consideration of the causes which act through them, or in concurrence with them, to a later period of the inquiry. There is, however, among these separate departments one which can not be passed over in silence, being of a more comprehensive and commanding character than any of the other branches into which the social science may admit of being divided. Like them, it is directly conversant with the causes of only one class of social facts, but a class which exercises, immediately or remotely, a paramount influence over the rest. I allude to what may be termed Political Ethology, or the theory of the causes which determine the type of character belonging to a people or to an age. Of all the subordinate branches of the social science,

this is the most completely in its infancy. The causes of national character are scarcely at all understood, and the effect of institutions or social arrangements upon the character of the people is generally that portion of their effects which is least attended to, and least comprehended. Nor is this wonderful, when we consider the infant state of the science of Ethology itself, from whence the laws must be drawn, of which the truths of political ethology can be but results and exemplifications.

Yet, to whoever well considers the matter, it must appear that the laws of national (or collective) character are by far the most important class of sociological laws. In the first place, the character which is formed by any state of social circumstances is in itself the most interesting phenomenon which that state of society can possibly present. Secondly, it is also a fact which enters largely into the production of all the other phenomena. And above all, the character, that is, the opinions, feelings, and habits, of the people, though greatly the results of the state of society which precedes them, are also greatly the causes of the state of society which follows them; and are the power by which all those of the circumstances of society which are artificial, laws and customs for instance, are altogether moulded: customs evidently, laws no less really, either by the direct influence of public sentiment upon the ruling powers, or by the effect which the state of national opinion and feeling has in determining the form of government and shaping the character of the governors.

As might be expected, the most imperfect part of those branches of social inquiry which have been cultivated as separate sciences, is the theory of the manner in which their conclusions are affected by ethological considerations. The omission is no defect in them as abstract or hypothetical sciences, but it vitiates them in their practical application as branches of a comprehensive social science. In political economy, for instance, empirical laws of human nature are tacitly assumed by English thinkers, which are calculated only for Great Britain and the United States. Among other things, an intensity of competition is constantly supposed, which, as a general mercantile fact, exists in no country in the world except those two. An English political economist, like his countrymen in general, has seldom learned that it is possible that men, in conducting the business of selling their goods over a counter, should care more about their ease or



their vanity than about their pecuniary gain. Yet those who know the habits of the continent of Europe are aware how apparently small a motive often outweighs the desire of money getting, even in the operations which have money getting for their direct object. The more highly the science of ethology is cultivated, and the better the diversities of individual and national character are understood, the smaller, probably, will the number of propositions become, which it will be considered safe to build on as universal principles of human nature.

These considerations show that the process of dividing off the social science into compartments, in order that each may be studied separately, and its conclusions afterward corrected for practice by the modifications supplied by the others, must be subject to at least one important limitation. Those portions alone of the social phenomena can with advantage be made the subjects, even provisionally, of distinct branches of science, into which the diversities of character between different nations or different times enter as influencing causes only in a secondary degree. Those phenomena, on the contrary, with which the influences of the ethological state of the people are mixed up at every step (so that the connection of effects and causes can not be even rudely marked out without taking those influences into consideration) could not with any advantage, nor without great disadvantage, be treated independently of political ethology, nor, therefore, of all the circumstances by which the qualities of a people are influenced. For this reason (as well as for others which will hereafter appear) there can be no separate Science of Government; that being the fact which, of all others, is most mixed up, both as cause and effect, with the qualities of the particular people or of the particular age. All questions respecting the tendencies of forms of government must stand part of the general science of society, not of any separate branch of it.

This general Science of Society, as distinguished from the separate departments of the science (each of which asserts its conclusions only conditionally, subject to the paramount control of the laws of the general science) now remains to be characterized. And as will be shown presently, nothing of a really scientific character is here possible, except by the inverse deductive method. But before we quit the subject of those

sociological speculations which proceed by way of direct deduction, we must examine in what relation they stand to that indispensable element in all deductive sciences, Verification by Specific Experience — comparison between the conclusions of reasoning and the results of observation.

§ 5. We have seen that, in most deductive sciences, and among the rest in Ethology itself, which is the immediate foundation of the Social Science, a preliminary work of preparation is performed on the observed facts, to fit them for being rapidly and accurately collated (sometimes even for being collated at all) with the conclusions of theory. This preparatory treatment consists in finding general propositions which express concisely what is common to large classes of observed facts; and these are called the empirical laws of the phenomena. We have, therefore, to inquire, whether any similar preparatory process can be performed on the facts of the social science; whether there are any empirical laws in history or statistics.

In statistics, it is evident that empirical laws may sometimes be traced; and the tracing them forms an important part of that system of indirect observation on which we must often rely for the data of the Deductive Science. The process of the science consists in inferring effects from their causes; but we have often no means of observing the causes, except through the medium of their effects. In such cases the deductive science is unable to predict the effects, for want of the necessary data; it can determine what causes are capable of producing any given effect, but not with what frequency and in what quantities those causes exist. An instance in point is afforded by a newspaper now lying before me. A statement was furnished by one of the official assignees in bankruptcy showing among the various bankruptcies which it had been his duty to investigate, in how many cases the losses had been caused by misconduct of different kinds, and in how many by unavoidable misfortunes. The result was, that the number of failures caused by misconduct greatly preponderated over those arising from all other causes whatever. Nothing but specific experience could have given sufficient ground for a conclusion to this purport. To collect, therefore, such empirical laws (which are never more than approximate generalizations) from direct observation, is an important part of the process of sociological inquiry.

The experimental process is not here to be regarded as a distinct road to the truth, but as a means (happening accidentally to be the only, or the best, available) for obtaining the necessary data for the deductive science. When the immediate causes of social facts are not open to direct observation, the empirical law of the effects gives us the empirical law (which in that case is all that we can obtain) of the causes likewise. But those immediate causes depend on remote causes; and the empirical law, obtained by this indirect mode of observation, can only be relied on as applicable to unobserved cases, so long as there is reason to think that no change has taken place in any of the remote causes on which the immediate causes depend. In making use, therefore, of even the best statistical generalizations for the purpose of inferring (though it be only conjecturally) that the same empirical laws will hold in any new case, it is necessary that we be well acquainted with the remoter causes, in order that we may avoid applying the empirical law to cases which differ in any of the circumstances on which the truth of the law ultimately depends. And thus, even where conclusions derived from specific observation are available for practical inferences in new cases, it is necessary that the deductive science should stand sentinel over the whole process; that it should be constantly referred to, and its sanction obtained to every inference.

The same thing holds true of all generalizations which can be grounded on history. Not only there are such generalizations, but it will presently be shown that the general science of society, which inquires into the laws of succession and co-existence of the great facts constituting the state of society and civilization at any time, can proceed in no other manner than by making such generalizations — afterward to be confirmed by connecting them with the psychological and ethological laws on which they must really depend.

§ 6. But (reserving this question for its proper place) in those more special inquiries which form the subject of the separate branches of the social science, this twofold logical process and reciprocal verification is not possible; specific experience affords nothing amounting to empirical laws. This is particularly the case where the object is to determine the effect of any one social cause among a great number acting simultaneously; the

effect, for example, of corn laws, or of a prohibitive commercial system generally. Though it may be perfectly certain, from theory, what *kind* of effects corn laws must produce, and in what general direction their influence must tell upon industrial prosperity, their effect is yet of necessity so much disguised by the similar or contrary effects of other influencing agents, that specific experience can at most only show that on the average of some great number of instances, the cases where there were corn laws exhibited the effect in a greater degree than those where there were not. Now the number of instances necessary to exhaust the whole round of combinations of the various influential circumstances, and thus afford a fair average, never can be obtained. Not only we can never learn with sufficient authenticity the facts of so many instances, but the world itself does not afford them in sufficient numbers, within the limits of the given state of society and civilization which such inquiries always presuppose. Having thus no previous empirical generalizations with which to collate the conclusions of theory, the only mode of direct verification which remains is to compare those conclusions with the result of an individual experiment or instance. But here the difficulty is equally great. For in order to verify a theory by an experiment, the circumstances of the experiment must be exactly the same with those contemplated in the theory. But in social phenomena the circumstances of no two cases are exactly alike. A trial of corn laws in another country, or in a former generation, would go a very little way toward verifying a conclusion drawn respecting their effect in this generation and in this country. It thus happens, in most cases, that the only individual instance really fitted to verify the predictions of theory is the very instance for which the predictions were made; and the verification comes too late to be of any avail for practical guidance.

Although, however, direct verification is impossible, there is an indirect verification, which is scarcely of less value, and which is always practicable. The conclusion drawn as to the individual case can only be directly verified in that case; but it is verified indirectly, by the verification of other conclusions, drawn in other individual cases from the same laws. The experience which comes too late to verify the particular proposition to which it refers, is not too late to help toward verifying the general sufficiency of the theory. The test of the degree in which the science affords

safe ground for predicting (and consequently for practically dealing with) what has not yet happened, is the degree in which it would have enabled us to predict what has actually occurred. Before our theory of the influence of a particular cause, in a given state of circumstances, can be entirely trusted, we must be able to explain and account for the existing state of all that portion of the social phenomena which that cause has a tendency to influence. If, for instance, we would apply our speculations in political economy to the prediction or guidance of the phenomena of any country, we must be able to explain all the mercantile or industrial facts of a general character, appertaining to the present state of that country; to point out causes sufficient to account for all of them, and prove, or show good ground for supposing, that these causes have really existed. If we can not do this, it is a proof either that the facts which ought to be taken into account are not yet completely known to us, or that although we know the facts, we are not masters of a sufficiently perfect theory to enable us to assign their consequences. In either case we are not, in the present state of our knowledge, fully competent to draw conclusions, speculative or practical, for that country. In like manner, if we would attempt to judge of the effect which any political institution would have, supposing that it could be introduced into any given country, we must be able to show that the existing state of the practical government of that country, and of whatever else depends thereon, together with the particular character and tendencies of the people, and their state in respect to the various elements of social well-being, are such as the institutions they have lived under, in conjunction with the other circumstances of their nature or of their position, were calculated to produce.

To prove, in short, that our science, and our knowledge of the particular case, render us competent to predict the future, we must show that they would have enabled us to predict the present and the past. If there be any thing which we could not have predicted, this constitutes a residual phenomenon, requiring further study for the purpose of explanation; and we must either search among the circumstances of the particular case until we find one which, on the principles of our existing theory, accounts for the unexplained phenomenon, or we must turn back, and seek the explanation by an extension and improvement of the theory itself.

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<sup>276</sup> *Supra*, p. 321.

<sup>277</sup> *Essays on some Unsettled Questions of Political Economy*, pp. 137–140.

<sup>278</sup> The quotations in this paragraph are from a paper written by the author, and published in a periodical in 1834.



# CHAPTER X.

## OF THE INVERSE DEDUCTIVE, OR HISTORICAL, METHOD.

§ 1. There are two kinds of sociological inquiry. In the first kind, the question proposed is, what effect will follow from a given cause, a certain general condition of social circumstances being presupposed. As, for example, what would be the effect of imposing or of repealing corn laws, of abolishing monarchy or introducing universal suffrage, in the present condition of society and civilization in any European country, or under any other given supposition with regard to the circumstances of society in general, without reference to the changes which might take place, or which may already be in progress, in those circumstances. But there is also a second inquiry, namely, what are the laws which determine those general circumstances themselves. In this last the question is, not what will be the effect of a given cause in a certain state of society, but what are the causes which produce, and the phenomena which characterize, states of society generally. In the solution of this question consists the general Science of Society; by which the conclusions of the other and more special kind of inquiry must be limited and controlled.

§ 2. In order to conceive correctly the scope of this general science, and distinguish it from the subordinate departments of sociological speculation, it is necessary to fix the ideas attached to the phrase, "A State of Society." What is called a state of society, is the simultaneous state of all the greater social facts or phenomena. Such are: the degree of knowledge, and of intellectual and moral culture, existing in the community, and in every class of it; the state of industry, of wealth and its distribution; the habitual occupations of the community; their division into classes, and the relations of those classes to one another; the common beliefs which they entertain on all the subjects most important to mankind, and the degree of assurance with which those beliefs are held; their tastes, and the character and degree of their æsthetic development; their form of government, and the more important of their laws and customs. The condition of all these

things, and of many more which will readily suggest themselves, constitute the state of society, or the state of civilization, at any given time.

When states of society, and the causes which produce them, are spoken of as a subject of science, it is implied that there exists a natural correlation among these different elements; that not every variety of combination of these general social facts is possible, but only certain combinations; that, in short, there exist Uniformities of Co-existence between the states of the various social phenomena. And such is the truth; as is indeed a necessary consequence of the influence exercised by every one of those phenomena over every other. It is a fact implied in the *consensus* of the various parts of the social body.

States of society are like different constitutions or different ages in the physical frame; they are conditions not of one or a few organs or functions, but of the whole organism. Accordingly, the information which we possess respecting past ages, and respecting the various states of society now existing in different regions of the earth, does, when duly analyzed, exhibit uniformities. It is found that when one of the features of society is in a particular state, a state of many other features, more or less precisely determinate, always or usually co-exists with it.

But the uniformities of co-existence obtaining among phenomena which are effects of causes, must (as we have so often observed) be corollaries from the laws of causation by which these phenomena are really determined. The mutual correlation between the different elements of each state of society, is, therefore, a derivative law, resulting from the laws which regulate the succession between one state of society and another; for the proximate cause of every state of society is the state of society immediately preceding it. The fundamental problem, therefore, of the social science, is to find the laws according to which any state of society produces the state which succeeds it and takes its place. This opens the great and vexed question of the progressiveness of man and society; an idea involved in every just conception of social phenomena as the subject of a science.

§ 3. It is one of the characters, not absolutely peculiar to the sciences of human nature and society, but belonging to them in a peculiar degree, to be conversant with a subject-matter whose properties are changeable. I



do not mean changeable from day to day, but from age to age; so that not only the qualities of individuals vary, but those of the majority are not the same in one age as in another.

The principal cause of this peculiarity is the extensive and constant reaction of the effects upon their causes. The circumstances in which mankind are placed, operating according to their own laws and to the laws of human nature, form the characters of the human beings; but the human beings, in their turn, mould and shape the circumstances for themselves and for those who come after them. From this reciprocal action there must necessarily result either a cycle or a progress. In astronomy also, every fact is at once effect and cause; the successive positions of the various heavenly bodies produce changes both in the direction and in the intensity of the forces by which those positions are determined. But in the case of the solar system, these mutual actions bring around again, after a certain number of changes, the former state of circumstances; which, of course, leads to the perpetual recurrence of the same series in an unvarying order. Those bodies, in short, revolve in orbits: but there are (or, conformably to the laws of astronomy, there might be) others which, instead of an orbit, describe a trajectory — a course not returning into itself. One or other of these must be the type to which human affairs must conform.

One of the thinkers who earliest conceived the succession of historical events as subject to fixed laws, and endeavored to discover these laws by an analytical survey of history, Vico, the celebrated author of the *Scienza Nuova*, adopted the former of these opinions. He conceived the phenomena of human society as revolving in an orbit; as going through periodically the same series of changes. Though there were not wanting circumstances tending to give some plausibility to this view, it would not bear a close scrutiny: and those who have succeeded Vico in this kind of speculations have universally adopted the idea of a trajectory or progress, in lieu of an orbit or cycle.

The words Progress and Progressiveness are not here to be understood as synonymous with improvement and tendency to improvement. It is conceivable that the laws of human nature might determine, and even necessitate, a certain series of changes in man and society, which might not in every case, or which might not on the whole, be

improvements. It is my belief, indeed, that the general tendency is, and will continue to be, saving occasional and temporary exceptions, one of improvement; a tendency toward a better and happier state. This, however, is not a question of the method of the social science, but a theorem of the science itself. For our purpose it is sufficient that there is a progressive change both in the character of the human race and in their outward circumstances, so far as moulded by themselves; that in each successive age the principal phenomena of society are different from what they were in the age preceding, and still more different from any previous age: the periods which most distinctly mark these successive changes being intervals of one generation, during which a new set of human beings have been educated, have grown up from childhood, and taken possession of society.

The progressiveness of the human race is the foundation on which a method of philosophizing in the social science has been of late years erected, far superior to either of the two modes which had previously been prevalent, the chemical or experimental, and the geometrical modes. This method, which is now generally adopted by the most advanced thinkers on the Continent, consists in attempting, by a study and analysis of the general facts of history, to discover (what these philosophers term) the law of progress: which law, once ascertained, must according to them enable us to predict future events, just as after a few terms of an infinite series in algebra we are able to detect the principle, of regularity in their formation, and to predict the rest of the series to any number of terms we please. The principal aim of historical speculation in France, of late years, has been to ascertain this law. But while I gladly acknowledge the great services which have been rendered to historical knowledge by this school, I can not but deem them to be mostly chargeable with a fundamental misconception of the true method of social philosophy. The misconception consists in supposing that the order of succession which we may be able to trace among the different states of society and civilization which history presents to us, even if that order were more rigidly uniform than it has yet been proved to be, could ever amount to a law of nature. It can only be an empirical law. The succession of states of the human mind and of human society can not have an independent law of its own; it must depend on the psychological and ethological laws which govern the action of

circumstances on men and of men on circumstances. It is conceivable that those laws might be such, and the general circumstances of the human race such, as to determine the successive transformations of man and society to one given and unvarying order. But even if the case were so, it can not be the ultimate aim of science to discover an empirical law. Until that law could be connected with the psychological and ethological laws on which it must depend, and, by the consilience of deduction *a priori* with historical evidence, could be converted from an empirical law into a scientific one, it could not be relied on for the prediction of future events, beyond, at most, strictly adjacent cases. M. Comte alone, among the new historical school, has seen the necessity of thus connecting all our generalizations from history with the laws of human nature.

§ 4. But, while it is an imperative rule never to introduce any generalization from history into the social science unless sufficient grounds can be pointed out for it in human nature, I do not think any one will contend that it would have been possible, setting out from the principles of human nature and from the general circumstances of the position of our species, to determine *a priori* the order in which human development must take place, and to predict, consequently, the general facts of history up to the present time. After the first few terms of the series, the influence exercised, over each generation by the generations which preceded it, becomes, (as is well observed by the writer last referred to) more and more preponderant over all other influences; until at length what we now are and do, is in a very small degree the result of the universal circumstances of the human race, or even of our own circumstances acting through the original qualities of our species, but mainly of the qualities produced in us by the whole previous history of humanity. So long a series of actions and reactions between Circumstances and Man, each successive term being composed of an ever greater number and variety of parts, could not possibly be computed by human faculties from the elementary laws which produce it. The mere length of the series would be a sufficient obstacle, since a slight error in any one of the terms would augment in rapid progression at every subsequent step.

If, therefore, the series of the effects themselves did not, when examined as a whole, manifest any regularity, we should in vain attempt to

construct a general science of society. We must in that case have contented ourselves with that subordinate order of sociological speculation formerly noticed, namely, with endeavoring to ascertain what would be the effect of the introduction of any new cause, in a state of society supposed to be fixed — a knowledge sufficient for the more common exigencies of daily political practice, but liable to fail in all cases in which the progressive movement of society is one of the influencing elements; and therefore more precarious in proportion as the case is more important. But since both the natural varieties of mankind, and the original diversities of local circumstances, are much less considerable than the points of agreement, there will naturally be a certain degree of uniformity in the progressive development of the species and of its works. And this uniformity tends to become greater, not less, as society advances; since the evolution of each people, which is at first determined exclusively by the nature and circumstances of that people, is gradually brought under the influence (which becomes stronger as civilization advances) of the other nations of the earth, and of the circumstances by which they have been influenced. History accordingly does, when judiciously examined, afford Empirical Laws of Society. And the problem of general sociology is to ascertain these, and connect them with the laws of human nature, by deductions showing that such were the derivative laws naturally to be expected as the consequences of those ultimate ones.

It is, indeed, hardly ever possible, even after history has suggested the derivative law, to demonstrate *a priori* that such was the only order of succession or of co-existence in which the effects could, consistently with the laws of human nature, have been produced. We can at most make out that there were strong *a priori* reasons for expecting it, and that no other order of succession or co-existence would have been so likely to result from the nature of man and the general circumstances of his position. Often we can not do even this; we can not even show that what did take place was probable *a priori*, but only that it was possible. This, however — which, in the Inverse Deductive Method that we are now characterizing, is a real process of verification — is as indispensable, as verification by specific experience has been shown to be, where the conclusion is originally obtained by the direct way of deduction. The empirical laws must be the result of but a few instances, since few nations have ever

attained at all, and still fewer by their own independent development, a high stage of social progress. If, therefore, even one or two of these few instances be insufficiently known, or imperfectly analyzed into their elements, and therefore not adequately compared with other instances, nothing is more probable than that a wrong empirical law will emerge instead of the right one. Accordingly, the most erroneous generalizations are continually made from the course of history; not only in this country, where history can not yet be said to be at all cultivated as a science, but in other countries where it is so cultivated, and by persons well versed in it. The only check or corrective is, constant verification by psychological and ethological laws. We may add to this, that no one but a person competently skilled in those laws is capable of preparing the materials for historical generalization, by analyzing the facts of history, or even by observing the social phenomena of his own time. No other will be aware of the comparative importance of different facts, nor consequently know what facts to look for, or to observe; still less will he be capable of estimating the evidence of facts which, as is the case with most, can not be ascertained by direct observation or learned from testimony, but must be inferred from marks.

§ 5. The Empirical Laws of Society are of two kinds; some are uniformities of co-existence, some of succession. According as the science is occupied in ascertaining and verifying the former sort of uniformities or the latter, M. Comte gives it the title of Social Statics, or of Social Dynamics; conformably to the distinction in mechanics between the conditions of equilibrium and those of movement; or in biology, between the laws of organization and those of life. The first branch of the science ascertains the conditions of stability in the social union; the second, the laws of progress. Social Dynamics is the theory of Society considered in a state of progressive movement; while Social Statics is the theory of the *consensus* already spoken of as existing among the different parts of the social organism; in other words, the theory of the mutual actions and reactions of contemporaneous social phenomena; making<sup>279</sup> provisionally, as far as possible, abstraction, for scientific purposes, of the fundamental movement which is at all times gradually modifying the whole of them.

“In this first point of view, the provisions of sociology will enable us to infer one from another (subject to ulterior verification by direct observation) the various characteristic marks of each distinct mode of social existence, in a manner essentially analogous to what is now habitually practiced in the anatomy of the physical body. This preliminary aspect, therefore, of political science, of necessity supposes that (contrary to the existing habits of philosophers) each of the numerous elements of the social state, ceasing to be looked at independently and absolutely, shall be always and exclusively considered relatively to all the other elements, with the whole of which it is united by mutual interdependence. It would be superfluous to insist here upon the great and constant utility of this branch of sociological speculation. It is, in the first place, the indispensable basis of the theory of social progress. It may, moreover, be employed, immediately, and of itself, to supply the place, provisionally at least, of direct observation, which in many cases is not always practicable for some of the elements of society, the real condition of which may, however, be sufficiently judged of by means of the relations which connect them with others previously known. The history of the sciences may give us some notion of the habitual importance of this auxiliary resource, by reminding us, for example, how the vulgar errors of mere erudition concerning the pretended acquirements of the ancient Egyptians in the higher astronomy were irrevocably dissipated (even before sentence had been passed on them by a sounder erudition) from the single consideration of the inevitable connection between the general state of astronomy and that of abstract geometry, then evidently in its infancy. It would be easy to cite a multitude of analogous cases, the character of which could admit of no dispute. In order to avoid exaggeration, however, it should be remarked, that these necessary relations among the different aspects of society can not, from their very nature, be so simple and precise that the results observed could only have arisen from some one mode of mutual co-ordination. Such a notion, already too narrow in the science of life, would be completely at variance with the still more complex nature of sociological speculations. But the exact estimation of these limits of variation, both in the healthy and in the morbid state, constitutes, at least as much as in the anatomy of the natural body, an indispensable

complement to every theory of Sociological Statics; without which the indirect exploration above spoken of would often lead into error.

“This is not the place for methodically demonstrating the existence of a necessary relation among all the possible aspects of the same social organism; a point on which, in principle at least, there is now little difference of opinion among sound thinkers. From whichever of the social elements we choose to set out, we may easily recognize that it has always a connection, more or less immediate, with all the other elements, even with those which at first sight appear the most independent of it. The dynamical consideration of the progressive development of civilized humanity, affords, no doubt, a still more efficacious means of effecting this interesting verification of the *consensus* of the social phenomena, by displaying the manner in which every change in any one part, operates immediately, or very speedily, upon all the rest. But this indication may be preceded, or at all events followed, by a confirmation of a purely statical kind; for, in politics as in mechanics, the communication of motion from one object to another proves a connection between them. Without descending to the minute interdependence of the different branches of any one science or art, is it not evident that among the different sciences, as well as among most of the arts, there exists such a connection, that if the state of any one well-marked division of them is sufficiently known to us, we can with real scientific assurance infer, from their necessary correlation, the contemporaneous state of every one of the others? By a further extension of this consideration, we may conceive the necessary relation which exists between the condition of the sciences in general and that of the arts in general, except that the mutual dependence is less intense in proportion as it is more indirect. The same is the case, when, instead of considering the aggregate of the social phenomena in some one people, we examine it simultaneously in different contemporaneous nations; between which the perpetual reciprocity of influence, especially in modern times, can not be contested, though the *consensus* must in this case be ordinarily of a less decided character, and must decrease gradually with the affinity of the cases and the multiplicity of the points of contact, so as at last, in some cases, to disappear almost entirely; as for, example, between Western Europe and Eastern Asia, of which the various general

states of society appear to have been hitherto almost independent of one another.

These remarks are followed by illustrations of one of the most important, and until lately, most neglected, of the general principles which, in this division of the social science, may be considered as established; namely, the necessary correlation between the form of government existing in any society and the contemporaneous state of civilization: a natural law which stamps the endless discussions and innumerable theories respecting forms of government in the abstract, as fruitless and worthless, for any other purpose than as a preparatory treatment of materials to be afterward used for the construction of a better philosophy.

As already remarked, one of the main results of the science of social statics would be to ascertain the requisites of stable political union. There are some circumstances which, being found in all societies without exception, and in the greatest degree where the social union is most complete, may be considered (when psychological and ethological laws confirm the indication) as conditions of the existence of the complex phenomena called a State. For example, no numerous society has ever been held together without laws, or usages equivalent to them; without tribunals, and an organized force of some sort to execute their decisions. There have always been public authorities whom, with more or less strictness and in cases more or less accurately defined, the rest of the community obeyed, or according to general opinion were bound to obey. By following out this course of inquiry we shall find a number of requisites, which have been present in every society that has maintained a collective existence, and on the cessation of which it has either merged in some other society, or reconstructed itself on some new basis, in which the conditions were conformed to. Although these results, obtained by comparing different forms and states of society, amount in themselves only to empirical laws; some of them, when once suggested, are found to follow with so much probability from general laws of human nature, that the consilience of the two processes raises the evidence to proof, and the generalizations to the rank of scientific truths.



This seems to be affirmable (for instance) of the conclusions arrived at in the following passage, extracted, with some alterations, from a criticism on the negative philosophy of the eighteenth century,<sup>280</sup> and which I quote, though (as in some former instances) from myself, because I have no better way of illustrating the conception I have formed of the kind of theorems of which sociological statics would consist.

“The very first element of the social union, obedience to a government of some sort, has not been found so easy a thing to establish in the world. Among a timid and spiritless race like the inhabitants of the vast plains of tropical countries, passive obedience may be of natural growth; though even there we doubt whether it has ever been found among any people with whom fatalism, or in other words, submission to the pressure of circumstances as a divine decree, did not prevail as a religious doctrine. But the difficulty of inducing a brave and warlike race to submit their individual *arbitrium* to any common umpire, has always been felt to be so great, that nothing short of supernatural power has been deemed adequate to overcome it; and such tribes have always assigned to the first institution of civil society a divine origin. So differently did those judge who knew savage men by actual experience, from those who had no acquaintance with them except in the civilized state. In modern Europe itself, after the fall of the Roman empire, to subdue the feudal anarchy and bring the whole people of any European nation into subjection to government (though Christianity in the most concentrated form of its influence was co-operating in the work) required thrice as many centuries as have elapsed since that time.

“Now if these philosophers had known human nature under any other type than that of their own age, and of the particular classes of society among whom they lived, it would have occurred to them, that wherever this habitual submission to law and government has been firmly and durably established, and yet the vigor and manliness of character which resisted its establishment have been in any degree preserved, certain requisites have existed, certain conditions have been fulfilled, of which the following may be regarded as the principal.

“First: there has existed, for all who were accounted citizens — for all who were not slaves, kept down by brute force — a system of *education*,

beginning with infancy and continued through life, of which whatever else it might include, one main and incessant ingredient was *restraining discipline*. To train the human being in the habit, and thence the power, of subordinating his personal impulses and aims to what were considered the ends of society; of adhering, against all temptation, to the course of conduct which those ends prescribed; of controlling in himself all feelings which were liable to militate against those ends, and encouraging all such as tended toward them; this was the purpose, to which every outward motive that the authority directing the system could command, and every inward power or principle which its knowledge of human nature enabled it to evoke, were endeavored to be rendered instrumental. The entire civil and military policy of the ancient commonwealths was such a system of training; in modern nations its place has been attempted to be supplied, principally, by religious teaching. And whenever and in proportion as the strictness of the restraining discipline was relaxed, the natural tendency of mankind to anarchy re-asserted itself; the state became disorganized from within; mutual conflict for selfish ends, neutralized the energies which were required to keep up the contest against natural causes of evil; and the nation, after a longer or briefer interval of progressive decline, became either the slave of a despotism, or the prey of a foreign invader.

“The second condition of permanent political society has been found to be, the existence, in some form or other, of the feeling of allegiance or loyalty. This feeling may vary in its objects, and is not confined to any particular form of government; but whether in a democracy or in a monarchy, its essence is always the same; viz., that there be in the constitution of the state *something* which is settled, something permanent, and not to be called in question; something which, by general agreement, has a right to be where it is, and to be secure against disturbance, whatever else may change. This feeling may attach itself, as among the Jews (and in most of the commonwealths of antiquity), to a common God or gods, the protectors and guardians of their state. Or it may attach itself to certain persons, who are deemed to be, whether by divine appointment, by long prescription, or by the general recognition of their superior capacity and worthiness, the rightful guides and guardians of the rest. Or it may connect itself with laws; with ancient liberties or ordinances. Or, finally, (and this is the only shape in which the feeling is likely to exist hereafter), it may

attach itself to the principles of individual freedom and political and social equality, as realized in institutions which as yet exist nowhere, or exist only in a rudimentary state. But in all political societies which have had a durable existence, there has been some fixed point: something which people agreed in holding sacred; which, wherever freedom of discussion was a recognized principle, it was of course lawful to contest in theory, but which no one could either fear or hope to see shaken in practice; which, in short (except perhaps during some temporary crisis), was in the common estimation placed beyond discussion. And the necessity of this may easily be made evident. A state never is, nor until mankind are vastly improved, can hope to be, for any long time exempt from internal dissension; for there neither is nor has ever been any state of society in which collisions did not occur between the immediate interests and passions of powerful sections of the people. What, then, enables nations to weather these storms, and pass through turbulent times without any permanent weakening of the securities for peaceable existence? Precisely this — that however important the interests about which men fell out, the conflict did not affect the fundamental principle of the system of social union which happened to exist; nor threaten large portions of the community with the subversion of that on which they had built their calculations, and with which their hopes and aims had become identified. But when the questioning of these fundamental principles is (not the occasional disease, or salutary medicine, but) the habitual condition of the body politic, and when all the violent animosities are called forth, which spring naturally from such a situation, the state is virtually in a position of civil war; and can never long remain free from it in act and fact.

“The third essential condition of stability in political society, is a strong and active principle of cohesion among the members of the same community or state. We need scarcely say that we do not mean nationality, in the vulgar sense of the term; a senseless antipathy to foreigners; indifference to the general welfare of the human race, or an unjust preference of the supposed interests of our own country; a cherishing of bad peculiarities because they are national, or a refusal to adopt what has been found good by other countries. We mean a principle of sympathy, not of hostility; of union, not of separation. We mean a feeling of common interest among those who live under the same government, and are

contained within the same natural or historical boundaries. We mean, that one part of the community do not consider themselves as foreigners with regard to another part; that they set a value on their connection — feel that they are one people, that their lot is cast together, that evil to any of their fellow-countrymen is evil to themselves, and do not desire selfishly to free themselves from their share of any common inconvenience by severing the connection. How strong this feeling was in those ancient commonwealths which attained any durable greatness, every one knows. How happily Rome, in spite of all her tyranny, succeeded in establishing the feeling of a common country among the provinces of her vast and divided empire, will appear when any one who has given due attention to the subject shall take the trouble to point it out. In modern times the countries which have had that feeling in the strongest degree have been the most powerful countries: England, France, and, in proportion to their territory and resources, Holland and Switzerland; while England in her connection with Ireland is one of the most signal examples of the consequences of its absence. Every Italian knows why Italy is under a foreign yoke; every German knows what maintains despotism in the Austrian empire;<sup>281</sup> the evils of Spain flow as much from the absence of nationality among the Spaniards themselves, as from the presence of it in their relations with foreigners: while the completest illustration of all is afforded by the republics of South America, where the parts of one and the same state adhere so slightly together, that no sooner does any province think itself aggrieved by the general government than it proclaims itself a separate nation.”

§ 6. While the derivative laws of social statics are ascertained by analyzing different states of society, and comparing them with one another, without regard to the order of their succession, the consideration of the successive order is, on the contrary, predominant in the study of social dynamics, of which the aim is to observe and explain the sequences of social conditions. This branch of the social science would be as complete as it can be made, if every one of the leading general circumstances of each generation were traced to its causes in the generation immediately preceding. But the *consensus* is so complete (especially in modern history), that in the filiation of one generation and another, it is the whole which produces the whole, rather than any part a part. Little progress, therefore, can be made in establishing the filiation, directly from laws of

human nature, without having first ascertained the immediate or derivative laws according to which social states generate one another as society advances; the *axiomata media* of General Sociology.

The empirical laws which are most readily obtained by generalization from history do not amount to this. They are not the “middle principles” themselves, but only evidence toward the establishment of such principles. They consist of certain general tendencies which may be perceived in society; a progressive increase of some social elements, and diminution of others, or a gradual change in the general character of certain elements. It is easily seen, for instance, that as society advances, mental tend more and more to prevail over bodily qualities, and masses over individuals; that the occupation of all that portion of mankind who are not under external restraint is at first chiefly military, but society becomes progressively more and more engrossed with productive pursuits, and the military spirit gradually gives way to the industrial; to which many similar truths might be added. And with generalizations of this description, ordinary inquirers, even of the historical school now predominant on the Continent, are satisfied. But these and all such results are still at too great a distance from the elementary laws of human nature on which they depend — too many links intervene, and the concurrence of causes at each link is far too complicated — to enable these propositions to be presented as direct corollaries from those elementary principles. They have, therefore, in the minds of most inquirers, remained in the state of empirical laws, applicable only within the bounds of actual observation; without any means of determining their real limits, and of judging whether the changes which have hitherto been in progress are destined to continue indefinitely, or to terminate, or even to be reversed.

§ 7. In order to obtain better empirical laws, we must not rest satisfied with noting the progressive changes which manifest themselves in the separate elements of society, and in which nothing is indicated but the relation of fragments of the effect to corresponding fragments of the cause. It is necessary to combine the statical view of social phenomena with the dynamical, considering not only the progressive changes of the different elements, but the contemporaneous condition of each; and thus obtain empirically the law of correspondence not only between the simultaneous

states, but between the simultaneous changes, of those elements. This law of correspondence it is, which, duly verified *a priori*, would become the real scientific derivative law of the development of humanity and human affairs.

In the difficult process of observation and comparison which is here required, it would evidently be a great assistance if it should happen to be the fact, that some one element in the complex existence of social man is pre-eminent over all others as the prime agent of the social movement. For we could then take the progress of that one element as the central chain, to each successive link of which, the corresponding links of all the other progressions being appended, the succession of the facts would by this alone be presented in a kind of spontaneous order, far more nearly approaching to the real order of their filiation than could be obtained by any other merely empirical process.

Now, the evidence of history and that of human nature combine, by a striking instance of consilience, to show that there really is one social element which is thus predominant, and almost paramount, among the agents of the social progression. This is, the state of the speculative faculties of mankind; including the nature of the beliefs which by any means they have arrived at, concerning themselves and the world by which they are surrounded.

It would be a great error, and one very little likely to be committed, to assert that speculation, intellectual activity, the pursuit of truth, is among the more powerful propensities of human nature, or holds a predominating place in the lives of any, save decidedly exceptional, individuals. But, notwithstanding the relative weakness of this principle among other sociological agents, its influence is the main determining cause of the social progress; all the other dispositions of our nature which contribute to that progress being dependent on it for the means of accomplishing their share of the work. Thus (to take the most obvious case first), the impelling force to most of the improvements effected in the arts of life, is the desire of increased material comfort; but as we can only act upon external objects in proportion to our knowledge of them, the state of knowledge at any time is the limit of the industrial improvements possible at that time; and the progress of industry must follow, and depend on, the

progress of knowledge. The same thing may be shown to be true, though it is not quite so obvious, of the progress of the fine arts. Further, as the strongest propensities of uncultivated or half-cultivated human nature (being the purely selfish ones, and those of a sympathetic character which partake most of the nature of selfishness) evidently tend in themselves to disunite mankind, not to unite them — to make them rivals, not confederates, social existence is only possible by a disciplining of those more powerful propensities, which consists in subordinating them to a common system of opinions. The degree of this subordination is the measure of the completeness of the social union, and the nature of the common opinions determines its kind. But in order that mankind should conform their actions to any set of opinions, these opinions must exist, must be believed by them. And thus, the state of the speculative faculties, the character of the propositions assented to by the intellect, essentially determines the moral and political state of the community, as we have already seen that it determines the physical.

These conclusions, deduced from the laws of human nature, are in entire accordance with the general facts of history. Every considerable change historically known to us in the condition of any portion of mankind, when not brought about by external force, has been preceded by a change, of proportional extent, in the state of their knowledge, or in their prevalent beliefs. As between any given state of speculation, and the correlative state of every thing else, it was almost always the former which first showed itself; though the effects, no doubt, reacted potently upon the cause. Every considerable advance in material civilization has been preceded by an advance in knowledge: and when any great social change has come to pass, either in the way of gradual development or of sudden conflict, it has had for its precursor a great change in the opinions and modes of thinking of society. Polytheism, Judaism, Christianity, Protestantism, the critical philosophy of modern Europe, and its positive science — each of these has been a primary agent in making society what it was at each successive period, while society was but secondarily instrumental in making *them*, each of them (so far as causes can be assigned for its existence) being mainly an emanation not from the practical life of the period, but from the previous state of belief and thought. The weakness of the speculative propensity in mankind generally

has not, therefore, prevented the progress of speculation from governing that of society at large; it has only, and too often, prevented progress altogether, where the intellectual progression has come to an early stand for want of sufficiently favorable circumstances.

From this accumulated evidence, we are justified in concluding, that the order of human progression in all respects will mainly depend on the order of progression in the intellectual convictions of mankind, that is, on the law of the successive transformations of human opinions. The question remains, whether this law can be determined; at first from history as an empirical law, then converted into a scientific theorem by deducing it *a priori* from the principles of human nature. As the progress of knowledge and the changes in the opinions of mankind are very slow, and manifest themselves in a well-defined manner only at long intervals, it can not be expected that the general order of sequence should be discoverable from the examination of less than a very considerable part of the duration of the social progress. It is necessary to take into consideration the whole of past time, from the first recorded condition of the human race, to the memorable phenomena of the last and present generations.

§ 8. The investigation which I have thus endeavored to characterize, has been systematically attempted, up to the present time, by M. Comte alone. His work is hitherto the only known example of the study of social phenomena according to this conception of the Historical Method. Without discussing here the worth of his conclusions, and especially of his predictions and recommendations with respect to the Future of society, which appear to me greatly inferior in value to his appreciation of the Past, I shall confine myself to mentioning one important generalization, which M. Comte regards as the fundamental law of the progress of human knowledge. Speculation he conceives to have, on every subject of human inquiry, three successive stages; in the first of which it tends to explain the phenomena by supernatural agencies, in the second by metaphysical abstractions, and in the third or final state confines itself to ascertaining their laws of succession and similitude. This generalization appears to me to have that high degree of scientific evidence which is derived from the concurrence of the indications of history with the probabilities derived from the constitution of the human mind. Nor could it be easily conceived,



from the mere enunciation of such a proposition, what a flood of light it lets in upon the whole course of history, when its consequences are traced, by connecting with each of the three states of human intellect which it distinguishes, and with each successive modification of those three states, the correlative condition of other social phenomena.<sup>282</sup>.....

But whatever decision competent judges may pronounce on the results arrived at by any individual inquirer, the method now characterized is that by which the derivative laws of social order and of social progress must be sought. By its aid we may hereafter succeed not only in looking far forward into the future history of the human race, but in determining what artificial means may be used, and to what extent, to accelerate the natural progress in so far as it is beneficial; to compensate for whatever may be its inherent inconveniences or disadvantages; and to guard against the dangers or accidents to which our species is exposed from the necessary incidents of its progression. Such practical instructions, founded on the highest branch of speculative sociology, will form the noblest and most beneficial portion of the Political Art.

That of this science and art even the foundations are but beginning to be laid, is sufficiently evident. But the superior minds are fairly turning themselves toward that object. It has become the aim of really scientific thinkers to connect by theories the facts of universal history: it is acknowledged to be one of the requisites of a general system of social doctrine, that it should explain, so far as the data exist, the main facts of history; and a Philosophy of History is generally admitted to be at once the verification, and the initial form, of the Philosophy of the Progress of Society.

If the endeavors now making in all the more cultivated nations, and beginning to be made even in England (usually the last to enter into the general movement of the European mind) for the construction of a Philosophy of History, shall be directed and controlled by those views of the nature of sociological evidence which I have (very briefly and imperfectly) attempted to characterize; they can not fail to give birth to a sociological system widely removed from the vague and conjectural character of all former attempts, and worthy to take its place, at last, among the sciences. When this time shall come, no important branch of

human affairs will be any longer abandoned to empiricism and unscientific surmise: the circle of human knowledge will be complete, and it can only thereafter receive further enlargement by perpetual expansion from within.

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<sup>279</sup> *Cours de Philosophie Positive*, iv., 325–29.

<sup>280</sup> Since reprinted entire in *Dissertations and Discussions*, as the concluding paper of the first volume.

<sup>281</sup> Written and first published in 1840.

<sup>282</sup> This great generalization is often unfavorably criticised (as by Dr. Whewell, for instance) under a misapprehension of its real import. The doctrine, that the theological explanation of phenomena belongs only to the infancy of our knowledge of them, ought not to be construed as if it was equivalent to the assertion, that mankind, as their knowledge advances, will necessarily cease to believe in any kind of theology. This was M. Comte's opinion; but it is by no means implied in his fundamental theorem. All that is implied is, that in an advanced state of human knowledge, no other Ruler of the World will be acknowledged than one who rules by universal laws, and does not at all, or does not unless in very peculiar cases, produce events by special interpositions. Originally all natural events were ascribed to such interpositions. At present every educated person rejects this explanation in regard to all classes of phenomena of which the laws have been fully ascertained; though some have not yet reached the point of referring all phenomena to the idea of Law, but believe that rain and sunshine, famine and pestilence, victory and defeat, death and life, are issues which the Creator does not leave to the operation of his general laws, but reserves to be decided by express acts of volition. M. Comte's theory is the negation of this doctrine.

Dr. Whewell equally misunderstands M. Comte's doctrine respecting the second or metaphysical stage of speculation. M. Comte did not mean that "discussions concerning ideas" are limited to an early stage of inquiry, and cease when science enters into the positive stage. (*Philosophy of Discovery*, pp. 226 et seq.) In all M. Comte's speculations as much stress is laid on the process of clearing up our conceptions as on the ascertainment of facts. When M. Comte speaks of the metaphysical stage of speculation, he means the stage in which men speak of "Nature" and other abstractions as if they were active forces, producing effects; when Nature is said to do this, or forbid that; when Nature's horror of a vacuum, Nature's non-admission of a break, Nature's *vis medicatrix*, were offered as explanations of phenomena; when the qualities of things were mistaken for real entities dwelling in the things; when the phenomena of living bodies were thought to be accounted

for by being referred to a "vital force;" when, in short, the abstract names of phenomena were mistaken for the causes of their existence. In this sense of the word it can not be reasonably denied that the metaphysical explanation of phenomena, equally with the theological, gives way before the advance of real science.

That the final, or positive stage, as conceived by M. Comte, has been equally misunderstood, and that, notwithstanding some expressions open to just criticism, M. Comte never dreamed of denying the legitimacy of inquiry into all causes which are accessible to human investigation, I have pointed out in a former place.



# CHAPTER XI.

## ADDITIONAL ELUCIDATIONS OF THE SCIENCE OF HISTORY.

§ 1. The doctrine which the preceding chapters were intended to enforce and elucidate — that the collective series of social phenomena, in other words the course of history, is subject to general laws, which philosophy may possibly detect — has been familiar for generations to the scientific thinkers of the Continent, and has for the last quarter of a century passed out of their peculiar domain, into that of newspapers and ordinary political discussion. In our own country, however, at the time of the first publication of this Treatise, it was almost a novelty, and the prevailing habits of thought on historical subjects were the very reverse of a preparation for it. Since then a great change has taken place, and has been eminently promoted by the important work of Mr. Buckle; who, with characteristic energy, flung down this great principle, together with many striking exemplifications of it, into the arena of popular discussion, to be fought over by a sort of combatants, in the presence of a sort of spectators, who would never even have been aware that there existed such a principle if they had been left to learn its existence from the speculations of pure science. And hence has arisen a considerable amount of controversy, tending not only to make the principle rapidly familiar to the majority of cultivated minds, but also to clear it from the confusions and misunderstandings by which it was but natural that it should for a time be clouded, and which impair the worth of the doctrine to those who accept it, and are the stumbling-block of many who do not.

Among the impediments to the general acknowledgment, by thoughtful minds, of the subjection of historical facts to scientific laws, the most fundamental continues to be that which is grounded on the doctrine of Free Will, or, in other words, on the denial that the law of invariable Causation holds true of human volitions; for if it does not, the course of history, being the result of human volitions, can not be a subject of scientific laws, since the volitions on which it depends can neither be

foreseen, nor reduced to any canon of regularity even after they have occurred. I have discussed this question, as far as seemed suitable to the occasion, in a former chapter; and I only think it necessary to repeat, that the doctrine of the Causation of human actions, improperly called the doctrine of Necessity, affirms no mysterious *nexus*, or overruling fatality: it asserts only that men's actions are the joint result of the general laws and circumstances of human nature, and of their own particular characters; those characters again being the consequence of the natural and artificial circumstances that constituted their education, among which circumstances must be reckoned their own conscious efforts. Any one who is willing to take (if the expression may be permitted) the trouble of thinking himself into the doctrine as thus stated, will find it, I believe, not only a faithful interpretation of the universal experience of human conduct, but a correct representation of the mode in which he himself, in every particular case, spontaneously interprets his own experience of that conduct.

But if this principle is true of individual man, it must be true of collective man. If it is the law of human life, the law must be realized in history. The experience of human affairs when looked at *en masse*, must be in accordance with it if true, or repugnant to it if false. The support which this *a posteriori* verification affords to the law, is the part of the case which has been most clearly and triumphantly brought out by Mr. Buckle.

The facts of statistics, since they have been made a subject of careful recordation and study, have yielded conclusions, some of which have been very startling to persons not accustomed to regard moral actions as subject to uniform laws. The very events which in their own nature appear most capricious and uncertain, and which in any individual case no attainable degree of knowledge would enable us to foresee, occur, when considerable numbers are taken into the account, with a degree of regularity approaching to mathematical. What act is there which all would consider as more completely dependent on individual character, and on the exercise of individual free will, than that of slaying a fellow-creature? Yet in any large country, the number of murders, in proportion to the population, varies (it has been found) very little from one year to another, and in its variations never deviates widely from a certain average. What is still more

remarkable, there is a similar approach to constancy in the proportion of these murders annually committed with every particular kind of instrument. There is a like approximation to identity, as between one year and another, in the comparative number of legitimate and of illegitimate births. The same thing is found true of suicides, accidents, and all other social phenomena of which the registration is sufficiently perfect; one of the most curiously illustrative examples being the fact, ascertained by the registers of the London and Paris post-offices, that the number of letters posted which the writers have forgotten to direct, is nearly the same, in proportion to the whole number of letters posted, in one year as in another. "Year after year," says Mr. Buckle, "the same proportion of letter-writers forget this simple act; so that for each successive period we can actually foretell the number of persons whose memory will fail them in regard to this trifling, and as it might appear, accidental occurrence."<sup>283</sup>

This singular degree of regularity *en masse*, combined with the extreme of irregularity in the cases composing the mass, is a felicitous verification *a posteriori* of the law of causation in its application to human conduct. Assuming the truth of that law, every human action, every murder, for instance, is the concurrent result of two sets of causes. On the one part, the general circumstances of the country and its inhabitants; the moral, educational, economical, and other influences operating on the whole people, and constituting what we term the state of civilization. On the other part, the great variety of influences special to the individual: his temperament, and other peculiarities of organization, his parentage, habitual associates, temptations, and so forth. If we now take the whole of the instances which occur within a sufficiently large field to exhaust all the combinations of these special influences, or, in other words, to eliminate chance; and if all these instances have occurred within such narrow limits of time, that no material change can have taken place in the general influences constituting the state of civilization of the country; we may be certain, that if human actions are governed by invariable laws, the aggregate result will be something like a constant quantity. The number of murders committed within that space and time, being the effect partly of general causes which have not varied, and partly of partial causes the whole round of whose variations has been included, will be, practically speaking, invariable.

Literally and mathematically invariable it is not, and could not be expected to be: because the period of a year is too short to include *all* the possible combinations of partial causes, while it is, at the same time, sufficiently long to make it probable that in some years at least, of every series, there will have been introduced new influences of a more or less general character; such as a more vigorous or a more relaxed police; some temporary excitement from political or religious causes; or some incident generally notorious, of a nature to act morbidly on the imagination. That in spite of these unavoidable imperfections in the data, there should be so very trifling a margin of variation in the annual results, is a brilliant continuation of the general theory.

§ 2. The same considerations which thus strikingly corroborate the evidence of the doctrine, that historical facts are the invariable effects of causes, tend equally to clear that doctrine from various misapprehensions, the existence of which has been put in evidence by the recent discussions. Some persons, for instance, seemingly imagine the doctrine to imply, not merely that the total number of murders committed in a given space and time is entirely the effect of the general circumstances of society, but that every particular murder is so too — that the individual murderer is, so to speak, a mere instrument in the hands of general causes that he himself has no option, or, if he has, and chose to exercise it, some one else would be necessitated to take his place; that if any one of the actual murderers had abstained from the crime, some person who would otherwise have remained innocent, would have committed an extra murder to make up the average. Such a corollary would certainly convict any theory which necessarily led to it of absurdity. It is obvious, however, that each particular murder depends, not on the general state of society only, but on that combined with causes special to the case, which are generally much more powerful; and if these special causes, which have greater influence than the general ones in causing every particular murder, have no influence on the number of murders in a given period, it is because the field of observation is so extensive as to include all possible combinations of the special causes — all varieties of individual character and individual temptation compatible with the general state of society. The collective experiment, as it may be termed, exactly separates the effect of the general from that of the special causes, and shows the net result of the former; but

it declares nothing at all respecting the amount of influence of the special causes, be it greater or smaller, since the scale of the experiment extends to the number of cases within which the effects of the special causes balance one another, and disappear in that of the general causes.

I will not pretend that all the defenders of the theory have always kept their language free from this same confusion, and have shown no tendency to exalt the influence of general causes at the expense of special. I am of opinion, on the contrary, that they have done so in a very great degree, and by so doing have encumbered their theory with difficulties, and laid it open to objections, which do not necessarily affect it. Some, for example (among whom is Mr. Buckle himself), have inferred, or allowed it to be supposed that they inferred, from the regularity in the recurrence of events which depend on moral qualities, that the moral qualities of mankind are little capable of being improved, or are of little importance in the general progress of society, compared with intellectual or economic causes. But to draw this inference is to forget that the statistical tables, from which the invariable averages are deduced, were compiled from facts occurring within narrow geographical limits and in a small number of successive years; that is, from a field the whole of which was under the operation of the same general causes, and during too short a time to allow of much change therein. All moral causes but those common to the country generally, have been eliminated by the great number of instances taken; and those which are common to the whole country have not varied considerably, in the short space of time comprised in the observations. If we admit the supposition that they have varied; if we compare one age with another, or one country with another, or even one part of a country with another, differing in position and character as to the moral elements, the crimes committed within a year give no longer the same, but a widely different numerical aggregate. And this can not but be the case: for, inasmuch as every single crime committed by an individual mainly depends on his moral qualities, the crimes committed by the entire population of the country must depend in an equal degree on their collective moral qualities. To render this element inoperative upon the large scale, it would be necessary to suppose that the general moral average of mankind does not vary from country to country or from age to age; which is not true, and, even if it were true, could not possibly be



proved by any existing statistics. I do not on this account the less agree in the opinion of Mr. Buckle, that the intellectual element in mankind, including in that expression the nature of their beliefs, the amount of their knowledge, and the development of their intelligence, is the predominant circumstance in determining their progress. But I am of this opinion, not because I regard their moral or economical condition either as less powerful or less variable agencies, but because these are in a great degree the consequences of the intellectual condition, and are, in all cases, limited by it; as was observed in the preceding chapter. The intellectual changes are the most conspicuous agents in history, not from their superior force, considered in themselves, but because practically they work with the united power belonging to all three.<sup>284</sup>

§ 3. There is another distinction often neglected in the discussion of this subject, which it is extremely important to observe. The theory of the subjection of social progress to invariable laws, is often held in conjunction with the doctrine, that social progress can not be materially influenced by the exertions of individual persons, or by the acts of governments. But though these opinions are often held by the same persons, they are two very different opinions, and the confusion between them is the eternally recurring error of confounding Causation with Fatalism. Because whatever happens will be the effect of causes, human volitions among the rest, it does not follow that volitions, even those of peculiar individuals, are not of great efficacy as causes. If any one in a storm at sea, because about the same number of persons in every year perish by shipwreck, should conclude that it was useless for him to attempt to save his own life, we should call him a Fatalist; and should remind him that the efforts of shipwrecked persons to save their lives are so far from being immaterial, that the average amount of those efforts is one of the causes on which the ascertained annual number of deaths by shipwreck depend. However universal the laws of social development may be, they can not be more universal or more rigorous than those of the physical agencies of nature; yet human will can convert these into instruments of its designs, and the extent to which it does so makes the chief difference between savages and the most highly civilized people. Human and social facts, from their more complicated nature, are not less, but more, modifiable than mechanical and chemical facts; human agency, therefore, has still greater power over

them. And accordingly, those who maintain that the evolution of society depends exclusively, or almost exclusively, on general causes, always include among these the collective knowledge and intellectual development of the race. But if of the race, why not also of some powerful monarch or thinker, or of the ruling portion of some political society, acting through its government? Though the varieties of character among ordinary individuals neutralize one another on any large scale, exceptional individuals in important positions do not in any given age neutralize one another; there was not another Themistocles, or Luther, or Julius Cæsar, of equal powers and contrary dispositions, who exactly balanced the given Themistocles, Luther, and Cæsar, and prevented them from having any permanent effect. Moreover, for aught that appears, the volitions of exceptional persons, or the opinions and purposes of the individuals who at some particular time compose a government, may be indispensable links in the chain of causation by which even the general causes produce their effects; and I believe this to be the only tenable form of the theory.

Lord Macaulay, in a celebrated passage of one of his early essays (let me add that it was one which he did not himself choose to reprint), gives expression to the doctrine of the absolute inoperativeness of great men, more unqualified, I should think, than has been given to it by any writer of equal abilities. He compares them to persons who merely stand on a loftier height, and thence receive the sun's rays a little earlier, than the rest of the human race. "The sun illuminates the hills while it is still below the horizon, and truth is discovered by the highest minds a little before it becomes manifest to the multitude. This is the extent of their superiority. They are the first to catch and reflect a light which, without their assistance, must in a short time be visible to those who lie far beneath them."<sup>285</sup> If this metaphor is to be carried out, it follows that if there had been no Newton, the world would not only have had the Newtonian system, but would have had it equally soon; as the sun would have risen just as early to spectators in the plain if there had been no mountain at hand to catch still earlier rays. And so it would be, if truths, like the sun, rose by their own proper motion, without human effort; but not otherwise. I believe that if Newton had not lived, the world must have waited for the Newtonian philosophy until there had been another Newton, or his equivalent. No ordinary man, and no succession of ordinary men, could

have achieved it. I will not go the length of saying that what Newton did in a single life, might not have been done in successive steps by some of those who followed him, each singly inferior to him in genius. But even the least of those steps required a man of great intellectual superiority. Eminent men do not merely see the coming light from the hill-top, they mount on the hill-top and evoke it; and if no one had ever ascended thither, the light, in many cases, might never have risen upon the plain at all. Philosophy and religion are abundantly amenable to general causes; yet few will doubt that, had there been no Socrates, no Plato, and no Aristotle, there would have been no philosophy for the next two thousand years, nor in all probability then; and that if there had been no Christ, and no St. Paul, there would have been no Christianity.

The point in which, above all, the influence of remarkable individuals is decisive, is in determining the celerity of the movement. In most states of society it is the existence of great men which decides even whether there shall be any progress. It is conceivable that Greece, or that Christian Europe, might have been progressive in certain periods of their history through general causes only: but if there had been no Mohammed, would Arabia have produced Avicenna or Averroes, or Caliphs of Bagdad or of Cordova? In determining, however, in what manner and order the progress of mankind shall take place if it take place at all, much less depends on the character of individuals. There is a sort of necessity established in this respect by the general laws of human nature — by the constitution of the human mind. Certain truths can not be discovered, nor inventions made, unless certain others have been made first; certain social improvements, from the nature of the case, can only follow, and not precede, others. The order of human progress, therefore, may to a certain extent have definite laws assigned to it: while as to its celerity, or even as to its taking place at all, no generalization, extending to the human species generally, can possibly be made; but only some very precarious approximate generalizations, confined to the small portion of mankind in whom there has been any thing like consecutive progress within the historical period, and deduced from their special position, or collected from their particular history. Even looking to the *manner* of progress, the order of succession of social states, there is need of great flexibility in our generalizations. The limits of variation in the possible development of

social, as of animal life, are a subject of which little is yet understood, and are one of the great problems in social science. It is, at all events, a fact, that different portions of mankind, under the influence of different circumstances, have developed themselves in a more or less different manner and into different forms; and among these determining circumstances, the individual character of their great speculative thinkers or practical organizers may well have been one. Who can tell how profoundly the whole subsequent history of China may have been influenced by the individuality of Confucius? and of Sparta (and hence of Greece and the world) by that of Lycurgus?

Concerning the nature and extent of what a great man under favorable circumstances can do for mankind, as well as of what a government can do for a nation, many different opinions are possible; and every shade of opinion on these points is consistent with the fullest recognition that there are invariable laws of historical phenomena. Of course the degree of influence which has to be assigned to these more special agencies, makes a great difference in the precision which can be given to the general laws, and in the confidence with which predictions can be grounded on them. Whatever depends on the peculiarities of individuals, combined with the accident of the positions they hold, is necessarily incapable of being foreseen. Undoubtedly these casual combinations might be eliminated like any others, by taking a sufficiently large cycle: the peculiarities of a great historical character make their influence felt in history sometimes for several thousand years, but it is highly probable that they will make no difference at all at the end of fifty millions. Since, however, we can not obtain an average of the vast length of time necessary to exhaust all the possible combinations of great men and circumstances, as much of the law of evolution of human affairs as depends upon this average, is and remains inaccessible to us; and within the next thousand years, which are of considerably more importance to us than the whole remainder of the fifty millions, the favorable and unfavorable combinations which will occur will be to us purely accidental. We can not foresee the advent of great men. Those who introduce new speculative thoughts or great practical conceptions into the world, can not have their epoch fixed beforehand. What science can do, is this. It can trace through past history the general causes which had brought mankind into that preliminary state which,

when the right sort of great man appeared, rendered them accessible to his influence. If this state continues, experience renders it tolerably certain that in a longer or shorter period the great man will be produced; provided that the general circumstances of the country and people are (which very often they are not) compatible with his existence; of which point also, science can in some measure judge. It is in this manner that the results of progress, except as to the celerity of their production, can be, to a certain extent, reduced to regularity and law. And the belief that they can be so, is equally consistent with assigning very great, or very little efficacy, to the influence of exceptional men, or of the acts of governments. And the same may be said of all other accidents and disturbing causes.

§ 4. It would nevertheless be a great error to assign only a trifling importance to the agency of eminent individuals, or of governments. It must not be concluded that the influence of either is small, because they can not bestow what the general circumstances of society, and the course of its previous history, have not prepared it to receive. Neither thinkers nor governments effect all that they intend, but in compensation they often produce important results which they did not in the least foresee. Great men, and great actions, are seldom wasted; they send forth a thousand unseen influences, more effective than those which are seen; and though nine out of every ten things done, with a good purpose, by those who are in advance of their age, produce no material effect, the tenth thing produces effects twenty times as great as any one would have dreamed of predicting from it. Even the men who for want of sufficiently favorable circumstances left no impress at all upon their own age, have often been of the greatest value to posterity. Who could appear to have lived more entirely in vain than some of the early heretics? They were burned or massacred, their writings extirpated, their memory anathematized, and their very names and existence left for seven or eight centuries in the obscurity of musty manuscripts — their history to be gathered, perhaps, only from the sentences by which they were condemned. Yet the memory of these men — men who resisted certain pretensions or certain dogmas of the Church in the very age in which the unanimous assent of Christendom was afterward claimed as having been given to them, and asserted as the ground of their authority — broke the chain of tradition, established a series of precedents for resistance, inspired later Reformers with the courage, and armed them

with the weapons, which they needed when mankind were better prepared to follow their impulse. To this example from men, let us add another from governments. The comparatively enlightened rule of which Spain had the benefit during a considerable part of the eighteenth century, did not correct the fundamental defects of the Spanish people; and in consequence, though it did great temporary good, so much of that good perished with it, that it may plausibly be affirmed to have had no permanent effect. The case has been cited as a proof how little governments can do in opposition to the causes which have determined the general character of the nation. It does show how much there is which they can not do; but not that they can do nothing. Compare what Spain was at the beginning of that half-century of liberal government, with what she had become at its close. That period fairly let in the light of European thought upon the more educated classes; and it never afterward ceased to go on spreading. Previous to that time the change was in an inverse direction; culture, light, intellectual and even material activity, were becoming extinguished. Was it nothing to arrest this downward and convert it into an upward course? How much that Charles the Third and Aranda could not do, has been the ultimate consequence of what they did! To that half-century Spain owes that she has got rid of the Inquisition, that she has got rid of the monks, that she now has parliaments and (save in exceptional intervals) a free press, and the feelings of freedom and citizenship, and is acquiring railroads and all the other constituents of material and economical progress. In the Spain which preceded that era, there was not a single element at work which could have led to these results in any length of time, if the country had continued to be governed as it was by the last princes of the Austrian dynasty, or if the Bourbon rulers had been from the first what, both in Spain and in Naples, they afterward became.

And if a government can do much, even when it seems to have done little, in causing positive improvement, still greater are the issues dependent on it in the way of warding off evils, both internal and external, which else would stop improvement altogether. A good or a bad counselor, in a single city at a particular crisis, has affected the whole subsequent fate of the world. It is as certain as any contingent judgment respecting historical events can be, that if there had been no Themistocles there

would have been no victory of Salamis; and had there not, where would have been all our civilization? How different, again, would have been the issue if Epaminondas, or Timoleon, or even Iphicrates, instead of Chares and Lysicles, had commanded at Chæroneia. As is well said in the second of two Essays on the Study of History,<sup>286</sup> in my judgment the soundest and most philosophical productions which the recent controversies on this subject have called forth, historical science authorizes not absolute, but only conditional predictions. General causes count for much, but individuals also “produce great changes in history, and color its whole complexion long after their death. . . . No one can doubt that the Roman republic would have subsided into a military despotism if Julius Cæsar had never lived” (thus much was rendered practically certain by general causes); “but is it at all clear that in that case Gaul would ever have formed a province of the empire? Might not Varus have lost his three legions on the banks of the Rhone? and might not that river have become the frontier instead of the Rhine? This might well have happened if Cæsar and Crassus had changed provinces; and it is surely impossible to say that in such an event the venue (as lawyers say) of European civilization might not have been changed. The Norman Conquest in the same way was as much the act of a single man, as the writing of a newspaper article; and knowing as we do the history of that man and his family, we can retrospectively predict with all but infallible certainty, that no other person” (no other in that age, I presume, is meant) “could have accomplished the enterprise. If it had not been accomplished, is there any ground to suppose that either our history or our national character would have been what they are?”

As is most truly remarked by the same writer, the whole stream of Grecian history, as cleared up by Mr. Grote, is one series of examples how often events on which the whole destiny of subsequent civilization turned, were dependent on the personal character for good or evil of some one individual. It must be said, however, that Greece furnishes the most extreme example of this nature to be found in history, and is a very exaggerated specimen of the general tendency. It has happened only that once, and will probably never happen again, that the fortunes of mankind depended upon keeping a certain order of things in existence in a single town, or a country scarcely larger than Yorkshire; capable of being ruined or saved by a hundred causes, of very slight magnitude in comparison with

the general tendencies of human affairs. Neither ordinary accidents, nor the characters of individuals, can ever again be so vitally important as they then were. The longer our species lasts, and the more civilized it becomes, the more, as Comte remarks, does the influence of past generations over the present, and of mankind *en masse* over every individual in it, predominate over other forces; and though the course of affairs never ceases to be susceptible of alteration both by accidents and by personal qualities, the increasing preponderance of the collective agency of the species over all minor causes, is constantly bringing the general evolution of the race into something which deviates less from a certain and preappointed track. Historical science, therefore, is always becoming more possible; not solely because it is better studied, but because, in every generation, it becomes better adapted for study.

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<sup>283</sup> Buckle's *History of Civilization*, i., 30.

<sup>284</sup> I have been assured by an intimate friend of Mr. Buckle that he would not have withheld his assent from these remarks, and that he never intended to affirm or imply that mankind are not progressive in their moral as well as in their intellectual qualities. "In dealing with his problem, he availed himself of the artifice resorted to by the Political Economist, who leaves out of consideration the generous and benevolent sentiments, and founds his science on the proposition that mankind are actuated by acquisitive propensities alone;" not because such is the fact, but because it is necessary to begin by treating the principal influence as if it was the sole one, and make the due corrections afterward. "He desired to make abstraction of the intellect as the determining and dynamical element of the progression, eliminating the more dependent set of conditions, and treating the more active one as if it were an entirely independent variable."

The same friend of Mr. Buckle states that when he used expressions which seemed to exaggerate the influence of general at the expense of special causes, and especially at the expense of the influence of individual minds, Mr. Buckle really intended no more than to affirm emphatically that the greatest men can not effect great changes in human affairs unless the general mind has been in some considerable degree prepared for them by the general circumstances of the age; a truth which, of course, no one thinks of denying. And there certainly are passages in Mr. Buckle's writings which speak of the influence exercised by great individual intellects in as strong terms as could be desired.

<sup>285</sup> Essay on Dryden, in *Miscellaneous Writings*, i., 186.



<sup>286</sup> In the *Cornhill Magazine* for June and July, 1861.



# CHAPTER XII.

## OF THE LOGIC OF PRACTICE, OR ART; INCLUDING MORALITY AND POLICY.

§ 1. In the preceding chapters we have endeavored to characterize the present state of those among the branches of knowledge called Moral, which are sciences in the only proper sense of the term, that is, inquiries into the course of nature. It is customary, however, to include under the term moral knowledge, and even (though improperly) under that of moral science, an inquiry the results of which do not express themselves in the indicative, but in the imperative mood, or in periphrases equivalent to it; what is called the knowledge of duties; practical ethics, or morality.

Now, the imperative mood is the characteristic of art, as distinguished from science. Whatever speaks in rules, or precepts, not in assertions respecting matters of fact, is art; and ethics, or morality, is properly a portion of the art corresponding to the sciences of human nature and society.<sup>287</sup>

The Method, therefore, of Ethics, can be no other than that of Art, or Practice, in general; and the portion yet uncompleted of the task which we proposed to ourselves in the concluding Book, is to characterize the general Method of Art, as distinguished from Science.

§ 2. In all branches of practical business there are cases in which individuals are bound to conform their practice to a pre-established rule, while there are others in which it is part of their task to find or construct the rule by which they are to govern their conduct. The first, for example, is the case of a judge, under a definite written code. The judge is not called upon to determine what course would be intrinsically the most advisable in the particular case in hand, but only within what rule of law it falls; what the legislature has ordained to be done in the kind of case, and must therefore be presumed to have intended in the individual case. The method must here be wholly and exclusively one of ratiocination, or syllogism; and the process is obviously, what in our analysis of the

sylllogism we showed that all ratiocination is, namely the interpretation of a formula.

In order that our illustration of the opposite case may be taken from the same class of subjects as the former, we will suppose, in contrast with the situation of the judge, the position of the legislator. As the judge has laws for his guidance, so the legislator has rules, and maxims of policy; but it would be a manifest error to suppose that the legislator is bound by these maxims in the same manner as the judge is bound by the laws, and that all he has to do is to argue down from them to the particular case, as the judge does from the laws. The legislator is bound to take into consideration the reasons or grounds of the maxim; the judge has nothing to do with those of the law, except so far as a consideration of them may throw light upon the intention of the law-maker, where his words have left it doubtful. To the judge, the rule, once positively ascertained, is final; but the legislator, or other practitioner, who goes by rules rather than by their reasons, like the old-fashioned German tacticians who were vanquished by Napoleon, or the physician who preferred that his patients should die by rule rather than recover contrary to it, is rightly judged to be a mere pedant, and the slave of his formulas.

Now, the reasons of a maxim of policy, or of any other rule of art, can be no other than the theorems of the corresponding science.

The relation in which rules of art stand to doctrines of science may be thus characterized. The art proposes to itself an end to be attained, defines the end, and hands it over to the science. The science receives it, considers it as a phenomenon or effect to be studied, and having investigated its causes and conditions, sends it back to art with a theorem of the combination of circumstances by which it could be produced. Art then examines these combinations of circumstances, and according as any of them are or are not in human power, pronounces the end attainable or not. The only one of the premises, therefore, which Art supplies, is the original major premise, which asserts that the attainment of the given end is desirable. Science then lends to Art the proposition (obtained by a series of inductions or of deductions) that the performance of certain actions will attain the end. From these premises Art concludes that the performance of

these actions is desirable, and finding it also practicable, converts the theorem into a rule or precept.

§ 3. It deserves particular notice, that the theorem or speculative truth is not ripe for being turned into a precept, until the whole, and not a part merely, of the operation which belongs to science, has been performed. Suppose that we have completed the scientific process only up to a certain point; have discovered that a particular cause will produce the desired effect, but have not ascertained all the negative conditions which are necessary, that is, all the circumstances which, if present, would prevent its production. If, in this imperfect state of the scientific theory, we attempt to frame a rule of art, we perform that operation prematurely. Whenever any counteracting cause, overlooked by the theorem, takes place, the rule will be at fault; we shall employ the means and the end will not follow. No arguing from or about the rule itself will then help us through the difficulty; there is nothing for it but to turn back and finish the scientific process which should have preceded the formation of the rule. We must re-open the investigation to inquire into the remainder of the conditions on which the effect depends; and only after we have ascertained the whole of these are we prepared to transform the completed law of the effect into a precept, in which those circumstances or combinations of circumstances which the science exhibits as conditions are prescribed as means.

It is true that, for the sake of convenience, rules must be formed from something less than this ideally perfect theory: in the first place, because the theory can seldom be made ideally perfect; and next, because, if all the counteracting contingencies, whether of frequent or of rare occurrence, were included, the rules would be too cumbrous to be apprehended and remembered by ordinary capacities, on the common occasions of life. The rules of art do not attempt to comprise more conditions than require to be attended to in ordinary cases; and are therefore always imperfect. In the manual arts, where the requisite conditions are not numerous, and where those which the rules do not specify are generally either plain to common observation or speedily learned from practice, rules may often be safely acted on by persons who know nothing more than the rule. But in the complicated affairs of life, and still more in those of states and societies,

rules can not be relied on, without constantly referring back to the scientific laws on which they are founded. To know what are the practical contingencies which require a modification of the rule, or which are altogether exceptions to it, is to know what combinations of circumstances would interfere with, or entirely counteract, the consequences of those laws; and this can only be learned by a reference to the theoretic grounds of the rule.

By a wise practitioner, therefore, rules of conduct will only be considered as provisional. Being made for the most numerous cases, or for those of most ordinary occurrence, they point out the manner in which it will be least perilous to act, where time or means do not exist for analyzing the actual circumstances of the case, or where we can not trust our judgment in estimating them. But they do not at all supersede the propriety of going through, when circumstances permit, the scientific process requisite for framing a rule from the data of the particular case before us. At the same time, the common rule may very properly serve as an admonition that a certain mode of action has been found by ourselves and others to be well adapted to the cases of most common occurrence; so that if it be unsuitable to the case in hand, the reason of its being so will be likely to arise from some unusual circumstance.

§ 4. The error is therefore apparent of those who would deduce the line of conduct proper to particular cases from supposed universal practical maxims, overlooking the necessity of constantly referring back to the principles of the speculative science, in order to be sure of attaining even the specific end which the rules have in view. How much greater still, then, must the error be, of setting up such unbending principles, not merely as universal rules for attaining a given end, but as rules of conduct generally, without regard to the possibility, not only that some modifying cause may prevent the attainment of the given end by the means which the rule prescribes, but that success itself may conflict with some other end, which may possibly chance to be more desirable.

This is the habitual error of many of the political speculators whom I have characterized as the geometrical school; especially in France, where ratiocination from rules of practice forms the staple commodity of journalism and political oratory — a misapprehension of the functions of

Deduction which has brought much discredit, in the estimation of other countries, upon the spirit of generalization so honorably characteristic of the French mind. The commonplaces of politics in France are large and sweeping practical maxims, from which, as ultimate premises, men reason downward to particular applications; and this they call being logical and consistent. For instance, they are perpetually arguing that such and such a measure ought to be adopted, because it is a consequence of the principle on which the form of government is founded; of the principle of legitimacy, or the principle of the sovereignty of the people. To which it may be answered, that if these be really practical principles, they must rest on speculative grounds; the sovereignty of the people, for example, must be a right foundation for government, because a government thus constituted tends to produce certain beneficial effects. Inasmuch, however, as no government produces all possible beneficial effects, but all are attended with more or fewer inconveniences, and since these can not usually be combated by means drawn from the very causes which produce them, it would be often a much stronger recommendation of some practical arrangement, that it does not follow from what is called the general principle of the government, than that it does. Under a government of legitimacy, the presumption is far rather in favor of institutions of popular origin; and in a democracy, in favor of arrangements tending to check the impetus of popular will. The line of augmentation so commonly mistaken in France for political philosophy, tends to the practical conclusion that we should exert our utmost efforts to aggravate, instead of alleviating, whatever are the characteristic imperfections of the system of institutions which we prefer, or under which we happen to live.

§ 5. The grounds, then, of every rule of art, are to be found in the theorems of science. An art, or a body of art, consists of the rules, together with as much of the speculative propositions as comprises the justification of those rules. The complete art of any matter includes a selection of such a portion from the science as is necessary to show on what conditions the effects, which the art aims at producing, depend. And Art in general, consists of the truths of Science, arranged in the most convenient order for practice, instead of the order which is the most convenient for thought. Science groups and arranges its truths, so as to enable us to take in at one

view as much as possible of the general order of the universe. Art, though it must assume the same general laws, follows them only into such of their detailed consequences as have led to the formation of rules of conduct; and brings together from parts of the field of science most remote from one another, the truths relating to the production of the different and heterogeneous conditions necessary to each effect which the exigencies of practical life require to be produced.<sup>288</sup>

Science, therefore, following one cause to its various effects, while art traces one effect to its multiplied and diversified causes and conditions, there is need of a set of intermediate scientific truths, derived from the higher generalities of science, and destined to serve as the generalia or first principles of the various arts. The scientific operation of framing these intermediate principles, M. Comte characterizes as one of those results of philosophy which are reserved for futurity. The only complete example which he points out as actually realized, and which can be held up as a type to be imitated in more important matters, is the general theory of the art of Descriptive Geometry, as conceived by M. Monge. It is not, however, difficult to understand what the nature of these intermediate principles must generally be. After framing the most comprehensive possible conception of the end to be aimed at, that is, of the effect to be produced, and determining in the same comprehensive manner the set of conditions on which that effect depends, there remains to be taken, a general survey of the resources which can be commanded for realizing this set of conditions; and when the result of this survey has been embodied in the fewest and most extensive propositions possible, those propositions will express the general relation between the available means and the end, and will constitute the general scientific theory of the art, from which its practical methods will follow as corollaries.

§ 6. But though the reasonings which connect the end or purpose of every art with its means belong to the domain of Science, the definition of the end itself belongs exclusively to Art, and forms its peculiar province. Every art has one first principle, or general major premise, not borrowed from science; that which enunciates the object aimed at, and affirms it to be a desirable object. The builder's art assumes that it is desirable to have buildings; architecture, as one of the fine arts, that it is desirable to have

them beautiful or imposing. The hygienic and medical arts assume, the one that the preservation of health, the other that the cure of disease, are fitting and desirable ends. These are not propositions of science. Propositions of science assert a matter of fact: an existence, a co-existence, a succession, or a resemblance. The propositions now spoken of do not assert that any thing is, but enjoin or recommend that something should be. They are a class by themselves. A proposition of which the predicate is expressed by the words *ought* or *should be*, is generically different from one which is expressed by *is*, or *will be*. It is true, that in the largest sense of the words, even these propositions assert something as a matter of fact. The fact affirmed in them is, that the conduct recommended excites in the speaker's mind the feeling of approbation. This, however, does not go to the bottom of the matter; for the speaker's approbation is no sufficient reason why other people should approve; nor ought it to be a conclusive reason even with himself. For the purposes of practice, every one must be required to justify his approbation; and for this there is need of general premises, determining what are the proper objects of approbation, and what the proper order of precedence among those objects.

These general premises, together with the principal conclusions which may be deduced from them, form (or rather might form) a body of doctrine, which is properly the Art of Life, in its three departments, Morality, Prudence or Policy, and Æsthetics; the Right, the Expedient, and the Beautiful or Noble, in human conduct and works. To this art (which, in the main, is unfortunately still to be created), all other arts are subordinate; since its principles are those which must determine whether the special aim of any particular art is worthy and desirable, and what is its place in the scale of desirable things. Every art is thus a joint result of laws of nature disclosed by science, and of the general principles of what has been called Teleology, or the Doctrine of Ends;<sup>289</sup> which, borrowing the language of the German metaphysicians, may also be termed, not improperly, the principles of Practical Reason.

A scientific observer or reasoner, merely as such, is not an adviser for practice. His part is only to show that certain consequences follow from certain causes, and that to obtain certain ends, certain means are the most effectual. Whether the ends themselves are such as ought to be pursued,



and if so, in what cases and to how great a length, it is no part of his business as a cultivator of science to decide, and science alone will never qualify him for the decision. In purely physical science, there is not much temptation to assume this ulterior office; but those who treat of human nature and society invariably claim it: they always undertake to say, not merely what is, but what ought to be. To entitle them to do this, a complete doctrine of Teleology is indispensable. A scientific theory, however perfect, of the subject-matter, considered merely as part of the order of nature, can in no degree serve as a substitute. In this respect the various subordinate arts afford a misleading analogy. In them there is seldom any visible necessity for justifying the end, since in general its desirableness is denied by nobody, and it is only when the question of precedence is to be decided between that end and some other, that the general principles of Teleology have to be called in; but a writer on Morals and Politics requires those principles at every step. The most elaborate and well-digested exposition of the laws of succession and co-existence among mental or social phenomena, and of their relation to one another as causes and effects, will be of no avail toward the art of Life or of Society, if the ends to be aimed at by that art are left to the vague suggestions of the *intellectus sibi permissus*, or are taken for granted without analysis or questioning.

§ 7. There is, then, a *philosophia prima* peculiar to Art, as there is one which belongs to Science. There are not only first principles of Knowledge, but first principles of Conduct. There must be some standard by which to determine the goodness or badness, absolute and comparative, of ends, or objects of desire. And whatever that standard is, there can be but one; for if there were several ultimate principles of conduct, the same conduct might be approved by one of those principles and condemned by another; and there would be needed some more general principle, as umpire between them.

Accordingly, writers on Moral Philosophy have mostly felt the necessity not only of referring all rules of conduct, and all judgments of praise and blame, to principles, but of referring them to some one principle; some rule, or standard, with which all other rules of conduct were required to be consistent, and from which by ultimate consequence they could all be deduced. Those who have dispensed with the assumption

of such a universal standard, have only been enabled to do so by supposing that a moral sense, or instinct, inherent in our constitution, informs us, both what principles of conduct we are bound to observe, and also in what order these should be subordinated to one another.

The theory of the foundations of morality is a subject which it would be out of place, in a work like this, to discuss at large, and which could not to any useful purpose be treated incidentally. I shall content myself, therefore, with saying, that the doctrine of intuitive moral principles, even if true, would provide only for that portion of the field of conduct which is properly called moral. For the remainder of the practice of life some general principle, or standard, must still be sought; and if that principle be rightly chosen, it will be found, I apprehend, to serve quite as well for the ultimate principle of Morality, as for that of Prudence, Policy, or Taste.

Without attempting in this place to justify my opinion, or even to define the kind of justification which it admits of, I merely declare my conviction, that the general principle to which all rules of practice ought to conform, and the test by which they should be tried, is that of conduciveness to the happiness of mankind, or rather, of all sentient beings; in other words, that the promotion of happiness is the ultimate principle of Teleology.<sup>290</sup>.....

I do not mean to assert that the promotion of happiness should be itself the end of all actions, or even of all rules of action. It is the justification, and ought to be the controller, of all ends, but it is not itself the sole end. There are many virtuous actions, and even virtuous modes of action (though the cases are, I think, less frequent than is often supposed), by which happiness in the particular instance is sacrificed, more pain being produced than pleasure. But conduct of which this can be truly asserted, admits of justification only because it can be shown that, on the whole, more happiness will exist in the world, if feelings are cultivated which will make people, in certain cases, regardless of happiness. I fully admit that this is true; that the cultivation of an ideal nobleness of will and conduct should be to individual human beings an end, to which the specific pursuit either of their own happiness or of that of others (except so far as included in that idea) should, in any case of conflict, give way. But I hold that the very question, what constitutes this elevation of character, is

itself to be decided by a reference to happiness as the standard. The character itself should be, to the individual, a paramount end, simply because the existence of this ideal nobleness of character, or of a near approach to it, in any abundance, would go farther than all things else toward making human life happy, both in the comparatively humble sense of pleasure and freedom from pain, and in the higher meaning, of rendering life, not what it now is almost universally, puerile and insignificant, but such as human beings with highly developed faculties can care to have.

§ 8. With these remarks we must close this summary view of the application of the general logic of scientific inquiry to the moral and social departments of science. Notwithstanding the extreme generality of the principles of method which I have laid down (a generality which, I trust, is not, in this instance, synonymous with vagueness), I have indulged the hope that to some of those on whom the task will devolve of bringing those most important of all sciences into a more satisfactory state, these observations may be useful, both in removing erroneous, and in clearing up the true, conceptions of the means by which, on subjects of so high a degree of complication, truth can be attained. Should this hope be realized, what is probably destined to be the great intellectual achievement of the next two or three generations of European thinkers will have been in some degree forwarded.

THE END.

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<sup>287</sup> It is almost superfluous to observe, that there is another meaning of the word Art, in which it may be said to denote the poetical department or aspect of things in general, in contradistinction to the scientific. In the text, the word is used in its older, and I hope, not yet obsolete sense.

<sup>288</sup> Professor Bain and others call the selection from the truths of science made for the purposes of an art, a Practical Science, and confine the name Art to the actual rules.

<sup>289</sup> The word Teleology is also, but inconveniently and improperly, employed by some writers as a name for the attempt to explain the phenomena of the universe from final causes.

<sup>290</sup> For an express discussion and vindication of this principle, see the little volume entitled "Utilitarianism."



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